

ON NEURO-FUZZY APPLICATIONS FOR AUTOMATIC  
CONTROL, SUPERVISION, AND FAULT DIAGNOSIS FOR  
WATER TREATMENT PLANT

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To my beloved mother and father

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## ABSTRACT

Water treatment includes many complex phenomena, such as coagulation and flocculation. These reactions are hard or even impossible to control satisfyingly by conventional methods. Biological water treatment systems are difficult to model because their performance is complex and varies significantly with different reactor configurations, influent characteristics, and operational conditions. Neuro-fuzzy ANFIS method, which is chosen as the method in this case, is a new intelligent method in this line of process industry. Although intelligent tools such as neural network, fuzzy logic and neuro-fuzzy methods have been applied in real time water treatment plant for some time, problems of monitoring water treatment processes and assessing uncertainty for the coagulant dosing rate represent a major challenged that need to be investigated. In this research, statistical methods are used to analyze nonstationary time series water treatment process where they are accrued from a neuro-fuzzy ANFIS model. The proposed scheme is evaluated in computer simulation studies using real process data before application to the real plant.

## ABSTRAK

Pembersihan air melibatkan banyak fenomena kompleks seperti pembekuan dan proses penapisan. Reaksi seperti ini adalah sukar dan amat mustahil sekali untuk dikawal dengan jayanya melalui cara yang biasa dilakukan. Sistem biologikal pembersihan air adalah sukar untuk dipamerkan kerana prestasinya yang begitu kompleks dan secara signifikan berbeza dengan tatarajah tindakan, pengaruh sifat dan keadaan operasi. Penggunaan Neuro-fuzzy ANFIS, di mana ia merupakan kaedah yang telah dipilih untuk penyelidikan ini, adalah kaedah baru dan bijak yang selari dengan proses industri kini. Walaupun kaedah perkakasan yang bijak seperti rangkaian neural, logic fuzzy, dan neuro-fuzzy telah diaplikasikan dalam masa nyata loji perbersihan air untuk beberapa waktu ini, masalah memantau proses pembersihan air dan menilai ketidakpastian untuk kadar sukatan kepekatan memperlihatkan cabaran utama yang perlu dikaji dengan lebih lanjut. Dalam penyelidikan ini, kaedah statistik digunakan untuk menganalisa siri masa yang bergerak dalam proses pembersihan air dimana ia diakrukan dari neuro-fuzzy ANFIS. Cadangan yang telah dipilih adalah dengan membina penilaian simulasi komputer dengan memproses data masa nyata sebelum mengaplikasikannya kepada keadaan yang sebenar.

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## LIST OF ABBREVIATIONS

ANFIS	-	Adaptive Neuro Fuzzy Inference Systems
BP	-	Backpropagation
C	-	Concentration solution
GDM	-	Gradient Descent Method
GDR	-	Generalized Delta Rule
MCC	-	Motor Control Center
MLD	-	Minimum lumen diameter
MLP	-	multilayer perceptrons
LSE	-	least-square estimator
PE	-	processing element
ppm @ mg/L	-	Dosage rate
SC	-	Soft Computing
S.G	-	Specific gravity of solution
VSD	-	Value Sensitive Design

