HARD HANDOVER FOR LOAD BALANCING IN LONG TERM EVOLUTION NETWORK

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HARD HANDOVER FOR LOAD BALANCING IN LONG TERM EVOLUTION NETWORK

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Above all, I dedicate this thesis to Almighty God for granting me His grace, wisdom, knowledge and understanding to complete this study successfully. The thesis is also dedicated to my beloved wife (Mrs Mary Nene Salawu) and my lovely children (Adinoyi Kingsley, Isoyiza Princess, Omeiza PrinceWilliam and Onyioza Queensley). They invested all their best and invaluable comfort to aid me go through the study successfully.

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ABSTRACT

This thesis presents a hard handover for load balancing in Long Term Evolution (LTE) network. LTE is a cellular self-organizing network (SON) standardized by Third Generation Project (3GPP) to optimally provide high data rate and high quality of service to end users. However, the huge amount of data requirements for the diverse multimedia services by LTE subscribers is fast affecting the network's quality of service (QoS) negatively. On the other hand, the need for an optimized energy consumption algorithm to reduce the network access cost and optimize the battery life of the user's equipment (UE) is also on the increase. Therefore, the main aim of this thesis is to provide a new solution for load control as well as providing energy efficient solution for both the network and the mobile devices. In the first contribution, a new network-energy efficient handover decision algorithm for load balancing is developed. The algorithm uses load information and reference signal received power (RSRP) as decision parameters for the handover decision scheme. The second contribution focuses on the development of an optimized handover decision algorithm for the load balancing and ping-pong control. The algorithm uses the cell load information, the received signal strength (RSS) and an adaptive timer as inputs for the handover decision procedure. Besides, the third contribution is on the development of a handover decision algorithm to optimize the UEs energy consumption as well as load balancing optimization. Overall, key performance indicators such as load distribution index (LDI), number of unsatisfied users (NUU), cumulative number of ping-pong handover request (CNPHR), cumulative number of non-ping-pong handover request (CNNPHR), average throughput of the cell (ATC), handover blocking rate (HBR), new call blocking rate (NCBR) and number of handover calls (NHC) were evaluated through simulations. The results were compared with some other works in the literature. In particular, the proposed algorithm achieved over 10% higher for LDI, 50% lower for NUU, 30% higher for CNPHR and 5% lower for CNNPH when compared with works in the literature. Other results are 10% higher for ATC, 75% lower for HBR and 40% lower for NCBR. In general, the proposed handover decision algorithm for energy efficient load balancing management in LTE has proven its ability for energy consumption optimization, load balancing management and pingpong handover control.

