SYNTHESIS AND CHARACTERIZATION OF AMIDE BASED PALM OIL POLYOL VIA RING OPENING REACTION

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Husband, Mohd Ikhwan Ibrahim - for the never ending supports. The most outstanding person throughout this PhD journey.

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ABSTRACT

The used of epoxidized palm oil (EPO) as intermediate for polyol production has been the main interest due to the ability of the epoxy group to react with various nucleophiles such as hydroxyl and amines. However, there are relatively limited number of articles that discuss the mechanism of ring opening reaction of EPO with nucleophiles. In this study, the epoxy ring of EPO underwent ring opening reaction using isopropanolamine (IPA) as the nucleophile and boron trifluoride ethanol complex as catalyst. The mechanism of this reaction was following unimolecular nucleophilic substitution reaction $(S_N 1)$ based on detail analysis using Fourier transform infrared (FTIR) spectroscopy and nuclear magnetic resonance (NMR). Reaction time, reaction temperature, catalyst amount and molar ratio of EPO:IPA were varied in order to investigate the efficiency of IPA as the ring opening reagent. It was found that the ring opening reaction occurred after 6 hours of reaction at 60°C with 1 mL of boron trifluoride ethanol complex catalyst and at the ratio of 1:3 EPO:IPA. However, small trace of unopened epoxy ring was detected by FTIR and NMR analyses at 824-830 cm⁻¹ and 2.89 ppm. Further analysis with FTIR and NMR revealed that IPA preferred to attack the ester linkages over the epoxy ring, thus producing amide polyols as the main product. Findings from mass spectroscopy analysis and gel permeation chromatography showed that amide polyols had shorter chain length with the molecular weights ranging from 400 to 600 Da with broad distribution. From hydroxyl value analysis, amide polyols have functionality of 2, thus suitable to be used as precursor in many chemical reactions. Further investigation with thermogravimetric analysis showed that amide polyols had thermal decomposition at temperatures of 600°C, indicating that they can be used at high- temperature reactions.

ABSTRAK

Penggunaan minyak sawit terepoksida (EPO) sebagai bahan perantara untuk penghasilan poliol antara kajian yang menjadi perhatian kerana kebolehan kumpulan epoksida untuk bertindak balas dengan pelbagai jenis nukleofil seperti alkohol dan amina. Bagaimana pun, artikel yang membincangkan mekanisma tindak balas pembukaan gelang EPO oleh nukleofil adalah amat terhad. Kajian ini, gelang epoksida EPO mengalami tindak balas pembukaan gelang menggunakan isopropanolamina (IPA) sebagai nukleofil dan kompleks etanol boron triflorida sebagai pemangkin. Mekanisma tindak balas ini mematuhi tindak balas penggantian nukleofil unimolekul (S_N1) berdasarkan analisis terperinci spektroskopi infra merah jelmaan Fourier (FTIR) dan resonans magnet nuklear (NMR). Masa tindak balas, suhu tindak balas, jumlah mangkin dan nisbah mol EPO:IPA telah dipelbagaikan untuk menyiasat keupayaan IPA sebagai agen pembuka gelang. Didapati bahawa tindak balas pembukaan gelang berlaku dalam tempoh 6 jam setelah tindak balas berlangsung pada suhu 60°C dengan menggunakan 1 mL mangkin kompleks etanol boron triflorida dan nisbah EPO terhadap IPA adalah 1:3. Bagaimana pun, masih terdapat sisa gelang epoksida pada sampel poliol yang dikesan oleh analisis FTIR dan NMR masing-masing pada 824-830 cm⁻¹ dan 2.89 ppm. Analisis terperinci FTIR dan NMR mendapati bahawa IPA lebih cenderung untuk menyerang ikatan trigliserida ester berbanding gelang epoksida yang menghasilkan poliol amida sebagai produk utama. Hasil kajian analisis spektoskopi jisim dan kromatografi penelapan gel menunjukkan bahawa poliol amida menghasilkan poliol rantaian pendek dengan julat berat molekul poliol pada 400-600 Da dengan taburan yang lebar. Selain daripada itu, poliol amida mempunyai nilai kefungsian sebanyak 2, maka ianya sesuai digunakan sebagai bahan perantara untuk pelbagai tindak balas kimia. Lanjutan siasatan analisis termogravimetrik poliol amida pula didapati mempunyai penguraian haba pada suhu 600°C, menunjukkan bahawa poliol amida boleh digunakan sebagai bahan untuk tindak balas pada suhu yang tinggi.

