

ENHANCEMENT OF AUTOMATED BLACK-BOX WEB APPLICATION  
VULNERABILITY ASSESSMENT ALGORITHMS

LIM KAH SENG

A thesis submitted in fulfilment of the  
requirements for the award of the degree of  
Doctor of Philosophy (Computer Science)

School of Computing  
Faculty of Engineering  
Universiti Teknologi Malaysia

OCTOBER 2019

## **DEDICATION**

This thesis is dedicated to my family members for their moral support and upbringing.  
This thesis also dedicated to Him, which the challenges poured by Him had shaped me  
into a better person.

## ACKNOWLEDGEMENT

Many people have been pivotal in aiding me toward completing this thesis. Not only did they deliver the necessary knowledge to widen my point of view towards the study of computation and its vulnerability assessment, but also the mental supports. Without them, it would have been difficult for me to complete this research work.

First of all, I would like to express my gratitude to my supervisors, Assoc. Prof. Dr Norafida Ithnin and Dr Syed Zainudeen for their guidance. Without their guidance, it would have been impossible for me to produce this thesis work. My sincere appreciation also to CSM for the willingness to participate in my research work.

I would like to thank my family members for their moral and financial support, as well as my close friends Miss Cindy Puah and Mr Wan Mohd. Yacob, for their companionship.

Finally, all gratitude to Him for the challenges which made me a better person. In addition, I would like to also thank all those who had lent me a helping hand, and whose names are not mentioned here. The completion of this thesis would be impossible without these people.

## ABSTRACT

Presently, the web application vulnerability assessment has been widely automated to shorten the web application penetration testing life-cycle. Unfortunately, in the testing environment of the black-box where web-based application codes are unreachable, the automation of web application vulnerability assessment tend to produce the false negatives. This research was conducted to enhance the present state-of-the-art automated web application vulnerability assessment and mitigate the research problems of test coverage and false negatives. In this research, three enhancements were developed to address the problems. The first enhancement involved the improvement of current web-based application reconnaissance solution, using the derived algorithms for form fills and input generation. The second enhancement improved the existing vulnerability assessment solutions by using an invented algorithm, which implemented the execution-path oriented analysis. The final enhancement improved the present vulnerability detection solution with an algorithm that detects vulnerability using a proposed execution path-oriented data flow analysis and fuzzy set theory. This research was conducted based on applied research method, which covered literature reviews, requirement analysis, and preliminary experimentation that led to the creation of the stated algorithms. In addition, a prototype automated black-box web application vulnerability assessment tool was conceived using Java programming language as well as Selenium and Crawljax frameworks. An experimentation was conducted to quantitatively benchmark the validity of the algorithm using twelve test-beds, composed of vulnerable web-based applications, and eight existing automated black-box web application vulnerability assessment tools. The experimental results showed there was an improvement of test coverage by 14.35% and a reduction of false negative by 64%. In conclusion, the enhancements made using the proposed algorithms have improved the automated web application vulnerability assessment test coverage and reduced the false negatives.

