

A NOVEL REAL-TIME DEMAND SIDE MANAGEMENT SCHEME FOR THE
ADDITION OF ELECTRICAL VEHICLES TO THE FUTURE GRID

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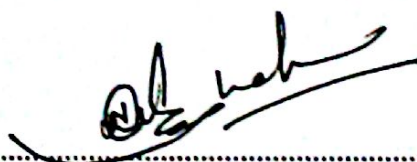
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requirements for the award of the degree of
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APRIL 2016

I declare that this thesis entitled "*A Novel Real-Time Demand Side Management Scheme for the Addition of Electrical Vehicles to the Future Grid*" is the result of my own research except as cited in the references. The thesis has not been accepted for any degree and is not concurrently submitted in candidature of any other degree.

Signature : 

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Date : April 10, 2016

This thesis is dedicated to my parents and kids for their love, patience and understanding during my study.

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ABSTRACT

Electric Vehicles (EVs) as the alternative to the current fossil fuel vehicles represent the most promising green approach to the electrification of a significant portion of the transportation sector. Taking the randomness of EVs' charging/discharging characteristics into consideration, a significant uncertainty will be added to the grid. Consequently, charging/discharging management of EVs in the presence of large scale intermittent Renewable Energy Resources is considered as the most significant challenge for the future smart grid. Tackling the challenges of stable operation, this thesis proposes a novel approach of micro-grid stability by exploiting the demand side management. In this context, a comprehensive interactive hierarchical based architecture for the electricity supply and demand interaction in a smart grid environment is proposed to encourage the high participation of residential customers in a new deregulated electricity market. A novel market-oriented energy imbalance management scheme is also proposed for the seamless integration of EVs to the grid in the presence of intermittent resources. The proposed scheme which, unlike previous works, utilizes the grid's operating characteristics model within the signaling game-theoretic approach for the successful operation of electricity market. Optimal decision strategies for both EV owners and utility are devised by capturing the conflicting economic interests of players together under load/generation uncertainties. Thus, this thesis presents a planning tool for electric utilities that can provide an insight into the implementation of demand response at the end-user level in an automated way to bridge the gap between scheduling EVs and its benefits. The efficacy of the proposed approach in reducing peak loads while satisfying customers' needs are demonstrated and compared with other schemes. Results show that the proposed methodology can successfully alleviate more than 53% of the peaks caused by the mass adoption of EVs with the better utilization of intermittent resources and substantial amount of profit.

ABSTRAK

Kenderaan Elektrik (EV) yang merupakan alternatif kepada kenderaan jenis bahan api fosil semasa adalah suatu pendekatan yang menjanjikan penggunaan teknologi hijau dalam sebahagian besar sektor pengangkutan. Dengan mempertimbangkan kerawakan ciri-ciri pengecasan/penyahcasan EV, ciri-ciri ketidakpastian ketara akan ditambah ke dalam grid. Akibatnya, pengurusan pengecasan/penyahcasan EV di dalam Sumber Tenaga Boleh Diperbaharui berskala besar terputus-putus dianggap sebagai satu cabaran yang paling ketara untuk grid pintar masa hadapan. Bagi menangani cabaran kestabilan operasi, tesis ini mengusulkan satu kaedah baharu dalam kestabilan grid mikro dengan cara mengeksploitasikan pengurusan permintaan. Di dalam konteks ini, satu seni bina berasaskan hierarki interaktif secara menyeluruh untuk bekalan dan interaksi permintaan lebih banyak pelanggan kediaman di dalam pasaran baru elektrik ternyahkawalselia. Satu skim pengurusan ketidakseimbangan berorientasikan pasaran baharu juga turut diusulkan bagi kelancaran integrasi EV ke grid dalam keadaan sumber terputus-putus. Berbanding kajian sebelum ini, skim yang dicadangkan ini menggunakan model bercirikan operasi grid dalam lingkungan kaedah pengisytiharan teori-permainan bagi memastikan kelancaran operasi pasaran bekalan elektrik. Strategi keputusan optimum bagi kedua-dua pemilik dan penggunaan EV dirangka dengan mengambilkira percanggahan dalam keperluan ekonomi antara pihak-pihak yang terlibat di bawah keadaan ketidaktentuan beban/penjanaan. Maka, tesis ini membentangkan satu kaedah merancang utiliti elektrik yang dapat memberikan gambaran tentang pelaksanaan tindakbalas permintaan di peringkat pengguna secara automatik bagi merapatkan jurang antara penjadualan EV dan manfaatnya. Keberkesanan kaedah yang dicadang dalam mengurangkan beban puncak di samping memenuhi keperluan pelanggan ditunjukkan serta dibandingkan dengan skim-skim yang lain. Hasil kajian menunjukkan bahawa kaedah yang dicadangkan berjaya menurunkan lebih dari 53% nilai puncak yang disebabkan oleh penggunaan massa EV dengan memanfaatkan penggunaan sumber terputus-putus dengan lebih baik yang turut memberikan jumlah keuntungan yang besar.

