

DROUGHT ASSESSMENT MODELLING USING BIOPHYSICAL
PARAMETERS AND REMOTE SENSING DATA

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A thesis submitted in fulfilment of the
requirements for the award of the degree of
Doctor of Philosophy (Remote Sensing)

Faculty of Geoinformation and Real Estate
Universiti Teknologi Malaysia

FEBRUARY 2012

Dedicated to my beloved family

ACKNOWLEDGEMENT

I am heartily expressing my greater gratefulness to Allah s.w.t for His blessing and strength that He blessed to me during the completion of this research.

My sincere thanks go to my supervisor Dr. Ibrahim Busu for his continuous motivation, advices, encouragement and support from the starting to the end of my studies.

I am ever grateful to my family, especially my wife, for their support and encouragement from psychological and financial. And the very genuine appreciation goes to my father whom I owe my very existence to the world, whom always gave me motivation and courage to look on the bright side every time I felt unmotivated, whom that never let me down and whom I respect the most in my heart.

At the end, I would like to thanks to faculty members in Universiti Teknologi Malaysia for their helps and supports.

ABSTRAK

Kajian ini mengambil kira perkembangan teknikal di dalam beberapa disiplin untuk digunakan sebagai infrastruktur bagi membangunkan model dan kaedah yang sesuai bagi penilaian kemarau bagi pertanian di kawasan separa gersang. Ia mengkaji kemampuan data-data remote sensing bagi pembangunan model penilaian kemarau biofizikal berasaskan raster. Kemampuan untuk menyatakan perubahan spatial dalam pelbagai tahun bagi evapotranspirasi (ET) di kawasan kajian oleh model yang dicadangkan membuat ia lebih berkesan. Model asas, pemetaan ET pada resolusi tinggi dengan kalibrasi dalaman (METRIC) telah dinilai prestasinya untuk menganggarkan ET bagi penanaman pistachio di kawasan separa gersang. Hasil kajian membuktikan model asas ini mampu memberikan kejituan yang baik dan sesuai bagi kawasan kajian, serta ia juga telah dikenalpasti sensitif terhadap beberapa parameter meteorologi. Analisis dua faktor bagi input utama model asas menunjukkan gabungan *albedo* dan suhu permukaan sangat efektif, manakala pasangan lain yang diuji didapati kurang efektif. Kajian ini mencadangkan persamaan bagi pasangan efektif diubah bagi meningkatkan kejituan. Bagi tujuan ini, teknik pelbagai lapisan perceptron jaringan saraf tiruan (ANN) digunakan bagi menganggarkan taburan mengikut masa dan lokasi bagi nilai ET sebenar yang di hitung berasaskan parameter biofizikal yang disari dari data remote sensing. Keputusan menunjukkan ada korelasi yang tinggi diantara nilai ET dari model METRIC dan yang dihasilkan dengan ANN. Analisa kepekaan ANN menunjukkan suhu permukaan, fluks kepanasan tanah dan *albedo* adalah merupakan parameter yang paling ketara. Analisis faktor menggunakan analisis komponen utama (PCA) dijalankan bagi memilih parameter biofizikal yang paling ketara, sebagai input bagi model indeks tekanan air biofizikal (BPWSI) yang baru dibangunkan. BPWSI adalah merupakan model baru bagi menganggarkan indeks tekanan air menggunakan parameter biofizikal yang terpilih. Keputusan bagi BPWSI adalah sangat ketara dan boleh digunakan untuk menentukan status keperluan air bagi pistachio yang menjadi penanda kepada kemarau pertanian.

ABSTRACT

This study considers the advancement in technical development of a few disciplines as an infrastructure for developing a suitable model and methodology for agricultural drought assessment in semi-arid area. It evaluates capabilities of multi-source remote sensing data in developing raster-based biophysical drought assessment models. The capability for expressing the spatial and inter-annual variation of evapotranspiration (ET) over a study area by the proposed models has made it efficient. The base model, Mapping EvapoTranspiration at high Resolution with Internal Calibration (METRIC) has been evaluated for its performance in estimating ET over the pistachio plantation in a semi-arid region. The result proved that the base model gives good accuracy and is suitable for the selected study area. The base model, METRIC, is found sensitive to a number of meteorological parameters. Two-factor analysis for the primary inputs of the base model shows that the surface albedo and surface temperature pairs is the most effective while other tested pairs are found to be least effective. The study suggests that improving the equations of the effective pair should increase the accuracy. In this case, the multi-layer perceptron Artificial Neural Network (ANN) technique is used for estimating spatial and temporal distribution of actual ET from satellite based biophysical parameters. The result shows that a strong correlation exist between ET values computed using METRIC and those generated using ANN. ANN sensitivity analysis shows that surface temperature, soil heat flux and surface albedo are the most significant parameters. Exploratory factor analysis using Principal Component Analysis (PCA) was performed to select the most significant biophysical parameters to be used as input to a newly developed BioPhysical Water Stress Index (BPWSI). The BPWSI is a new model for estimating water stress index using the selected biophysical parameters. The results of BPWSI are found to be significant and can be used for predicting the pistachio water status which represents the indication of agricultural drought.