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## **CHAPTER 1**

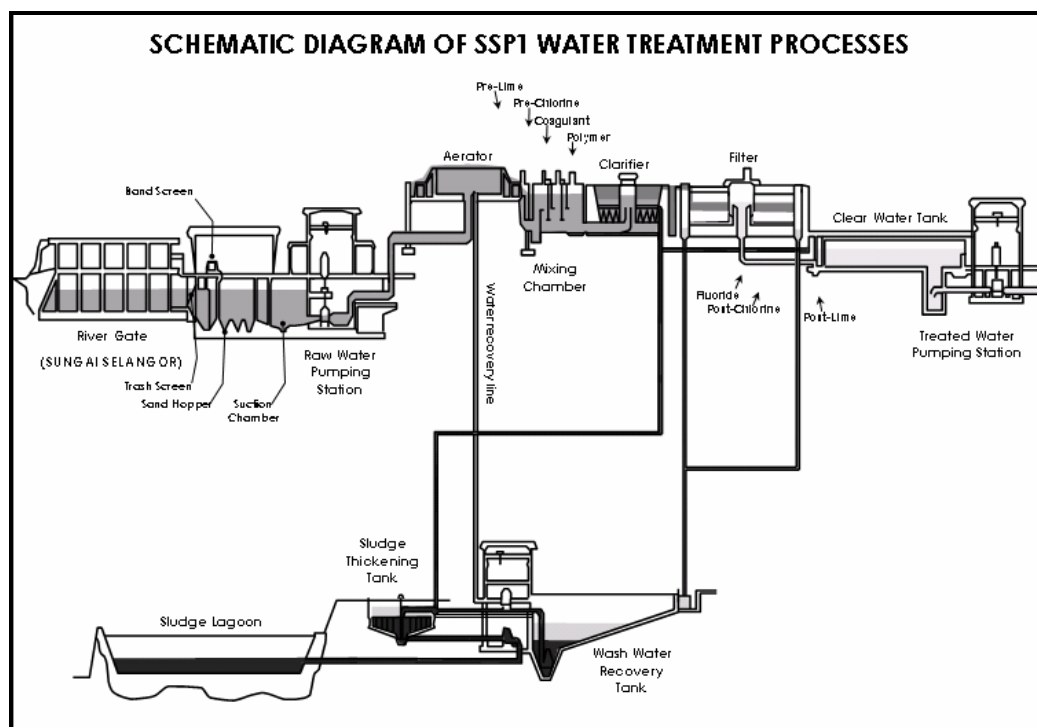
### **INTRODUCTION**

The water industry is seeking ways to produce high quality water at reduced cost. The operation of water treatment plants is significantly different from most manufacturing industrial operations because raw water sources are often subject to natural perturbations like flood and drought, both of which significantly affect the characteristics of the abstracted water. Whilst it is possible to measure some of these variables with commercially available instrumentation, the general experience is that the instruments often lack the required reliability, accuracy and robustness. Consequently, early applications of automatic control in the water industry were often compromised. More recently, improved sensor technology has enabled the successful regulation of variables such as pH and chlorine residual. Without a precise knowledge of the characteristics of the material to be removed, most chemical dosage requirements for primary water treatment are determined from laboratory measurements (jar tests) which are conducted (usually) at regular time intervals. Excessive overdosing is not only expensive but may lead to increased public health concerns. This paper will begin with a brief explanation of water treatment plant operation.



## 1.1 An Overview of Water Treatment

The purification of water for domestic consumption involves several stages of treatment of the raw water to remove suspended solids, colour and bacteria before entering the distribution network. The individual treatment processes include clarification, disinfection, pH adjustment, filtration and taste and odour removal as presented in Figure 1.1.



**Figure 1.1** Schematic diagram of water treatment process

The success of the clarification process is crucial for efficient operation of the plant. Failure to clarify the raw water properly will adversely affect the other processes and can result in final water that is unfit for human consumption.

## 1.2 Chemical Plant Overview

The chemical plant is designed for handling of aluminium sulphate, hydrated lime, polyelectrolyte, chlorine, sodium silicon fluoride and ammonia.

### 1.2.1 Water Treatment Chemicals

The following is a list of chemicals with estimated dosages which may be necessary to meet the final treated quality specified.

