# BACK ANALYSIS OF SLOPE FAILURE INDUCED BY RAINFALL INFILTRATION

GLORIA D/O PAUL

A project report submitted in partial fulfillment of the requirements for the award of the degree of Master of Engineering (Civil – Geotechnics)

> Faculty of Civil Engineering Universiti Teknologi Malaysia

> > JANUARY 2014

# Special dedication to my

beloved parents, Mr.Mrs Paul

#### ACKNOWLEDGEMENT

All praise and glory for the Lord Almighty for His abundant blessings showered upon me throughout the completion of this project.

First and foremost, I would like to extend my sincere gratitude to supervisor, Assoc. Prof. Ir. Dr. Azman bin Kassim for encouraging my merits and ignoring my faults. His valuable guidance, advice and willingness to motivate have contributed tremendously to my study.

An honourable mention goes to Ir. Dr. Low Tian Huat for his professional inputs and constructive ideas. His kind assistance is greatly appreciated.

Last but not least, a big thank you to my parents, my little sister, Martina and finally my dearest friends, Dayang and Kirubhakiri for their words of encouragement and continuous support throughout the completion of this study. Without their prayers and love, this project would not have been made possible.

### ABSTRACT

The slope stability issues concerning rainfall induced slope failures constitute a major threat to both lives and property worldwide particularly in the tropical climate of Malaysia which is characterized by very intense and long duration rainfall. The aim of this study is to investigate landslide occurrence due to rainfall infiltration through numerical simulation. The objectives are to determine the soil properties of the failed slope, to study the hydrological data of the slope and lastly to analyse the rainfall-induced slope failure by observing the factor of safety (FOS) at failure. The study focuses on the failure mechanisms of a landslide that occurred at Phase 8, Taman Sri Gombak, Batu Caves on 25th May 2011 by utilizing well established SEEP/W and SLOPE/W developed by Geoslope. The findings of the back analysis suggests that with the factor of safety 0.992, the slope begins to exhibit failure on 23<sup>rd</sup> May due to the increase level of ground water table that eliminates the apparent strength contributed by matric suction in the unsaturated soil system. All in all, it is proven that beside the contributing factors such as soil strength properties, soil mass and geometry, the factor of safety can be altered by the fluctuating pore water pressure induced by rainfall infiltration which in return greatly influences stability of slopes.

## ABSTRAK

Kebelakangan ini, isu-isu berkaitan ketidakstabilan cerun yang berlaku akibat limpahan hujan yang kerap berlaku mendapat perhatian ramai. Fenomena ini disumbang oleh iklim hujan tropika di Negara Malaysia yang panas dan lembap sepanjang tahun. Kesan dari kegagalan cerun menyebabkan berlaku kehilangan nyawa, kerosakan harta benda dan menganggu proses pembangunan negara. Tujuan kajian ialah untuk menyiasat kesan penyusupan air hujan terhadap kegagalan cerun menerusi kaedah simulasi numerik. Objektif kajian ini meliputi menentukan sifat kekuatan tanah, mengkaji data hydrologi dan akhirnya menganalisa faktor keselamatan ketika berlakunya kegagalan. Kajian ini tertumpu pada kegagalan cerun yang berlaku pada 25hb Mei 2011 di Fasa 8, Taman Sri Gombak, Batu Caves. Analisis dijalankan menggunakan kaedah keseimbangan had yang menggunakan simulasi komputer model SLOPE/W berdasarkan taburan tekanan air liang yang dianalisis oleh perisian SEEP/W. Jangkaan keputusan yang akan deperolehi adalah nilai faktor keselamatan cerun (FOS) pada tempoh 14 hari analisis dijalankan. Nilai FOS yang terendah iaitu 0.992 dicatat pada 23 Mei 2011 di mana penyusupan air hujan yang berterusan didapati mengurangkan sedutan matrik tanah dan seterusnya melemahkan kekuatan ricih tanah yang mengakibatkan berlakunya tanah runtuh.