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LIST OF SYMBOLS AND ABBREVIATIONS

| | | |
|--------------|---|---|
| <i>ABC</i> | - | Artificial Bee Colony |
| <i>AET</i> | - | Actual Evapotranspiration |
| <i>ANN</i> | - | Artificial Neural Network |
| <i>ASCE</i> | - | American Society of Civil Engineers |
| <i>ASTER</i> | - | Advanced Space borne Thermal Emission and Reflection Radiometer |
| <i>AVHRR</i> | - | Advanced Very High Resolution Radiometer |
| <i>AWC</i> | - | Available Water Capacity |
| <i>BPWSI</i> | | Biophysical water stress index |
| <i>BMDI</i> | - | Bhalme And Mooley Drought Index |
| <i>CIMIS</i> | - | California Irrigation Management Information System |
| <i>CMI</i> | - | Crop Moisture Index |
| <i>CSDI</i> | - | Crop-Specific Drought Index |
| <i>CV</i> | - | Coefficient Variation |
| <i>CWSI</i> | - | Crop Water Stress Index |
| C_p | - | Air Specific Heat At Constant Pressure |
| <i>D</i> | - | Displacement Height |
| <i>DBF</i> | - | Database Format |
| <i>dT</i> | - | Temperature Differences |
| <i>DV</i> | - | Dependent Variable |
| <i>DWT</i> | - | Discreet Wavelet transformation |
| <i>EDI</i> | - | Effective Drought Index |
| <i>ETDI</i> | - | Evapotranspiration Deficit Index |
| <i>EOS</i> | - | Earth Observation System |
| <i>EP</i> | - | Effective Precipitation |
| <i>ET</i> | - | Evapotranspiration |

| | | |
|--------------|---|---|
| ET_{ref} | - | Reference Evapotranspiration |
| $ET_{ref}f$ | - | Reference Evapotranspiration Fraction |
| ET_{daily} | - | Daily Evapotranspiration |
| ET_{ins} | - | Instantaneous Evapotranspiration |
| <i>FAO</i> | - | Food And Agricultural Organization |
| G | - | Soil Heat Flux |
| <i>GCP</i> | - | Ground Control Point |
| <i>GDP</i> | - | Gross Domestic Product |
| <i>GRI</i> | - | Ground Water Resources Index |
| <i>GRNN</i> | - | Generalized Regression Neural Network |
| G_{sc} | - | Solar Constant |
| H | - | Sensible Heat Flux |
| <i>HIS</i> | - | Hue Saturation Intensity |
| <i>HPF</i> | - | High Pass Filter |
| <i>IDWT</i> | - | Inverse Discreet Wavelet Transformation |
| <i>IV</i> | - | Independent Variable |
| Kc | - | Crop Coefficient |
| LE | - | Latent Heat Flux |
| <i>LGP</i> | - | Linear Genetic Program |
| L_{max} | - | upper limit radiance |
| L_{min} | - | Lower limit radiance |
| <i>LM</i> | - | Levenberg-Mardquardt |
| <i>MAE</i> | - | Mean Absolute Error |
| <i>MCI</i> | - | Moisture Condition Index |
| <i>MEBES</i> | - | Surface Energy Balance In Spanish |
| <i>MES</i> | - | Mean Square Error |
| <i>MLP</i> | - | Multi Layer Perceptron |
| <i>MODIS</i> | - | Moderate Resolution Imaging Spectroradiometer |
| <i>NASA</i> | - | National Aeronautics And Space Administration |
| <i>NDVI</i> | - | Normalized Difference Vegetation Index |
| <i>PBIM</i> | - | Pixel Block Intensity Modulation |

| | | |
|---------------|---|---|
| <i>PCANN</i> | - | Principal Component Analysis Neural Network |
| <i>PDIP</i> | - | Deep Percolation |
| <i>PDSI</i> | - | Palmer Drought Severity Index |
| <i>PM</i> | - | Penman-Monteith |
| <i>Rad</i> | - | Radiance |
| r_{ah} | - | Aerodynamic Resistant To Heat Transfer |
| <i>RAI</i> | - | Rainfall Anomaly Index |
| <i>RBNN</i> | - | Radial Basis Neural Network |
| <i>RDI</i> | - | Reclamation Drought Index |
| <i>RGB</i> | - | Red Blue Green |
| R_L | - | Long Wave Radiation |
| <i>RMSE</i> | - | Root Mean Square Error |
| R_n | - | Net Radiation |
| R_S | - | Shortwave Radiation |
| <i>SAVI</i> | - | Soil Adjusted Vegetation Index |
| <i>SD</i> | - | Standard Deviation |
| <i>SDMI</i> | - | Soil Moisture Deficit Index |
| <i>SEBS</i> | - | Surface Energy Balance System |
| <i>SFI</i> | - | Stream Flow Index |
| <i>SFIM</i> | - | Smoothing Filter Based Intensity |
| <i>SPI</i> | - | Standardized Precipitation Index |
| <i>SR</i> | - | Simple Ratio |
| <i>S-SEBI</i> | - | Simplified Surface Energy Balance Index |
| <i>STD</i> | - | Standard Deviation |
| <i>SVR</i> | - | Surface Vector Regression |
| <i>SWAT</i> | - | Soil And Water Assessment Tool |
| <i>SWB</i> | - | Soil Water Balance |
| <i>SWIR</i> | - | Shortwave Infrared |
| <i>SWSI</i> | - | Surface Water Supply Index |
| <i>TCI</i> | - | Temperature Condition Index |
| <i>TDR</i> | - | Time Dominant Reflectometry |
| <i>TIM</i> | - | Trapezoid Interpolation Model |

