EFFECTIVENESS OF PASSIVE COOLING STRATEGIES OF INDOOR THERMAL CONDITIONS FOR TERRACE HOUSE UNDER HOT AND HUMID CLIMATE

NG WAI TUCK

UNIVERSITI TEKNOLOGI MALAYSIA

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NG WAI TUCK

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DEDICATION

This thesis is dedicated to my parents, who gave me the breath to open my mind and soul of knowledge.

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ABSTRACT

Global warming has caused the air temperature to increase and this has a serious impact on the urban area whereby causing heat wave during the summer in temperate climate area and all year long in tropical region. In Malaysia, heat wave has caused residential buildings especially terrace houses in urban areas to experience thermal discomfort. Passive cooling strategies such as natural ventilation and building retrofit method is proven to be a more effective method to resolve thermal discomfort. Therefore, the objective of this study is to assess the performance of passive cooling with different natural ventilation and building retrofit methods on improving the indoor thermal conditions for terrace house in hot and humid climate conditions. The performance of each method was assessed based on the estimated operative temperature (T_{op}) in the building and compared with American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) Standard 55, European Standard EN15251, and adaptive thermal comfort equation (ACE) developed from ASHRAE RP-884 database for hot and humid climate. The field measurement to measure the thermal conditions of the house was conducted in two stages in a corner terrace house located in Kuala Lumpur, Malaysia. Climatic data that influenced the T_{op} , such as outdoor and indoor air temperature, relative humidity, outdoor wind velocity, indoor air velocity, indoor globe temperature, and outdoor solar radiation were measured. In the first stage, four types of natural ventilation which were full day ventilation (FV), full day no ventilation (WV), day ventilation (DV), and night ventilation (NV) were adopted to evaluate the performance of different natural ventilation strategies. The measurement was conducted in all rooms on the first floor. In the latter stage, the measurement was carried out in the master bedroom located on the first floor due to its west facing orientation and it being the hottest part in the investigated house. A study of four approaches on natural ventilation combined with different building retrofit methods which are high density polyethylene (HDPE) nets as roof cover, heat insulation above ceiling, and active cooling method with ceiling fan were conducted to analyse how each combination of methods assist in the thermal performance of the room. The results from the first stage showed that the mean indoor temperature under natural ventilation was approximately 27-37 °C, and FV and DV recorded better correlation between outdoor and indoor temperature compared with WV and NV. Although natural ventilation could improve the thermal condition in the room, but it was still not enough to achieve the acceptable indoor thermal condition under relevant international standards. The use of roof cover in the second stage reduced convective heat flux of approximately 70-80% in the attic and 88% in the room. Meanwhile, the mean of daytime air temperature in the room was reduced approximately 1°C. However, the heat insulation layer above the ceiling did not contribute much in improving thermal condition of the room during daytime. Whereas the thermal condition in the room became worse during night time due to the heat trapped by this heat insulation layer. As a conclusion, a roof cover with HDPE nets managed to improve the compliance on ACE hot and humid climate from 38% with only natural ventilation to 48% after a roof cover was added on the roof. Due to the effectiveness of improving the thermal condition in the house, this passive cooling method employing a roof cover with HDPE nets has the potential for further research and to be developed as a passive building retrofit method for low-cost landed houses with roof tiles in Southeast Asia such as in Indonesia and Thailand.

