# POULTRY ESOPHAGUS DETECTION USING RETINANET AND MASK REGION-BASED CONVOLUTIONAL NEURAL NETWORK OBJECT DETECTION MODEL

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### DEDICATION

This thesis is dedicated to my family, who have supported me on my journey in completing this study. To my friends and lab mates who have kept me motivated and given words of encouragement along the way. Thank you to all the important people in my life.

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#### ABSTRACT

Syariah Compliance Automated Chicken Processing System (SYCUT) is a system for monitoring the slaughtering process to ensure that chickens are slaughtered in accordance with sharia of Islam. SYCUT uses vision inspection technology to determine whether slaughtered chickens are halal or otherwise. The vision inspection technology consists of a detection module to detect whether the esophagus of chickens is cut accordingly. The researcher employed Viola-Jones object detection framework to train the detection module. The detection module had problems due to images of esophagus that were bloodied, blurred, or occluded. This resulted in a low detection rate in the system. Besides, a conventional method requires image preprocessing tool like low-pass filter and Otsu's thresholding to improve the conditions of the images before detection which adds to the computational cost. In this study, the researcher divided image inputs into categories to reduce misclassification and aid in data annotation. Then, the researcher proposed a poultry esophagus detection system based on deep learning to improve the current algorithm in SYCUT. The researcher combined the deep learning method with the RetinaNet and Mask R-CNN models, which could perform segmentation and object detection in a single image. The researcher then compared the proposed method with the previous conventional SYCUT algorithm. The proposed method could detect bloodied and occluded images more accurately. The developed algorithm improves overall esophageal detection performance from 68.65 to 92.77 per cent. The SYCUT performs efficiently even in uncontrolled working environments due to the effectiveness of the developed deep learning method. However, the limitation of this deep learning method is it needs huge data for training. This research only improves the detection of certain image types, like bloodied and occluded. Future work should include improving the precision-recall value of the system and its real-time implementation for esophageal detection in real or simulated environments.

#### ABSTRAK

Sistem Pemprosesan Ayam Automatik Patuh Syariah (SYCUT) adalah sistem yang digunakan untuk memantau proses penyembelihan untuk memastikan bahawa ayam yang disembelih menepati Syariah Islam. SYCUT menggunakan Teknologi Pemeriksaan Visi untuk mengesan dan mengklasifikasikan sama ada ayam yang disembelih adalah halal atau tidak. Teknologi Pemeriksaan Visi mengandungi modul pengesan untuk mengesan sama ada esofagus ayam dipotong dengan betul. Penyelidik menggunakan rangka kerja pengesanan objek Viola-Jones untuk melatih modul pengesan. Modul pengesan berhadapan dengan masalah hasil daripada imej-imej esofagus seperti imej yang berdarah, kabur dan terhalang. Ini mengakibatkan kadar pengesanan yang rendah dalam sistem. Selain itu, kaedah konvensional memerlukan alat prapemprosesan imej seperti penapis laluan-rendah dan pengambangan Otsu untuk menambah baik keadaan imej sebelum pengesanan, menyebabkan kos pengiraan bertambah. Di dalam kajian ini, penyelidik membahagikan pemasukan imej kepada kategori masing-masing bagi mengurangkan klasifikasi salah dan membantu dalam anotasi data. Kemudian, penyelidik mencadangkan sebuah sistem pengesan esofagus unggas yang menggunakan pembelajaran dalam bagi menambah baik algoritma sedia ada dalam SYCUT. Penyelidik menggabungkan kaedah pembelajaran dalam dengan model RetinaNet dan Mask R-CNN yang boleh melakukan segmentasi dan pengesanan objek dalam satu imej. Penyelidik membandingkan kaedah yang dicadang dengan algoritma konvensional yang digunakan SYCUT sebelum ini. Kaedah yang dicadangkan ini dapat mengesan imej yang berdarah dan terhalang dengan lebih tepat. Algoritma yang dibangunkan dapat meningkatkan prestasi pengesan esofagus daripada 68.65 ke 92.77 peratus. SYCUT dapat berfungsi dengan cekap walaupun dalam persekitaran kerja yang tidak terkawal kerana keberkesanan kaedah pembelajaran dalam yang dibangunkan. Walau bagaimanapun, kaedah pembelajaran dalam ini mempunyai batasan di mana ia memerlukan sejumlah data yang besar. Penyelidikan ini terbatas kepada penambahbaikan pengesan untuk jenis pemasukan imej tertentu sahaja iaitu imej jenis berdarah atau terhalang. Kerja di masa hadapan perlu melibatkan peningkatan kadar "precision-recall" dalam sistem dan pelaksanaan sistem masa nyata untuk pengesanan esofagus dalam persekitaran sebenar atau tiruan.