## ABSTRAK

Pada masa kini, penilaian kerentanan aplikasi web secara automatik telah diguna dengan meluas untuk memendekkan kitaran hayat ujian penembusan web aplikasi. Malangnya, dalam persekitaran ujian kotak hitam di mana kod web aplikasi tidak boleh dicapai, penilaian kerentanan aplikasi web secara automatik cenderung untuk menghasilkan negatif palsu. Kajian ini telah dilaksanakan untuk memperbaiki kelemahan dalam penilaian kerentanan aplikasi web secara automatik untuk mengurangkan masalah liputan ujian dan negatif palsu. Dalam kajian ini, tiga penambahbaikan telah dibangunkan untuk menangani masalah. Peningkatan pertama melibatkan penambahbaikan penyelesaian pengiktirafan aplikasi berasaskan web semasa menggunakan algoritma yang diciptakan untuk mengisi borang web dan penjana input. Penambahbaikan yang kedua mengandungi penambahbaikan penyelesaian penilaian kerentanan semasa dengan penciptaan algoritma yang melaksanakan analisa berorientasikan laluan pelaksanaan. Penambahbaikan terakhir mengandungi penambahbaikan penyelesaian pengesanan kerentanan dengan algoritma yang melaksanakan analisa aliran data berasaskan laluan pelaksanaan dan teori set fuzzy. Kajian ini dilaksanakan berdasarkan kaedah penyelidikan penggunaan di mana hasil daripada kajian literatur, analisis keperluan, dan eksperimen awal telah membawa kepada ciptaan algoritma yang dinyatakan. Di samping itu, prototaip penilaian kerentanan aplikasi web kotak hitam automatik disusun menggunakan bahasa pengaturcaraan Java, serta kerangka Selenium and Crawljax. Kajian telah dijalankan untuk menilai kesahan algoritma secara kuantitatif dengan menggunakan dua belas ujian katil, terdiri daripada web aplikasi terdedah dan lapan alat penilaian kerentanan aplikasi web kotak hitam automatik yang terkini. Hasil kajian menunjukkan terdapat peningkatan ujian liputan sebanyak 14.35% serta mengurangkan negatif palsu sebanyak 64%. Kesimpulannya, penambahbaikan yang dibuat menggunakan algoritma yang dicadangkan telah berjaya meningkatkan liputan ujian penilaian kerentanan aplikasi web secara automatik dan mengurangkan negatif palsu.

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## LIST OF ABBREVIATIONS

WWW	-	World Wide Web
ICT	-	Information and Communication Technology
DEP	-	Data Entry Point
RIA	-	Rich Internet Application
DoS	-	Denial-of-Service
PTES	-	Penetration Testing Execution Standard
PCI DSS	-	PCI Data Security Standard
SUT	-	System Under Test
LITE	-	Layout-based Extraction Technique
IKM	-	Information Knowledge Manager
AADT	-	Access Authorization Data Table
DDES	-	Double Duplication Elimination Strategy
JavaSye	-	Java Symbolic Execution Engine
OWASP	-	Open Web Application Security Project
WASC	-	Web Application Security Consortium
IDS	-	Intrusion Detection System
LCTS	-	Longest Common Tag Sequence
RKR-GST	-	Running Karp-Rabin Greedy String Tiling
WASSECC	-	Web Application Security Scanner Evaluation Criteria
SQL	-	Structure Query Language
HTML	-	HyperText Markup Language
XQuery	-	XML Query
XML	-	Extended Markup Language
URL	-	Uniform Markup Language
UTF	-	Unicode Transformation Format
mXSS	-	Mutation Cross-site Scripting

XSS	-	Cross-site Scripting
LAN	-	Local Area Network
ACM	-	The Association of Computing
IEEEXplore	-	IEEE Xplore Digital Library
CSM	-	CyberSecurity Malaysia
Wivet	-	Web Input Vector Extractor Teasor

## LIST OF SYMBOLS

$T$	-	DEP data type
$S_a$	-	A set of data entry points
$A_n$	-	Parameters of data entry points
$T_i$	-	Data entry points data type
$C$	-	Cookie
$S_r$	-	Cookie parameter
$TG$	-	Data entry point URL
$N$	-	Data entry point name
$H$	-	Data entry point hash value
$V$	-	Data entry point input value
$M$	-	A finite state machine
$S, Q$	-	A set of states
$\delta$	-	A set of input functions
$\Sigma$	-	The input to trigger web application event.
$I_o$	-	The initial node in a finite state machine
$G$	-	A graph
$V$	-	A set of vertexes
$E, e$	-	A set of edges
$I$	-	An input
$v$	-	A vector
$dompath$	-	The DOM path to href link
$action$	-	The attribute to split href link by '/'
$params$	-	The form names and anchors
$value$	-	The value to input web form
$delta(x)$	-	The infinite section in a web application
$HTTP_{request}$	-	The expected HTTP request

