# FRACTAL THEORY OF A PROPAGATING CRACK IN AUSTENITIC STAINLESS STEEL

MUHAMAD ARIFF BIN ABAS

UNIVERSITI TEKNOLOGI MALAYSIA

# FRACTAL THEORY OF A PROPAGATING CRACK IN AUSTENITIC STAINLESS STEEL

## MUHAMAD ARIFF BIN ABAS

A dissertation submitted in partial fulfilment of the requirement for the award of the degree of Master of Science (*Mechanical Engineering*)

> Faculty of Mechanical Engineering Universiti Teknologi Malaysia

> > JANUARY 2017

То

My beloved family and all my lecturers and friends

#### ACKNOWLEDGEMENT

I appreciate the blessings of All-mighty Allah throughout the period of my master's degree studies in UTM. I wish to express my sincere appreciation to my supervisor, Prof. Dr. Mohd Nasir Tamin for his mentorship and guidance from the beginning till the end of this journey. I am very fortunate to have a supervisor of his caliber supporting me along the way.

Special thanks to members of the Computational Solid Mechanics Lab (CSMLab), friends others who have provided assistance at various occasions throughout the research works. Without them, this research would not be a success. I would also like to acknowledge the Ministry of Higher Education Malaysia (MOHE) for their support in this research under FRGS Project No. 4F420.

Finally, thank you to my family for their continuous moral support, patience, sacrifice and encouragement.

#### ABSTRACT

Classical fracture mechanics have limitation when it comes to solving real world applications. Factors such as material properties, probabilistic aspects make it difficult for classical fracture mechanics to be used in fatigue life prediction on working components. The introduction of fractal theory provides a better alternative tool for fatigue life prediction. Therefore, this research aims to investigate the relationship between stress intensity factor range,  $\Delta K$  and local fractal dimension on the crack surface,  $D_f$ . Compact tension (C(T)) specimen was used for fatigue crack growth rate test in accordance to ASTM E647 to obtain crack length against number of cycles i.e. "a vs N" curve. In the constant growth rate stage ranging from  $2.0 (10^{-8})$ to 2.5  $(10^{-7})$  m/cycle, the crack growth rate behavior can be represented by Paris Law equation with coefficient of 3.0 (10<sup>-12</sup>) and 3.2851. This linear region ranging from  $\Delta K$ 16 MPavm to 28 MPavm will be considered for fractal dimension evaluation. Fractal dimension evaluation was conducted using the Box-counting method. The process was made for different sampling sizes,  $\Delta x$  to find the optimum range for this method. Results have shown that for every sampling size, increment of  $D_f$  is fairly consistent with the value of 0.065. We can also deduce that a correlation can be made between  $D_f$  and  $\Delta K$  where a linearly increasing relationship was obtained. This shows that the crack tip driving force leaves behind a local uniqueness on the crack surface which varies along the crack length. If was also found that sampling sizes ranging from 0.025mm to 0.055mm have achieved the best consistency for evaluating  $D_f$ . Fractal dimension for this range only varies from 1.7274 to 1.7293. It contributes to only 5% of the entire  $D_f$  range that was tested. Improvement to achieve a single value (lower percentage) for  $D_f$  could be possible if the mentioned recommendations will be considered for future works

