NON-VOLATILE FLASH MEMORY CHARACTERISTICS IMPLEMENTING HIGH-K BLOCKING LAYER

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NON-VOLATILE FLASH MEMORY CHARACTERISTICS IMPLEMENTING HIGH-K BLOCKING LAYER

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A thesis submitted in fulfilment of the requirements for the award of the degree of Master's In Electrical Engineering

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This work is dedicated to my respected parents and UTM authority for having faith on me.

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ABSTRACT

An Erasable Programmable Read Only Memory (EPROM) is a special kind of memory chip, that can retain the memory even when the power is turned off. This type of memory is known as non-volatile memory (NWM) cell. An EPROM, as a non-volatile memory is widely accepted for its excellent reliability and data storage capability for a large scale of time without noticeable data degradation. It is an implementation of floating gate charge storage system, where a conductive polysilicon layer traps electrons and shifts the threshold voltage. But research on EPROM has become very insufficient recently due to its poor memory characteristics and bulky size. To overcome this issue, an implementation of high-k as a blocking layer of an EPROM instead of SiO_2 is proposed. The proposal includes the reduction of size by using split floating gate, which was applied in FinFET device structure. The best highk material (Si₃N₄, HfO₂, and ZrO₂) are implemented in this work and floating gate structure is chosen based on the literature review studies and applied on the EPROM to yield its excellent retention characteristics with better memory window. The dependency of EPROM characteristics and high-k blocking layer is also hypothesized, complemented with its physical and tunneling model. The EPROM device has been simulated using Silvaco TCAD Tools. An EPROM with high-k blocking layer shows much improvement in memory characteristics compared to conventional SiO₂ blocking layer. It shows that, the relationship between k value and memory window is in exponential behavior. The higher the k value, the larger the memory window obtained. The proposed device shows 5.6V of memory window which is 3 times larger than the existing devices. For the reliability, almost no retention degradation after 10 years of extrapolation, which is about 50% improvement than existing devices. These improvements also have been validated with the literature review.

ABSTRAK

An EPROM, or Erasable Programmable Read Only Memory is a special kind of memory chip, that can store memory even when the power is turned off, and can retain data after the supply is available. This type of memory is termed as nonvolatile memory cell. A non-volatile memory (NVM) is a type of semiconductor based. An EPROM, as a non-volatile memory is widely accepted for its excellent reliability and data storage capability for a large scale of time without noticeable data degradation. It is an implementation of floating gate charge storage system, where a conductive policilicon layer traps electrons and shifts the threshold voltage to the right. But research on EPROM has become very insufficient recently. The poor memory characteristics shown by the device has created hindrance towards development of the device. Besides, the bulky size is another disadvantage of the device. To overcome this issue, implementation of High "k" blocking layer instead of Silicon Di-Oxide is proposed. The proposal also include the reduction of size by using split floating gate, which was applied in FinFET before. The best High k material and best floating gate structure is selected from the Literature Review, and implemented on EPROM to combine its excellent retention characteristics with good memory characteristics and come up with the best existing device. Later, the reason behind the memory improvement due to introduction of high k blocking layer is also hypothesized, accompanied with physical and mathematical modeling. It shows that, the relationship between value k and memory window is exponential. This relationship is graphically shown and mathematically and physically explained. The proposed device shows 5.6V of memory window which is 3 times than the best exsisting devices. For the reliability, almost no retention degradation after 10 years, which is 50% improvement than existing devices. At the end of the thesis, these improvements are validated with Literature Review and proven to be best device among the existing ones.

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LIST OF ABBREVIATIONS

XML - Extensible Markup Language

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CHAPTER 1

INTRODUCTION

1.1 Problem Background

In an electronic system, although the processor performance is prioritized first, still, memory is the one that defines the actual speed and performance, computation expertise and storage capacity of the system. Memory devices are primarily categorized according to the storage characteristics and storage purpose. The usage and implementation of memory in different devices are explained in Figure 1.2. It is clearly depicted that, usage of memory devices has become extremely versatile. Memory required for the applications of a system to run and store temporary set of data for the sake of their purposed execution is referred as volatile memory. This type of memory requires high read and write speed, and very fast data transaction, which is considered as main component that defines the speed of the whole system. These types of devices require frequent flash and extensive re-usability for the fast and high-speed application execution without any hazardous issues. These types of memories are demonstrated as Volatile Memory. Volatile memory is not meant for permanent storage, but to facilitate the application to store temporary files, which are immediately lost once the application is closed or the device is under shut down condition. Dynamic-RAM(DRAM) and Static-RAM (SRAM) are the most commonly known volatile memory. On the contrary, there is another category, which is referred as Non-Volatile Memory (NVM), intended to be used as long-term storage purpose, where slower speed compared to Volatile Memory can be traded off with secured data deposition within an ample time period.