$expected_{condition}$	-	The expected web application response
$LCTS_{amount}$	-	The longest amount of a DOM document tag sequence
$Tag_{amount}$	-	DOM document HTML tags
$h_i$	-	A DOM document
$coverage$	-	The test coverage
$length(h_i)$	-	The length of a DOM document
$V_D$	-	The vulnerability detection rate
$V_d$	-	The number of vulnerability detected
$T_d$	-	The total number of vulnerability presents
$FP_d$	-	The number of false positive detected
$FN_d$	-	The number of false negative detected
$WD$	-	The number of web pages visited
$ST$	-	The differences of initial and ending scanning time
$T_{end}$	-	The ending scanning time
$T_{initial}$	-	The initial scanning time
$TP$	-	The number of true positives
$TV$	-	The total number of vulnerabilities in a test-bed
$S_{TP}$	-	The scanner specific true positive instance
$S_{TN}$	-	The scanner specific true negative instance
$S_{FP}$	-	The scanner specific false positive instance
$S_{FN}$	-	The scanner specific false negative instance
$V_{TP}$	-	The vulnerability specific true positive instance
$V_{TN}$	-	The vulnerability specific true negative instance
$V_{FP}$	-	The vulnerability specific false positive instance
$V_{FN}$	-	The vulnerability specific false negative instance
$P$	-	A web application
$f(x)_n$	-	A finite set of input function
$\psi$	-	A transition function
$w$	-	The web page transition

<i>e</i>	-	The web application event
<i>x</i>	-	An input value
<i>links</i>	-	The web element of data type link
<i>forms</i>	-	The web element of data type web forms
<i>options</i>	-	The web element of data type option
<i>textarea</i>	-	The web element of data type textarea
<i>buttons</i>	-	The web element of data type button
$S_{\text{link}}$	-	A set of web element of data type link
$S_{\text{form}}$	-	A set of web element of data type form
$S_{\text{option}}$	-	A set of web element of data type option
$S_{\text{textarea}}$	-	A set of web element of data type textarea
$S_{\text{button}}$	-	A set of web element of data type button
<i>H</i>	-	An instance of web application execution behaviour
<i>a</i>	-	A user action on web application
<i>id</i>	-	The number of id of a node of a directed graph
<i>name</i>	-	The name of a node of a directed graph
<i>elements</i>	-	A set of candidate elements on a web page
<i>DOM</i>	-	The DOM document
$\text{stripped}_{\text{DOM}}$	-	The DOM document with HTML tags only
<i>tag</i>	-	The web element HTML tag
<i>type</i>	-	The web element HTML type
<i>attribute</i>	-	The attributes belong a web element
$P_i$	-	A program path
$ep_i$	-	An execution path
$/\setminus C$	-	The input constraint
$S_i$	-	An application state in an execution path
$\text{target}_{\text{vectori}}$	-	An attack vector where attack payload is placed
$\text{related}_{\text{vectori}}$	-	An attack vector where Innocent input is placed
<i>R</i>	-	The web application response

$ex$	-	An instance of successful exploitation
$y$	-	The attack payload
$f(y)_n$	-	An input function that accepts attack string
$U$	-	The universal set
$m$	-	The fuzzy set membership function
$\mu a$	-	The value of membership function of attack string
$\mu b$	-	The value of membership function of attack vector
$\mu c$	-	The value of membership function of application state
$\mu d$	-	The value of membership function of attack consequence
$m(a)$	-	The membership function of attack string
$m(b)$	-	The membership function of attack vector
$m(c)$	-	The membership function of application state
$m(d)$	-	The membership function of attack consequence
$state_i, S_i$	-	An instance of state
$v_i$	-	An instance of attack vector
$a_i$	-	An instance of attack string
$c_i$	-	An instance of attack consequence