#### ABSTRAK

Mekanik patah klasikal mempunyai kelemahannya apabila digunakan untuk menyelesai masalah dunia realiti. Antara factor-faktor bahan, dan kebarangkalian menjadikan mekanik patah klasikal ini sukar untuk membuat ramalan akan jangka hayat lesu sesuatu komponen. Pengenalan kepada teori fraktal boleh menjadikan ia sebagai alat alternative yg berkesan untuk membuat ramalan jangka hayat lesu ini. Oleh itu, penyelidikan ini bertujuan untuk menyelidik hubungan antara faktor tekanan keamatan,  $\Delta K$  dan dimensi fraktal pada permukaan retak,  $D_f$ . Spesimen tegangan padat (C(T)) telah digunakan untuk eksperimen kadar perkembangan retak lesu berpandukan ASTM E647 untuk memperoleh graf panjang retak terhadap bilangan kitaran, graf "a vs N". Pada rantau kadar pertumbuhan yang berterusan bermula dari 2.0 (10<sup>-8</sup>) hingga 2.5 (10<sup>-7</sup>) m/cycle di mana ia boleh diwakili oleh persamaan Paris Law bersama dengan pekali 3.0 (10<sup>-12</sup>) dan 3.2851. Rantau kadar pertumbuhan yang berterusan ini,  $\Delta K$  dari 16 MPa√m hingga 28 MPa√m sahaja akan diambil kira untuk evaluasi dimensi fraktal. Evaluasi dimensi fraktal telah dilaksanakan dengan mengunakan kaedah Box*counting*. Kaedah ini telah dilaksanakan untuk pelbagai saiz persampelan,  $\Delta x$  supaya dapat memperoleh  $\Delta x$  yang optimum. Keputusan telah tunjuk bahawa untuk setiap saiz persampelan, perbezaan  $D_f$  agak konsisten dengan jumlah 0.065. Kita boleh jugak membuat konklusi bahawa terdapat hubungan linear antara  $D_f$  dan  $\Delta K$ . Kajian juga telah didapati bahawa  $\Delta x$  antara 0.025mm hingga 0.055mm telah mencapai konsistensi terbaik untuk memperoleh  $D_f$ . Fraktal dimension bagi jarak ini adalah antara 1.7274 hingga 1.7293. Ia hanya menyumbang kepada 5% daripada keseluruhan jangka  $D_f$ yang telah dikaji. Penambahbaikan boleh dibuat jika cadangan-cadangan yang telah disenarikan akan dipertimbangkan.

## TABLE OF CONTENT

CHAPTER	TITLE		PAGE	
	DECI	ARATION		ii
	DEDICATION			iii
	ACK	OWLEDGEM	ENT	iv
	ABSTRACT ABSTRAK			v
				vi
	TABI	E OF CONTE	NTS	vii
	LIST OF TABLES			X
	LIST OF FIGURES			xi
	LIST OF ABBREVIATIONS LIST OF SYMBOLS			xiii
				xiv
	LIST	OF APPENDIC	CES	XV
1	INTR	ODUCTION		1
	1.1	Research Bacl	kground	1
	1.2	Statement of H	Research Problem	2
		1.2.1 Resea	rch Questions	4
		1.2.2 Resea	rch Hypothesis	4

1.3	Research Objectives		
1.4	Scope	of Research	5
1.5	Signifi	cance of Study	6
і ітго	ΑΤΙΤΟ	E REVIEW	7
			'
2.1	Introdu	action	7
2.2	Fractal	Theory	8
	2.2.1	Fundamental equations for fractal	9
		mathematical modelling.	
2.3	Fractal	s Fracture Mechanics	12
	2.3.1	Analytical Study	12
2.4	Experi	mental Review	14
	2.4.1	Box-counting Method	15
RESE	ARCH	METHODOLOGY	17
3.1	Introdu		17
3.2	Resear	rch Design	19
3.3	Experi	mental Works	20
	3.3.1	Fatigue Crack Growth Test (ASTM E647)	20
	3.3.2	Tensile Test	23
	3.3.3	Material Properties	23
	3.3.4	Specimen Geometry	25
	3.3.5	Data Acquisition	27
3.4	Fractal	Analysis	27
	3.4.1	Image Pre-processing	28

