# A STUDY OF LAPPING PARAMETERS TO REDUCE POLISHING TIME OF OPTICAL GLASS

### AMAD ALDDEIN ELSHWAIN

A project report submitted in partial fulfillment of the requirements for the award of the degree of Master Engineering (Mechanical-Advanced Manufacturing Technology)

> (Faculty of Mechanical Engineering) Universiti Teknologi Malaysia

> > JUNE 2007

In the name of Allah, Most Gracious, Most Merciful

All praise and thanks are due to Allah Almighty and peace and blessings be upon His Messenger

The results of this effort are truly dedicated to my mother and father whose example as devoted professionals, as well as, parents taught me to be perseverant, responsible and loyal to my belief.

To my father and mother for their support, encouragement, sacrifice, and especially for their love.

Thank you all and this work is for YOU.

#### ACKNOWLEDGEMENTS

First and foremost, I thank Allah for giving me the strength to complete my project. I would like to thank especially supervisor *ASSOC. PROF. DR. IZMAN BIN SUDIN* for his constant support and guidance during my graduate studies at Universiti Teknologi Malaysia. I would also like to convey my deepest gratitude to Mr. Sazali Ngadiman, Mr. Aidid Hussin, Mr. Maizan Sulaiman and other staff of production laboratory; Mr. Ayob Abu and other staffs of metallurgy laboratory, Mr Khalid at metrology laboratory, and Faculty of Mechanical Engineering for their effort in assisting me in various measurement and laboratory tasks.

Thanks to all my colleagues and friends with whom I had the opportunity to learn, and share a good time during my stay here. Finally, special and infinite thanks goes to the most important people in my life; my parents, for their love, prayers, sacrifice and support.

### ABSTRACT

Machining of hard and brittle material always pose problems such as rough surface, cracks, sub-surface damage and residual stress mainly due to its brittle nature. In recent year researchers and manufactures have put in of lot effort to design and fabricate highly precise device to achieve low tolerance, better surface finish and low sub-surface damage at reduced cost. In this study ultrasonic grinding was used to grind flat surface on the BK7 glass. Only feed rate was varied during grinding, ie. 0.5, 1.5, 2.5, and 3.5 mm/min while other parameters such depth of cut (5µm), frequency (20kHz) and spindle speed (1000rpm) were fixed. The four ground samples were lapped at various table speeds and followed by polishing operations at fixed conditions. Surface roughness and surface morphology of the samples were evaluated after each process. It is found that surface roughness increases when the feed rate increased. Higher lapping speed (50rpm) remove material faster and fines better surface finish than lower speeds. Saturation point of surface roughness occurs at 10 minutes lapping time. The finest polishing surface achievable using less rigid machine was 38nm. It is expected lower Ra could be obtained after polishing if the same lapping and polishing machine is used for both processes.

#### ABSTRAK

Pemensinan bahan keras dan rapuh sentiasa memberi masalah seperti permukaan kasar, keretakan, kerosakan bawah permukaan dan tegasan tinggal yanh mana sebahagian besarnya disebabkan oleh sifatnya yang rapuh. Sejak beberapa tahun kebelakangan ini, penyelidik dan pengeluar telah berusaha keras untuk merekabentuk dan memasang peranti berketepatan tinggi untuk mencapai tahap had terima yang rendah, kemasan permukaan yang lebih baik dan keedaan kerosakan bawah permukaan yang rendah pada kos yang rendah. Dalam kajian ini, pencanaian ultrasonik telah digunakan untuk mencanai permukaan rata ke atas kaca BK7. Hanya kadar uluran sahaja yang diubah semasa mencanai, iaitu. 0.5, 1.5, 2.5 dan 3.5mm/min, sementara lain-lain parameter seperti kedalaman pemotongan (5µm), frekuensi ultrasonik (20kHz) dan kelajuan spindal (1000 rpm) telah ditetapkan. Keempat-empat sampel yang dicanai talah dipelas pada beberapa kelajuan meja dan diikuti dengan operasi penggilapan pada keadaan tetap. Kekasaran permukaan dan morfologi permukaan semua sampel telah dinilai selepas setiap proses. Didapati bahawa kekasaran permukaan meningkat apabila kadar uluran ditingkatkan. Kelajuan mempelas yang lebih tinggi (50rpm) dapat membuang bahan dengan lebih cepat dan memberikan kemasan permukaan yang lebih baik dari kelajuan mempelas yang rendah. Tetik tepu kekasaran permukaan berlaku pada minit ke 10 masa mempelas. Kemasan permukaan gilapan yang terhalus yang boleh dicapai menggunakan mesin yang kurang tegar adalah 38nm. Adalah dijangkakan nilai Ra yang lebilh rendah boleh diperolehi selepas penggilapan jika mesin mempelas dan menggilap yang sama digunakan bagi kedua-dua proses.