# **TABLE OF CONTENTS**

CHAPTER

# TITLE

PAGES

| TITLE              | i    |
|--------------------|------|
| DECLARATION        | ii   |
| DEDICATION         | iii  |
| ACKNOWLEDGEMENT    | iv   |
| ABSTRACT           | v    |
| ABSTRAK            | vi   |
| TABLE OF CONTENTS  | vii  |
| LIST OF TABLES     | x    |
| LIST OF FIGURES    | xi   |
| LIST OF SYMBOLS    | xiii |
| LIST OF APPENDICES | x    |
|                    |      |

| 1 | INTRODUCTION              | 1 |
|---|---------------------------|---|
|   | 1.1 Introduction          | 1 |
|   | 1.2 Problem Statement     | 2 |
|   | 1.3 Objectives of Study   | 3 |
|   | 1.4 Scope of Study        | 3 |
|   | 1.5 Significance of Study | 4 |

LITERATURE REVIEW

| 2.1 | Introduction                                 | 5  |
|-----|--|----|
| 2.2 | Theory and Behaviour of Unsaturated Soil     | 6  |
|     | 2.2.1 Shear Strength of Soil                 | 7  |
|     | 2.2.2 Soil-Water Characteristic Curve (SWCC) | 7  |
|     | 2.2.3 Permeability                           | 8  |
|     | 2.2.4 Pore Pressure                          | 10 |
|     | 2.2.5 Water Flow in Unsaturated Soil         | 12 |
|     | 2.2.4 Boundary Conditions                    | 12 |
| 2.3 | Slope Stability Analysis                     | 14 |
| 2.4 | Rainfall Infiltration Analysis               | 15 |
|     | 2.4.1 Seepage Analysis                       | 16 |
| 2.5 | Slope Failures                               | 17 |
|     | 2.5.1 Falls                                  | 18 |
|     | 2.5.2 Topples                                | 19 |
|     | 2.5.3 Slides                                 | 19 |
|     | 2.5.4 Lateral Spreads                        | 20 |
|     | 2.5.5 Flows                                  | 20 |
|     |  |    |

## METHODOLOGY

| 3.1 | Introduction                | 22 |
|-----|-----------------------------|----|
| 3.2 | Study Area                  | 25 |
| 3.3 | Rainfall Data               | 30 |
| 3.4 | Strength Parameters of Soil | 30 |
| 3.5 | Seepage Analysis            | 31 |
| 3.6 | Slope Stability Analysis    | 32 |
|     | 3.6.1 Sensitivity Analysis  | 32 |

4

## **DATA ANALYSIS & DISCUSSION**

33

| 33 |
|----|
| 33 |
| 34 |
| 38 |
| 47 |
| 48 |
|    |
| 48 |
| 56 |
|    |
| 57 |
|    |
| 58 |
|    |

## CONCLUSIONS & RECOMMENDATIONS 59

| 5.1 Introduction                     | 59 |
|--------------------------------------|----|
| 5.2 Limitations                      | 59 |
| 5.3 Conclusions                      | 60 |
| 5.4 Recommendations for Future Study | 61 |

REFERENCES

5

62

APPENDICES

64

# LIST OF TABLES

## TITLE

# PAGE

| 3.1 | Soil shear strength parameter                                   | 27 |
|-----|---|----|
| 4.1 | Hydraulic conductivity, $k_{sat}$ for saturated soil conditions | 35 |

# LIST OF FIGURES

FIGURE NO

## TITLE

# PAGE

| 1.1  | Aerial view of site location showing landslide area      | 4  |
|------|--|----|
| 2.1  | Modified Triaxial Cell for testing unsaturated soils     | 8  |
| 2.2  | A typical Soil-Water Characteristics Curve (SWCC)        | 9  |
| 2.3  | Relationship between soil-water characteristic curve     |    |
|      | and coefficient of permeability for sand and clayey silt | 10 |
| 2.4  | Physical processes related to seepage in a slope         | 18 |
| 3.1  | Flowchart of the chronology of study                     | 24 |
| 3.2  | Location of study area                                   | 26 |
| 3.3  | General subsurface profile of study area                 | 26 |
| 3.4  | Slope failure location adjacent to residential           |    |
|      | underconstruction site                                   | 27 |
| 3.5  | Sign of mudflow observed                                 | 27 |
| 3.6  | Earth drains observed at downstream towards              |    |
|      | failure scar   | 28 |
| 3.7  | Broken culvert at the top of the failed slope            | 28 |
| 3.8  | Failure scar at downslope of the landslide               | 29 |
| 3.9  | Water seepage from granite outcrop at toe of             |    |
|      | the failed slope   | 29 |
| 3.10 | Slope profile for stability analysis                     | 32 |
| 4.1  | Daily rainfall record for the month of May, 2011         | 34 |
| 4.2  | SWCC for sandy clay                                      | 36 |
| 4.3  | SWCC for sandy silt                                      | 36 |