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LIST OF ABBREVIATIONS

CERTS	-	Consortium for Electric Reliability Technology Solutions
CPP	-	Critical Peak Pricing
DA	-	Demand Agent
DG	-	Distributed Generation
DLC	-	Direct Load Control
DOE	-	Department Of Energy
DR	-	Demand Response
DRPs	-	Demand Response Programs
DSM	-	Demand Side Management
EU	-	European Union
EVs	-	Electrical Vehicles
Fi-Wi	-	Fiber-Wireless
G2V	-	Grid-to-Vehicle
GHG	-	Green House Gases
GT	-	Game Theory
HEMS	-	House Energy Management System
IEEE	-	Institute of Electrical and Electronics Engineers
IMGN	-	Independent Micro Grid Network
IMGNC	-	Independent Micro Grid Network Controller
IMGNO	-	Independent Micro Grid Network operator
kW	-	Kilo Watts
LAN	-	Local Area Network
LV	-	Low-Voltage
NE	-	Nash Equilibrium

OC	-	Optimal Curve
PSO	-	Particle Swarm Optimization
RA	-	Resource Agent
RC	-	Restrictive Curve
RCB	-	Reliability-Cost-Benefit
RCB-DRPs	-	Reliability-Cost-Benefit based Demand Response Programs
RER	-	Renewable Energies Resources
RTP	-	Real-Time Pricing
SG	-	Smart Grid
SGT	-	Signaling Game Theory
SoC	-	State-of-Charge
THD	-	Total Harmonic Distortion
ToU	-	Time-of-Use
ToU-DRPs	-	Time-of-Use Demand Response Programs
V2G	-	Vehicle-to-Grid

LIST OF SYMBOLS

δ_{bc}	-	Bargain probability
∂D_k	-	Change in demand at time k
∂P_{bc}^k	-	Change in price
$\eta_{bc}^{\text{Charging}}$	-	Charging efficiency
P_{bc}^k	-	Cost-Benefit Price at time k
C_{bc}^k	-	Cost of energy at time 'k' Hr
$\tau_{bc}^{\text{Cut-in}}$	-	Cut-in wind speeds
$\tau_{bc}^{\text{Cut-out}}$	-	Cut-out wind speeds
PF_{bc}^k	-	DA's profit expectation at time k
φ_{bc}^k	-	DA's net outcome
$\eta_{bc}^{\text{Discharging}}$	-	Discharging efficiency
ϕ_{bc}^k	-	Distance travelled at time 'k'
P_{bc}^k	-	Electricity Price at time k given by RA
E_{bc}^k	-	Energy Stored in EV battery at time 'k' Hr
E_{bc}^{k+1}	-	Energy stored in EV battery at time 'k+1' Hr
q	-	Ending time of charging/discharging
E_{bc}^{cons}	-	Energy consumed in driving/km
E_{bc}^{drive}	-	Energy at the time of driving
P_{bc}^k	-	Estimation probability
F_{bc}^k	-	Financial gain at time k
D_k	-	Final demand
P_{bc}^k	-	Initial price
β	-	Maximum Customer's gain of profit in the bidding

γ	-	Maximum Customer's loss in the bidding
\bar{v}	-	Mean wind speed
C_{opt}	-	Optimal curve of market grid operation
v	-	Percentage participation of customers in RCB-DRP
$E(k, k)$	-	Price oriented Demand Elasticity
PF_{RA}^k	-	RA's profit expectation at time k
r_{MGN}^k	-	Reliability of the MGN at time k
C_{res}	-	Restriction curve of market grid operation
τ	-	Speed of wind in meter/s
p	-	Starting time of charging/discharging
λ_{total}	-	Total incentive gain
$P_{\text{wind}}^{\text{avg}}$	-	Wind power output average