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# CHAPTER 1

## INTRODUCTION

### 1.1 Area of Research

These days, a compilation of technical documents called web-based application, is no more a demonstration of a simple asset for information sharing, as it was originally intended by its inventor, Sir Tim Bernes-Lee, in 1989. The maturity and availability of fast-internet speeds, as well as the rapid growth of web-based technology, have yielded widespread usage of web-based applications in fields such as politics, education, and many more. Today, web-based applications is a ubiquitous platform which helps people stay connected, as well aid in data sharing. This includes leveraging web-based applications for business products or services promotion and delivery. Therefore, modern web-based applications are always an attractive target for intruders. In addition, the conventional Information and Communication Technology (ICT) infrastructures such as network or web servers, in particular, web-based applications, possess high accessibility, which is accessible by anyone, anywhere, and anytime, 24/7. Moreover, OWASP report OWASP (2017) showed that modern web-based applications tend to be much more susceptible to several vulnerabilities; this includes injection-based vulnerabilities, broken authentication, sensitive data exposure, XML external entities, broken access control, security misconfiguration, cross-site scripting, insecure deserialization, and usage of the component with known vulnerabilities. Thus, vulnerable web-based applications are usually the gateway to gain access to organization protected infrastructures, or data.

Conventionally, an attack is launched through the injection of malicious data onto web-based application data entry points (DEPs), to compromise web-based application confidentiality, integrity, or availability. Consequently, the web-based application's defensive mechanisms always plays a crucial role in defending web-based applications against attacks by preventing malicious data from entering



web-based application DEPs. Unfortunately, writing a solid defensive mechanism is tedious and error-prone, as the combination of data that can be possibly accepted by a web-based application, DEP, is always vast in number (Jovanovic *et al.*, 2006). Therefore, besides implementing countermeasures such as input sanitization functions, it is essential to have web-based application security assessed during, and after the development phase.

Typically, test engineers assess web-based application security via the injection of predefined attack strings onto web-based application DEPs, which compromises web-based application confidentiality, integrity, or availability for identification of security loopholes (Black, 2011; Bathia *et al.*, 2011; Palsetia *et al.*, 2016). To assess web-based application security, practitioners have introduced miscellaneous black-box, white-box, and grey-box testing techniques. Related black-box testing techniques dynamically analyse web-based application execution behaviours for vulnerability detection. The white-box testing techniques inspect a web-based application's codes for defects. The grey-box testing technique is a combination of both black-box and white-box testing techniques (Moonen, 2011; Liu *et al.*, 2012). Existing well-known web-based application security assessment techniques have included fuzzing, code review, penetration testing, just to name a few (Liu *et al.*, 2012; Avramescu *et al.*, 2013).

However, the manual web-based application vulnerability assessment is time-consuming, error-prone, and tedious, as a human being tends to make mistakes. Henceforth, practitioners have invented, designed, and developed algorithms to automate the web-based application vulnerability, using the power of computation (Holm *et al.*, 2013; Awang and Manaf, 2013). The outcome of this automation process is the automated web-based application vulnerability assessment tools, which have helped automate web-based application vulnerability assessment, by simulating test engineer actions by penetrating web-based application DEPs with selected attack strings. This compromises web-based application DEP security in order for security loophole identification. The vulnerability detection is achieved through the inspection of web-based application responses towards an attack string (Black, 2011; ĐURIĆ, 2014; Kaushik and Singh, 2013). Presently, the tool has been widely used in

web-based application penetration testing to automate the testing phase of the vulnerability assessment.

The web-based application codes may or may not be reachable during the automated web application vulnerability assessment. To meet the challenges of assessing web-based application security in testing environments of black-boxes and white-boxes, the automated white-box and black-box web application vulnerability assessment was invented. The automated white-box web application vulnerability assessment parses web-based application codes, performs information or data flow analysis on web-based application codes for security loopholes identification. On the other hand, the automated black-box web application vulnerability assessment dynamically analyses web application execution behaviours toward the malicious data for the same purpose of vulnerability detection. Besides this, there is also a hybrid solution, which integrates the white-box and black-box testing techniques to achieve better scanning efficiency, test results, as well as test coverage (Vieira *et al.*, 2009; Tung *et al.*, 2014; Ben Jaballah and Kheir, 2016).