ABSTRAK

Tesis ini adalah berkenaan algoritma pemutusan serahan untuk pengimbangan muatan di dalam rangkaian Evolusi Jangka Panjang (LTE). LTE adalah rangkaian penyusunan sendiri (SON) yang diseragamkan oleh Projek Generasi Ketiga (3GPP) untuk mengoptimumkan penyediaan data berkadar tinggi dan perkhidmatan berkualiti tinggi kepada pengguna-pengguna akhir. Walaubagaimanapun, keperluan data dalam jumlah yang tinggi untuk pelbagai perkhidmatan oleh pelanggan-pelanggan LTE, dengan pantas memberi kesan buruk terhadap kualiti perkhidmatan rangkaian Sebaliknya, keperluan untuk algoritma pengoptimuman penggunaan tenaga bagi mengurangkan kos akses rangkaian dan mengoptimumkan kadar hayat bateri alatan pengguna (UE), juga meningkat. Oleh itu, tujuan utama thesis ini adalah untuk memberi satu penyelesaian baru untuk kawalan muatan dan juga menyediakan penyelesaian tenaga yang optimum untuk kedua-dua rangkaian dan peranti mudah alih. Di dalam sumbangan pertama, satu algoritma baru, pemutusan serahan rangkaian-tenaga yang optimum untuk pengimbangan muatan dibangunkan. Algoritma ini menggunakan maklumat beban dan rujukan isyarat kuasa yang diterima (RSRP) sebagai parameter penentu untuk skim pemutusan serahan. Sumbangan kedua berfokuskan kepada pembangunan algoritma pemutusan serahan yang optimum untuk pengimbangan muatan dan kawalan ping-pong. ini menggunakan maklumat beban sel, kekuatan isyarat terima (RSS) dan satu pemasa mudah suai sebagai input kepada tatacara pemutusan serahan. Selain itu, sumbangan ketiga yang berkenaan dengan pembangunan algoritma pemutusan serahan untuk mengoptimumkan penggunaan tenaga UE dan juga pengoptimuman pengimbangan muatan. Keseluruhannya beberapa penunjuk utama prestasi seperti indeks pengimbangan muatan (LDI), bilangan pengguna yang tidak dipenuhi (NUU), bilangan permintaan ping-pong serahan kumulatif (CNPHR), bilangan permintaan bukan ping-pong serahan kumulatif (CNNPHR), purata throughput sel (ATC), kadar halangan serahan (HBR), kadar halangan panggilan baru (NCBR) dan bilangan serahan panggilan (NHC) dinilai melalui simulasi. Hasil-hasilnya dibandingkan dengan hasil kerja yang terdahulu dari penulisan-penulisan. Khususnya, algoritma yang dicadangkan mencapai lebih 10% lebih tinggi untuk LDI lain, 50% lebih rendah untuk NUU, 30% lebih tinggi untuk CNPHR dan 5% lebih rendah untuk CNNPH apabila dibandingkan dengan penulisan-penulisan lain. Hasil lain adalah 10% lebih tinggi untuk ATC, 75% lebih rendah untuk HBR dan 40% lebih rendah untuk NCBR. Secara umumnya, algoritma pemutusan serahan yang dicadangkan untuk pengurusan pengimbangan muatan tenaga efisien bagi LTE telah membuktikan kemampuannya dalam pengoptimuman penggunaan tenaga, pengurusan pengimbangan muatan dan kawalan serahan ping-pong.