ABSTRAK

Pemanasan global telah menyebabkan suhu udara bertambah di kawasan bandar dan memberi kesan yang serius melalui gelombang haba semasa musim panas di kawasan iklim empat musim dan sepanjang tahun di kawasan tropika. Di Malaysia, gelombang haba telah menyebabkan bangunan kediaman terutamanya rumah teres di kawasan bandar menghadapi ketidakselesaan haba. Strategi penyejukan pasif, seperti kaedah pengudaraan semula jadi dan pengubahsuaian bangunan telah terbukti sebagai kaedah yang lebih berkesan untuk menyelesaikan ketidakselesaan haba. Oleh itu, tujuan penyelidikan ini adalah untuk menilai pencapaian strategi penyejukan pasif dengan beberapa kaedah pengudaraan semula jadi dan kaedah pengubahsuaian bangunan bagi rumah teres di kawasan iklim panas dan lembab. Pencapaian setiap kaedah dinilai berasaskan suhu pengendalian (T_{op}) dalam bangunan dan dibandingkan dengan American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) Standard 55, European Standard EN15251, dan persamaan keselesaan haba penyesuaian (ACE) dibangunkan daripada pangkalan data ASHRAE RP-884 untuk iklim panas dan lembab. Pengukuran keadaan haba dijalankan dalam dua peringkat di sebuah rumah teres lot tepi terletak di Kuala Lumpur, Malaysia. Data cuaca yang mempengaruhi Top, seperti suhu udara, kelembapan relatif dalaman dan luaran, kelajuan angin luaran dan udara dalaman, suhu globe dan sinaran matahari telah diukur. Pada peringkat pertama, empat kes pengudaraan semula jadi telah dikaji, iaitu pengudaraan sepanjang hari (FV), tanpa pengudaraan sepanjang hari (WV), pengudaraan waktu siang (DV), dan pengudaraan pada waktu malam (NV). Pengukuran telah dijalankan dalam semua bilik di tingkat atas rumah. Pada peringkat kedua, pengukuran hanya dijalankan dalam bilik tidur utama di tingkat atas, dengan alasan bahawa bilik itu menghadap arah barat dan merupakan bilik yang paling panas dalam bangunan itu. Empat kaedah penyelidikan dengan pengudaraan semula jadi digabungkan dengan pelbagai kaedah pengubahsuaian bangunan, iaitu penutup bumbung dengan jaring polyethylene (HDPE) ketumpatan tinggi, penebat haba atas siling, dan cara penyejukan aktif dengan kipas siling telah digunakan untuk mengkaji bagaimana setiap kaedah gabungan dapat memperbaiki prestasi haba dalam bilik. Dapatan kajian daripada peringkat pertama menunjukkan purata suhu dalam bilik di bawah kaedah pengudaraan semula jadi adalah kira-kira 27-37 °C, dan FV dan DV menunjukkan korelasi yang lebih baik antara suhu dalaman dengan luaran dibandingkan dengan WV dan NV. Sungguhpun pengudaraan semula jadi boleh memperbaiki keadaan haba dalam bilik, namun tidak mencukupi untuk mencapai keperluan keadaan haba dalaman di bawah piawaian antarabangsa berkenaan. Penggunaan penutup bumbung pada peringkat kedua dapat mengurangkan fluks haba konveksi sebanyak 70-80% di dalam loteng dan 88% di dalam bilik. Pada masa yang sama, purata suhu udara dalam bilik pada waktu siang telah dikurangkan kira-kira 1°C. Penambahan lapisan penebat haba di atas siling bukan sahaja tidak dapat menyumbang kepada pembaikan keadaan haba dalam bilik pada waktu siang, bahkan keadaan haba dalam bilik menjadi lebih teruk pada waktu malam disebabkan oleh pemerangkapan haba oleh lapisan penebatan haba ini. Apabila keputusan penyelidikan dibandingkan dengan penutup bumbung dengan jaring HDPE dapat memperbaiki pematuhan kepada ACE iklim panas dan lembab, daripada 38% dengan pengudaraan semula jadi sahaja kepada 48% selepas penutup bumbung ditambah di atas bumbung. Disebabkan kecekapan peningkatan keselesaan haba dalam rumah, dan kaedah yang senang untuk membina penutup bumbung dengan jaring HDPE, kaedah penyejukan pasif ini mempunyai potensi untuk penyelidikan masa depan dan dibangunkan sebagai kaedah pengubahsuaian pasif untuk rumah kos rendah dengan atap bumbung di Asia Tenggara, seperti di Indonesia dan Thailand.