## **1.2 Research Background**

Although the automation of web application vulnerability assessment shortens the testing life-cycle, this allows parallel testing, as well as enables test engineers to focus on tasks that require manual testing. However, the current state-of-the-art contains limitations of the false positives and false negatives. The false positive is the fake vulnerability, that gets reported, while the false negative, is the benign vulnerability which was not successfully detected (Doupé *et al.*, 2012; Gol and Shah, 2015; Vieira *et al.*, 2009).

According to the research outcomes of Fonseca *et al.* (2014b); Baral (2011); Antunes and Vieira (2014, 2017); Wang *et al.* (2010); Rahman *et al.* (2017), false positive tends to be yielded by automated white-box web application vulnerability assessment. In the opposite, automated black-box web application vulnerability assessment tends to produce the false negative. Between the two limitations, false positives are much worse than the false negatives. False positives cost test engineers

extra time and efforts for fake vulnerability elimination. However, false negatives create the confusion. The false negative misleads the test engineer into mistreating a web-based application security by putting the vulnerable web application continuously expose to intruder attacks (Díaz and Bermejo, 2013; Yeo, 2013; Suto, 2010). This is despite the fact that invention of the hybrid solution was intended to mitigate the related limitations of the false positives and false negatives. Unfortunately, in the testing environment of black-boxes, and in the event that web-based application codes are not reachable, the present hybrid solution will have behaved as another automated black-box web application vulnerability assessment, with the same unresolved limitations of false positives and false negatives. This elaborates the phenomena of why existing hybrid solutions are barely able to replace automated black-box web application vulnerability assessments (Tripp *et al.*, 2013; Medeiros *et al.*, 2014). Consequently, this research has made the choice of enhancing the state-of-the-art automated black-box web application vulnerability assessment, for mitigating the limitations of the false negatives. Besides that, this research also covered the research issues of test coverage, as it was noted that there is a close relationship between the two attributes.

The state-of-the-art automated black-box web application vulnerability assessment is language independent. However, without code accessibility, there exists challenges to include every web-based application content into testing. This including to systematically test the web-based application's security, as well as to locate the security loopholes. Therefore, algorithms which provide solutions for web-based application reconnaissance, attack vector security assessment, as well as vulnerability assessments, have been widely invented by practitioners to automate web-based application vulnerability assessment in the testing environment of black-boxes. During the automated black-box web application vulnerability assessment, the reconnaissance solution systematically crawls web-based applications to retrieve and include web-based application contents into automated web application vulnerability assessments, with web-based application DEPs discovery being the main priority. Subsequently, the solutions for attack vectors security assessment plants attack strings into web-based application DEPs for compromising web-based application confidentiality, integrity, and availability. Lastly, the solutions for vulnerability detection inspects web-based application responses for vulnerability detection.

Consequently, in an automated black-box web application vulnerability assessment, there usually exists three critical features, which are web-based application reconnaissance, attack vector security assessment, and web-based application vulnerability detection (Aliero and Ghani, 2015; Balduzzi *et al.*, 2011; Chen and Wu, 2010; Makino and Klyuev, 2015; Rocha *et al.*, 2012; Vithanage and Jeyamohan, 2016).

The automated black-box web application vulnerability assessment has historically failed in crawling the modern web-based application, to include target web-based application web contents for automated vulnerability assessments (Choudhary *et al.*, 2012; Benjamin *et al.*, 2010; Benedikt *et al.*, 2002; Muñoz and Villalba, 2015; Barbosa and Freire, 2007; Raghavan and Garcia-Molina, 2000; Wang *et al.*, 2010). Besides this, the present state-of-the-art also possesses limitations for systematically testing modern web-based applications, which continuously expand in both complexity and size. This includes producing solutions to assess attack vector security, as well as to detect successful exploitation for vulnerability detection. Consequently, the current state-of-the-art solutions have always failed to include web content into testing with vulnerabilities which are typically are missed (Khoury *et al.*, 2011a,b; Dao and Shibayama, 2010; Antunes and Vieira, 2017). In addition to that, the default heterogeneous nature of the modern web-based application makes the web-based application a complicated target. The integration of modern web technologies have improved the modern-based web application's responsiveness, performance, and functionality. However, this evolution also increased the difficulty of automating the web application vulnerability assessment. Thus, the quick pavement for the web-based application technology advancement also caused the current state-of-the-art of automated web application vulnerability assessment to come to terms of fast elimination.