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LIST OF ABBREVIATIONS

1G – First Generation

2.5G – Second and a Half Generation

2G – Second Generation

3G – Third Generation

3G – Third Generation

3GPP – Third Generation Partnership Project

3GPP2 – Third Generation Partnership Project 2

AGW – Access Gateway

AP – Access point

ATC – Average Throughput of the Cell

BE – Best Effort

CAPEX – Capital Expenditure

CBR – Constant Bit Rate

CDMA - Code Division Multiple Access

C-DRX – connected DRX

CNNPHR – Cumulative Number of Non Ping-pong Handover Request

CNPHR – Cumulative Number of Ping-pong Handover Request

C-RNTI – Cell Radio Network Temporary Identifier

D2D – Device-to-Device

DL – Downlink

DRX – Discontinuous Reception

ECGI – E-UTRAN Cell Global Identifier

EDGE – GSM Evolution

eNB – Evolved NodeB

EPC – Evolved Packet Core

EPS – Evolved Packet System

E-RAB – E-UTRAN Radio Access Bearer

E-UTRAN – Evolved UMTS Terrestrial Radio Access Network

GGSN – Gateway GPRS Support Node

GMSC – Gateway Mobile Switching Center

GPRS – General Packet Radio Service

GSM – Global System for Mobile Communication

GUTI – Global Unique Temporary Identity

HBR – Handover Blocking Rate

HOM – Handover Margin

HSDPA – High Speed Downlink Packet Access

HSPA – High Speed Packet Access

HSPA+ - HSPA evolution

HSS – Home Subscriber Server

HSUPA – High Speed Uplink Packet Access

IMS – Multimedia Subsystem

IMSI – International Mobile Subscriber Identity

IMT-2000 – International Mobile Telecommunications-2000

IMT-A – IMT-Advanced

IoT – Internet of Things

IP – Internet Protocol

KPI – Key Performance Indicator

LDI – Load Distribution Index

LTE – Long Term Evolution

LTE-A – LTE-Advanced

MATLAB – Matrix Laboratory

MME – Mobility Management Entity

MSC – Mobile Switching Center

NCBR – New Call Blocking Rate

NHC – Number of Handover Calls

NUU – Number of Unsatisfied Users

OAM – Operation and Management

OFDMA – Orthogonal Frequency Division Multiple Access

OPEX – Operational Expenditure

PCEF – Policy Control Enforcement Function

PDC – Pacic Digital Cellular
PDN – Packet Data Network

P-GW – PDN Gateway

ProSe – Proximity Service

PRB – Physical Resource Block

QoS – Quality of Service

RCC – Radio Resource Control

RNC – Radio Network Controller

RRM – Radio Resource Management

RSRP – Reference Signal Received Power

RSS – Received Signal Strength

SAE – System Architecture Evolution

SC-FDMA – Single Carrier Frequency Division Multiple Access

SDU – Service Data Unit

SG – Serving Gateway

SGSN – Serving GPRS Support Node

SINR – Signal to Interference and Noise Ratio

SIP – Session Initiation Protocol

SMS – Short Message Services

SoC – State of Charge

SON – Self Organizing Network

TA – Tracking Area

TAI – Tracking Area Identity

TE – Terminal Equipment

TL-MLB – Two-Layer Mobility Load Balancing

TTT – Time-to-Trigger

UE – User Equipment

UICC – Universal Integrated Circuit Card

UL – Uplink

UMTS – Universal Mobile Telecommunications Service

USIM – Universal Subscriber Identity Module

VoIP – Voice over Internet Protocol

WCDMA – Wideband CDMA

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LIST OF SYMBOLS

 ΔT — Measurement period

 σ^2 – Variance

 ρ_i – Cell load

 α – Total number of users in a cell

 β — The ratio of the occupied PRBs to the cells total PRBs

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CHAPTER 1

INTRODUCTION

1.1 Background

Generally, the benefits of a communication system in any society cannot be overemphasized. Recently, the quests to get connected in more ways using more mobile devices than ever are on the rapid increase with an annual growth rate of 60 to 70% [4, 5]. This resulted in the continuous high demand for communication services of various classes and applications. Consequently, there should be a concerted effort by the research community and service providers to provide for these needs not only in terms of improved communication systems but also in terms of cost reduction. In order to continue to attract and sustain more subscribers, telecommunication service providers are constantly sorting for better options for meeting these demands prompting the encouragement and drive for more research in this area. Until today, many efforts have been made and many are still ongoing towards better communications network improvement. Many challenges surround the provision of cellular networks with high data rate and acceptable Quality of Service (QoS) to cope with the present subscriber's demand realities which are mostly multimedia and real-time services [6, 7]. Specifically, the quest for users to always stay connected and the evolution of bandwidth-hungry services such as online gaming, audio/video streaming and other multimedia services in the cellular wireless network can no longer be avoided. The solution to ameliorate this problem among others forms the bedrock for the development of Third Generation (3G) and other recent generations of cellular networks over the earlier pre-3G networks that were grossly becoming too limited in many aspects [8, 9].

Presently, there are various working groups accredited to develop new wireless communication standards that have better specifications than the pre-3G mobile networks [10]. One of such working groups is the Third Generation Partnership Project

(3GPP) that developed a new Radio Access Technology (RAT) for mobile broadband network named Long Term Evolution (LTE). LTE is developed to run in parallel with all other mobile networks previously developed. The product of further improvement on LTE by 3GPP gives rise to a new network generation called LTE-Advanced (LTE-A). LTE-A is also popularly known as 4th Generation (4G) network [11].