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LIST OF ABBREVATIONS

¹³ C NMR	-	Carbon-13 Nuclear Magnetic Resonance
¹ H NMR	-	Proton Nuclear Magnetic Resonance
ASTM	-	American Standard Testing Method
BF ₃ -MeOH	-	Boron trifluoride methanol complex
CDCl ₃	-	Deuterated chloroform
CHCA	-	α-cyano-4-hydroxynammic acid
Da	-	Dalton
DTGA	-	Derivative TGA
EPO	-	Epoxidized Palm Oil
ESO	-	Epoxidized soybean oil
f_n	-	Functionality
FTIR	-	Fourier Transform Infra-Red
GPC	-	Gel Permeation Chromatography
IPA	-	Isopropanolamine
MALDI-TOF	-	Matrix Assisted Laser Desorption Ionization –Time of Flight
M _n	-	Number average molecular weight
MPOB	-	Malaysia Palm Oil Board
MS	-	Mass spectrometry
$M_{\rm v}$	-	Viscosity average molecular weight
MW	-	Molecular Weight
$M_{ m w}$	-	Weight average molecular weight
MWD	-	Molecular weight distribution
NMR	-	Nuclear Magnetic Resonance
OHV	-	Hydroxyl Value

OOC	-	Oxirane Oxygen Content
PDI	-	Polydispersity index
РО	-	Palm Oil
PO-p	-	Palm oil polyol
PU	-	Polyurethane
PVAC	-	Polyvinyl acetate
RBD	-	Refined Bleached Deodorized
$S_N 1$	-	Unimolecular substitution reaction
$S_N 2$	-	Bimolecular substitution reaction
t-BuOH	-	tert-butanol
TGA	-	Thermogravimetric Analysis
THF	-	Tetrohydrofuran
TMS	-	Tetramethylsilane

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CHAPTER 1

INTRODUCTION

1.1 Background of the Study

Polyols are compounds with multiple hydroxyl functionalities that are mainly used as reactants in various organic reactions. In polymer industry, polyols are used as starting materials for polyurethane (PU) production; by reacting with isocyanate through a stepwise polymerization. Wide varieties of polyols with low molecular weights (MW) such as glycerol and ethylene glycol and high MW such as polyether or polyester offer the versatility of the obtained polyurethanes (Zhang et al., 2015). However, polyols are facing the same issues as other petroleum-derived materials i.e. depletion of petroleum sources, the uncertainty of world's market prices and environmental sustainability (Ionescu et al., 2007). The awareness on producing the eco-friendly materials has triggered the extensive studies to develop polyols from renewable resources such as oils from plants or animals. These oils offer wide varieties of chemical transformation, readily available and relatively low price (Alam et al., 2014; Lligadas et al., 2010; Petrović et al., 2008; Güner et al., 2006; Sharma and Kundu, 2008; Ang et al., 2014; Can et al., 2006; Miao et al., 2013; Zubir et al., 2012). Plant oils such as soybean oil, canola oil, linseed oil, corn oil and jatropha oil have been successfully transformed into polyol precursors for PU reactions (Güner et al., 2006; Belgacem and Gandini 2008; Ronda et al., 2011; Espinosa and Meier, 2011). There are four common techniques in converting the plant oils into polyols; which are epoxidation/oxirane-ring opening, ozonolysis, hydroformylation, and transesterification/amidation (Hu et al., 2014). Each technique produces the plant oilderived polyols with different structures and material properties (Belgacem and Gandini, 2008).