| 4.4  | Relationship between hydraulic conductivity and         |    |
|------|---|----|
|      | matric suction for sandy clay                           | 37 |
| 4.5  | Relationship between hydraulic conductivity and         |    |
|      | matric suction for sandy silt                           | 37 |
| 4.6  | Pore pressure distribution on initial condition         | 39 |
| 4.7  | Pore pressure distribution on 12 <sup>th</sup> May 2011 | 39 |
| 4.8  | Pore pressure distribution on 13 <sup>th</sup> May 2011 | 40 |
| 4.9  | Pore pressure distribution on 14 <sup>th</sup> May 2011 | 40 |
| 4.10 | Pore pressure distribution on 15 <sup>th</sup> May 2011 | 41 |
| 4.11 | Pore pressure distribution on 16 <sup>th</sup> May 2011 | 41 |
| 4.12 | Pore pressure distribution on 17 <sup>th</sup> May 2011 | 42 |
| 4.13 | Pore pressure distribution on 18 <sup>th</sup> May 2011 | 42 |
| 4.14 | Pore pressure distribution on 19 <sup>th</sup> May 2011 | 43 |
| 4.15 | Pore pressure distribution on 20 <sup>th</sup> May 2011 | 43 |
| 4.16 | Pore pressure distribution on 21 <sup>st</sup> May 2011 | 44 |
| 4.17 | Pore pressure distribution on 22 <sup>th</sup> May 2011 | 44 |
| 4.18 | Pore pressure distribution on 23 <sup>th</sup> May 2011 | 45 |
| 4.19 | Pore pressure distribution on 24 <sup>th</sup> May 2011 | 45 |
| 4.20 | Pore pressure distribution on 25 <sup>th</sup> May 2011 | 46 |
| 4.21 | Slope stability model with soil parameters              | 47 |
| 4.22 | Sensitivity plot  | 48 |
| 4.23 | Factor of safety (FOS) at initial condition             | 49 |
| 4.24 | Factor of safety (FOS) on 12 <sup>th</sup> May 2011     | 49 |
| 4.25 | Factor of safety (FOS) on 13 <sup>th</sup> May 2011     | 50 |
| 4.26 | Factor of safety (FOS) on 14 <sup>th</sup> May 2011     | 50 |
| 4.27 | Factor of safety (FOS) on 15 <sup>th</sup> May 2011     | 51 |
| 4.28 | Factor of safety (FOS) on 16 <sup>th</sup> May 2011     | 51 |
| 4.29 | Factor of safety (FOS) on 17th May 2011                 | 52 |
| 4.30 | Factor of safety (FOS) on 18th May 2011                 | 52 |
| 4.31 | Factor of safety (FOS) on 19 <sup>th</sup> May 2011     | 53 |
| 4.32 | Factor of safety (FOS) on 20 <sup>th</sup> May 2011     | 53 |
| 4.33 | Factor of safety (FOS) on 21 <sup>st</sup> May 2011     | 54 |
| 4.34 | Factor of safety (FOS) on 22 <sup>th</sup> May 2011     | 54 |
| 4.35 | Factor of safety (FOS) on 23 <sup>rd</sup> May 2011     | 55 |

| 4.36 | Factor of safety (FOS) on 24 <sup>th</sup> May 2011 | 55 |
|------|---|----|
| 4.37 | Factor of safety (FOS) on 25 <sup>th</sup> May 2011 | 56 |
| 4.38 | Relationship between rainfall intensity and the     |    |
|      | matric suction at slope's toe                       | 57 |
| 4.39 | Relationship between rainfall intensity and the     |    |
|      | factor of safety                                    | 58 |