The primary aim of developing LTE network as given by 3GPP in release 8 is to provide a network with higher spectrum efficiency and flat architecture for simplicity than the previous generations of cellular networks [10]. LTE design includes support for mobility and is backward compatible with the previous mobile network generations [11]. LTE is as well meant for all Internet Protocol (IP) services to cope with IP applications and services that previous cellular communication networks before it could not support effectively. With LTE network, a peak data rate of up to 100 Mbps for downlink (DL) and 50 Mbps for uplink (UL) under diverse network operation scenarios and mobility condition is achievable. For LTE-A, a peak data rate of 1Gbps is its target. In order to obtain the promises of LTE summed up for higher capacity, the DL and UL transmission access technology is designed to use Orthogonal Frequency Division Multiple Access (OFDMA) and Single Carrier Frequency Division Multiple Access (SC-FDMA) respectively [10]

All efforts by 3GPP are to provide better system capacity, low access cost and improved Quality of Sevice (QoS). QoS provision involves a lot of issues including limited network resource management such as energy and load management. Particularly, these aspects are very important nowadays since most user devices are mobile and are remotely used with limited battery capacities. Currently, technological improvement of batteries is not able to catch up with the technological improvement success in data delivery over wireless communication systems. Furthermore, the issue of network congestion due to huge data traffic requires newer methods of efficient load balancing management to be developed to cope with the current global reality. This will address the need of constant cell loads redistribution between the overloaded cell and underloaded cells to avoid any particular cell being congested (overloaded) while leaving some cells underloaded.

Currently, there is no standard method of energy and load management in LTE according to 3GPP working document [10]. Hence the area of research in this field is promising and wide open for more contributions. There are few strategies in known literature directed at solving the problem of energy and load balancing issues for LTE. However, most of the solutions are treated in an isolated way. Thus, it is a worthwhile

idea to contribute a new way of solving these raised issues optimally. Interestingly, mobile networks have handover feature as one of their specifications for mobility and service connection transfer management. This important feature of mobile networks is no longer used for mobility management alone since it can be used to manage other scarce network resources such as energy and system bandwidth. Handover is simply the process of seamless connection transfer of a user from one Base Station to another without noticeable connection breakdown of the ongoing service. The fact that LTE is developed with self-organizing network (SON) functionalities included makes handover more important and interesting feature than ever [6, 12]. Figure 1.1 [13] shows the contrast summary between a deployed network operation process with and without SON features.

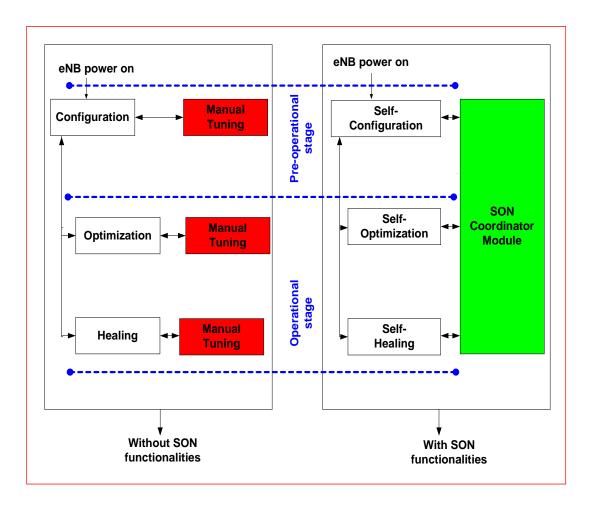


Figure 1.1: Network operation process with and without SON features [13].

For high QoS delivery, there should be a policy for effective management of the system's limited resources. Good network resource management increases systems capacity and reduces other inherent costs. One possible way out is the continuous optimization of Radio Resource Management (RRM) functionalities of the

network. RRM functionalities in LTE include admission control, packet scheduling, link adaptation and handover. In Figure 1.2, the relationship between the relevant RRM is shown where the purpose of handover decision process in this work as one of the RRM functionalities is indicated. The admission control process is responsible for managing the amount of traffics in the network while the packet scheduling processes are used to decide on how resources could be engaged between varieties of possible operations. The link adaptation process provides a means of fast response to varying wireless communication channel to enhance spectrum efficiency of the system.