2

3

		3.4.2 Box-counting Method	29
		3.4.3 Fractal Dimension Analysis on Crack	31
	3.5	Growth Rate Correlation Study Between Fractal Dimension and	32
	5.5	Stress Intensity Factor Range	52
4	RESUL	TS AND DISCUSSIONS	34
	4.1	Introduction	34
	4.2	Fatigue Crack Growth Test Results	35
		4.2.1 Crack Length Against Number of Cycles	36
		4.2.2 Crack Growth Rate Against Stress	37
		Intensity Factor Range	
	4.3	Box Counting Dimension Range	38
	4.4	Local Fractal Dimension Variation with Respect	39
		to Varying Crack Length	
	4.5	Effect of Different Sampling Sizes	44
5	CONC	LUSION AND RECOMMENDATION	49
	5.1	Conclusions	49
	5.2	Recommendation for Future Works	50
REFERENC	ES		51 - 53
Appendices A	4 - F		54 - 64

## LIST OF TABLES

## TABLE NO.

## TITLE

## PAGE

2.1	The number of segment in relationship to s vs. D.	10
3.1	Results from tensile test.	24
3.2	316 Austenitic Stainless steel material composition.	25
3.3	Different sampling sizes for local fractal dimension.	32
4.1	Pre-cracking parameters.	35
4.2	Actual fatigue crack growth test parameters.	35
4.3	Summary of fractal dimension for every sampling size.	45

## LIST OF FIGURES

FIGURE NO.	TITLE	PAGE
1.1	Brief illustration on how fractal dimension as a tool works.	3
2.1	Examples of fractals in nature: (a) fern leaf; (b) dried mud; (c) formation of clouds.	9
2.2	Examples of self-similarity properties in Euclidean elements.	10
2.3	The invasive von Koch.	11
2.4	Smooth Euclidean (a) and fractal crack (b) in bi-axial stress conditions.	14
2.5	Box-counting Method.	15
3.1	Research flowchart.	18
3.2	High speed camera used to track crack propagation.	22
3.3	10kN dynamic loading fixtures used to hold specimen.	22
3.4	Full crack after specimen undergone fatigue test.	23
3.5	Tensile curve for 316 Austenitic stainless steel.	24
3.6	CT specimen geometry used.	25
3.7	Metallurgical microscope used during experiment.	26
3.8	Unedited image of crack.	27
3.9	Crack image extracted from raw digital image using	28
	Adobe Photoshop CS6.	
3.10	Box size reduction for fractal evaluation.	30
3.11	Fractal dimension evaluation based on consistent ratio	31
	of N(r) to r.	
3.12	Illustration for different crack length measurements.	32

37
38
39
41
41
42
45

## LIST OF ABBREVIATIONS

C(T)	-	Compact Tension
FCGR	-	Fatigue Crack Growth Rate
ASTM	-	American Society of Testing of Material
EDM	-	Electrical Discharge Machining
SEM	-	Scanning Electron Microscopy
LEFM	-	Linear Elastic Fracture Mechanics

## LIST OF SYMBOLS

$D_f$	-	Fractal dimension
$\Delta K$	-	Stress intensity factor range
K <sub>IC</sub>	-	Stress intensity factor
$\Delta K_{th}$	-	Stress intensity factor threshold
Ε	-	Euclidean dimension
а	-	Crack length
α	-	Geometry factor
β	-	Thickness of specimen
W	-	Width of specimen

## LIST OF APPENDICES

## APPENDIX

## TITLE

## PAGE

А	Technical Drawing for Compact Tension Specimen	54
В	Fatigue crack growth test detailed calculations.	55
С	Plot of $da/dN$ vs $\Delta K$ detailed calculations.	56
D	MATLAB script used for fractal evaluation.	58
E	Derivations for fractal fracture mechanics.	60

### **CHAPTER 1**

#### INTRODUCTION

#### 1.1 Research Background

A crack is assumed in fracture mechanics to be a smooth traction free surface of stress with discontinuity in displacement field. This assumption is contradictory to the real nature of cracks, where crack surfaces are shows a high degree of irregularity. In some cases a crack can be idealized as a concentrated distribution of damage on the material. In such cases, a blunt crack would be a more realistic model. One reason of assuming a sharp crack is the singularity in the stress field at the crack tip. Even with this unrealistic characteristic the sharp crack stress solutions are useful if there is a very small plastic region around the crack tip embedded in the elastic stress field (small-scale yielding). The assumption made that cracks are sharp creates fracture mechanics problems mathematically controllable and this is the main reason for this assumption in most of the literature of fracture mechanics.