# LIST OF CONTENTNS

CHAPTERES	5	TITLE	PAGE
	DEC	LARATION	ii
	DED	ICATION	iii
	ACK	NOWLEDGEMENTS	iv
	ABS'	ГКАСТ	v
	ABS'	ТКАК	vi vii
	TAB	LE OF CONTENTS	
	LIST	<b>COF TABLES</b>	Х
	LIST	<b>COF FIGURES</b>	Xi
	LIST	<b>COF APPENDICES</b>	Xiii
CHAPTER 1	INTR	ODUCTION	1
	1.1	Overview	1
	1.2	Problem statement	2
	1.3.	Objective of study	2
	1.4.	Scope of the study	3
	1.5.	Organization of the thesis	3
CHAPTER 2	LITH	ERATURE REVIEW	4
	2.1	Introduction	4
	2.2	Back ground on optical glass	4
		2.2.1 Composition and properties of BK7 glass	7
		2.2.2 Optical flats	7
	2.3	Overview on glass grinding process	8

		2.3.1 Grindin	g wheels	designation	and	9
		selection				
		2.3.2 Over	view on	rotary ultra	sonic	13
		machining				
	2.4	Lapping mechan	nism			14
	2.5	Polishing mecha	anism			16
		2.5.1 Polishin	ng techniques			18
	2.6	Components of	lapping and p	olishing proces	ses	20
		2.6.1 Work p	viece			21
		2.6.2. Fluid				21
		2.6.3 Abrasiv	/e			23
		2.6.4 Lap				26
	2.7	Critical on litera	tures review			29
CHAPTER 3	RESE	ARCH METHO	DOLOGY			33
	3.1	Introduction				33
	3.2	Overview Work	piece prepara	ation		33
		3.2.1 Ultrason	ic Core Mach	nining		35
		3.2.2 Slicing p	process			36
		3.2.3 Flattenin	g Process by	RUM Machine		38
	3.3	Overall of the m	ethodology			38
		3.3.1 Ultrason	ic grinding ex	xperiment		40
		3.3.2 Lapping	experiment			41
		3.3.3 Polishing	g experiment			43
	3.4	Analytical and r	neasuring ins	truments		44
		3.4.1 Surface	roughness me	easurement		44
		3.4.2 Surface	morphology a	analysis		45
CHAPTER 4						
CHAPIEK 4		RIMENTAL R	ESULTS AN	D DEISCUSS	ION	46
CHAPTER 4	4.1	Introduction			ION	46
CHAFTER 4	4.1 4.2	Introductio <b>n</b> Effect of feed ra	tes in ultrasor	nic grinding		46 46
CHAFTER 4	4.1	Introduction	tes in ultraso	nic grinding lapping experi		46

CHAPTER 5	CON	59	
	5.1	Introduction	59
	5.2	Conclusions	59
	5.3	Recommendations for future work	60
REFRENCES			61
APPENDICES	5		65