| | | |
|----------------|---|--|
| TIR | - | Thermal Infrared |
| TM | - | Thematic Mapper |
| T_s | - | Surface Temperature |
| $T-SEB$ | - | Two Surface Energy Balance |
| U_{blend} | - | Wind Speed At Blending Height |
| U_{200} | - | Wind Speed At 200 Meter Above Ground |
| VCI | - | Vegetation Condition Index |
| $VegDRI$ | - | Vegetation Drought Response Index |
| VHI | - | Vegetation Health Index |
| $VNIR$ | - | Visible Near Infrared |
| W_s | - | Wind Speed |
| Z_{om} | - | Surface Roughness Length For Momentum |
| z_{oms} | - | Surface Roughness Length For Momentum at Weather Station |
| σ | - | Stephan-Boltzmann Constant |
| θ_{rel} | - | Solar Incidence |
| γ | - | Latent Heat Of Vaporization |
| θ | - | solar zenith angle |
| ρ | - | Reflectance value |
| ϵ_o | - | Surface Emissivity |
| ϵ_a | - | Atmospheric Emissivity |
| τ_{sw} | - | Atmospheric Transmissivity |
| u^* | - | Friction Velocity |
| α | - | Surface albedo |

CHAPTER 1

INTRODUCTION

1.1 Background

Natural hazards such as extreme temperatures, drought, rainfall, flood and sand storm are inevitable events that, damage infrastructures of human society and agricultural lands. It causes reduction in agricultural production and causing socio-economic instability, particularly in rural area.

The driving force for agricultural risk management is to reduce vulnerability of products and increase food production to protect the human population and preserve the health of the earth's environment. Production risk as a primary source of agricultural risk associated with variability in expected yield and uncertainty. The United States Department of Agricultural, (USDA, 1997) reported that weather, pests, disease, interaction of technology with other farm and management characteristics, genetics, machinery efficiency and the quality of input are the major source of agricultural production risk. Among the above mentioned risk sources, drought and heat causes about half of all crop losses (USDA, 1997).

The assessment of natural hazards by means of satellite data began 20 years ago. The use of satellite data has been made it easy and feasible to map and quantities amount of damage on vegetation (NASA, 2009). Recent developments in the field of remote sensing and geo-information system as operational tools increase the information availability and details of earth surface that can be used for agricultural resources management and crop growth modeling particularly in

assessing the natural hazards on crop growth and its productivity as this seems to be the bottleneck in agricultural risk management (Sharifi *et al.*, 2008).

High temporal resolution images such as those generated by on-board MODIS and AVHRR Terra and NOAA satellites provide facilities to get daily measurement of green vegetation density and concentration of green leaf using vegetation index calculated from near-infrared and visible light reflected by the earth surface. In addition, many plant parameters such as Photosynthetic absorbed radiation as an important parameter for vegetation productivity assessment, can be quantified by satellite sensors (NASA, 2009). The maps produced from these data type have been used to monitor climatic and environmental changes such as deforestation, desertification as well as drought in previous studies. To assess water deficit and drought condition, remote sensing techniques were identified as an important tool in drought monitoring and impact assessment many researchers (Bastiaanssen, 2005, Donald *et al.*, 2000). Due to the low cost of remote sensing data availability and advances in related software, there has been considerable interest in developing efficient indicators through this technique (Bastiaanssen *et al.*, 2001).

Water is one of the most important components in the existence environment. Huge water consumption in agricultural sector especially in irrigated area and limitation of fresh water resources particularly during the natural hazards such as drought, water resources management becomes more important. Plant water deficit reduces the plant evapotranspiration (ET) rates and consequently its productivity. Efficient water management needs proper tools to quantify the components of hydrologic cycle including ET and its spatial and temporal distribution in detail and accurately. Currently, this information can be retrieved and spatially mapped by remotely sensed data. Nowadays, satellite imagery has become a powerful tool for water consumption determination and crop yield estimation in the hand of managers and planners (Allen and Bastiaanssen, 2005).

Drought as a climatic phenomenon is unpredictable in terms of time and place within the earth's climate systems. It is one of the complex phenomena, which is different from other natural hazards such as flood, earthquake, extreme

temperatures, rainfall and sand storm. Although drought is the consequence of reduction in the amount of rainfall, it is difficult to see the impact of drought because of its wide spread impacts over the large geographical area even after extinction of event. On the other hand, drought is distinctly regional and based on its duration, intensity, time of occurrence, effectiveness of the rains and the geographical extent, has different impacts on environment (Redmonds, 2002, Wilhite, 1993). It occurs regularly with no clear warning and the boundaries of drought cannot be delineated. In addition, drought has been defined as a creeping hazard and its impact is said to be cumulative and not immediately observable by ground data (Kogan, 2000). It can be defined as conceptual or operational. Conceptual definition generally defines the boundary of drought concept, but operational definition describes the drought in term of severity, frequency, continuation and termination of drought (Wilhite and Glantz, 1985).

1.2 Description of Drought Problem

Japan Meteorological Agency (1994) reported that, climate abnormality and its frequency are increased and in this condition, protection of food supplies and management of human security becomes more important. So, in order to secure food supply, evaluation of agricultural resources as a main human food supply and assessment of natural hazards effects on this resource quickly and accurately is necessary.

Drought has wide impacts either direct or indirect on natural resources. Drought management and planning, as well as monitoring, risk assessment and mitigation that are the most important parts of drought preparedness plans, reduce the agricultural drought risks (Wilhite, 2009). Although, drought is less in frequent compare to other natural hazards (lowest, 3% in Europe and highest, 30% in Africa continent), but it affects region and people extensively (see Figure 1.2) (CRED-CRUNCH, 2006). It has been reported that about 80, 33, 30, 23 and 42 percent of the persons has been affected by drought in the Africa, Americas, Asia, Europe, and Oceania respectively during the 1970-2006 (UN/ISDR, 2007).