Fractal theory appears to be a new approach in fracture mechanics as a physical aspect through mathematical expressions integrated into classical analysis. Fractal Geometry is a non-Euclidean geometry that uses non-integer dimension as a quantifier. Mathematicians have developed equations to quantify 1-dimensional, 2-dimensional and 3-dimensional objects. In the case of fracture analysis i.e. fracture surfaces, when

This proceeding study will integrate fractal theory into traditional fracture mechanics to incorporate the roughness of fracture surface rather than the treatment of smooth surface. The first part of this project is the review on basis governing equation of fractal fracture mechanics. In the second part, experimental evidence will be collected in laboratory test to proof that crack surface features contain some orderliness of self-similar characteristics. Then the correlation between material toughness and fractal dimension will be established to predict the fracture crack growth base on the crack pattern.

#### **1.2** Statement of Research Problem

In its current form, fracture mechanics have too many limitations to accommodate factors such as material parameters, probability, that makes it difficult for fracture mechanics to be used as a basis to propel microscopic/mesoscopic approach to understand failure theory. It needs fundamental improvements to catch up with other engineering methods to be able to predict the fatigue life better. The idea here is to combine classical Fracture Mechanics with Fractal Mathematics. How could the crack on a mechanical structure/component be quantified using fractal fracture mechanics approach?

The aim to incorporate fractal dimension,  $D_f$  into classical fracture mechanics is to eliminate the limitations of classical fracture mechanics where there are many uncertainties because of real-world conditions are not purely ideal. The idea is when plot of  $D_f$  and  $\Delta K$  is already established, an engineer goes to the crack, and then he takes a high-resolution image of it and then evaluate the fractal dimension of that crack for that particular material. Once  $D_f$  has been computed, the value will be used in the  $D_f$  vs  $\Delta K$  to obtain the current stress intensity factor range. From there, the value of  $\Delta K$  will be used to predict the fatigue crack growth rate, da/dN of the component. Figure 1.1 shows how the method of utilizing  $D_f$  will be used in real world applications where there is a crack subjected to some internal pressure causing a cyclic loading.

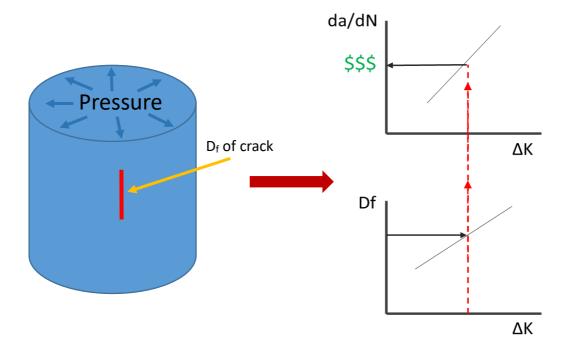


Figure 1.1: Brief illustration on how fractal dimension as a tool works.

#### **1.2.1 Research Questions**

- 1. Why classical fracture mechanics is not able to correctly predict fatigue crack propagation for Austenitic Stainless Steel during normal operating conditions?
- 2. Does cracks in austenitic steels exhibit fractal (self-similarity), and is it possible to model crack surface with non-Euclidean dimension as geometry factor?
- 3. How to quantify fractal dimension? Will it have a possibility to correlate fractal dimension with crack growth rate?
- 4. To what extent can this new theory improve the fatigue knowledge in engineering/science for Austenitic Stainless Steel during normal working conditions?