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LIST OF ABBREVIATIONS

AC	- Air-Conditioner
ACE	- Adaptive Thermal Comfort Equation
ACH	- Air Change Rate Per Hour
ASHRAE	- American Society of Heating, Refrigerating and Air-
	Conditioning Engineers
CFD	- Computational Fluid Dynamics
CO_2	- Carbon-Dioxide
DV	- Day Ventilation
FV	- Full Ventilation
FV-R	- Full Day Free Running Ventilation with Retrofit Roof Cover
FV-R-C	- Full Day Free Running Ventilation with Roof Cover and Heat
	Insulation Above Ceiling
FV-R-C-F	- Full Day Free Running Ventilation with Roof Cover, Heat
	Insulation Above Ceiling, and Mechanical Ventilation with
	Ceiling Fan
HDPE	- High Density Polyethylene
IPCC	- Intergovernmental Panel on Climate Change
Low-E	- Low-Emissivity
MJIIT	- Malaysia-Japan International Institute of Technology
NIR	- Near Infrared
NV	- Night Ventilation
PV	- Photovoltaic
RPM	- Round Per Minute
UHI	- Urban Heat Island
UTM	- Universiti Teknologi Malaysia
WV	- Without Ventilation

LIST OF SYMBOLS

a	-	Solar absorptivity
AH	-	Absolute humidity (g/kgDA)
f	-	Decrement factor
h	-	Convection heat transfer coefficient (W/m ² ·K)
q_h	-	Convective heat fluxes (W/m ²)
R^2	-	Coefficient of determination
RH_i	-	Indoor relative humidity (%)
RH_o	-	Outdoor relative humidity (%)
SR	-	Solar radiation (W/m ²)
ΔT	-	Temperature difference (°C)
T_a	-	Air temperature (°C)
T_{ag}	-	Air temperature of air gap (°C)
T_{comfop}	-	Indoor neutral comfort temperature (°C)
T_{csb}	-	Bottom surface temperature of ceiling (°C)
T _{cst}	-	Top surface temperature of ceiling (°C)
$T_{e\!f\!f}$	-	Equivalent outdoor temperature (°C)
T_g	-	Globe temperature (°C)
T_i	-	Indoor air temperature (°C)
T _{i,max}	-	Maximum daily indoor air temperature (°C)
T _{i,min}	-	Minimum daily indoor air temperature (°C)
T _{mrt}	-	Mean radian temperature (°C)
T_o	-	Outdoor air temperature (°C)
T _{o,max}	-	Maximum daily outdoor air temperature (°C)
T _{o,min}	-	Minimum daily outdoor air temperature (°C)
T_{op}	-	Comfort temperature (°C)
Toutmm	-	Daily mean outdoor air temperature (°C)
T_r	-	Attic air temperature (°C)
T_{rc}	-	Surface temperature of roof cover (°C)
Trsb	-	Bottom surface temperature of roof tiles (°C)
T _{rst}	-	Top surface temperature of roof tiles (°C)

T_{wse}	-	External surface temperature of wall (°C)
T_{wsi}	-	Internal surface temperature of wall (°C)
U	-	Thermal transmittance $(W/m^2 \cdot K)$
V_i	-	Indoor air velocity (m/s)
V_o	-	Outdoor wind speed (m/s)

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CHAPTER 1

INTRODUCTION

1.1 Research Background

Global warming is always a main environmental issue since the industrialization era [1]. It has caused the global air temperature increased 0.85 °C from 1880 to 2012 [2]. Increase of global temperature has a serious impact to the urban area with heat wave during summer in temperate climate region and all year long in tropical region [3]. Heat wave has caused a lot of people died due to heat stroke [4], especially in urban area with high population density and limited outdoor green open space to reduce the impact of heat wave.

In hot and humid regions, such as Malaysia, the average daily air temperature is between 21 °C and 32 °C [5]. According to Malaysian Metrological Department [6], in 2019, the average temperature was 27.63 °C, and was 0.69 °C above normal temperature of 26.94 °C. Furthermore, Malaysia was hit twice by heat wave in 2019 with a recorded maximum daily temperature between 37.1 °C to 38.0 °C. This has triggered the alert level (daily maximum temperature 35 °C to 37 °C) on the temperature in the country. However, as easy solution to the critical condition of the temperature, urban dwellers will choose to stay indoors and switch on the airconditioner (AC) system to remove heat and maintain indoor thermal environment as well as to fight the heat wave and avoid heat stroke [7, 8]. The adoption of active cooling system by using AC has caused the energy consumption increased rapidly in urban area [9]. Usage of AC is only solving the problem of heat wave, but the increase of electrical energy consumption has caused another environmental issue. Generation of electrical power will increase the emission of carbon-dioxide (CO₂) into the environment and make the global warming issue become worse [3]. This cycle of environmental problem will continue growing like a snowball and need immediate intervention to stop the cycle. A better approach to resolve the thermal issue is by using

passive cooling strategy that using minimum energy, such as natural ventilation to remove heat and heat preventive method using building retrofitting strategies to improve indoor thermal conditions and achieve thermal comfort, instead of using AC.