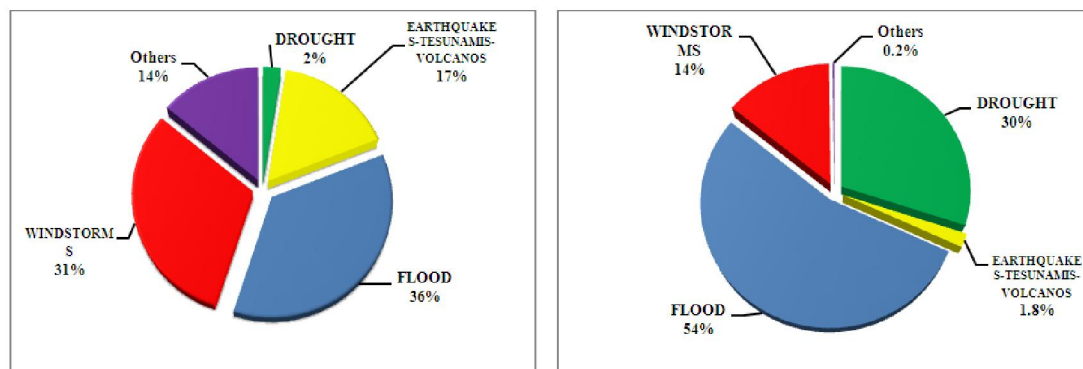


Figure 1.1: Proportion of persons affected by each disaster type (Right) and occurrence of each disaster (Left) in Asia 1970-2006 (UN/ISDR, 2007).

The general problem in drought impact assessment is to identify proper indicators, which can be used to detect and quantify the effect of drought on natural resources. Using reliable indicators as tools in conjunction with real or near real time information might improve drought management and probably will reduce negative effects on environment. Recently, Remote sensing techniques can collect information and estimate damage resulting from natural disaster over large areas or in inaccessible places on the ground (Okamoto *et al.*, 1998).

Agriculture, being a primary economic sector of which large amount of the fresh water is required, will be affected in case of drought. The water deficit is the most limiting factor in crop production. Both long term and short-term drought has severe impacts on agriculture. The short term drought at the critical crop stages has also severe impact on crop yield (Wu and Wilhite, 2004).

1.3 Agricultural Drought in Iran

Iran is located in a semi-arid region where drought occurs periodically as a natural hazard. Agriculture plays an important role in the national economy and development of Iran, although water resources in agricultural sector are insufficient. Without irrigation, agricultural activities are almost impossible in most of the arable lands. Negative changes in the norm of agricultural production resulting from

drought occurrence will reduce amount of food and affect socio-economic development in Iran (C.E.A.I, 2003).

Population and urbanization in Iran grows rapidly and consequently environmental degradation and resources depletion are resulted. This pressure on environment has become drastic during the natural disasters incidence and increasing risk to vulnerability of structures and settlements. The history shows that Iran is natural disaster-prone country and during the last decades this country has been affected by several natural disaster including drought, flood and earthquake (Bahrainy, 2003). According to United Nations reports, the cumulative effect of droughts from 1999 to 2001 has seriously affected Iran's agriculture and livestock production. During these years 10 provinces affected severely by drought, 20 provinces has experienced precipitation shortfall, 800,000 livestock were lost and water reservoirs were down by 45% as results of drought (Agrawala *et al.*, 2001). Due to both short and long term drought damage, amount of annual agricultural production dropped down and imports correspondingly rose up. As an example, Iran was third largest wheat importer behind Italy and Brazil during the drought years of 1999-2001. As it can be seen in Figure 1-3 wheat import has been increased during the 1990-2000 that has coincided with drought event (UNDP, 2003). Annual wheat production has been declined 20 percent during these years due to the both long-term and short-term drought. The estimated damages caused by drought during these years, is over the \$ 8 billion (Agrawala *et al.*, 2001). The severity of the drought has been increased partly due to improper use of water in agriculture and mismanagement of water resources. It has been verified by United Nations that an effective policy is needed to mitigate disaster risk in this country. Since drought has become more frequent in this country, a new environmental planning and further development are needed to enable the country to reduce the damages (UNDP, 2003).

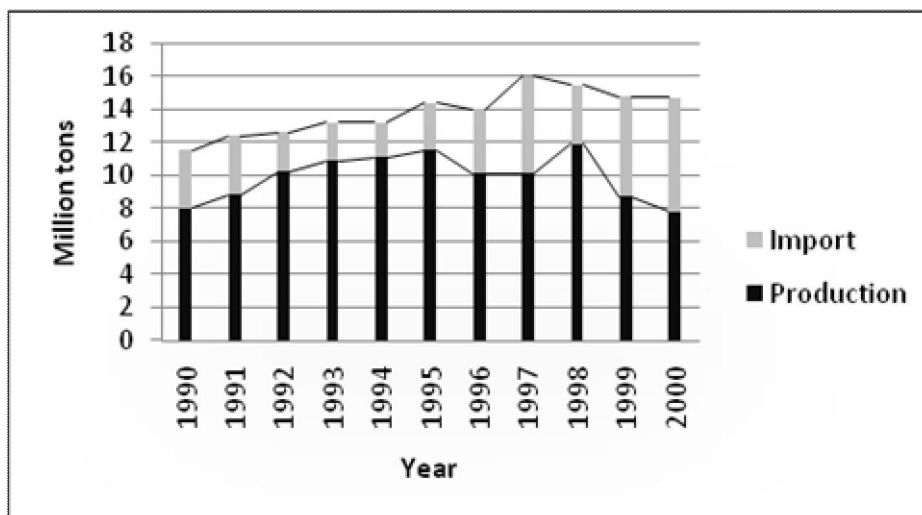


Figure 1.2: Production and imports of wheat in Iran, 1990–2000 (UNDP, 2003).