### **1.3 Research Problem**

The current state-of-the-art of automated black-box web application vulnerability assessment suffers from limitations of test coverage and false negatives. The literature review, as presented in Chapter 2, shows that web-based application

reconnaissance solutions, such as those proposed by Huang and Lee (2005); Duchene *et al.* (2013); Fung *et al.* (2014) have delivered the necessary solutions for hidden web crawling. However, these solutions usually ignore the considerations of web-based application contexts and semantics. Consequently, the current proposed reconnaissance solutions are only capable of reaching a minor percentage of the hidden web contents. Successes which had been achieved under current situations have included test input generation, web semantic extraction, as well as form inputs for web-based application authentication scheme bypassing, as well as for navigation and event triggering. Unfortunately, current suggested web-based application reconnaissance solutions rely too much on the manual, or random approaches which tend to fail to meet practitioner's expectations. These manual approaches negatively affect the scanning performance and experiences, through iterative disrupting of the crawling process, prompting test engineers for manual web input. On the other hand, random approaches are always inaccurate, in the sense that executed computation steps are too easily discarded, or ignored through the implemented defensive mechanisms. Thus, the existing web-based reconnaissance solutions usually possess test coverage issues due to many web-based application contents which are untested (Khoury *et al.*, 2011a; Duchene *et al.*, 2013; Tripp *et al.*, 2013).

On the other hand, current solutions for vulnerability assessment still heavily rely on the traditional approaches of the capture-and-replay sequence, which is highly randomised. The solution performs random point-and-shoot approaches to have the attacker's vectors security assessed, while incorporating brute force techniques of fault injection, and fuzzing to penetrate the attacker's vector security. Practitioners have produced the necessary countermeasures such search-based testing techniques, genetic algorithms, learning-based algorithms, and perturbation techniques, just to name a few, to improve the quality of brute force mechanisms, however, the approach of assessing the attacker's vector security has received less attention. It usually remains randomised, and often does not consider the web-based application's context during the automated black-box web application vulnerability assessment. Thus, the inclusion of the discovered attacker's vectors into the automated black-box web application vulnerability assessment is not guaranteed (Doupé *et al.*, 2012; Alata *et al.*, 2013; Antunes and Vieira, 2014; Dao and Shibayama, 2010).

Lastly, there is a vulnerability detection solution to inspect web-based application responses, using the conventional pattern matching and anomaly detection technique for vulnerability detection. Unfortunately, these vulnerability detection solutions are too conservative. The existence of specific keyword or anomaly does not necessarily mean that there are security loopholes in the assessment web-based application. Moreover, without the acknowledgement of the relationship between the source and sink, current vulnerability detection solutions often fail to locate these security loopholes (Dao and Shibayama, 2010; Antunes and Vieira, 2014).

#### **1.4 Problem Statement**

The literature review outcomes, in coming Chapter 2, show that there are limitations in the current web-based application reconnaissance, vulnerability detection, and vulnerability assessment solutions for the automated black-box web application vulnerability assessment. The combination of these weaknesses have negatively affected the present state-of-the-art of automated black-box web application vulnerability assessment capability. This includes the introduction of research problems pertaining to test coverage and false negatives.

#### **1.5 Research Aim**

The research problems associated with test coverage and false negatives have sabotaged the usefulness of automated black-box web application vulnerability assessments. Therefore, this research aims to produce the necessary countermeasures to mitigate these limitations, to improve the state-of-the-art's test coverage and false negatives.