Figure 1.1: Usage rate of memory devices [ITRS]



Figure 1.2: Trend Semiconductor Materials According to Moore's law [ITRS][1]

Moore's law has curved the path of advancement of high speed logic computing. This law mentions that number of transistors in a chip doubles with every 18-24months, which ultimately refers to continuous scaling of every electronic components, including the memory storage transistors within the device level. This law can be expressed in different ways. Since the law states about usage of more transistors at same place, it is evident that, each 18-24 month the size has to scaled drastically, and also the price need be static to survive in the competitive market. Figure 1.1shows the extensive use of memory in different devices over the years. Although the usage of memory has increased at an amazing speed, still, the price and the size is has not swelled up, instead dropped for certain cases.

So, right now, the semiconductor researchers are mainly up to optimize in all level. Therefore, here are the actual meaning of "Optimization". By the term "Optimization", scientists and researchers refer to another term, which is called PPA This term is very popular in Intel. The components of PPA are: Power, [32]. Performance (Speed and reliability), Area (resources). Improving all these three criteria are is extremely difficult challenge. Most of the times, all are not achieved, and some are traded off to achieve the most important goal, which depends on the purpose of the chip itself. This optimization is performed in all levels. From device level to RTL and system level, quest for optimization of PPA is always the most primary objective. In device level, the problem becomes more crucial, because here the engineers need to deal with extremely small area, within micron range, extremely small current flow. Figure 1.3 shows the development process of memory cell each year. Year 2010 is the most significant period in the history. At this year, memory cell development entered a new era. This year, memory cell industries stated 26nm Memory cell. This is also the beginning of ITRS, which was planned 2009, and implemented from 2010. PIDS of flash projection also began at 2010, that projected to develop 8nm within 2020. Production of volatile DRAM was fabricated in 2012, and PIDS of flash 4 bits/cell is within 2019. T



Figure 1.3: Introduction of ITRS with near-term and long-term projection [ITRS]

The nearterm projection was building 42nm, within 2017, which has already met by using FinFET. Actually, FinFET has gone beyond that, its now 32nm, that refers to new trend named "More Moore". The description is provided in Figure 1.4:



Figure 1.4: Introduction of "More Moore" [ITRS]

The International Technology Roadmap for Semiconductors (ITRS) is a set of documents produced by a group of semiconductor industry experts. These experts are representative of the sponsoring organizations which include the Semiconductor Industry Associations of the United States, Europe, Japan, South Korea and Taiwan. The documents produced carry this disclaimer: "The ITRS is devised and intended for technology assessment only and is without regard to any commercial considerations pertaining to individual products or equipment". The documents represent best opinion on the directions of research and time-lines up to about 15 years into the future for some few well defined areas of technology. The broad area include driver design, testing environment, front end process integration, communication through radio frequency, micro-mechanical, photo lithography and much more.

As of 2017, ITRS is no longer being updated. Its successor is the International Roadmap for Devices and Systems.

Prior to aforementioned systematic procedure, the whole semiconductor level is under continuous research. But this thesis work will concentrate in the device level, deal with the problems related to unit cell of any semiconductor system, which is named as "Memory Cell". Like all other semiconductor devices, Flash Memory has shown its tremendous evolution with a very short time span. The capacity of data storage has increased in an unbelievable rate. The techniques and theories are developed at an extreme high speed within the last few decades. For achieving this purpose, the transistors need to be exibit better performance and greater reliability, that requires introduction of newer technologies every year. This research work will demonstrate combination of different ideas to come up with the best device available at present.

1.1.1 Memory Cell

The memory cell is the most basic part of any memory based digital system. There are different types of memory cells.