Indoor thermal conditions of a building are affected by the air temperature, surrounding surface temperature, humidity and air movement. American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) [10] defined thermal environment as the environment conditions that affect a person's heat loss. For a person to feel thermally comfortable, where a person is satisfied with the ambient temperature, ASHRAE [10] defined this as the condition of the mind that expresses satisfaction with the thermal environment and is assessed by subjective evaluation.

Comfortable thermal conditions can be achieved either by active or passive cooling [11]. Active cooling methods are defined as mechanical equipment to satisfy the needs of cooling within a building that not provided by nature. Active methods use electricity and heat as power source. The most used equipment in the residential buildings are fans, evaporative coolers and heat pumps [11]. Meanwhile, passive cooling in building design is an approach that managing heat gain and heat dissipation in a building in order to improve indoor thermal environment and achieve thermal comfort with low or no energy consumption [12]. They work either by removing heat from the building to a natural heat sink (sky, earth, air and water) using natural ventilation or by preventing heat from entering the building (thermal insulation and shading) from external heat sources.

Natural ventilation defined as natural forces (winds and thermal buoyancy force) due to the difference of outdoor and indoor air pressure that drive outdoor air through openings on building envelope. The openings include doors, windows, wind towers, solar chimneys and ventilators [13]. Natural ventilation utilizes onsite energy from the natural environment, combined with the passive cooling features of building components on the building envelope to remove heat from the building [13, 14].

Heat preventive methods in building design is focuses on heat gain control in a building in order to improve the indoor thermal comfort with low or no energy consumption. Prevention of heat gains encompasses all the design techniques and building retrofitting techniques that minimizes the impact of solar heat gains through the building's envelope by installation of heat insulation layer or shading devices [12].

In comparison between active and passive cooling methods on factors of energy consumption, maintenance, retrofitting and required space, passive cooling is found to be the most suitable method for residential buildings [11]. The recommended passive cooling methods are night ventilation, controlled ventilation, shading system, roof coating, building colour and eco-evaporative cooling.

In the previous study on passive cooling [15–19], most of the studies are concentrated in temperate climate regions with moderate annual temperatures, with average monthly temperatures above 10 °C in their warmest months and above -3 °C in their colder months. However, in hot and humid climate regions with a high average daily temperature up to 21 °C to 32 °C and facing serious impact of heat wave issue, very limited studies on how passive cooling can assist in improving indoor thermal conditions can be found [7, 20]. The existing studies in hot and humid climate regions are generally on natural ventilation [7] to remove heat from the building and not on building retrofitting methods that preventing heat from entering the building.

In addition to the passive cooling methods, building types and building forms is also an important factor affecting the thermal performance of the building [21]. Terrace house formed 36.4% of total living quarters in Malaysia (*Population and Housing Census of Malaysia 2010*), and 47.8% of new launches in Malaysia (*Residential, Commercial and Industrial properties Status report 2018*). The impact of solar heat gains through building's envelope is high on terrace house with high ratio of exposure on external wall and roof to the sunlight [22]. In addition, terrace house also facing a low air velocity of 04-0.6 m/s as reported by Kubota and Ahmad [23]. Although terrace houses are one of the common residential building in Malaysia, however, the study is still very limited and required further exploration.

A further investigation on the effectiveness of passive cooling using different building retrofitting methods and natural ventilation strategies to the thermal conditions of terrace houses under hot and humid climate is required. The effectiveness of each passive cooling method was measured by comparing the percentage of compliance of the calculated indoor operative temperature (T_{op}) to the predicted T_{op} under the relevant international adaptive comfort standards. The findings of this type of study will contributed to the improvement of terrace house design and invention of new approach to resolve thermal comfort in terrace house by using building retrofitting methods, instead of conventional passive cooling with natural ventilation approach.