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# LIST OF ABBREVIATIONS

AP	-	Average Precision
CEIEC	-	Contrast Enhancement based on Intensity Expansion-
		Compression
CHE	-	Conventional Histogram Equalization
CLAHE	-	Contrast-Limited Adaptive Histogram Equalization
CNN	-	Convolutional Neural Network
COCO	-	Common Objects in Context
CPU	-	Central Processing Unit
CSV	-	Comma-Separated Values
DR	-	Detection Rate
ELIIOHTP	-	Enhancement of Low Illumination Images based on an
		Optimal Hyperbolic Tangent Profile
FCN	-	Fully Convolutional Network
FN	-	False Negative
FP	-	False Positive
FPN	-	Feature Pyramid Network
FPR	-	False Positive Rate
GPU	-	Graphics Processing Unit
GUI	-	Graphical User Interface
HDF5	-	Hierarchical Data Format version 5
HE	-	Histogram Equalization
HSI	-	Hue, Saturation, Intensity
IDE	-	Integrated Development Environment
IoU	-	Intersection over Union
IPOL	-	Image Processing On Line
mAP	-	Mean Average Precision
MD	-	Multi-Detection
Р	-	Precision
PCA	-	Principal Component Analysis
R	-	Recall

R-CNN	-	Region-Based Convolutional Neural Network
ResNet	-	Deep Residual Neural Network
RGB	-	Red Green Blue
RM	-	Ringgit Malaysia
ROI	-	Region of Interest
SfM	-	Structure-from-Motion
SSD	-	Single Shot MultiBox Detector
SYCUT	-	Syariah Compliance Automated Chicken Processing System
TN	-	True Negative
TP	-	True Positive
USD	-	United States Dollar
UTM	-	Universiti Teknologi Malaysia
YCbCr	-	Luminance; Chroma: Blue; Chroma: Red
YOLO	-	You Only Look Once

# LIST OF SYMBOLS

\$	-	Dollar
Ι	-	Intensity of all pixels
С	-	Intensity transformation formula
α	-	Scaling constant for each pixel
n	-	Number of thresholds/classes
т	-	Mean
Σ	-	Sum

#### **CHAPTER 1**

#### **INTRODUCTION**

#### 1.1 Introduction

#### 1.2 Problem Background

The global Muslim population was 1.8 billion in 2011 and has been steadily increasing since then [1,2]. According to Zubairi and Abdul Ghani [3–5], the global halal market for food and non-food products is estimated to be worth USD 2.1 trillion per year, with USD 150 billion for halal food trade, and it is growing as a result of global trends and international initiatives. The halal market piques the interest of both Muslim and non-Muslim producers and consumers worldwide. In 2017, the Malaysian halal industry was valued at \$30 billion, and it is expected to grow by 25% over the next five years [6]. Chicken is consumed at a rate of about 50 kg per capita per year, and it is the second-most popular meat among Malaysians [7]. Broiler farms produce approximately 840 million chickens per year [8]. Annual production of poultry eggs and meat ranges from RM 1.78 billion to RM 6.03 billion [9]. According to one of the SYCUT reports [10], a slaughterhouse can handle up to 80,000 chickens per day.

According to Md. Yusof [11], halal or lawful meat is meat obtained by slaughtering using Islamic-compliant methods. Consuming meat that has not been slaughtered in the name of Allah is considered unlawful and prohibited in Islam. The Islamic way of slaughtering an animal includes cutting the trachea, esophagus, carotid arteries, and jugular veins; using sharp tools; draining the blood; not causing pain to the animal. Not all slaughterhouses confirmed the use of halal slaughter methods, and the cost of highly automated chicken processing machines is prohibitively expensive for small and medium-sized businesses. To address these issues, a Syariah Compliance Automated Chicken Processing System (SYCUT) was developed [12]. The SYCUT vision inspection system, on the other hand, goes through several challenges. Previous

research employs a low-pass filter (LPF) [13] and Otsu's thresholding technique [14] for image preprocessing and segmentation and uses the Viola-Jones object detection framework [15] to detect chicken esophagus in an image.

Previous studies show an unsatisfactory overall detection performance from the system, which is mainly caused by the occlusion of chicken blood or feathers that partially or completely cover the esophagus and the chicken neck is not fully facing the camera, making detection impossible. The overall performance of the system, which reflects the loss function in which an error of 1 is added to the overall error if the system fails to detect the esophagus in a chicken, is 68.65% on average across two experimental sites. The esophageal invisibility rate, which is mainly caused by occlusion and position problems, is an average of 27.55% at both experimental sites. Some images are problematic due to poor illumination, motion blur, and other forms of visual noise that affect the overall system accuracy.