Basic classifications of memories are:

1. **Volatile:** This type of memory cells are usually used for data processing, data computation. The memory cell data cannot be regained after the system is turned off, is called volatile memory. For example, SRAM, DRAM, PRAM

etc. These memory cells are related to speed of any electronic device. Right now in our laptop and PCs and Smart Phones, RAM up to DDR4 is available.

- Non-Volatile: This is the opposite of volatile memory. The memory that can store data even after the device is switched off is called non-volatile memory. This memory is actually used for data storage, program and operand storage. This type of non-volatile memory also classified as the follows.
 - (a) Magnetic Memory Storage: In this case, magnetic materials are used to store data and program. A disc is used in this case. At the beginning, only audio recordings were used to store at to these kinds of discs. But later, these kinds of discs were also used in volatile memory cell.
 - (b) Flash Memory Storage: This is a total electronic technology, where MOSFET based memory cell is used. This is our main point of discussion. Optimization of this type of memory cell is the purpose. Before that, first the detailed description on the flash memory is required. Flash memory can be further subdivided into 'Floating Gate' based and 'Charge Trap' based memory. In floating gate, charge storage layer is a conductive material, the trapped electron is resided in conduction layer of the material. On the other hand, in charge trap layer, insulating material is used where charge is trapped between conduction band and valance band.

1.1.2 Different types of NVM cells

Moore's law has curved the path of advancement of high speed logic computing. This law mentions that number of transistors in a chip doubles with every 18-24 months, which ultimately refers to continuous scaling of every electronic components, including the memory storage transistors within the device level. Figure 1.1Scaling down non-volatile memory is faced with one key challenge. The challenge is that, the scaling down process cannot be traded off with the electrical and reliability performances, and the performance need to be improved along with the scaling down process. In this challenging path, many researchers came up with lots of novel ideas and demonstrated excellent results in their publications. Ferroelectric Field Effect

Transistor (Figure 1.5) is one of the possible candidates, that can be used as nonvolatile memory. The idea is using ferroelectric material that causes the memory cell to turn on (Figure 1.5a) and turn off (Figure 1.5b). The device is based on dual states, which can refer to bit 0 and bit 1 (Figure 1.5a1.5b). Another possible candidate is Nanoelectromechanical Memory (NeMM). When this device is charged, the charge storage layer deforms. As both ends of the charge storage layer is clamped with the sidewall, the middle part is buckled upward or downward, which decides closing or opening of a circuit (Figure 1.6a, 1.6b).



Figure 1.5: Ferromagnetic NVM



Figure 1.6: Pictorial view of NeMM

Besides these two, Spin Transfer Torque Memory was also proposed and investigated. Current flowing out of the fixed layer is spin-polarized(Figure 1.7). When it reaches the free layer the majority spins relax into lower-energy states of opposite spin, applying a torque to the free layer in the process. In this process, two states are partitioned, which refers to bit 1 and 0. Nanowire phase change memory has also been presented, where phase changing materials (PCMs) are used as the heart of the component. These materials can reversibly and rapidly change their structure from a stable crystalline to a metastable amorphous phase under the influence of an optical or electrical pulse, which defines two stated that can be referred as bit 1 and bit 0. Macromolecular memory is another kind of non-volatile memory, which come with two different states, high state and low state, which are defined according to a distinct range of current



Figure 1.7: Spin Transfer Torque Memory

Figure 1.8 shows a generic cross section depicting layer structure of the stated device. A composite polymer film is placed between two Aluminum electrodes. In the polymer, resides 8-hydroxquinoline and gold molecules, which are separated by partition built with 1-dodecanethiol. The low state is defined as small current flow, caused by impurities residing inside the layers, where the materials are at chemically non-reactive state with each other. But at high state, electron is liberated from the 8-hydroxquinoline and passes the barrier of 1-dodecanethiol and reaches to gold molecules, and thus creates negative and positive domains on the two sides of the barrier. This state is termed as high state, that causes higher current conduction. These two states can define bit 0 and bit 1.





1.1.3 Flash Memory Cell

For the sake of long term data retention and superior defect handling capability, charge trap NVM is implemented in planar CMOS devices, and came up with non-mechanical charge store flash memory. This kind of device has proven it efficiency and widespread opportunities to be upgraded with new inventions. There are two types of MOSFETs. For the research, only n type MOSFET will be used. General construction of P-type MOSFET is depicted in Figure 1.9.