# LIST OF SYMBOLS

| Т                 | - | shear strength                               |
|-------------------|---|--|
| c'                | - | effective cohesion for saturated soils       |
| Ø′                | - | effective friction angle for saturated soils |
| Ø <sub>b</sub>    | - | friction angle in unsaturated soils          |
| u <sub>a</sub>    | - | pore air pressure                            |
| uw                | - | pore water pressure                          |
| $\mathcal{V}_{W}$ | - | flow rate of water;                          |
| $k_w$             | - | coefficient of permeability                  |
| $h_w$             | - | hydraulic head                               |
| $\rho_w$          | - | density of water                             |
| g                 | - | acceleration due to gravity                  |
| h                 | - | hydraulic head                               |
| у                 | - | elevation head                               |
| $\rho_{w}$        | - | density of water                             |
| g                 | - | gravitational acceleration                   |
| τ                 | - | average shear stress                         |
| S                 | - | average shear strength of soil               |
| FOS               | - | factor of safety                             |

# LIST OF APPENDICES

APPENDIX

TITLE

PAGE

64

A Rainfall Data for May 2011

## **CHAPTER 1**

#### INTRODUCTION

#### 1.1 Introduction

There are many slope failures caused by long period of heavy and intense rainfall, especially in tropical regions where the hot and humid weather coupled with high annual rainfalls have resulted slope instability that leads to landslides. Rapid infiltration of rainfall and the increasing of pore pressure can be considered the main trigger of landslide (Wieczorek, 1987). Rainfall induced landslides are among the most dangerous natural hazards acting on hillslopes, leading to structural damage and casualties. These shallow landslides are triggered by heavy rainfall, very often falling on already wet soils.

Research in the area of slope stability has brought about the realization that most slope failures are caused by the infiltration of rainwater into the slope (Gasmo et.al, 2000). Hence, back analyses of landslides are vital and useful for understanding the failure mechanisms of rainfall induced slope instability. According to Sharihan and Stark (1998), the location of the case study, soil composition, soil's shear strength properties, slope geometry, location of the slip surface and pore pressure conditions are among the particulars that should be defined prior to any justification.

Furthermore, the increasing rate of urbanisation has increased hillside developments for engineered and fill slopes in many regions in the tropics. The analyses of the stability of these slopes involve unsaturated soils because the water table is usually deep. Climatic changes directly affect the unsaturated soil zone. It is important to note that rainfall-induced slope failure involves infiltration through the unsaturated zone above the ground water table. Therefore, a slope area is be considered as an integral system of unsaturated-saturated soils in the stability analyses.

## **1.2 Problem Statement**

High rainfall conditions in tropical areas give rise to many slope instability problems. The factor of safety of residual slopes with a high ground water level depends on, among other factors, the magnitude of the negative pore water above the ground water table which contributes to additional shear strength of the soil. With precipitation, the pore pressure becomes less negative or even positive. As a result, the shear strength of the soils decrease and this may trigger landslides. Thus, there is a pressing need to study and investigate the stability of slopes due to rainfall infiltration.

#### 1.3 Objectives of Study

The aim of this study is to investigate landslide occurrence due to rainfall infiltration through numerical simulation. In order to achieve the stated aim, the following objectives are outlined;

- i to determine the soil properties of the failed slope
- ii to study the hydrological data of the slope
- iii to analyse the rainfall-induced slope failure by observing the factor of safety (FOS) at failure

#### 1.4 Scope of Study

Back analysis was conducted on a soil slope that failed on 25<sup>th</sup> May 2011 at Phase 8, Taman Sri Gombak, Batu Caves to study its failure mechanisms. The scope of the study focuses on the landslide which occurred adjacent to the TNB Substation of Sri Townvilla extending to downslope toe which near to residential area of Kg. Sg. Cincin. Laboratory analyses were carried out to classify and to determine the strength parameters of the soil. Furthermore, these laboratory tests were supplemented by appropriate field tests to take into consideration the mass behavior of the actual ground condition. Rainfall Infiltration is analysed using the concept of numerical modeling of SEEP/W programme. Whereas, limit-equilibrium based SLOPE/W is utilized for slope stability analysis purpose.



Figure 1.1: Aerial view of site location showing landslide area

## 1.5 Significance of Study

Rainfall-induced slope failure involves a very complicated mechanism that governed by a number of parameters and uncertainties. It is evidenced that beside the contributing factors such as soil strength properties, soil mass and geometry, the factor of safety can be altered by the fluctuating pore water pressure or suction which in return greatly influenced by triggering factor of rainfall infiltration. Therefore, the study is crucial to evaluate the effect and governing factors of rainfall infiltration in causing slope failure.