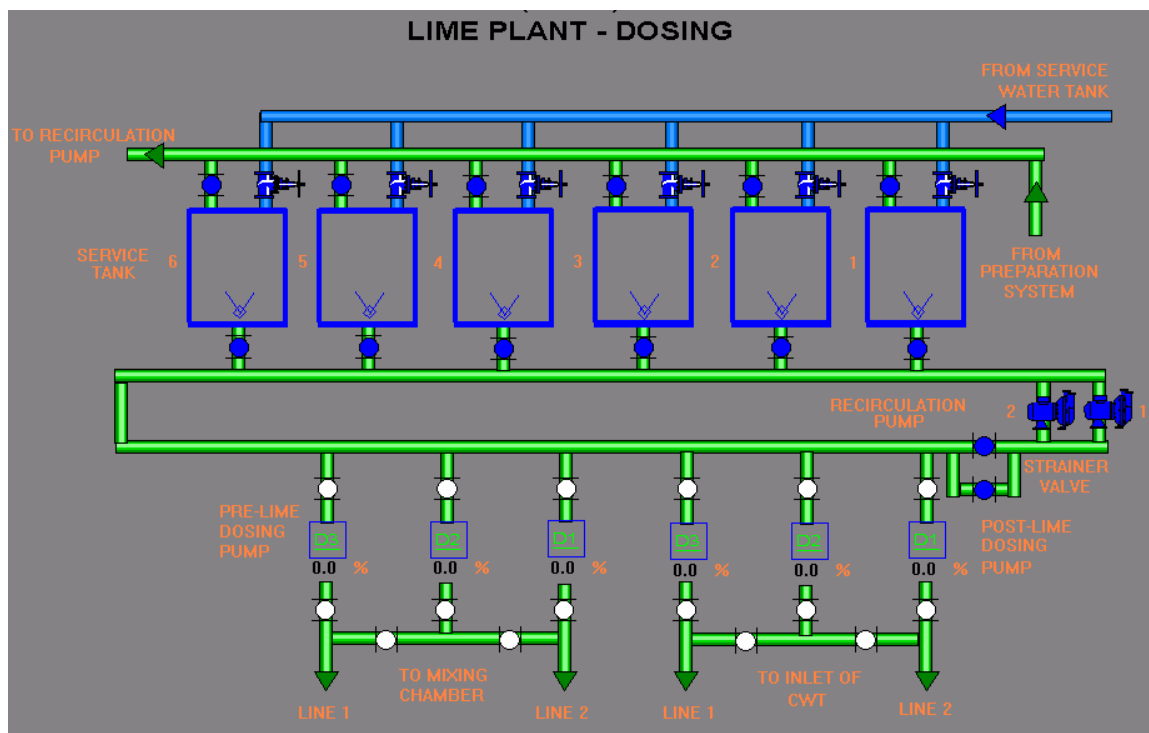
**Table 1.1:** List of chemicals with estimated dosages

Chemical	Function	Estimated Dosage(mg/l)		
		Min	Average	Max
<b>For filtered water</b>				
Chlorine	Disinfection	0.5	3	5
Hydrated Lime (as 90% Ca(OH) <sub>2</sub> )	pH correction	2	6	10
Sodium Silicon Fluoride (as 1%F)	Dental protection	0.7	0.8	0.9

### 1.2.2 Lime Operation

The hydrated lime chemical as delivered should contain a calcium hydroxide Ca(OH)<sub>2</sub> content of not less than 90%. The chemical is to be delivered by bulk air pressure road tankers, having a capacity of up to 20 tons. It should always be ensured therefore that the available capacity in a silo to receive chemical is in

multiples of 20 tons leaving ample room to spare as an allowance for initial aeration. It is recommended that the silo available capacity should not be less than 27 tons for a 20 tons bulk road tanker delivery.



**Figure 1.2** Lime-Plant Dosing

### 1.2.2.1 Lime Plant Operation in Manual Mode

#### Introduction

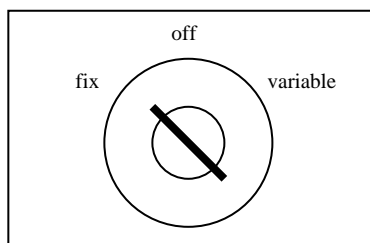
Lime Plant must be operated in manually if the flow meter for raw water/filtered water not functioning. Due to this, VSD value must be set manually based on water flow.

#### Equipment

- (i) Lime MCC panel
- (ii) VSD key
- (iii) VSD panel

### Procedure

- (i) Go to the Lime Plant.
- (ii) Check the Lime MCC panel.
- (iii) Make sure the power supply is ON.
- (iv) After that, go to VSD panel.
- (v) Select either option 1 or 2.
- (vi) By using the VSD key SF101, turn the VSD key to fix position.
- (vii) ( Please refer to figure below)



**Figure 1.3** VSD key SF101

- (viii) Then, use the black button beside the VSD panel to set the VSD recording to value requires.
- (ix) Write down the VSD reading in FRM/CP/01 form.
- (x) VSD value will change according to total flow reading.