Figure 1.9: MOSFET structure

The memory transistor is structured similarly as MOSFET or FinFET, with two additional layers integrated for the memory storage purpose. Figure 1.9 shows the structural orientation of a generic charge trap memory, integrated within a planar MOSFET transistor. According to the illustration, the charge trap layer is isolated by oxide dielectric from all sides, but the top side is deposited with conductive material comprised of polysilicon or metal which is separated from the charge trap layer by a layer of dielectric oxide. This conductive region is termed as gate, and the isolating dielectric region is called blocking layer. Besides, according to Figure 1.10, there exist another insulating dielectric oxide layer separating the charge trap layer and inversion channel, named as tunneling oxide. The name is originated from its purpose, which is to facilitate accumulated electrons to travel from inversion channel to charge trap layer.

1.1.4 MOSFET based Flash Memory Cell

The MOSFET usually acts like a switch. First, assuming an n-channel MOSFET, a high voltage is provided to the drain terminal, and low voltage to the source terminal. Then, positive voltage is imposed on to the gate terminal. Under the oxide layer, holes are repelled by the voltage and minority carrier electrons are gathered. The channel is inverted from p-type to n-type. This channel is called as an inversion channel. Now if the inversion channel is broad enough, current starts to flow flowing from drain to source. The voltage at which the inversion channel is strong

enough to create the current flow is called threshold voltage. This is very important parameter in terms of Power and Performance. This is referred as V_t in short form.

To convert it from general MOSFET to Memory cell, an additional layer of Gate-Oxide is attached. Nothing new is added later on. Figure 1.10 shows the MOSFET memory cell.



Figure 1.10: Generic view of a MOSFET Memory cell

The operation is quite simple. If a voltage is provided on at the gate terminal and it equals to the Vt, an inversion layer is formed. But when higher voltage is applied, like 12-16 V, electron will go through the first layer of oxide and will reach the layer which was previous known as the gate terminal. Now if the voltage is removed, the charge is trapped inside the layer. This is generally how the memory cell operates.

According to the Figure 1.11, the control gate, blocking layer, charge trap layer and tunneling layer is depicted. These terms are very important for further discussion.



Figure 1.11: Charge trap Process and Labeling of Memory cell Layers

Read and write process is very important part of cell operation. These processes are the key parts that determines the Power and Performance of any memory cell. The faster the operation, the better the performance. At the same time, this process must absorb less power. The read process is based on the V_t shift due to the stored Voltage. The process is described as in Figure 1.12. The first image shows a charged memory cell. This has electron trapped inside it. So, when the voltage is applied on gate, some of the voltage is used up to nullify the trapped voltage. That's why, to create the inversion channel, more voltage is necessary. Which means that, the threshold voltage has increased. According to the Figure 1.12, if the voltage is applied at 5V, there will be no current flow for the charged cell, but will show current in the uncharged cell. If there is current flow, means that data is 0, or else, data is 1. This is the most used read process of memory cell.



Figure 1.12: Graphical Representation of Vt shift

The non-volatile flash memories are inevitably expected to have wide range of re-usability. To have a memory cell that can toggle from one state to the other (from bit "0" to bit "1") and that can store the information regardless to external conditions, the storing element needs to be a device whose conductivity can be varied in anondestructive way for a several number of time. In the year 1929, Fowler et al presented the final corrected version of Fowler Nordheim tunneling current. According to this theory, the probability of electron tunneling through any oxide barrier is exponentially proportional to the applied electric field. Applied electric field deforms the potential barrier, that turns the barrier from rectangular shape to a triangular shape, which eventually reducing the tunneling path and eases electron flow to the intended charge trap destination. The process is explained in Figure 1.13a1.13b. In Figure 1.13a, while the potential barrier is rectangular, electrons face a long tunneling path. While in the Figure 1.13b, the barrier is deformed to a triangular form, which eases the