In Malaysia, the extensive developments to produce palm oil-based polyols have been progressively conducted by many researchers (Badri et al., 2001; Tanaka et al., 2008; Pawlik and Prociak, 2012; Velayutham et al., 2009; Ang et al., 2014; Prociak *et al.*, 2018). Like other plants oils, palm oil needs the addition of hydroxyl (OH) at its unsaturated site to obtain reactive polyols (Zhang et al., 2007). Many methods have been introduced to modify triglycerides of the oil into polyols such as glycerolysis (Tanaka et al., 2008), esterification/ transesterification (Mohammed et al., 2013) and epoxidation (Hassan et al., 2005; Pawlik and Prociak, 2012; Silverajah et al., 2012; Ang et al., 2014), transamidation of diethanolamine (Lee et al., 2007) and enzymatic synthesis (McNeill and Berger, 1993). Among the routes, epoxidation of palm oil is well established and has great industrial importance with new studies and data are generated constantly (Bailosky et al., 2013; Hazmi et al., 2013; Dai et al., 2009; Javni et al., 2000). This is due to a better control of the oxidation process and the epoxy groups are inserted exactly at the position of double bonds to produce the epoxidized palm oil (EPO) (Caillol et al., 2012). In return, the epoxidation route provides polyol with higher functionality and high molecular weight (Nohra et al., 2013). The produced EPO can undergo ring opening reaction with hydroxyl-group bearer materials such as alcohol or acid to produce palm oil-polyol (PO-p) (Hazmi et al., 2013; Sharma et al., 2008). The epoxide ring-opening reaction is a widespread method for the functionalization of vegetable oils due to the existence of highly reactive three-member ring of the epoxy group that is prone to reaction with a range of nucleophile (Williams and Lawton, 2005).

In the ring opening reaction of epoxide group with the nucleophile, somehow catalyst was needed especially to activate the epoxide ring so that a weak nucleophile can attack the epoxide group (Ahn *et al.*, 2012). The most used homogenous catalysts is Lewis and Bronsted acids such as boron trifluoride, sodium stearate, stearic acid, sulfuric acid, p-toluenesulfonic acid or tetrafluoroboric (Caillol *et al.*, 2012; Ahn *et al.*, 2012).

Water, alcohol (glycerol and 1.2-propanediol), and acid (acetic acid, formic acid and phosphoric acid) (Miao et al., 2013; Dahlke et al., 1995) are among the reagents that have been used as ring opening reagent since this species bears atoms that can act as a nucleophile. However, self-oligomerization is most likely to occur when alcohol is used (Miao et al., 2013) and mixture of mono, di-, and tri-ester compound are possible when acids are used as ring opening reagent. This makes the hydroxyl value of the polyols to be only half of the theoretical value (Miao et al., 2013). Meanwhile, by using an amine as ring opening reagent, the reaction can be conducted at room temperature and produced high yield of products (Lifchits and Charette 2008; Biswas et al., 2009). In addition, the amine reagent gives a high OH value to the obtained polyols (Dahlke et al., 1995). The utilization of amine based reagent for ring opening of epoxidized plant oil has been done by previous researchers (Biswas et al., 2005; Biswas et al., 2009; Mohamed et al., 2000). Biswas et al. (2005) had reacted diethylamine with epoxidized soybean oil under a mild reaction temperature without the use of any solvent. Meanwhile in 2009, they produced the same polyols using ionic liquid that gave a clean and environment friendly method with good yields of amide polyols. On the other hand, Mohamed et al. (2000) had used methylamine for ring opening of epoxidized soybean oil without any solvent but the reaction was conducted at temperature higher than 100°C. However, no details explanations on the mechanism of the ring opening reaction were discussed; for example how does the amine group attack the epoxide group during the reaction. Hence, the results presented in this study provide relevant information on how does necleophile isopropanolamine (IPA) open the epoxide ring and what happened to the triglyceride linkage at the end of the reaction.

1.2 Problem Statement

Palm oil is one of the major products of Malaysia that is abundant available. Efforts have been made to discover the potential of palm oil as chemical precursors in industries; to replace the petroleum-based raw materials. One of the areas that look promising is the production of polyol from palm oil from epoxidized palm oil (EPO). EPO can undergo the ring-opening reaction with alcohol to produce polyol palm oil. In chemistry point of view, it is important to understand the chemistry of the reaction as well as the factor that influence the progress of the reaction. Common reagents used for ring opening reaction of epoxidized plant oils are acids (e.g. fluoroboric acid and hydrochloric acid), alcohol (e.g. methanol and butanol) and water (Hazmi *et al.*, 2013; Sharma *et al.*, 2006). Acid-catalyzed ring opening reaction of epoxidized soybean oil (ESO) has been intensively studied by Ahn *et al.* (2012), Dai *et al.* (2009) and Liu and Erhan (2010). However, the ring opening using acids requires a complex multiple reaction steps and uses an excess solvent such as ammonia to neutralize the catalyst (Ni *et al.*, 2010; Garrison *et al.*, 2014)