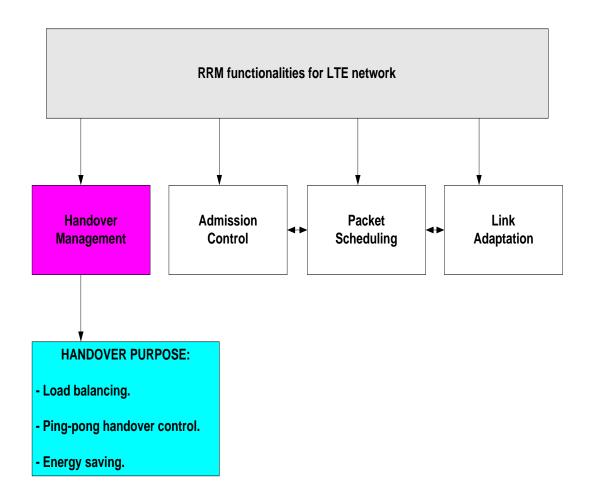


Figure 1.2: Relationship between RRM functionalities.

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In mobile networks generally, handovers are mainly grouped into several classifications. Further explanations about handover classifications are given in section 2.4. The LTE handover mechanism is designed to be simple and effective for seamless transfer of ongoing connection session from one cell to another. Handover decision algorithms could be designed toward solving many issues depending on the target

factors. Consequently, the focus of our solution in this thesis is to develop a handover decision algorithm that is capable of providing an energy efficient load balancing optimization process for LTE network. This assumption is a typical high traffic LTE system scenario where a user with User Equipment (UE) having limited battery backup would have the need to stay connected for an extended period of time. In this scenario, there are two main challenges the user may face. Firstly, the network may be slow with poor QoS since the traffic is high and that may lead to the second problem of faster battery depletion. In such situation, therefore, a decision algorithm for load balancing that is also energy efficient could be vital in such situation.

For long time performance competitiveness, the need to develop an upgraded system for even longer time performance competitiveness in terms of lower Capital Expenditure (CAPEX), lower Operational Expenditure (OPEX), higher capacity and QoS are the major driver for this research [14, 15, 16].

1.2 Problem statement

Different from the earlier cellular network generations, 3GPP designed LTE standard to operate as SON because network Operations such as handover, load balancing, energy savings can no longer be sustained with manual tunning due to geometric increase in network parameters and algorithms. This new feature of LTE network is good for OPEX and CAPEX reduction but has some problems.

Firstly, handover which is one of the SON functionalities is traditionally initiated and executed when the transmitted signal on the radio channel gets deteriorated and can no longer secure an ongoing communications services [17, 18, 19, 20, 21]. However, only the radio signal parameter of the network is not adequate to effectively and accurately design an optimal handover decision scheme. A well designed handover algorithm should not only address a seamless communications within the network but issues like load balancing and energy savings should also be addressed [22, 14, 15, 16, 23, 24, 25, 26, 27, 28, 29].

Secondly, LTE has high amplitude variability signal known as Peak to Average Power Ratio since it is OFDMA based. This reduces the efficiency of the eNB transmitter which has to be compensated for by transmitting high dynamic power to ensure high data rate delivery. High transmission power increases energy consumption

cost of the service provider which not desired. Furthermore, the recent development of energy gulping of mobile users' applications and devices is another problem that needs adequate solution too. Different from what is widely available in literature where only one parameter for energy saving is used, this work uses multiple parameters such as path loss, channel conditions and the number of occupied PRBs (cell load) to develop an enhanced handover and energy efficient scheme.

Lastly, just like the traditional cellular networks, ping pong handover is an issue in LTE network too. in literature, this problem is widely approached using a static TTT parameter value for ping pong handover avoidance. However, static TTT value can not provide optimal solution since the channel condition changes rapidly. Again, with static TTT parameter, the network is highly prone to too early or too late handover failures. Therefore, our solution uses a dual adaptive timer approach to solving this problem making it unique against previous approaches.