On the other hand, the Iranian agricultural development Bank pays indemnities for drought losses based on negotiation. Hence, a tool or an appropriate indicator for drought assessment is essential to be better prepared, to limit the effects and to assess impact of drought on agriculture. Identifying reliable indicators, which can be used to measure and quantify drought effects on agricultural fields in semi-arid regions, will help decision-maker to reduce drought impacts. A drought index is typically a single number, far more useful than raw data for decision-making. Reliability of information is a key factor in the decision making process of water allocation and management crop diversification, estimation of damage, estimation of proper rate for insurance, reduction of the environmental impact. Agricultural performance improvement and efficient use of the available water resources are the subjects in management and control of drought impact (Mokhtari, 2006).

Therefore development of an efficient system or tools is essential for natural disaster assessment that is expected to improve conditions and conquer some of the next shortcomings caused by incidence. Long-term monitoring and short-term vegetation condition assessment by means of a proper tool will reduce the vulnerability of agricultural production. The information obtained through this system can be helpful to managers and planners during and after the crisis. Indeed,

knowledge of crop growth conditions and final production assessment is vitally important for proper management and planning such as optimization of farming practices, supply and distribution of inputs such as fertilizers, seeds, herbicide, fungicide and the harvest during the drought periods.

1.4 Water Use in Agriculture

In Iran, most of the agricultural lands are groundwater-fed especially in the arid zones that are located in center and east part of the country. Qanat and pumping wells are two ways to extract groundwater. Qanat was the best traditional method for obtaining groundwater but unfortunately it is being replaced by deep pumped wells. Qanats have been considered to be an innovation developed by Iranians about three thousand years ago and it consists of, a well dug in mountainside, a tunnel that directs the water from aquifer in mountainside to the village and several wells that are located along the tunnel for purpose of cleaning and maintenance of the underground tunnels.

The estimated difference between recharge of groundwater resources (56.5 billion cubic meter) and discharges from them (61.3 billion cubic meter) is about 4.8 billion cubic meter and average drawdown of water table in most of water extraction places is about 1 meter per year. The effects of water table level reduction are soil salinization, land degradation as they are evident in most basins in Iran. The overall irrigation efficiency is about 30 to 35 percent in the country (Alizadeh and Keshavarz, 2005).

Out of 7.8 Million hectare (M ha) irrigated land, 3.0 M ha are allocated to cereal, 2.8 M ha to orchards and 2.8 hectare to different field crops according to published agricultural statistics. For irrigating of these areas, 83 billion cubic meter of water is used. In comparison, it is reported that the average net irrigation requirements in Iran for cereal and field crops are 5,100 and 8,100 cubic meters per hectare (m^3/ha), respectively. Normally, the amount of water supply and demand are

being used for determination of the area under cultivation (Alizadeh and Keshavarz, 2005).

It has been considered by Alizadeh and Keshavars (2005) that increasing the water productivity is a key factor for mitigating the problem of water scarcity in Iran and it can be feasible by means of first: a proper water management and improvements in water distribution networks, that increase irrigation efficiency (up to 50 or even 60 %) and as a result the area of irrigated land are increased and second: increase in water productivity by reallocating water from lower to higher value crops or from agriculture to other sectors where the marginal value of water is higher.

Table 1.1 shows amount of the available Iran's freshwater resources. As it can be seen, the average renewable water is 130 billion cubic meters only. Average annual renewable freshwater per person has been decreased from 2,254 m³ in 1988 to 1,950 in 1994, and it has been estimated to be reduced to 1,300 m³ for year 2020 (Ghazi, (2002) cited by Alizadeh and Keshavarz (2005)). Whereas agricultural sector consumes more than 94 percent of the renewable water, the productivity of water (ratio of yield per unit of water) is very low and economic value per cubic meter is 0.75 kg/m³. The economic value of agricultural products in Iran (including rain-fed agriculture) is estimated to be U.S. \$4.75 billion, which is about 26 percent of the gross domestic product (GDP).

Table 1.1: Water use and availability in Iran (Alizadeh and Keshavarz, 2005)

| Component | Volume (bcm) | Percent of Total |
|-----------------|--------------|------------------|
| Evaporation | 283 | 70 |
| Renewable water | 130 | 30 |
| Precipitation | 413 | 100 |
| | | |
| Agriculture | 82.0 | 94.25 |
| Domestic | 4.7 | 4.75 |
| Industry (etc.) | 0.8 | 1 |
| Total water use | 87.5 | 100 |
| | | |
| Surface water | 105 | |
| Groundwater | 25 | |

1.5 Pistachio Production

Iran with 40% of world total production is the largest pistachio producer followed by US, Turkey and China as shown in Figure 1.4 (Rahemi *et al.*, 2005). Pistachio nut as non-oil most important agricultural production, plays important role in Iran's economic programs and exportation. It has been known as largest cash crop. Pistachio was planted originally in Iran and based on the nut shape and size have different name such as; Fandoghi, Ahmad aghaee, Akbari and Kale ghuchi (Amiri Aghdaie, 2009).