APPENDICES

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CHAPTER 1

INTRODUCTION

1.1 Background

The target of reducing fossil fuel consumption with improved efficiency, as well as environmental protection, has focused the world's attention towards the electrification of vehicles [1,2]. As a promising future of the transportation sector, Electrical Vehicle (EV) is the best alternative that not only decreases the dependency on fossil fuels with low energy cost but also increases the efficiency of the grid [3,4]. EV has two types of operational mode when connected to the network: a) Charging or Grid-to-Vehicle (G2V) mode and b) Discharging or Vehicle-to-Grid (V2G) mode [5,6]. In charging mode, EV is considered as a special connected load to the grid that has the unique characteristic of a controllable charging process for its batteries [7]. The extensive deployment of EVs and their random charging will lead to a significant new unknown load on the existing distribution grids [8,9]. With the fact that many of these networks do not have any spare capacity, resulting in grid failure, voltage/frequency degradation and bringing down the power quality[10]. V2G is another important mode of operation of EVs [11] that can be seen as the distribution generation plants or the energy-stored devices. It allows modern innovation to supply electric power to the stressed grid for ancillary services such as supply/demand matching, etc. [12,13].

EVs can effect generation, transmission and distribution networks of power systems [14] but the most stressful challenging aspect is the distribution networks supplied by Renewable Energy Resources (RERs) [15], called micro-grid network (MGN).

Although RER is familiar as environmentally friendly, but not all of them are reliable, efficient and cost effective [16]. There will be notable consequences in the electric power distribution grid due to the fluctuating nature supply from RERs and the unpredictable but high power demand from the EVs' integration [17,18]. Mass adoption of EVs to such systems adds further complexities in planning and operation of the grid, especially in the domain of market-oriented tasks [19-21]. Therefore, stability and reliability aspects of future distribution grid are getting importance day by day as the share of RER increases in power systems [22,23].

More researches are needed to limit the impact of the integration of EVs and RERs into the existing electric power networks. Previously, the problem of grid stability is addressed mostly from the perspective of supply-side management. However, this work explores a new dimension of tackling the challenging issue of the stable operation of the power grid by exploiting market-oriented Demand Side Management (DSM). DSM can simply be described as any action taken on consumer's side to optimize energy consumption. DSM facilitates the efficient use of energy by means of energy conservation through both behavioral and operational changes in the customer premises. The main advantage of using DSM is that it does not require expensive additional resources (like new generation) to be erected. All this makes the option of DSM advantageous and, therefore, attractive to cope with the problems of Smart Grid (SG) stability. Thus, the primary objective of this thesis work is to address the changing scenario of the future grid and explore ways to help in smooth integration of EVs into the power system in the presence of RERs. Focus has been paid on proposing a smart way of utilizing the EVs to enhance the quality and stability of the grid.

1.2 Problem Statement

The problem of reliable and consistent energy supply is increasing very rapidly throughout the world that is facing an alarming shortage of electrical energy. Due to the rising energy demand and limited generation, it is very challenging to supply sufficient amount of reliable power. Along with the growth of electricity demand and the penetration of intermittent RERs, electric power distribution networks will face

more stressed conditions, especially as EV is getting more popularity worldwide and take a greater share of the personal automobile market. The wide deployment of EVs and their charging process will lead to a significant new unknown load on the power grids [24-27][28], with the fact that many of these networks do not have any spare capacity, resulting in grid failure and bringing down the power quality [29].

Effects of EVs on the power systems include the generation, transmission and distribution networks but the most stressful challenging aspect is the MGN supplied by RERs [30]. Mass adoption of EVs to such systems adds further complexity in planning and operation of MGN, especially in the domain of market-oriented tasks [31-35]. This scenario motivates to investigate the potential of SG [36-38] technologies by integrating a novel market-oriented DSM scheme to obtain a stable and self-organizing power distribution grid (i.e. smart MGN) in the presence of renewable energies and future upcoming loads of EVs with increased power availability and quality [41-44].