1.2 Problem Statement

As reported by Malaysian Metrological Department annual report, in 2019, the average temperature in Malaysia was 27.63 °C, it was 0.69 °C higher than the normal temperature of 26.94 °C recorded in previous years. In addition to the rise of temperature, Malaysia was hit twice by heat wave in 2019 with a recorded maximum daily temperature between 37.1 °C to 38.0 °C. The recorded extremely high temperature has triggered the alert on the high temperature in the country. The alert level on daily maximum temperature set in Malaysia is 35 °C to 37 °C.

Further to that, the report by United Nations on world energy consumption, electricity contributed 19% of total world energy consumption, and 27% of it was used by households. Space cooling is the fastest growing use of energy in buildings. Another report by International Energy Agency on world energy consumption reported the share of cooling in total energy use in buildings rose from about 2.5% to 6% from 1990 to 2016. In case of a tropical country such as Malaysia, in year 2016, 11% of electricity consumed in residential sector is for space cooling purpose.

This fraction is expected to increase due to the increase of dependency of airconditioners to achieve thermal comfort accompanied by the economic growth as well as future climate change. The high cost on installation of AC and high energy consumption of this active cooling system is not an environmentally friendly system and not an affordable system to low-income people. On the other hand, considering the persistent gap between rich and poor in developing countries, it is highly likely that low-income people will remain to be exposed to the heat risk elevated by global climate change.

Under these circumstances, to resolve this problem, simple effective and lowcost cooling strategies for residential buildings located in tropical developing countries are strongly needed. Passive cooling strategy without using much energy was the common approach as solution to resolve the high cost and high energy consumption due to active cooling in buildings. Passive cooling strategies that commonly used are provision of cross natural ventilation through the building as cooling agent to remove trapped heat in the building, installation of cool roof to reduce transmission of heat from roof to the building, and provision of shading device on the wall and windows to avoid direct heat transmission from the sunlight to the building.

In recent years, smart-house technologies, which consist of various energyefficient appliances, roof-top photovoltaic (PV) solar panels, and home energy management systems, have attracted social attention to achieve the energy saving, low carbon, and comfort indoor environment. However, such cutting-edge technologies are still not affordable for most developing countries due to the financial conditions. Although it is already widely known that solar heat gain through the roof is major in low-rise terrace house, and solar shielding of roof should contribute to the reduction of primary heat gain in low-rise buildings, but this common knowledge has not been implemented as affordable design for houses in many developing countries. It is the gap between knowledge in academic community and the construction industry and policy makers.

Therefore, in this research, the main objective is to develop a low-cost passive cooling method that easy to apply to residential buildings in tropical developing countries. The study was conducted through field measurement to collect real data on how the indoor thermal conditions was changed upon application of different natural ventilation strategies and simple building retrofitting methods to the building. The results were compared to the relevant international adaptive comfort standards by comparing the T_{op} in the building. The effectiveness of each passive cooling method was measured by comparing the percentage of compliance of the calculated indoor T_{op}

to the predicted T_{op} under the relevant international standards. ASHRAE defined T_{op} as the uniform temperature of an imaginary black enclosure and air within in which an occupant would exchange same amount of heat by radiation and convection as in the actual non-uniform environment. In building design, T_{op} can be defined as the average of the mean radiant and ambient air temperatures, weighted by their respective heat transfer coefficients. The best performance method with higher compliance with the standards was concluded at the end of this study to introduce a low-cost and easy passive cooling method for residential building in hot and humid climate countries.

1.3 Research Questions

Based on the problems identified in problem statement, the research questions of this study were established as follows:

- (a) How different natural ventilation strategies by opening window at daytime and night time affected the operative temperature in terrace house under hot and humid climate?
- (b) Will a natural ventilated terrace house required additional building retrofitting method to compliment the thermal conditions?
- (c) What is the level of compliance of these passive cooling strategies, when comparing with the relevant international standards on thermal comfort?