electron tunneling through the tunneling layer into the CTL in a quite non-destructive way. This process is very convenient because it allows to obtain the time to program (<1ms)twelve orders of magnitude shorter than usual retention-time (>10 years), which is a fundamental requirement for all NVM technologies. Although the classic form of FN current fits quite well experimental data, many features have been still undervalued: the temperature dependence of the phenomenon; the correct electron statistics, Fermi-Dirac and not Maxwellian ; and the quantum-effects at the silicon-oxide interface. There is another method of programming, which is called Carrier Hot Electron (CHE). In this process, while an electron in the inversion channel which is in equilibrium state due to equal Electric Field and Lattice Vibration [19-20], is exposed to increasing electric field. When the electric field exceeds 100 KV/cm, the electron slides from its equilibrium state and Surmount's tunneling oxide and reaches the charge trap layer. But this process comes with some detrimental effects, such as drain current reduction, small signal performance degradation, threshold voltage shift and I_D sub-threshold slope lowering etc. Erase process is conducted by applying high voltage pulse to the source, while the control gate is grounded, and drains are floating. Electrical erase is achieved via tunneling of charge from the charge-trapping layer to the source.



(a) Before providing Electric Field





According to the data storage capability of each cell, flash memory cell can be divided into two types; Single Level Cell (SLC) and Multi Level Cell (MLC). When

the memory window is very small, then according to the V_tshift, only 2 bits can be represented, 1 and 0. On the other hand, if the memory window is big enough, it can be subdivided into smaller memory windows, which can represent different bit orientations. Such as, in the Figure 1.14, it can be seen that, a very wide band gap can be obtained in certain memory cell. The V_tvaries from -2.5V to 7V. So, the V_t=-2 represents 01, V_t=0V represents 10, V_t=4V represents 11. In this process, a big memory window may facilitate storage of multiple bits in one memory cell.



Figure 1.14: Graphical Representation of V_t shift [8]

1.1.5 Silicon on Insulator based FinFET

When a silicon layer is placed on an insulating material, then it is called SOI or Silicon on insulator. Figure 1.15 shows the details on how it is created.



Figure 1.15: Introduction to SOI- Silicon on Insulator

. Planar CMOS devices are integrated with strain silicon materials, graphene and High "k" dielectric materials, which paved the the way to sustain from 100 nm until 32 nm node technology. At this point, planar CMOS faces its saturation level at the end of the tunnel. The short channel effect started taking its toll, as the gate of the MOSFET looses the control of the current flow through inversion layer. The subthreshold voltage dominates over the actual threshold voltage of the layer, which results into charge loss, low retention, less protection against P/E cycles and wrong decision during read process. At this point, new devices with more complicated nature, that shows better gate control and suppress the short channel effect is felt necessary. A 3D MOSFET device is proposed, where the gate covers the inversion channel all around. This device uses thin silicon fin as inversion channel. This new 3D device is termed as FinFET. This device shows superior gate control that results into better suppression of short channel effect, DIBL, superior electrical and memory characteristics (Figure 1.16). Shows generic structure of an SOIFinFET. This gate all around 3D structure is the next generation of flash memory, that can be scaled down below 32nm node technology without sacrificing electrical and memory performances. In this paper, introduction and development of FinFET as memory cell will be reviewed. First, the development of planar MOSFET as NVM will be illustrated until it reaches saturation in scaling down, which is 32 nm technology node. Afterwards, the paper will review the role of FinFET as superior candidate of non-volatile memory cell. The results and gathered data will be graphically represented and critically analyzed in terms of electrical and

memory characteristics. Finally, a way to carry on future investigationandresearch direction for the next-generation flash memory will be suggested.

In normal MOSFET as shown in Figure 1.16, the gate is in-between source and gate. But to improve the gate control, the gate is made 3D, and it surrounds the drain and the source. According to the Figure 1.11 first the image is of a planar MOSFET. The gate is then risen up. The interconnection is made by using thin silicon fin. So when the gate voltage voltage is provided, the gate has better control over the whole inversion channel. The FinFET device structure in shown as in Figure 1.17 and Figure 1.17



Figure 1.16: From MOSFET to FInFET(Threshold System)



Figure 1.17: 3D image of a FinFET(EEtimes)

1.1.6 High *k* Material

Materials having high dielectric constant is called High k material. Dielectric constant, property of an electrical insulating material (a dielectric) equal to the ratio of the capacitance of a capacitor filled with the given material to the capacitance of an identical capacitor in a vacuum without the dielectric material. The insertion of a dielectric between the plates of, say, a parallel-plate capacitor always increases its capacitance, or ability to store opposite charges on each plate, compared with this ability when the plates are separated by a vacuum.