Although a number of technological elements of SG are available in the market but still there is lack of a detailed structural framework for SG which is necessary for combining the conventional infrastructure to the promising control, communication and information systems of the power grid [45]. In the absence of a comprehensive framework, it is quite difficult to resolve the problems of designing the market oriented DRPs techniques to provide the vast grid flexibility, to improve the consumer's responsiveness and to enhance the power delivery [46].

Enormous opportunities for DRPs among residential customers exist and therefore, they represent a vast untapped potential for DRPs [47,48]. The critical issue faced by all players participating in the MGN electricity market is how to take the decision to optimize their bidding decision strategies according to the limited information available to maximize their profits [49,50]. Since electricity markets are liberalized [51], residential customers are exposed to more volatile prices and may have to decide to modify their demand accordingly to reduce electricity usage costs [52,53]. The primary difficulty in this problem arises from the lack of information

about electricity prices and adjustment markets [54-63]. Residential customers have neither expertise nor motivation due to the associated discomfort to negotiate themselves in the market. Therefore, they usually do not effectively participate in power markets, thus influencing the residential customers' participation in trade of the electricity market and tends to the failure of DRPs [64,65]. Our work aims to bridge this gap among the existing researches. This has motivated us not only propose an automated market-oriented DSM scheme but also develop a new decision support framework in order to help customers as well as utility to make their decision. In contrast to current researches, this thesis intends to comprehensively address the decision-making strategies by joint consideration of the economic optimization problems of the response of residential customers and utility under the MGN uncertainties for incorporating RERs.

1.3 Research Objectives

The primary objective of this thesis is to develop a smart MGN that can tackle the future upcoming challenges of stochastic integration of EVs in the presence of intermittent resources to the power grid. The proposed planning tool comprise of a control algorithm for both utility-customers as well as a simulation platform that are designed to intelligently managing the load-supply balance in RERs based MGN via deregulated electricity market and successfully integrate the unknown load of EVs. The proposed system would be efficient enough to manage itself for power balance by taking measures on DSM. Hence, the summary of objectives is as follow:

- i. To build a comprehensive interactive hierarchical based architecture for the electricity supply and demand interaction in a smart MGN environment.
- ii. To propose optimal strategies modeling in electricity market for the smooth integration of EVs in the presence of intermittent resources.
- iii. To develop a novel, real-time, user-aware Cost-Benefit based DSM scheme in order to control the growth rate of EVs and reduce the peak load in the presence of RERs.

- iv. To perform the evaluation and validation of proposed real-time decision strategies based DSM schemes.

1.4 Scope

The scope of this research work includes the following.

- i. This study concentrates on the modeling of DSM scheme for the successful integration of EVs to the RERs based MGN. Therefore, it is conducted on the residential customers only, but the research findings can also be used for the other type of customers.
- ii. The proposed method can be used for both grid-connected and isolated MGNs. However, only the independently operated isolated MGNs are considered for current study.
- iii. Network load flow calculations and other related aspects like frequency variation, voltage variations etc. are not considered in this study. The frequency and voltage of MGN are assumed to be constant and no frequency/voltage control mechanism is adopted exclusively for the network that are supposed to be control by DGs controller.
- iv. This project has focused only on the wind and solar generation for simulation purposed. Other types of DGs are not considered in the simulation.
- v. Only one type of EV's charging is considered for this study.
- vi. The modeling of communication system is out of the scope the thesis.

1.5 Research Contribution

The contributions of the research are:

- i. A comprehensive interactive hierarchical based modeling architecture for the electricity supply and demand interaction (i.e. the utility company and EV owners) in a smart MGN environment is developed. The proposed architecture design combines the features of centralized control with distributed control to achieve an excellent system performance and enough flexibility. Meanwhile, it has a low system complexity and thus easy to realize in power systems and suitable for the implementation of proposed automated DSM scheme.
- ii. Real-time, market-oriented strategies are proposed for the smooth integration of EVs to the grid in the presence of intermittent resources. Conflicting economic interests of both players are captured together by using the economic SGT within the regulatory framework of MGN. The proposed approach is based on realistic price function rather than resorting to models that arbitrarily choose demand elasticity or consumer benefits functions. The profit maximization function for both the stakeholders is incorporated in the model. The dynamics of demand response is rigorously accounted by using a MGN characteristics model within a game-theoretic approach. Previously, it is often heuristically tackled.
- iii. A real-time, user-aware Cost-Benefit based DSM scheme is developed. It comprised of
 - (a) A state-of-art load responsive model of EVs for the evaluation of the impact of customer's participation in the proposed scheme.
 - (b) Since it is also important to analyze how EV owners operate their EV batteries optimally to receive the maximum benefit; a dynamic optimal operation model of EVs representing the hybrid V2G-G2V systems is developed for the optimal operation of EVs batteries into the deregulated electricity market.