### Formula of Lime Dosage

$$\text{Liter/day} = \text{Flow(MLD)} * \text{Dosage rate} / C * \text{S.G}$$

$$\text{Liter/hour} = \text{Flow(MLD)} * \text{Dosage rate} / 24 * C * \text{S.G}$$

$$\text{Liter /min} = \text{Flow(MLD)} * \text{Dosage rate} / 24 * 60 * C * \text{S.G}$$

C = Concentration solution

S.G = Specific gravity of solution

Dosage rate = ppm @ mg/L

### Example 1

Total usage of Lime in a day is 5 ton and the total water flow is 600000m<sup>3</sup>. What is the average dosage rate ppm of lime that has been used?

*Solution:*

Dosing rate = Flow \* Dosage rate; kg/day = Flow (MLD) \* ppm;

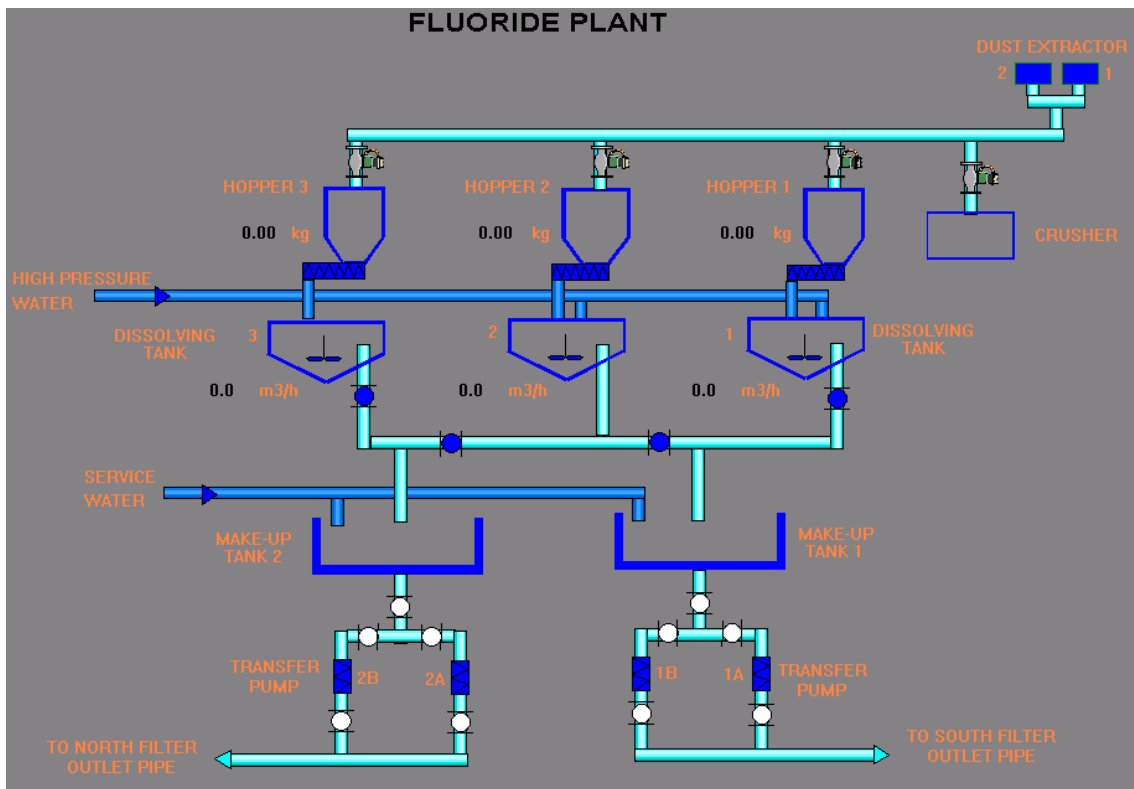
Flow=600000m<sup>3</sup>.kg/day = 5 ton = 5000kg

Kg/day=flow\*ppm

Ppm=5000/600=8.33

### **1.2.3 Fluoride Operation**

The sodium silicofluoride chemical is to be supplied in 25kg bags' charging of the dry feeder storage hopper is to be carried out only during the day shift. It is intended that the dosing rate should not exceed 1.5mg/l, the average dose being 1.3 mg/l. The preparation system is designed so that an inflow of 2.06 litres/sec enters the dissolving tank. The solution strength will be maintained according to the plant flow but the dosing rate is under manual control.