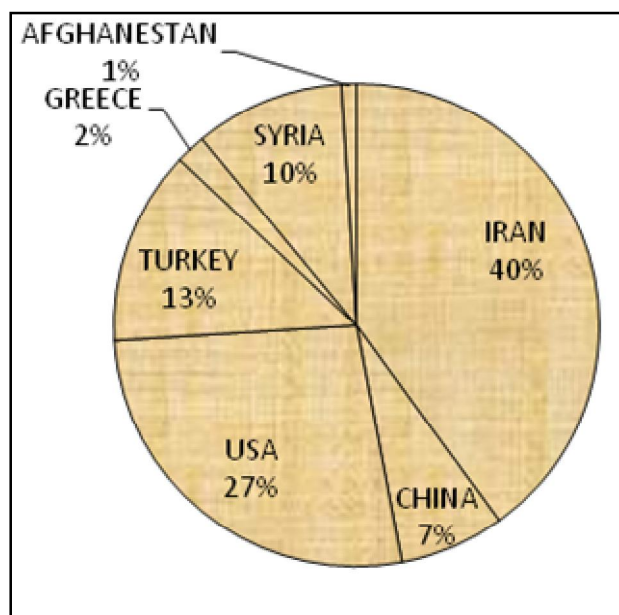


Figure 1.3: World pistachio producer and their allotment (%) (Rahemi *et al.*, 2005).

1.6 Significance of the Study

The consumption of grain food has increased recently due to the increase of population. However, production of grain food such as wheat, barley and rice are hampered by the agricultural drought which recently occurred at quite high frequency as reported and can be seen in the national and international reports and literatures. This affects the water resources and as a result, supply and demand balance for food suddenly. It has been reported by water organization of Iran that groundwater is critically decreased during the last decades and it affects specially most of the summer grain productions which is depends on irrigation by ground water resources. All these related issues imply that agricultural production declines as available water decreases during the drought and thereafter.

In addition, farmers are turning to horticulture recently, and allocating more land and water for pistachio crop. However, regarding to this rotation, water use efficiency has not been investigated and compared with previous farming practices. A few ground-based researches have been done in determination of pistachio water consumption and assessment of drought impact on it. However, it has been shown

that pistachio trees are sensitive to extreme conditions such as drought. Pistachio research institute has reported that the productivity of this crop has been declined mainly due to droughts (Sedaghat, 2006). Reduction of leaf water potential of pistachio crop due to soil water deficit, reduces water use efficiency and as result its production (Behboudian *et al.*, 1986, Maldonado, 2009). Although, the remote sensing techniques have been recognized as an efficient tool for quantification of evapotranspiration (ET) and drought assessment in agricultural science (mostly on cereals) by many researches in the last, regarding to the previous studies and available literatures, shown that these tools have been calibrated and applied in determination and quantification of ET as drought indicator in a few researches in horticultural field, where huge volume of water are consumed by trees (Grapes, Peaches and almonds in Spain using SEBAL (Bastiaanssen *et al.*, 2008), vineyard, Mango in Brazil using SEBAL (Teixeira *et al.*, 2009) and Pecan crop in New Mexico, US using REEM model similar to SEBAL (Samani *et al.*, 2009)).

Although, many drought indices and models have been developed during the last couple of years, the effects of the drought are varies from one region to another because of different climatic and environmental conditions and their capacity to respond to the effects of drought. According to literatures which have been reviewed, based on the time and duration of drought occurrence, different crop types have different response to drought in different growth stages. This makes difficult to have a unique model for all regions or all crop types. However, the spatial drought patterns of inter-annual dynamics are not well documented in this area and a proper, efficient and specific drought impact assessment model has yet not been developed for either whole country or specific agricultural area.

Hence, a tool for drought assessment is essential for the quantifying and to limit the effects of drought. Identifying reliable model, which can assess and quantify the drought condition on agricultural fields in the study area, will help managers in agricultural sector, planners and decision-maker to reduce the risk of drought effects.

1.7 Objectives of the Study

In drought assessment studies, especially in a model development there are many issues that have to be addressed. The main approach is to adapt existing resources based on the model requirements to solve the existing problem. The main goal of this study is to develop a drought assessment model for the study area as a representative of semi-arid region. In addition it will also examine the effect of multi-source satellite data used to provide values for parameters in the model. This follows with the validation of the developed model with field observations. In other word, crop response to the soil water in relation to ET will be assessed. In order to achieve the goal of this study the following objectives need to be fulfilled:

- i. To evaluate the capability of remote sensing data in estimating ET for pistachio crop using METRIC energy balance model.
- ii. To estimate spatial and temporal distribution of ET from remote sensing data using artificial neural network.
- iii. To develop a new satellite-based biophysical water stress index using factor analysis.

1.8 Study Area

An agricultural area in a semi-arid region that has been experienced drought during the 1999-2001 and 2006 was selected for this study. This area contains only pistachio orchards and therefore, the error of the mixed pixel of different crop types is avoided where moderate resolution satellite data are utilized.

1.8.1 Location

This site is located in Bahadoran village in south-west part of the Yazd province, Iran. This area expands from longitude of $54^{\circ} 51'$ to $54^{\circ} 59'$ in east and latitude of $31^{\circ} 29'$ to $31^{\circ} 17'$ in north and covers about 7000 ha. The location of study area is shown in Figure 1.5.