Hence, the problem of a deregulated market with real-time pricing for the utility, elastic demand responsiveness, and inter-regional balance is formulated and solved at one stage. This analysis can benefit utilities by helping design proper incentives to encourage residential customers to participate in market-oriented DRPs, and provide EV owners better understandings of trade-offs to enroll in a DRPs so that they can manage their electricity usage accordingly.
- iv. A simulation modeling framework has been developed, which facilitates evaluation of the DRPs strategies in the electric power system. It has the

capability of integrating all the potential components and capturing the intricate interdependency among those components.

1.6 Thesis Outlines

This thesis is arranged as follows:

The current chapter presents a brief introduction of the background information, highlight the problem statement, research motivation and the objectives of the research.

Chapter 2 gives a review of techniques, methods and algorithms of DSM/ DRPs used for the integration of EVs into the power grid were carried out. Also, the merits and demerits of the previous approaches are presented. Knowledge gaps are identified and given at the end of this chapter.

Chapter 3 presents a comprehensive interactive hierarchical based architecture for the electricity supply and demand interaction (i.e. utility company and EV owners) in a smart MGN environment for real-time energy imbalance scheme. A framework is proposed in this chapter to address various problems of market-oriented DSM schemes.

The derivations of the proposed novel real-time DSM system to address the problems of sophisticated energy management at the residential household level with the incorporation of EVs and RER is described in Chapter 4.

To evaluate the performance of proposed real-time DSM scheme, a comprehensive simulation modeling with variable load demand of EVs and stochastic generations has been presented in detail in Chapter 5.

Chapter 6, the practical investigation of the proposed work is presented. Under different loading condition and system configurations, the effectiveness and the performance of the proposed DSM scheme are evaluated and validated.

Chapter 7 presents the overall conclusions of the significant achievements of this work, and the general conclusion is drawn. Suggestions for further studies are also presented.

several possible future work paths along the same line of DSM scheme to alleviate the stress conditions of grid and to accommodate higher levels of EV loads into the RER based distribution network.

The thesis reviews the existing work on the grid-integration of EVs and various DRPs schemes in context of SG in chapter 2. Optimal real-time market-oriented DRPs strategies based on economo-SGT are proposed to manage the stochastic load of EVs by taking into account the operational constraint of intermittent generation based MGN in chapter 3 and 4. This proposed scheme is set to make the upcoming EV load transparent to the MGN. This study has also analyzed the use of battery storage of EVs, which is represented as V2G systems to provide power balancing reserves in the market-oriented operation of the future grid. Stochastic RER generation, residential loads as well as EVs, are modeled in chapter 5. Various scenarios and case studies are performed with different penetration levels of EV for validation of the proposed DSM in chapter 6.

7.2 Recommendations for Future Studies

The dissertation has made a contribution in defining a methodology regarding optimal DSM scheme for EV integration into the SG in the presence of intermittent resources. However, there are many areas of future work that could be built on the contributions of this dissertation. The important research topics that could be considered for further investigation are listed as follows:

- i. In addition, without specification, the methodology presented in this dissertation can be readily extended to integrating other electricity appliances into the market oriented DSM scheme in SG environment.
- ii. After obtaining the simulation results with increased number of real-world appliances, the prototype of the proposed system must be deployed on the laboratory level.

- iii. This study can be extended by integrating the other type of customers i.e. industrial customer and bulk electricity users.
- iv. Extension of study to the other levels of the hierarchal architecture of SG.

Although this research achieved promising results in analyzing dynamic EVs impact and utilization in power systems, the work does not end here. It is the starting point of a new era of using EV in various ways. It is believed that any of the above suggestion is challenging and need to be given immediate consideration.

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