**Figure 1.4** Fluoride Plant

### 1.2.3.1 Fluoride Plant Operation in Manual Mode

#### Introduction

Fluoride plant operation is based on VSD value that is manually operated. The VSD value required is proportional with filtered water flow

#### Equipment

VSD machine

#### Procedure

- (i) Go to fluoride MCC panel.
- (ii) Make sure the power supply is ON.
- (iii) Then go to VSD machine.
- (iv) Press the green button to run the VSD machine.

- (v) Press “^” button to increase the VSD value to value required.
- (vi) Press “v” button to decrease the VSD value to value required.
- (vii) Check the VSD reading displayed on the screen.
- (viii) Write down the VSD reading in FRM/CP/01 form.

#### Formula of Fluoride Dosage

$$\text{Dosing rate (kg/day)} = \text{Flow(MLD)} * \text{Dosage rate(mg/L)}$$

$$\text{Dosing rate (kg/hr)} = \text{Flow(MLD)} * \text{Dosage rate(mg/L)}$$

$$\text{Dosing rate (kg/min)} = \text{Flow(MLD)} * \text{Dosage rate(mg/L)} / 24 * 60$$

$$\text{Dosing rate (g/min)} = \text{Flow(MLD)} * \text{Dosage rate(mg/L)} * 1000 / 24 * 60$$

#### Example 1

Fluoride that flow from the feeder is 200g/min. Raw water flow reading is 400MLD. Calculate the fluoride dosage rate.

*Solution:*

$$\text{Flow}=400; \text{ g/min}=200; \text{ Dosage rate} = x$$

From formula no. 4

$$200 = (400 * x * 1000) / 24 * 60$$

$$x = 200 * 24 * 60 / 400 * 1000 = 0.72 \text{ mg/L@ppm}$$

#### Example 2

Total of fluoride used in a day is 800kg. Total raw water flow is 600000m<sup>3</sup>. What is the average of dosage rate fluoride dosage required?

*Solution:*

Flow=600000m<sup>3</sup>/day=600000/1000=600MLD; kg/day=800; dosage rate =x

From formula no.1

$$800 = 600 * x$$

$$x = 800/600$$

$$x = 1.3 \text{ mg/L@ ppm}$$

#### **1.2.4 Chlorine Operation**

Chlorine is to be supplied in drums containing 915kg of liquid chlorine. The duty drums supply liquid chlorine to evaporators that converts the liquid to a chlorine gas which is the conveyed to gas control chlorination units. The dosing rate is manually set and is maintained proportional to flow as mentioned previously for raw water the dose rate to the filtered water, is also under manual control for chlorine residual.