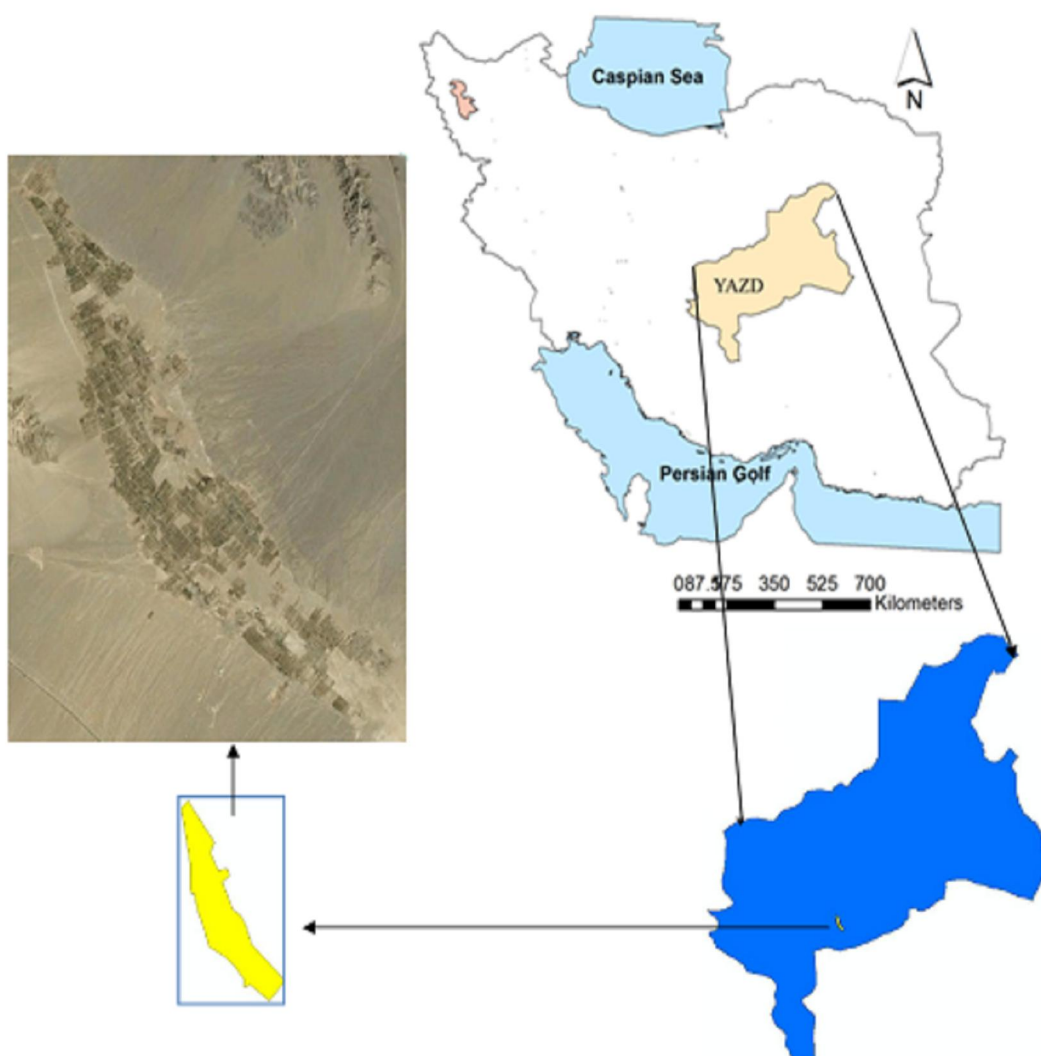


Figure 1.4: Location of study area

1.8.2 Climate

Yazd province is located in the central part of Iran and on the dry belt of the world. This area has a predominantly arid or semi-arid desert climate with rainfall averages only 71.9 mm per year. Most of the rainfall occurring during the winter months from December to April (see Figure 1.6). Monthly evaporation and annual mean relative humidity are about 264 mm and 25% respectively. In this weather condition, it is impossible to have an economical crop production without a reliable irrigation.

The topography of Bahadoran and its farm are almost flat with average altitude of about 1500 m above sea level. The average temperature of the study area is 17.1 °C, whilst the maximum temperature in July is 41° C, and the minimum in January is -10°C. Table (1.2) and Table (1.3) present average temperature and evaporation of the study area respectively.

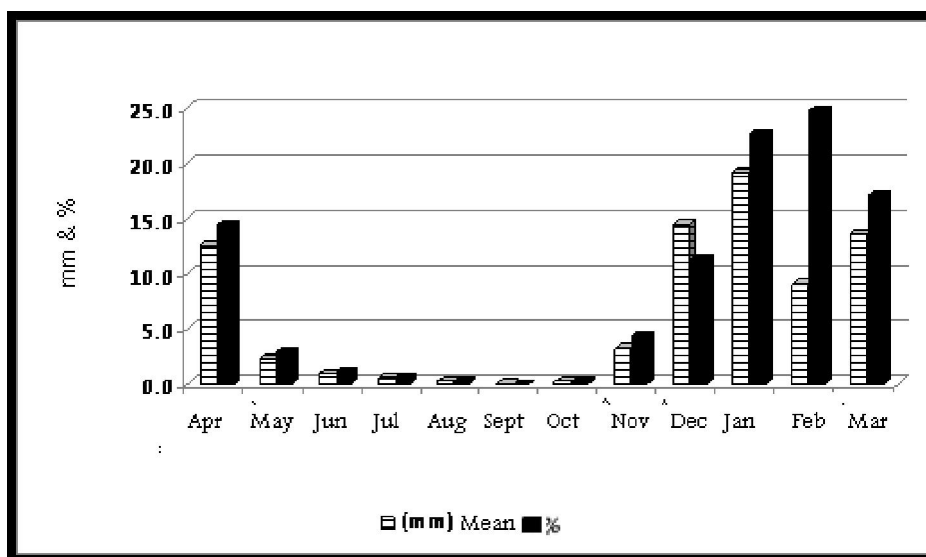


Figure 1.5: Mean and percentage of rain fall in the study area (IRIMO, 2010)