With the problem background give above, the default handover schemes in LTE which are mainly based on static handover decision parameters are no longer adequate to solve some targeted and critical issues (i.e load balancing and energy saving) of handover jointly. Again, wireless network signal condition changes rapidly and it is best handled dynamically too. Furthermore, the problem of exponential increase in the handover control parameters and the addition of newer algorithms to enhance the system's performance has necessitated the development of a new scheme that is not based on single handover parameter and long timescale updating as contributed in previous works [30, 31, 32, 33, 34]. Other handover schemes based on a combination of several handover parameters (Reference Signal Received Power (RSRP), Handover Margin (HOM), load information, Time-to-Trigger (TTT), UE battery information, power transmission or reception information, etc) have also been proposed in literature to enhance handover decisions [6, 34, 35, 36, 37, 38].

The problem of selecting the best combination of these parameters to design to enhance handover decision algorithm in LTE is not easy most especially if ping pong handover control, load balancing and energy saving are targeted. Non-optimal selection of handover parameters for handover decision algorithms is not desirable since they come with high cost and poor QoS which have negative impacts on the overall system's QoS. The energy savings proposed is for both the user and the service provider which is different from the traditional method of targeting either only the UE or the service provider. Since the cost function concept proposed is also adaptive and simple, the weighted value of each of the selected parameter makes the contribution

unique and better than the static schemes.

1.3 Objectives of the research

Development of Handover Decision Algorithm for Energy Efficient Load Balancing Management in Long Term Evolution Network is the main goal of this research. The target of the proposed solutions is to optimize the energy consumption of both the network and the UE while performing ping pong and load control procedures on the network.

In order to simplify the research's main goal, the research objective is divided into three parts and stated as follows:

- 1. To develop a network-energy efficient handover decision algorithm for load balancing using cell switch-off approach.
- 2. To develop a UE-energy efficient handover decision algorithm for load balancing using adaptive cost function approach.
- 3. To develop a handover decision algorithm for ping-pong control and load balancing using adaptive timer.

1.4 Scope of work

The scope of this research is limited to the use of multi-parameter handover decision algorithm to enforce energy efficient load balancing. The algorithm is design for LTE network. LTE system is considered for the research because of the huge potential it has in terms of capacity, simplicity of network architecture and its downward compatibility ability.

Normally, handover procedure is responsible for the seamless transfer of an on going communications without the service interruption of the user due to unavailability of network access or poor signal reception. Alternatively, handover could also be initiated based on many reasons such as energy consumption, load balancing, user priority, class of service, cost of network access etc. The reason for initiating any handover procedure would determine the selection of handover parameters selection

to use for handover decision process. However, the purpose of the handover decision in this work falls within the scope of designing a mechanism for load control, pingpong handover control and energy savings for both the UE and the network. Therefore, the proposed algorithm is limited to handover based energy efficient load balancing decision mechanism for LTE network.

Because of the instantaneous position of the users that is constantly updated and used, the users can be mobile or static. This applies to all users in the network in all the simulation performed. In other to make the whole system simple, the study is based on cost function formulation it is based on a seven hexagonal cells clusters architecture.

LTE is fully SON compliant and it has the ability to support a multi-criteria handover algorithm design. However, only the self-optimizing aspect of the SON functionalities is covered in this work. Consequently, the parameters consider for the research are limited to cell load information report, RSRP, uplink transmission power and ping-pong handover timer. For the simulations, users were assumed to be randomly placed on each cell during the simulation time and only users that receive signals from multiple eNBs are targeted for handover execution. To test the performance of the algorithm, Matlab and OMNeT++ simulation tools were used.