#### 1.2.2 Research Hypothesis

To investigate the theory of fractal in fracture mechanics, some hypothesis are establish as follow:

- It is expected that crack in the Paris Law region will exhibit fractal characteristics.
- Fractal dimension can be correlated with stress intensity factor range to use in crack growth rate prediction.

#### **1.3 Research Objectives**

The main objectives to be achieved in this study is incorporating fractal theory into fracture mechanics as a parameter for crack growth rate prediction. Along with that, specific aims of this study are:

- To investigate whether crack exhibits fractal characteristics.
- To determine the fractal dimension of a Mode-1 crack in austenitic stainless steel.
- To correlate the fractal dimension with the applied stress intensity factor range through experimental work.
- To determine the optimum sampling size for fractal evaluation.

#### **1.4** Scope of Research

The scope of study covers the followings:

- 1. Type 316 stainless steel will be the material of choice.
- Mechanical and fracture properties will be established in accordance to ASTM standards or equivalent. Experimental works will be conducted at room temperature and laboratory air/ humidity environment.
- 3. Analytical review of fracture mechanics with the integration of fractal fracture approach.
- 4. Perform fatigue crack growth test to a specific crack length. Capture high-resolution images of the crack. Determine fractal dimension and the stress intensity factor range for that crack length. Repeat for a minimum of three crack lengths.
- 5. Deduce whether a correlation can be made between stress intensity range and fractal dimension.
- 6. The scope of this study is within the region of Linear Elastic Fracture Mechanics (LEFM).

#### **1.5** Significance of Research

This research addresses various industrial sectors' strategic objectives. It includes achieving maximum plant useful life and cost/risk-focused decision making in regulation, operation, and design. This research also focuses on developing a methodology to address materials degradation/aging. The fractal approach can be a cost effective, less tedious method to predicting the life of a component/structure. Conventional method such as utilizing a strain gauge rosette may be appropriate for some cases but not all of them. Thus making fractal approach a more suitable alternative.

#### REFERENCES

- Mandelbrot, B. B. (1985). Self-Affine Fractals and Fractal Dimension. *Physica Scripta*, 32(4), 257–260.
- [2] Mandelbrot, B. B. (1982). *The fractal geometry of nature*. 1982. San Francisco, CA. San Francisco: W.H. Freeman.
- [3] Jafari, A., & Babadagli, T. (2013). Relationship between percolation–fractal properties and permeability of 2-D fracture networks. *International Journal of Rock Mechanics and Mining Sciences*, 60, 353–362. http://doi.org/10.1016/j.ijrmms.2013.01.007
- [4] Coster, M., & Chermant, J. L. (1983). Recent developments in quantitative fractography. *International Metals Reviews*, 28(1), 228–250. http://doi.org/10.1179/imtr.1983.28.1.228
- [5] Borodich, F. (1999). Fractals and fractal scaling in fracture mechanics. *International Journal of Fracture*, 95(1), 239–259.
- [6] Carpinteri, A., & Spagnoli, A. (2004). A fractal analysis of size effect on fatigue crack growth. *International journal of fatigue*, 26(2), 125-133.
- [7] Mandelbrot, B. B., Passoja, D. E., & Paullay, A. J. (1984). Fractal character of fracture surfaces of metals.
- [8] Wnuk, M. P., & Yavari, A. (2008). Discrete fractal fracture mechanics. *Engineering Fracture Mechanics*, 75(5), 1127-1142.
- [9] Prawoto, Y., & Tamin, M. N. (2013). A new direction in computational fracture mechanics in materials science: Will the combination of probabilistic and fractal fracture mechanics become mainstream? *Computational Materials Science*, 69, 197–203.
- [10] Charkaluk, E., Bigerelle, M., & Iost, A. (1998). Fractals and fracture. *Engineering Fracture Mechanics*, *61*(1), 119-1