The list of High k dielectric materials are given at Table 1.1

Material	Value of K
Al2O ₃	(K=20)
ZrO ₂	(K=23)
Y ₂ O ₃	(K=15)
HfO ₂	(K=20)

 Table 1.1: Different High k Materials

These high k materials are often used as a single layer, and as stacked multilayer. The structure orientation depends on the purpose of the device.

1.1.7 EPROM

EPROM is another type of flash memory, that can provide high memory window and very good retention trend compared to the other devices. EPROM is a floating gate based structure, where the charge is stored in the conductive band of a polisilicon layer. The structure can be described more simply in the Figure 1.18, here it is shown that, whenever the thin fin of a FinFET is removed, and extended, and afterwards the SiO₂ is replaced with doped Silicon, then it becomes roughly a structure of EPROM.



Figure 1.18: Converting from FinFET to EPROM

1.2 State-of-the-Arts

In the heart of Flash Memory Cell, lies MOSFET. In full form, MOSFET stands for means Metal Oxide Semiconductor Field Effect Transistor. MOSFET is a very reliable and low powered device, which has almost zero power loss in static condition. On the way of MOSFET scaling down, different materials are introduced as ingredient of MOSFET recipe. Primarily, The MOSFET consisted of p or n type substrate, highly doped n or p type drain and source (respectively), Silicon Di-Oxide (SiO2) as Oxide, Metal or Polycrystalline Silicon was used. This MOSFET served semiconductor devices for a very long time. But later on, when optimization of area was investigated, some drawbacks were found. The drawbacks are: Short Channel Effect (SCE), DIBL, Subthreshold Voltage, Less Reliability.

Then the doping was increased to solve the problem that ended up with tunneling effect of the electrons and holes. Therefore, later on, when the MOSFET of first generation, 2003 and second generation, 2005, strained silicon is used. By the change of material, the disadvantages were minimized at a sustainable level. The problem was solved until 2007. Now the drawback seemed to be coming back to being. For this reason, strained silicon was replaced by High k materials, which permitted scaling down up to fourth generation memory cell. This technology was up to the mark until 2009. The process is described in Figure 1.19



Figure 1.19: Generation wise development of Memory cells

1.3 Problem Statement

No matter what the device is, the problem with both performance and reliability is always a critical issue. When we talk about performance, we mainly refer to the P/E characteristics and memory window which refers to the amount of threshold voltage shift after program operation. The memory window of the state-of- the art flash memories are showing very small figure. The researches were done until now, rarely shows memory window of more than 5V in small Program/Erase Voltage. All these will be discussed in detail in the Literature Review Chapter. The main parameter of memory reliability is Retention, which is also very critical due to continuous stress condition is applied to the memory device. The retention reliability is very poor in the existing flash memory devices.

Lots of researches are going on to solve these problems. According to the literature review, which will be explained in chapter two, following problem statements can be declared.

- Memory window beyond 5V at small P/E Voltage and P/E time is not possible in semiconductor based NVM.
- 2. Recent Research on EPROM is very limited. Most recent researches of EPROM is extremely bulky and of low performance
- 3. In MOSFET and FinFET, only Al_2O_3 is experimented as High k blocking layer
- 4. Relationship between memory performance and value of dielectric constant is not hypothesized yet.

1.4 Objective and Scope

1.4.1 Objectives

The objectives of the research are:

- 1. To simulate the EEPROM device and characterize the P/E behavior.
- 2. To determine the best high-k material as a blocking layer and optimum design for EPROM device.

3. To hypothesize the dependency of dielectric constant "*k*" on memory window of an EPROM.

1.4.2 Scopes

The research scopes are described as follows,

- 1. Develop floating gate based EPROM implementing High *k* blocking layer by simulation. Use SILVACO Athena Simulator for this purpose
- 2. Characterize I/V, Retention and Endurance Reliability. Use SILVACO Atlas Simulator for the purpose.
- 3. Use Origin Pro to plot the data.

1.5 Organization

The structure of the report is organized as follows. Chapter 2 discusses the details on the literature review. Chapter 3 describes the research Methodology, which will be followed by Results and Discussion at chapter 4. The whole thesis will end with a conclusion.

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