# LIST OF TABLES

TABI	JE NO. TITLE	PAGE
2.1	Physical and chemical properties of some optical glasses (Izumitani, 1979)	5
2.2	Composition of BK7 optical glass (Izumitani, 1979; Bach and Neouroth, 1995)	7
2.3	Properties of BK7 glass (Izumitani, 1979; Bach and Neouroth, 1995)	7
2.4	Three major families of manufactures diamond (Krar, 1995)	11
2.5	Preston coefficient of some glass polishing regimen utilizing	19
	cerium oxide slurries (Izumitani, 1979)	
2.6	List of CIMCOOL Fluids recommended for use in glass grinding	22
	and abrasive machining	
3.1	Experimental conditions of Ultrasonic Coring Machining	36
3.2	Lapping parameters	42
3.3	Polishing parameters used in the experiment	44
4.1	Summarizes the experimental results of ground surface for BK7	46
	glass	
4.2	Surface roughness results when measured at different table speed	49
	during lapping	
4.3	Results of polishing surface roughness for the samples A, B, C	54
	and D.	

# LIST OF FIGURES

TITLE

FIGURE NO.

2.1	Diagram of various types of optical glass produced by Schott	6
	(Anon, 1996)	
2.2	Classification of optical glass based on chemical composition in	6
	the nd versus vd plot (Clement, 1995)	
2.3	Optical flat	8
2.4	Material removal mechanism in rotary ultrasonic machining	13
	(Prabhakar el al., 1993)	
2.5	Four removal hypotheses in glass polishing	16
2.6	Interaction between these base elements of lapping and polishing	20
	process (Belkhir et al, 2007)	
2.7	An example of cast-iron polisher	27
2.8	An example of soft-metal polisher	27
2.9	An example of spiral-grooved pitch polisher	28
2.10	An example of wax polisher	28
2.11	An example of polyurethane foam	29
3.1	Flow chart for BK glass work piece preparation	34
3.2	Initial state of BK7 Schott glass raw material	34
3.3	Ultrasonic coring tool	35
3.4	Schematic illustration of the experimental set up for rotary	36
	ultrasonic machining (Hu et al., 2002)	
3.5	BK7 glass work piece complete with holder and stand	37
3.6	Precision cutter used together with a specially designed holder and	37
	stand to hold and prevent glass work piece from chipping	
3.7	A specially design fixture that is capable of holding 6 work pieces	38

PAGE

3.8	Schematic diagram summarizes the overall experimental approach	39
3.9	Ultrasonic grinding set-up	40
3.10.	Elements of the LP50 auto lapping plate flatness control system	41
3.11	Lapping jig with specimen holder of BK7 glass	42
3.12	Polishing machine and elements of polishing BK7 glass	43
3.13	Mitutoyo Form Tracer C5000	44
3.14	Axio Carl Zeiss high power microscope	45
4.1	Surface roughness increases when feed rate increase during	47
	ultrasonic grinding	
4.2	Surface morphology on BK7 glass with when grinding at different	48
	feed rate	
4.3	Effect of table speed of 20rpm on surface roughness during	50
	lapping	
4.4	Effect of table speed of 30rpm on surface roughness during	50
	lapping	
4.5	Effect of table speed of 40rpm on surface roughness during	51
	lapping	
4.6	Effect of table speed of 50rpm on surface roughness during	51
	lapping	
4.7	The combination effect of lapping speed on surface roughness	52
	against lapping time	
4.8	Surface morphology of lapped BK7 glass when lapping at	53
	different lapping speeds	
4.9	Reduction of surface roughness on sample A during polishing	54
4.10	Reduction of surface roughness on sample B during polishing	55
4.11	Reduction of surface roughness on sample C during polishing	56
4.12	Reduction of surface roughness on sample D during polishing	56
4.13	Relation between polishing time and surface roughness with	57
	different initial surface roughness	
4.14	Optical microscopic images of polished BK7 glass surfaces at	58
	different polishing time	