#### **1.6 Research Question**

Limitations in the current web-based application reconnaissance, vulnerability assessment and detection solutions are factors which contribute to the issues of test coverage and false negatives. Thus, this research mainly seeks to answer the research

question of exploring hidden web content, as well as assessing the web-based application security for security loopholes detection. The research's main research question is presented below.

*How to heuristically and automatically assess and explore modern web-based application contents without concerns the issues of code accessibility?*

This main research question covers the aspect of how to produce the solutions, or the algorithms, for performing the necessary heuristic web-based application reconnaissance in the test environment's black-box. Besides this, the main research question also covers the necessary aspects of investigating the answers of how to assess modern web-based application attack vector securities, as well as to detect security loopholes in the test environment's black-box.

The production of desired algorithms requires this research work to clarify the default nature of modern web-based applications and web-based application's vulnerability, which includes defining the test approaches for locating the security loopholes. This is investigated from the perspective of intruders, which will help to answer the research question on how to manage web exploitation for revealing security loopholes revealing. This yields the following five sub-research questions:

*(a) What is a modern-based application?*

This sub-research question investigates the web-based application's state-of-the-art. The study covers the investigation of modern web-based application architectures and its deployment. Section 2.2 of Chapter 2 presents the answer to this research question.

*(b) What is web-based application vulnerability or security loopholes?*

This sub-research question investigates the default nature of web vulnerability or security loopholes, to help create effective algorithms for vulnerability or security loophole detection. The activity also includes an examination of the latest web-based

application vulnerability statistic reports for vulnerability trends discovery. The study and answer to this sub-research question is available in Section 2.8 of Chapter 2. Moreover, this sub-research question also enables this research work to investigate the state-of-the-art for automated black-box web application vulnerability assessments, as presented in Section 2.6 of Chapter 2.

*(c) How to penetrate web-based application security?*

This sub-research question defines the web-based application exploitation, which leads to studying of the state-of-the-art for automated black-box web application's vulnerability assessment and its algorithms. By focusing on web exploitation and vulnerability assessment, this helps to produce new security assessment solutions. This relevant study is found in Section 2.5 of Chapter 2.

*(d) What is the object to be tested in automated black-box web applications?*

This sub-research question investigates current reconnaissance techniques of automated black-box web application vulnerability assessments. The answer to this sub-research question defines objects to be scanned in the automated black-box web application vulnerability assessment for security loophole detection. The relevant study is found in Section 2.4 of Chapter 2.

*(e) How external entities relate to web-based application exploitation, vulnerability detection, and vulnerability assessment?*

This sub-research question investigates relationships between external entities, web exploitation and vulnerability. Automation of web-based application exploitation, vulnerability detection, and vulnerability assessment requires valid interaction between the external entities, and the target web-based application. Thus, this research work provides a means to study and investigate the corresponding relationship. The relevant study is found in Section 4.3.1 of Chapter 4.



## **1.7 Research Objectives**

The objectives of this research work are to mitigate research problems of test coverage and false negatives of the automated black-box web application vulnerability assessment, which includes:

- (a) To enhance current web-based application reconnaissance solutions with algorithms that perform heuristic form filling and input generation.
- (b) To enhance current vulnerability assessment solutions with algorithm that perform the proposed execution path-oriented vulnerability assessment.
- (c) To enhance current vulnerability detection solutions with algorithm that perform the proposed execution path-oriented data flow analysis and fuzzy testing.

## **1.8 Research Scopes**

To maintain the sustainability of this research work, the following research scopes were defined:

- (a) This research work addresses limitations of test coverage and false negatives of automated black-box web application vulnerability assessment only.