Table 1.2: Mean, lower mean and upper mean air temperature of the study area (IRIMO, 2010).

| Month | Lower mean °C | Upper mean °C | Mean °C |
|--------|---------------|---------------|---------|
| Apr | 20.5 | 54.2 | 37.4 |
| May | 14.8 | 38.0 | 26.4 |
| Jun | 11.1 | 28.9 | 20.0 |
| Jul | 12.1 | 25.6 | 18.8 |
| Aug | 11.7 | 27.9 | 19.8 |
| Sep | 10.3 | 26.5 | 18.4 |
| Oct | 12.5 | 32.3 | 22.4 |
| Nov | 18.5 | 44.6 | 31.5 |
| Dec | 27.2 | 62.6 | 44.9 |
| Jan | 35.5 | 73.6 | 54.5 |
| Feb | 22.8 | 64.7 | 43.8 |
| Mar | 18.7 | 52.4 | 35.6 |
| Annual | 18.0 | 44.3 | 31.1 |
| SD | 7.6 | 16.9 | 12.1 |
| CV (%) | 42.5 | 38.1 | 39.0 |

Table 1.3: Monthly mean evaporation in the study area (IRIMO, 2010)

| Month | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Jan | Feb | Mar | Annual |
|----------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------|
| EVP (mm) | 265.4 | 304.9 | 359.8 | 322.9 | 330.1 | 327.0 | 298.5 | 243.3 | 227.3 | 213.1 | 238.7 | 251.4 | 3382.4 |

1.8.3 Water and Irrigation

The main source of water used is groundwater and current irrigation system in the study area is surface irrigation (flood irrigation). In the recent years the area of land for agriculture has been increased and more land allocated for pistachio crop, but with limit amount of water for irrigation. During the water shortage period,

farmers are forced to reduce either irrigation frequency or amount of irrigation water. This cause's lower yield per area as amount of water available for irrigation is decreased. Beside lower yield, such condition has increased soil salinity and causing soil degradation. Irrigation period in this area reported to be varying from 30-40 days based on water availability.

1.9 Scope of the Study

The selected study area is the agricultural site in Bahadoran village which is located in the south-west part of Yazd province, Iran. This area was experiencing drought during 1999 to 2001 and 2006, as well as other agricultural area in Iran.

The remote sensing data generated by a number of orbiting sensors are selected as primary data for the study that will take into account spatial variability of land surface for examining the nature of the drought during the growing seasons. Remote sensing data utilized in this study should be able to provide background knowledge that is necessary to understand the drought dynamics in the study area.

Evapotranspiration (ET) and biophysical parameters will be evaluated for the drought assessment modeling. Thus, the study focuses on the assessment of the indices that expressed in term of variation in ET as plant water requirement. These indices will be observed on the image dates of the growing season on a daily basis in order to ensure that pattern or trend in plant water requirement can be accurately studied.

The study will focus only on detection of Pistachio water condition. The pistachio is the major crop in the study area. The following remote sensing data have been considered as major data for this study: MODIS, ASTER, and LANDSAT TM. In the absence of high spatial resolution data high temporal resolution data from MODIS is considered for interpolation of actual ET. Information generated using MODIS data will then be fused with finer resolution data to generate information at a much higher scale as daily basis. This is done using neural network method and

result will be validated using ET values generated using high resolution data. In order to reduce the complexity of energy balance model artificial neural network technique will be performed on satellite-based biophysical data to predict spatial distribution of actual ET over the study area. Results for this exercise will be considered as a reference values.

In addition, a biophysical water stress index is developed for pistachio crop using remote sensing-based biophysical parameters and factor analysis. Soil properties, weather, water and crop management's practices and as ground data will be collected during the fieldwork. The weather data of this study are air temperature, relative humidity, dew point, wind speed and radiation that are collected from the station located almost at the middle of the study area. These data are only used for calibration of selected energy balance model (METRIC, Mapping EvapoTranspiration at high Resolution with Internal Calibration) and extrapolation of instantaneous ET estimated at the satellite overpass time. Two consequent soil water balance measurement are subtracted to estimate amount of water losses from plant transpiration and evaporation as *ET*. The result of soil water balance *ET* is used for evaluation of METRIC performance. In addition, LAI as ground data is measured to develop a satellite-based LAI equation for pistachio crop. This equation is used within the METRIC algorithm. Soil properties are also presented as descriptive information in this study.

The selected remote sensing based energy balance model, METRIC will be developed and calibrated for estimating pistachio ET. Sensitivity analysis of algorithm will be performed to identify the most significant parameters in ET calculation using this model. The result will be validated using ground-based measurement. The result of METRIC model will be considered as reference to evaluate the models produced from biophysical parameters.

In general, this study is organized in the following main stages:

- i. Data collection including: satellite data (high spatial and high temporal resolution data), ground-based point data from meteorological station, soil data, crop data, farming practice information on irrigation.
- ii. Data processing and analysis: satellite data pre and post-processing (ET and LST calculation, sensitivity analysis), importing ground-based data to the GIS environment.
- iii. Validation of the models using ground based measurement and presenting the practicable model for the study area.

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