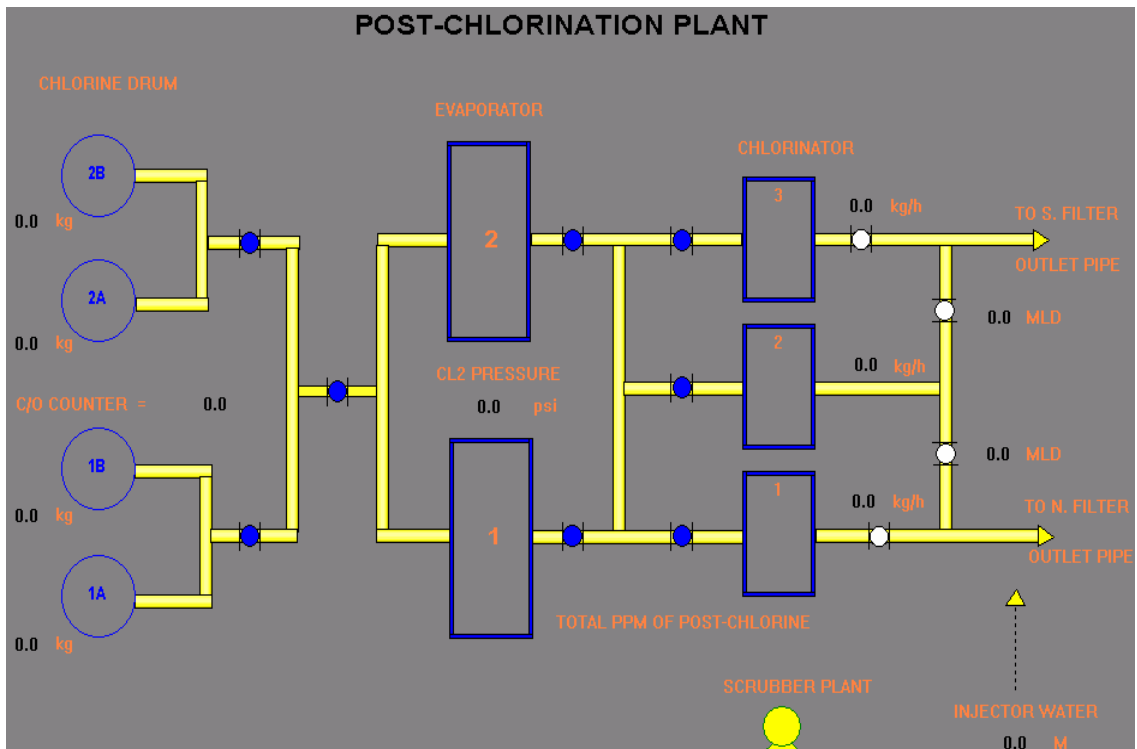


Figure 1.5 Post Chlorination Plant

### 1.2.4.1 Chlorine Plant Operation in Manual Mode

#### Introduction

If chlorine reading kg/hr not follows the flow proportional then the chlorine gas setting must be change manually

#### Equipment

Chlorinator MCC panel

Walkie Talkie

Chlorinator

#### Procedure

##### Chlorination MCC panel room

- (i) Go to chlorination MCC panel
- (ii) At dosing controller, turn the button to manual position
- (iii) Increase/decrease the value button position to required setting.

- (iv) Then watch the dose rate kg/hr reading at active chlorinator panel.

### Chlorinator Room

- (i) Go to chlorinator room
- (ii) Check the chlorine gas kg/hr reading at chlorinator on duty.
- (iii) Jot down the chlorinator reading in FRM/CP/01 form.

### Formula of Chlorine Dosage

$$\text{Dosing rate} = \text{Flow (MLD)} * \text{Dosage rate (kg/day)}$$

$$\text{Dosing rate} = \text{Flow (MLD)} * \text{Dosage rate} / 24 \text{ (kg/hr)}$$

$$\text{Chlorine Dosing rate} = \text{Chlorine Demand} + \text{chlorine residual}$$

(mg/L)
(mg/L)
(mg/L)

- (i) Dosage rate in ppm or Mg/L
- (ii) Flow must be in MLD form.

### Example 1

Flow given is 600MLD. While required dosage rate require is 3ppm. Find dosing rate needed in kg/hr.

*Solution:*

$$\text{Dosing rate (kg/hr)} = \text{Flow} * \text{ppm} / 24$$

$$\text{Flow} = 600; \text{ Dosage rate} = 3$$

$$\text{Dosing rate} = (600 * 3) / 24 = 75 \text{ kg/hr}$$

### Example 2

Flow given is 500MLD. Total dosing used was 60kg/hr. What is the chlorine dosage rate?

*Solution:*

Flow = 500; Dosing rate = 60

From formula

$$60 = 500 * x / 24$$

$$x = 60 * 24 / 500 = 2.88$$

### **1.3 Neuro-Fuzzy and Soft Computing**

Analysis of real world problems requires intelligent systems. Soft Computing (SC) is an innovative approach to constructing computationally intelligent systems (Jang, Sun and Mizutani, 1997). These intelligent systems, which combine knowledge, techniques, and methodologies from various sources, are supposed to possess human-like expertise within a specific domain, adapt themselves and learn to do better in changing environments, and explain how they make decisions or take actions. In confronting complex real-world computing problems, it is frequently advantageous to use several computing techniques synergistically rather than exclusively, resulting in the construction of complementary hybrid intelligent systems. One of the most successful of this kind of intelligent systems design is neuro-fuzzy computing: neural networks recognize patterns and learn from examples; fuzzy inference systems incorporate human knowledge and perform inferencing. In the following section, a brief description of these emerging fields is provided.