The KPI considered in this work are limited to the load distribution index (LDI), the number of unsatisfied users (NUU), the cumulative number of ping-pong handover request (CNPHR), the cumulative number of non ping-pong handover request (CNNPHR), the average throughput of the cell (ATC), the handover blocking rate (HBR), the new call blocking rate (NCBR) and the number of handover calls (NHC) were evaluated through simulations. However, the assumption is that, cell edge users receive signal from both the serving and target cells. Due to the sensitivity of the cell edge users to ping-pong handover, a good consideration to solving it is also covered within the research scope. In all, the scope of the handover design is limited to the issues of load balancing, ping-pong handover control and energy savings for both the UE and the LTE network.

1.5 Contributions of the research

In this work, a new method of handover decision algorithm for energy efficient load balancing for LTE network has been proposed. The proposed algorithm guarantees energy efficient based QoS beneficial to both the network and the UE. The contributions made have been broken down into three major categories. They are as follows:

- 1. The first contribution is the development of a network-energy efficient handover decision algorithm for load balancing using cost function approach. The gain of this algorithm is more towards the service providers. The mechanism is capable of reducing the energy consumption of the base stations while providing good load redistribution service to the network. Consequently, network's QoS provision can be increased and its OPEX be reduced. The proposal is based on a scheme that uses cell load, RSRP and cell switch technique to achieve the energy efficient load balancing. The parameters are carefully selected contrary to the traditional method of using single parameter in literature for load balancing. The parameters considered here are cell load measurement, RSRP. The algorithm uses cost function scheme that is able to improve network load distribution. Evaluation test was based on network load distribution index and the number of unsatisfied users in terms of network load distribution index with a few numbers of unsatisfied user. The cell switching aspect uses a predefined threshold as a guide for decision making. The flowchart of the algorithm is given in chapter 3. The results show 98% attainment in terms of network load distribution index. Few number of unsatisfied users were also obtained at the end of the simulations indicating satisfactory performance of the developed algorithm.
- 2. The second contribution presents the development of a UE-energy efficient handover decision algorithm for load balancing using adaptive cost function approach. The advantage of this third algorithm is more towards the users. The system is capable of reducing the energy consumption of the UE while also enjoying good load redistribution service of the network. Consequently, the UE's battery life can be optimized for the device to stay connected as long as possible. Cell load, RSRP and uplink transmission power were the parameters considered for the cost function formulation. The adaptivity of the proposed algorithm is based on the battery life information of the UE, load information and energy consumption for packet transmission. The parameters help the cost function to compute the cost weight of each cell to make handover decision. In

- summary, the simulation results show that more than 90% percent in terms of load distribution index. This serves as a pointer that cell loads in the network were fairly distribution while the battery life of the UE is being optimized.
- 3. The third contribution of this work is the development of a handover decision algorithm for ping-pong control and load balancing using adaptive timer. Pingpong handover waste already scare network resources. If the effect is properly controlled, more resources would be available for users and the service provider earns more in monetary value while the users are also happy for the satisfied QoS provided. In this respect, this second research contribution is very important and beneficial to both the users and service providers. Here, the idea is to leverage on the used of SON distributed architecture to develop a decision algorithm that is uses adaptive timer to optimize load balancing and ping pong handovers in LTE network. Two major parameters receive signal strength (RSS) and cell load were used to formulate the adaptive timer. With the algorithm, ping-pong handover and non ping-pong handover request can be monitored and avoided. Simulations were done to evaluate the load balancing index of the network, the number of unsatisfied users, the cumulative number of pingpong handover request, then cumulative number of non ping-pong handover request and the average throughputs of the cell. The performance show a good improvement meaning a new method of ping-pong handover and load control for LTE network has been added to knowledge.

1.6 Thesis organization

The thesis is organized into six chapters. The explanation of each chapter is presented in this section.

Chapter 1 gives the preliminary as well as introductory information about the research. The research motivation background as well as the problem statement are given in this chapter. Others information contain in this chapter are the research objectives, research scope, research contributions and a brief explanation of how the thesis is organized.

Chapter 2 presents the relevant information in literature used for this research. the research background information. An overview of LTE architecture and handover management are also discussed. Further discussed in this chapter are the various

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