1.4 Research Objectives

After summarized the background of the problem and to answer the research questions, three objectives in this research are:

(a) To evaluate the performance on thermal conditions (air temperature, relative humidity, air velocity) of passive cooling with different natural ventilation strategies for terrace house under hot and humid climate.

- (b) To assess the performance on thermal conditions (air temperature, relative humidity, air velocity) of passive cooling with different building retrofitting methods for terrace house under hot and humid climate.
- (c) To analyse the indoor thermal comfort level of the proposed passive cooling strategies based on international adaptive comfort standards.

1.5 Research Scope

Literature reviews on previous research on passive cooling and building retrofit for residential buildings was performed. Field measurement was conducted in a twostorey terrace house in Kuala Lumpur, Malaysia in tropical region with hot and humid climate. The measurement was conducted in two stages. In the first stage, four natural ventilation strategies: without ventilation, full ventilation, day ventilation and night ventilation were studied to evaluate the thermal performance of the house based on the operative temperature. First stage was conducted for four weeks from February to March 2018 to measure air temperature, globe temperature, relative humidity, air velocity in the house; besides surface temperature of ceiling, roof and external wall were measured. Simultaneously, outdoor air temperature, relative humidity and wind speed were measured. Operative temperature was calculated based on the measured data to check on the compliance to the international adaptive comfort standards. In later stage with building retrofitting methods, four cases with different combination of building retrofitting strategies were studied. The same parameters of measurement were carried out for 14 weeks from September to December 2018 and followed by the same study on compliance of operative temperature to international adaptive comfort standards.

1.6 Significance of Research

The findings of this study provide data towards future house design with passive cooling strategies by adopting different ventilation modes and building retrofitting. The findings can be applied to a terrace house design, and particularly to window design, to control natural ventilation in residential buildings. Furthermore, a low cost and low technology passive cooling method using building retrofitting was proposed. This method of passive cooling will benefit landed low cost houses in hothumid regions, especially from developing countries, such as Malaysia, Indonesia and Thailand. Besides that, at remote area without electricity to use fan or air-conditioner, roof cover is an effective passive cooling method to be applied.

1.7 Organization of Thesis

This thesis was compiled in seven chapters.

Chapter 1 introduces the thesis with an overview to the thesis, problem background, problem statement, research questions, research objectives, research scope, significance of research, and organization of the thesis.

In Chapter 2, a review on the literature related to this research topic. particularly on passive cooling on residential buildings, various types of air ventilation, and building retrofitting method. At the end of this chapter, research gap was identified.

Chapter 3 describes the research methods applied in this research. The location, details and climatic description of the investigated house were mentioned. Field measurement process including preparation of equipment, setup of equipment, stages of data collection, and method of data analysis were comprehensively explained.

Chapter 4 presents the results from stage 1 measurement on natural ventilation strategies and follows by discussion on findings from the analysis of the data. The indoor and outdoor thermal conditions of the investigated house under different natural ventilation strategies were analysed, compared and discussed. Furthermore, vertical profile of indoor air temperature under natural ventilation and mixed-mode ventilation were compared. Influence of surface temperature on indoor air temperature was analysed. Stage 1 was summarised based the first objective of this research. Chapter 5 presents the results from stage 2 measurement on four cases with different combination of natural ventilation, building retrofitting methods, and active cooling method. The indoor and outdoor thermal conditions of the investigated master bedroom under different cases were analysed, compared, and discussed. Relationship between indoor and outdoor air temperature, comparison between indoor and outdoor thermal conditions, comparison on vertical temperature profile under different building retrofitting methods, and effect of roof cover on indoor thermal environments were analysed and discussed. Based on the summary, this chapter summarised the achievement of second objective of this research.

Chapter 6 presented the analysis and discussion of this research on different natural ventilation strategies and building retrofitting methods in comparison to relevant international standards using adaptive comfort standards. The percentage of compliance from each strategy and method was discussed. The achievement on the third objective of this research was discussed at the end of this chapter.

Chapter 7 summarise the research outcomes and conclusions drawn. The significance contribution and application of the findings are discussed. Finally, future works from this research were proposed.

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Patent Filling

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