# LIST OF APPENDICES

APPENDIX TITLE		PAGE
А	NG codes coring program of BK7 work piece ( $\phi 25 \times 25$ ) mm	65
В	NG codes flatting surface program of BK7 work piece $(\phi 25 \times 6)$ mm	66
С	NG codes grinding program of BK7 work piece ( $\phi 25 \times 6$ ) mm	69
D	Initial plate flatness monitor	70
Е	Flow chart adjustment of plate flatness monitor	72
F	Steps for mounted work piece BK7 glass on stainless steel holder	73
G	Steps for slurry preparation of lapping process	75
I1	Lapping surface profile of Sample B at Lapping speed 50 rpm	76
I2	Lapping surface profile of Sample B at Lapping speed 40 rpm	77
13	Lapping surface profile of Sample B at Lapping speed 30 rpm	78
I4	Lapping surface profile of Sample B at Lapping speed 30 rpm	79
J1	Polishing Surface profile of Sample A	80
J2	Polishing Surface profile of Sample A	81
J3	Polishing Surface profile of Sample A	82
J4	Polishing Surface profile of Sample A	83
K	Machines for work piece preparation	84

### **CHAPTER 1**

### **INTRODUCTION**

#### 1.1 Overview

Optical components can be found in infra-red systems, beam deflectors in synchrotron radiation facilities and optical lenses. They are either in spherical or flat surfaces, and require high precision in shape accuracy with low surface roughness values (Zhong and Venkatesh, 1994).

Being made of advanced ceramics or optical glass, they are very difficult to machine and shape because of their brittleness, extreme hardness to meet high requirement on the high shape accuracy and the low surface roughness values in certain applications (Zhong, 2002). Researchers have made much effort to manufacture highly precise devices with good surface finish, and low sub-surface damage (Van Ligten and Venkatesh, 1985; Venkatesh and Zohng, 1995).

In order to reduce the total manufacture time, it is preferable to obtain better ground/lapped surface, with less fracture mode as possible in order to reduce polishing time.

#### **1.2 Problem statement**

Lapping and polishing processes are important steps involved in optical glass manufacturing activities. Researchers and manufacturers have put lot of efforts for achieving low tolerance, better surface finish with defect-free in order to reduce manufacturing cost.

Being made of glass, this material is well known for its difficulty to machine and shape at higher accuracy because of their brittleness nature and possessive an extreme hardness.

Optical glass requires these traditional steps of processing, ie. grinding, lapping and polishing. Among these, polishing process is the most time consuming process. Polishing time is very much limited dependent on the state of prior two process, ie. grinding and lapping. Optimization on grinding and lapping will reduce significantly the polishing time. However, there are many parameters contribute to the successful of grinding and lapping. Among others, the grit size of abrasive, the speed (spindle and table), feed etc. These parameters also depend on machine rigidity which partly contributes to the final finishing of the work piece. To date, there are very little literature reports on the steps of manufacturing optical flat which can be considered as confidential to many manufactures.

### **1.3** Objective of study

The objectives of this project as follows:

- i. To evaluate the effect of feed rates on surface roughness of ground BK7 glass.
- ii. To evaluate the effect of table speeds on surface surfaces of lapped BK7 glass.
- iii. To propose a feasible range of polishing time for BK7 glass.

### **1.4** Scope of the study

The scopes of study are as follows:

- i. BK7 optical glass is selected for the study.
- ii. Ultrasonic assisted grinding is used for preparing the initial surface before lapping operation.
- iii.  $Al_2O_3$  abrasive slurry of 9µm is used in the lapping operation.
- iv. Colloidal silica of 3µm is used as polishing slurry.
- v. Load is fixed during lapping and polishing operations.

### **1.5** Organization of the thesis

First Chapter describes introduction, followed by the problem statement, objective of the study and scope of study. The second Chapter prepared the back ground on optical glass, optical flat and over view the principles of grinding, lapping and polishing process optical glass. Third Chapter is details out methodology and experimental works. Results and discussion are discussed in the Chapter four. Chapter five discusses conclusions and recommendations for future work.