#### REFERENCES

- Collins, B. D. Znidarcic, D. (2004). Stability Analyses of Rainfall Induced Landslides.
- Fredlund, D.G., Rahardjo, H. (1993a). An Overview of Unsaturated Soil Behaviour. Proceedings of the 1993 ASCE Convention on Unsaturated Soils, Dallas, Texas.
- Fredlund, D.G., Sheng, D. Z. (2009). *Shear Strength Criteria for Unsaturated Soils*. Springer Science & Business Media.
- Fredlund, D. G., Rahardjo, H. (1993). Soil Mechanics for Unsaturated Soils. John Wiley & Sons Inc.
- Gasmo, J.M., Rahardjo, H., Leong, E.C. (2000). *Infiltration effects on stability of a residual soil slope*. Computers and Geotechnics.
- Geo-Slope. (2004). *SLOPE-W for Slope Stability Analysis, Version 5, User's Guide,* GEO-SLOPE International Limited., Calgary, Alberta, Canada.
- Geo-Slope. (2007). SEEP-W for Finite Element Seepage Analysis, Version 5, User's Guide, GEO-SLOPE International Limited., Calgary, Alberta, Canada.
- Gofar, N., Lee, M.L., Asof, M. (2006). Transient Seepage and Slope Stability Analysis for Rainfall-Induced Landslide: A Case Study. Malaysian Journal of Civil Engineering
- Hsin, F. Y., Chen, C. L., Cheng, H. L. (2008). A Rainfall-Infiltration Model for Unsaturated Soil Slope Stability. J. Environmental Engineering Management.
- Huvaj-Sarihan, N., Stark, T D. (2008). Back Analyses of Landfill Slope Failures. University of Illinois at Urbana-Champaign.
- Kassim, A., Gofar, N., Lee, L. M. (2008). Response of Suction Distribution to Rainfall Infiltration in Soil Slope. EJGE.
- Lee, T. T., Rahardjo, H. (2003). *Response of a Residual Soil Slope to Rainfall*. Canadian Geotechnical Journal.

- L'Heureux, J. S. (2005). Unsaturated Soils and Rainfall Induced Landslides. University of Oslo.
- Low, T. H., Ali, F., Ibrahim, A. S. (2012). An Investigation on One of the Rainfall-Induced Landslides in Malaysia. EJGE.
- Mariappan, S., Ali, F., Low, T. H. *Rainfall Infiltration, Soil Matric Suction and Slope Engineering.* Universiti Malaya, Kuala Lumpur.
- Meyenfield, H., Glade, T. (2008). Sensitivity Analysis for the Influence of Soil Properties on Slope Stability. Geophysical Research Abstracts, EGU General Assembly.
- Orense, R. P. (2004). *Slope Failures Triggered by Heavy Rainfall*. Philippine Engineering Journal.
- Rahardjo, H., Fredlund, D.G. (1995). Procedures for slope stability analyses involving unsaturated soils. Developments in deep foundation and ground improvement schemes, Balkerma, Rotterdam.
- Rahardjo, H., Leong, E.C., Rezaur, R.B. (2001). Rainfall-Induced Slope Failures: Mechanism and Assessment. International Conference on "Management of the Land and Water Resource", Hanoi, Vietnam.
- Rahardjo, H., Leong, E.C., Rezaur, R.B. (2002). Unsaturated Soil Mechanics for the Study of Rainfall-induced Slope Failures. Proceedings of the 4<sup>th</sup> National German Workshop on Unsaturated Soils, Weimar, Germany.
- Rahardjo, H., Leong, E.C., Rezaur, R.B. (2002). Studies of rainfall-induced Slope Failures. School of Civil and Environmental Engineering, Nanyang Technological University, Singapore.
- Regmi, R. K. (2012). *Numerical Modelling of Rainfall-Induced Slope Failure*. Sonsik Journal
- Tofani, V., Dapporto, S, Vannocci, P, Casagli, N. (2006). Infiltration, seepage and slope instability mechanisms during the 20-21 November 2000 Rainstorm in Tuscany, Central Italy. European Geosciences Union.