Based on these previous findings, the improvements made in this study are on the software side to improve detection accuracy and overall performance. This study does not address potential solutions to the esophageal invisibility rate, which would necessitate hardware configurations such as multiple camera angles.

#### **1.3 Problem Statement**

The previous work report [16] addressed the main problems of poor performance for detecting partially occluded esophagus in images, as well as lighting variation and noise in the images. The existing method, which implements image preprocessing and conventional object detection methods, is unsuitable for system robustness, which is important for input images with varying quality and conditions in a real-world environment. As a result, shifting SYCUT from a conventional machine learning method to a deep learning method can solve the system's robustness requirement by eliminating the need to preprocess the image before detection. Object detection systems using deep learning methods have been shown to achieve good accuracy, especially concerning image datasets of varying conditions [17–20]. The SYCUT project does not have a properly organized dataset for system analysis and evaluation. The image and video datasets from two sites (Az-Zain and 3P) should be compiled and filtered. The images should then be categorized according to their problems, which include bloodied, blurred, dark, occlusion, and position problems. The different categories of problematic images aid in the result analysis in determining which problem in the images leads to poor detection results. This also addresses the low detection accuracy caused by certain problematic images by employing the right methods for resolution.

One of the current challenges faced by the system is the poor condition of the captured images, which leads to poor detection results [16]. This study focuses on and resolves problematic images such as bloodied and occluded images. As a result, a more accurate detection method for these problematic images is required. The main approach of deep learning can be used to improve accuracy.

The conventional method of detecting the esophagus in an image, as used in the previous work of SYCUT [21], is not the most accurate or computationally fast. Therefore, comparison and analysis of the two methods would shed more light on which method is better suited for detection. The implementation of deep learning is expected to be more effective in combating the accuracy and computational challenges.

#### 1.4 Research Objectives

The following are the research objectives of this study:

- a) To improve dataset organization and include image annotation for data leveling in the system.
- b) To develop a more effective method for esophageal detection using RetinaNet and Mask R-CNN deep learning.
- c) To compare and analyze the use of conventional and deep learning methods for esophageal detection.

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### 1.5 Research Scope

This study examines the challenges encountered when applying esophageal detection to a real-world dataset. Some of the examples of problematic images in the acquisition step are dark, bloodied, and occluded images. Clear, bloodied, and occluded images were used to test the robustness of the deep learning method. The potential solutions to these challenges were tested and discussed. Due to the lack of a suitable GPU for real-time system testing, the study was conducted using still images. The implementation in this study can be translated into real-time object detection in a poultry processing plant environment.

The research is limited to using pre-existing datasets from previous work where a site or new slaughtered chicken samples are not currently accessible. The experiments were conducted using software methods that, while unable to solve some esophageal invisibility problems, focused on the partially occluded dataset. The dataset was divided into two parts: the control dataset, which contains clear images; and the bloodied and occluded dataset, which was used to test the robustness and ability of the deep learning method to detect occluded esophagus.

#### **1.6** Research Significance

This study focuses on problem-solving when dealing with images taken in a challenging environment. It emphasizes the use of deep learning to improve esophageal detection and seeks an alternative to using conventional methods to detect a specific object. The research is significant in terms of developing a good detection platform for the detection of the esophagus or other similar-looking objects. The research also contributes to the improvement of SYCUT. The findings of this study may be worth further investigation and trigger better ideas and implementations in the field of image processing.

### 1.7 Thesis Organization

This chapter describes the previous work on the SYCUT project. The significance of this research is to improve esophageal detection and to discover methods to reduce computational cost. The conventional method applied in the system uses Haar features to detect objects. The system's issues are classified as bloodied, blurred, dark, occlusion, position, and invisible esophagus. The research objectives were formed in response to the problems' requirements. This research is valuable in the image processing and object detection fields, and it has the potential to be implemented in similar areas to SYCUT.

The thesis is organized as follows:

- a) Chapter 2 reviews the literature on certain topics related to the scope of this study. Topics such as deep learning, occluded image detection, and problematic image preprocessing are discussed in this chapter.
- b) Chapter 3 describes the methodology and research flow that were used throughout the whole study. It explains how the research was conducted, the information on deep learning, how the dataset was prepared, and what valuables were observed in the evaluation and analysis of the system.
- c) Chapter 4 presents the results and discussion based on the experiments conducted in the study. The analysis and findings are shown in this chapter.
- d) Chapter 5 summarizes and concludes the research, as well as discusses the study's limitations and potential future work based on the findings.

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