- [11] Kobayashi, S., Maruyama, T., Tsurekawa, S., & Watanabe, T. (2012). Grain boundary engineering based on fractal analysis for control of segregationinduced intergranular brittle fracture in polycrystalline nickel. *Acta Materialia*, 60(17), 6200-6212.
- Tanaka, M., Kato, R., & Kayama, A. (2002). Size distribution of surface cracks and crack pattern in austenitic SUS316 steel plates fatigued by cyclic bending. *Journal of materials science*, *37*(18), 3945-3951. [13] A Carpinteri, A Spagnoli. Int J Fatigue 2004; 26:125.
- [14] Borodich, F. M. (1997). Some fractal models of fracture. *Journal of the Mechanics and Physics of Solids*, 45(2), 239-259.
- [15] Mustapa, M. S., & Tamin, M. N. (2004). Influence of R-ratio on fatigue crack growth rate behavior of type 316 stainless steel. *Fatigue Fract. Engng Mater*. *Struct*, 27, 31-43.
- [16] Pande, C. S., Richards, L. E., Louat, N., Dempsey, B. D., & Schwoeble, A. J. (1987). Fractal characterization of fractured surfaces. *Acta Metallurgica*, 35(7), 1633-1637.
- [17] Yao, Y., Liu, D., Tang, D., Tang, S., Huang, W., Liu, Z., & Che, Y. (2009).
  Fractal characterization of seepage-pores of coals from China: an investigation on permeability of coals. *Computers & Geosciences*, 35(6), 1159-1166.
- [18] Buczkowski, S., Kyriacos, S., Nekka, F., & Cartilier, L. (1998). The modified box-counting method: analysis of some characteristic parameters. *Pattern Recognition*, 31(4), 411-418.
- [19] Li, J., Du, Q., & Sun, C. (2009). An improved box-counting method for image fractal dimension estimation. *Pattern Recognition*, 42(11), 2460-2469.
- [20] Skubalska-Rafajłowicz, E. (2005). A new method of estimation of the box-counting dimension of multivariate objects using space-filling curves. *Nonlinear Analysis: Theory, Methods & Applications*, 63(5), e1281-e1287. [21] R E Williford Multifractal fracture. Scr. Metall 1998; 22: 1749-1754.
- [22] McAndrew, A. (2004). An introduction to digital image processing with matlab notes for scm2511 image processing. School of Computer Science and Mathematics, Victoria University of Technology, 1-264.

- [23] Mandelbrot, B. B., Passoja, D. E., & Paullay, A. J. (1984). Fractal character of fracture surfaces of metals.X. He, S. Chen and G. D. Doolen, 1998. A novel thermal model for the lattice Boltzmann method in incompressible limit, J. Comp. Phys., 146, 282-300.
- [24] Mecholsky, J. J. (2006). Estimating theoretical strength of brittle materials using fractal geometry. Materials Letters, 60(20), 2485-2488.C. S. Azwadi and T. Takahiko, 2008. Simplified finite difference thermal lattice Boltzmann method, Intl. J. Mod. Phys. B, 22, 3865-3876.
- [25] Carpinteri, A., & Spagnoli, A. (2004). A fractal analysis of size effect on fatigue crack growth. International journal of fatigue, 26(2), 125-133.
- [26] 10. Borodich, F. M. (1999). Fractals and fractal scaling in fracture mechanics. International Journal of Fracture, 95(1-4), 239-259.
- [27] Sakai, T., & Ueno, A. (2013, April). Fractal and fracture mechanics analyses on fatigue fracture surfaces of metallic materials. In *ICF10, Honolulu (USA)* 2001.
- [28] Carney, L. R., & Mecholsky Jr, J. J. (2013). Relationship between fracture toughness and fracture surface fractal dimension in AISI 4340 steel.
- [29] Bouchaud, E., Lapasset, G., & Planes, J. (1990). Fractal dimension of fractured surfaces: a universal value?. EPL (Europhysics Letters), 13(1), 73.