The limitation of false negatives is severe in automated black-box web application vulnerability assessments, of which this research problem places vulnerable web-based application exposure to deal with attacks. Moreover, there is a close relationship between the two attributes of test coverage and false negatives. The higher the test coverage, the lower the number of false negatives. Consequently, this research considers both attributes of test coverage and false negatives only. The improvements brought by this research work benefits communities by providing a state-of-the-art solution with precise test results. Unfortunately, this research will not cover other attributes such as scanning efficiency. In addition to that, this research work also includes web-based applications without considering other web components, such as web servers, databases, or web browsers. Web browsers,

however, will be used to simulate the web browsing environment for automating the web-based application's vulnerability assessment in the testing environment of the black-box.

- (b) This research work considers cross-site scripting vulnerability as an attribute for bench-marking purpose only.

This research work does not have the intention to study the web-based application's vulnerability. Moreover, it is nearly impossible to include every vulnerability in this single study. Consequently, this research work uses web-based application vulnerability of cross-site scripting for bench-marking purposes only. Cross-site scripting was chosen for two reasons. Firstly, the current detection rate of the cross-site scripting is still low compared to its competitor with a SQL injection. Secondly, the cross-site scripting vulnerability usually affects the web-based application's security only, without harming other web components such as the web server or database. In this research work, a cross-site scripting attack library was used to penetrate the web-based application's security, and cross-site scripting detection rate was measured to benchmark the proposed algorithms.

- (c) This research does not considers the SilverLight and Flash technologies.

The introduction of web technologies such as HTML5, CSS 3, and JavaScript ES 6 have successfully replaced conventional technologies such as Adobe Flash and Microsoft SilverLight. It delivers the necessary solutions for developing responsive and dynamic web-based applications, but with more speed. Moreover, the support for such technologies as Microsoft SilverLight and Flash will end by 2020. Consequently, this research work has chosen to exclude these technologies.

## **1.9 Research Significant**

Overall, this research work has delivered the automated black-box web application vulnerability assessment's state-of-the-art, modern web-based application's architecture, as well as the existing research trends. Besides this, the

research has also invented the algorithms to improve the existing web-based application's reconnaissance, vulnerability assessment, and vulnerability detection solutions for mitigating limitations of test coverage and false negatives.

Enhancement of the web-based reconnaissance solution with the invented algorithms for heuristic form filling algorithms and input generation algorithms successfully includes the micro hidden contents into testing. The invented reconnaissance algorithm will be discussed in Chapter 4.

Besides this, the enhancement of the vulnerability assessment solution with the invented execution path-oriented analysis algorithm successfully achieves much more complete testing. The invented vulnerability assessment algorithm will be discussed in Chapter 5.

Lastly, the enhancement of vulnerability detection solutions with the invented execution path-oriented data flow analysis algorithm and the fuzzy algorithm has improved the cross-site scripting detection rate. The invented vulnerability detection algorithm will be discussed as well in Chapter 5.

## **1.10 Thesis Organisation**

Overall, this thesis comprises of seven chapters.

Chapter 1 presents the research area of interest, which covers the research objectives, research scopes, research questions, research aims, research significance, the background of the study, as well as the problem statement.

Chapter 2 will present the research trends, patterns and the state-of-the-art of the automated black-box web application's vulnerability assessment. Besides this, Chapter 2 also illustrates the related research works related to the automated black-box web application's vulnerability assessment, and the present research gap.

Chapter 3 will present this research work's research methodology, which was designed to enhance the state-of-the-art for the automated black-box web application's vulnerability assessment using the applied research method. The designed research methodology consists of three research phases: investigation and clarification, design and development, as well as experimentation and validation.

Chapter 4 will present preliminary experiments that were conducted to investigate the requirements of the automated black-box web application's vulnerability assessment. Chapter 4 also presents the enhancements made for the web-based application's reconnaissance.

Chapter 5 will present enhancements made for the web-based application's vulnerability assessment and vulnerability detection solutions.

Chapter 6 will present and discuss the validity of the enhancements made for the the state-of-the-art automated black-box web application vulnerability assessment.

Finally, Chapter 7 will conclude this thesis with an overall presentation of the research work's contributions, and proposals for future research works.

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