### 1.3.1 Neural Networks

A neural network is a parallel, distributed information processing structure consisting of processing elements (which can possess a local memory and can carry out localized information processing operations) interconnected via unidirectional signal channels called connections. Each processing element has a single output connection that branches (“fans out”) into as many collateral connections as desired; each carries the same signal – the processing element output signal. The processing element output signal can be of any mathematical type desired. The information processing that goes on within each processing element can be defined arbitrarily with the restriction that it must be completely local; that is, it must depend only on the current values of the input signal arriving at the processing element’s local memory.

(Hecht-Nielsen, 1990)

Clearly, neural networks are models based on the working mechanism of the human brain; they are composed of individual interconnected processing elements (PEs), which are analogous to neurons in the brain and utilize a distributed processing approach to computation.

More specifically, anything that can be represented as a number might be fed into a neural network. Each PE sends/receives data to/from other PEs. For each individual PE in standard model, input data ( $X_0 \dots X_n$ ) are multiplied by the weights ( $W_0 \dots W_n$ ) associated with the connection to the PE. Each PE applies a nonlinear activation function to its sum of weighted input signals to determine its output signal. The output from a given PE is multiplied by another separate weight and fed into the next processing element. If the processing element is in the output layer,

then the output from the processing element is not multiplied by a weight and instead is an output of the network itself.

The origin of the neural network field began in the 1940s with the work of McCulloch and Pitts (1943), who showed that networks of artificial neurons could, in principle, compute any arithmetic or logical function. They also showed that any arbitrary logical function could be configured by a neural network of interconnected digital neurons, which introduced the idea of the step threshold used in many neural network models. The first practical application of artificial neural networks was presented by Rosenblatt in the late 1950s. In his book published in 1962, *Principles of Neurodynamics*, he introduced a learning algorithm by which the weights can be changed, and he demonstrated the ability to perform pattern recognition in a perceptron network. At about the same time, Widrow and Hoff introduced a new learning algorithm in 1960 and used it to train adaptive linear neural networks, which were similar in structure and capability to Rosenblatt's perceptron.

Unfortunately, both Rosenblatt's and Widrow's networks suffered from the same inherent limitations as pointed out in the book *Perceptrons* by Minsky and Papert, published in 1969. They showed that single-layer systems were limited and expressed pessimism over multilayer systems. Interest in neural networks dwindled from late 1960s to early 1980s.

The breakthrough of neural network came in the 1980s when the most influential method of training a multilayer neural network, known as the backpropagation (BP) algorithm was developed by Parker (1982) and Rumelhart & McClelland (1986). About the same time, new types of neural net with dynamic behavior, such as Hopfield neural net (Hopfield, 1982; 1984) and the Kohonen self-

organizing neural net (Kohonen, 1982; 1984), were introduced. These new developments reinvigorated the field of neural networks.

Neural networks are capable of solving a wide range of problems by “learning”, “generalizing” and “abstracting”. They can modify their behavior in response to their environment and once trained, the network’s response can be tolerant to minor variations to its input. As a matter of fact, neural networks have been widely used in a broad range of areas such as image processing, signal processing, pattern recognition, speech recognition, industrial control, aerospace, manufacturing, medicine, business, finance, and even literature. The success in application of neural networks is mostly because of their applicability to complex nonlinear systems and multivariable systems.

### **1.3.2 Fuzzy Logic**

We need a radically different kind of mathematics, the mathematics of fuzzy or cloudy quantities which are not described in terms of probability distributions. Indeed, the need for such mathematics is becoming increasingly apparent... for in most practical cases the a priori data as well as the criteria by which the performance of a man-made system is judged are far from being precisely specified or having accurately known probability distributions.

(Zadeh, 1961)

Fuzzy set theory, originally introduced by Lotfi Zadeh in the 1960's, resembles human reasoning in its use of approximate information and uncertainty to generate decisions. It was specifically designed to mathematically represent uncertainty and vagueness and provide formalized tools for dealing with the imprecision intrinsic to many problems.