A study conducted by Miao *et al.* (2013) on the production of ESO-based polyol using an isopropanolamine (IPA) as a ring opening reagent had shown a promising result where the polyol had higher hydroxyl value of 317 mg KOH/g. The reaction is quite simple where the ESO was mixed with IPA prior to react for 6 hours at temperatures of 80°C-100°C. Once completed, the reaction mixture was quenching with chloroform and the final product was recovered using liquid-liquid extraction. Meanwhile, the ratios of ESO to IPA were varied from 1.5:1 to 2.5:1. According to their works, the ring opening and the amidation reactions occurred simultaneously during the reaction. However, no details on mechanism were written in the paper. Therefore, this research has adopted the same approach by reacting IPA with EPO under some modifications.

From the chemistry point of view, IPA is a weak nucleophile and needs an acid-based co-catalyst to fasten the progress of the reaction (Ahn *et al.*, 2012). Lewis acid catalyst such as boron trifluoride (BF₃)-methanol complex catalyst was chosen as a co-catalyst from among strong acids such as hydrochloric (HCl) and sulfuric acids (H₂SO₄), since it is very stable and easy to handle (Morrison and Smith, 1964). In addition, a BF₃-methanol complex promotes a similar manner in reaction pathway like HCl and H₂SO₄ in which they gives no side reaction and no changes in the structure of the reactant as the reaction proceed (Morrison and Smith, 1964). Although there are many studies on the production of PO-p (Islam *et al.*, 2014; Ang

et al., 2014; Lumcharoen & Saravari 2014; Mohd Noor *et al.*, 2016; Zubir *et al.*, 2012; Arniza *et al.*, 2015), to date, there are no details on the mechanism of ring opening reaction on EPO with IPA/ BF₃-methanol complex. The discussions on previous studies were limited to the hydroxyl value of the polyols as an intermediate product for polyurethane application.

Acid catalyzed ring opening reaction of using epoxidized soybean oil (ESO) had been conducted by many researchers (Ahn *et al.*, 2012; Dai *et al.*, 2009; Liu and Erhan 2010). Like ESO, EPO had also underwent few series of experiments to convert it into polyol (Islam *et al.*, 2014; Ang *et al.*, 2014; Lumcharoen and Saravari, 2014; Mohd Noor *et al.*, 2016; Zubir *et al.*, 2012; Arniza *et al.*, 2015). However, there are no details explanations on the chemistry of ring opening reaction of EPO.

In this study, EPO underwent the ring-opening reaction with an amino alcohol in the presence of BF₃-methanol complex as a catalyst to produce palm oil polyol (PO-p). The studies were focused more on the mechanism of the ring opening reaction of EPO with IPA. In order to understand the mechanism of the reaction, the conditions of reaction like reaction time, reaction temperature, molar ratio and catalyst amount were varied.

1.3 Objectives

The main objective of this study is to develop an understanding of the reaction mechanism between EPO and IPA to produce palm oil polyol. The objective can be further subdivided as follows;

- To postulate the possible the mechanism of ring opening reaction between EPO and IPA at various reaction conditions such as reaction time, reaction temperature, EPO: IPA molar ratio and catalyst amount.
- ii. To characterize the properties of the palm oil polyol obtained in term of molecular structure, molecular weight, hydroxyl value and thermal analysis.

1.4 Scope of Study

In order to achieve the objective of this study, the works on synthesizing the palm oil polyol were conducted as follows;

- i. Various reaction times at fixed reaction temperature, EPO: IPA molar ratio and catalyst amount.
- ii. Various reaction temperatures at the fixed molar ratio, reaction time and catalyst amount.
- iii. Various molar ratio of IPA to EPO at fixed reaction temperature, reaction time and catalyst amount.
- iv. Various catalyst amount at fixed EPO:IPA molar ratio, reaction temperature and reaction time.

Upon completion, the final product was collected by removal of solvent using a rotary evaporator.

- a) Fourier transform infra-red (FTIR) and nuclear magnetic resonance (NMR) analyses were conducted to investigate ring opening mechanism between EPO and IPA.
- b) Mass spectroscopy (MS) and gel permeation chromatography (GPC) analyses were conducted to determine the molecular weight and the structure polyol.
- c) The hydroxyl value analysis (OHV) was carried out to calculate the OH number.
- d) Thermogravimetric analysis (TGA) was conducted to analyse thermal stability of poyol.

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