Zadeh's idea of membership grade is the backbone of fuzzy set theory. In 1965, the publication of his seminal paper on fuzzy sets declared the birth of fuzzy logic technology. Narrowly speaking, fuzzy logic refers to a logical system that generalizes classical two-valued logic for reasoning under uncertainty. Broadly speaking, fuzzy logic refers to all of the theories and technologies that employ fuzzy sets, which are classes with unsharp boundaries (Yen and Langari, 1999).

Even though the concept of fuzzy sets encountered sharp criticism from the academic community at the beginning, many researchers around the world still kept stepping into this field. During the first decade (1965-1975), Zadeh continued to broaden the foundation of fuzzy set theory. He introduced fuzzy multistage decision-making, fuzzy similarity relations, fuzzy restrictions, and linguistic hedges. Mamdani and Assilian (1975) developed the first fuzzy logic controller to control a steam generator in 1974. In 1976, the first industrial application of fuzzy logic was developed by Blue Circle Cement and SIRA in Denmark. Another successful application is a fuzzy logic based automatic train operation control system in Sendai city's subway system developed by Yasunobu and his colleagues at Hitachi in 1987. Researchers in Japan made many important contributions to the theory as well as to the applications. In 1980s, Takagi and Sugeno developed the first approach for constructing fuzzy rules based on the training data. This important work did not gain much immediate attention, but it built the foundation for fuzzy model identification.

The fuzzy boom in Japan triggered a broad interest in the world. Fuzzy logic is now being widely used in aerospace, defense, automobile, consumer products, industry, manufacturing, business and finance. The main reason for its popularity is that it utilizes concepts and knowledge that do not have well-defined, sharp boundaries; therefore, it can alleviate the difficulties encountered by conventional mathematical tools in developing and analyzing complex systems.

Fuzzy set theory implements classes or groupings of data with boundaries that are not sharply defined. Any methodology or theory implementing “crisp” definitions such as classical set theory, arithmetic, and programming, may be “fuzzified” by generalizing the concept of a crisp set to a fuzzy set with blurred boundaries. The benefit of extending crisp theory and analysis methods to fuzzy techniques is the strength in solving real world problems, which inevitably entail some degree of imprecision and noise in the variables and parameters measured and processed. Fuzzy logic comprises of fuzzy sets and fuzzy rules which combine numerical and linguistic data. Linguistic variables are a critical aspect of some fuzzy logic application, where general terms such as “large”, “medium”, and “small” could be used to capture a range of numerical values. Such terms are not precise and cannot be represented in normal set theory. While similar to conventional quantization, fuzzy logic allows these stratified sets to overlap and allows members to be partial members as well as the normal multi-set membership.

Since fuzzy logic can handle approximate information in a systematic way, it is ideal for dealing with nonlinear systems and for modeling complex systems where no exact model exists or systems where ambiguity or vagueness is common.



### 1.3.3 Soft Computing

Soft computing is an emerging approach to computing which parallels the remarkable ability of the human mind to reason and learn in an environment of uncertainty and imprecision.

(Zadeh, 1992)

Soft computing consists of several computing paradigms, including neural networks, fuzzy set theory, approximate reasoning, and derivative-free optimization methods such as genetic algorithms and simulated annealing. As for the major part of these constituent methodologies, neural network has the strength of learning and adaptation, fuzzy logic has the strength of knowledge representation via fuzzy if-then rules, and genetic algorithm is suitable for systematic random search.

Although fuzzy logic and neural network emphasize different strengths, these two innovative modeling approaches share some common characteristics: they assume parallel operations; they are well known for their fault tolerance capabilities; and they have the ability of model-free learning, i.e. the ability to construct models using only target system sample data. Despite these similarities, they stem from very different origins. Primarily, fuzzy logic modeling is based on fuzzy sets and fuzzy if-then rules proposed by Zadeh, which are closely related to psychology and cognitive sciences, while neural network modeling is based on artificial neural networks which are motivated by biological neural systems (Jang, 1992). Because of their very origins, the respective philosophies and methodologies underlying their problem solving approaches are quite different and, in general, complementary. Therefore, they can be integrated to generate hybrid models that can take advantage of the strong points of both.