ENHANCED LEVEL SET SEGMENTATION METHOD FOR DENTAL CARIES DETECTION

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Dedicated to my beloved parents and family, whom without their love and support this research would have never been completed.

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ABSTRACT

Caries detection system is important for dental disease diagnosis and treatment. It can be identified using X-ray imaging. The X-ray image contains interest point of dental to get the teeth information according to specific diagnostic intention. The Region of Interest (ROI) includes the caries area on tooth surface. The imaging challenges like noise, intensity inhomogeneities and low contrast causes the difficulty for identifying correctly the ROI in dental images. According to the recent studies, among all medical image segmentation methods, level set has the best segmentation accuracy. However, there are several components in the level set that need to be enhanced to determine the exact boundary to separate the ROI. The signed force function to control the direction of level set evaluation process, speed function to control the speed of movement and Initial Contour (IC) generation to obtain a more accurate ROI require an enhancement for the better accuracy. In this research, a new enhancement of segmentation method has been proposed based on finding an accurate outcome. The method includes two phases: IC generation and intelligent level set segmentation. In addition, caries detection process is performed with new detection method. To generate the IC for dental X- ray images, a new local IC selection for level set method is proposed. Statistical and morphological information of image is extracted to establish a technique that is able to find a suitable IC. In the second phase, statistical information of the pixels inside and outside the generated contour and linear motion filtering is used to construct the region-based signed force function to provide more stabilisation to proposed method. Furthermore, 31 features of image are extracted to train the neural network and to generate proper speed function parameter. The results of proposed method provide the high accuracy and efficiency in the process of getting teeth boarder. The next process is to detect from the segmented images. The research also proposed a new method using integral projection and feature map for every single tooth to obtain the information of caries area. The achieved overall performance of proposed segmentation method is evaluated at 120 periapical dental radiograph (Xray), with 90% accuracy rate. In addition, the caries detection accuracy rate on 155 segmented images is 98%.

ABSTRAK

Sistem pengecaman karies adalah penting bagi proses dianogsis dan rawatan penyakit pergigian. Ini boleh dikenalpasti melalui imej X-ray. Imej X-ray mengandungi maklumat penting untuk mendapatkan informasi berkaitan gigi bagi tujuan diagnostik secara khusus. Kawasan Kepentingan (ROI) mengandungi maklumat kawasan permukaan gigi tersebut. Cabaran yang perlu ditangani adalah kekotoran imej, kedalaman cahaya dan rendah kontras menyebabkan ROI bagi imej X-ray gigi tidak dapat dikenal pasti dengan tepat. Berdasarkan kepada kajian semasa terhadap semua kaedah segmentasi imej perubatan, kaedah set tahap adalah kaedah yang memberi nilai ketepatan yang baik. Walaupun begitu, masih terdapat komponen dalam kaedah segmantasi set tahap memerlukan peningkatan kaedah segmentasi dengan menentukan sempadan yang tepat untuk memisahkan ROI. Fungsi daya adalah untuk mengawal arah proses pengujian set tahap, fungsi kelajuan adalah untuk mengawal kadar kecepatan pengembangan dan penjanaan sempadan asas untuk mendapatkan ROI yang lebih tepat memerlukan penambahbaikan untuk mendapatkan ketepatan segmentasi yang lebih tepat. Dalam kajian ini, kaedah segmentasi yang baru telah dicadangkan berdasarkan kaedah set tahap untuk mendapatkan hasil yang lebih tepat. Kaedah tersebut mempunyai dua fasa: iaitu penghasilan Kontur Awalan (IC) dan kepintaran segmentasi imej berlandaskan kaedah set tahap. Di samping itu, proses pengesanan karies akan dilaksanakan dengan kaedah pengesanan yang baru. Bagi menjana IC pada imej, satu kaedah IC yang baru untuk kaedah set tahap telah dicadangkan. Maklumat statistik dan morfologi imej diekstrak untuk menghasilkan satu teknik yang boleh mencari IC yang sesuai. Pada fasa kedua, maklumat statistik untuk nilai piksel dalam dan luar kontur yang terhasil dan penggunaan penapisan gerakan linear digunakan untuk menjana fungsi daya berdasarkan kawasan bagi mengawal dan menyediakan lebih kestabilan terhadap kaedah yang dicadangkan. Selain itu, 31 ciri-ciri imej yang telah diekstrak untuk melatih rangkaian neural dan menjana parameter fungsi kelajuan yang bersesuaian. Hasil kajian menunjukkan kaedah yang telah dicadangkan memberi nilai ketepatan yang tinggi dan efisien dalam proses mendapatkan sempadan gigi. Proses seterusnya adalah untuk mengesan karies daripada imej yang telah disegmentasi. Kajian ini turut mencadangkan kaedah baru menggunakan kaedah unjuran integral dan peta sifat yang telah dibangunkan untuk setiap gigi bagi mendapatkan maklumat kawasan karies. Prestasi keseluruhan yang dicapai daripada kaedah segmentasi yang dicadangkan dinilai dengan 120 radiograf gigi periapical (X-ray), nilai ketepatan 90%. Di samping itu, pengesanan karies untuk 155 imej yang disegmentasi adalah pada nilai ketepatan 98%.

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LIST OF ABBREVIATIONS

А	_	Area
ANN	_	Artificial Neural Network
AR	_	Abnormal Region
BR	_	Background Region
BPNN	_	BackPropagation Neural Networks
С	_	Constant
CAD	_	Computer-Aided Design
СТ	_	Computed Tomography
Е	_	Energy
ESF	_	Edge Stopping Function
FP	_	False Positives
GLCM	_	Grey Level Co-occurrence Matrix
HOG	_	Histogram of Oriented Gradient
IC	_	Initial Contour
ILS	_	Intelligent Level Set
IP	_	Integral Projection
LS	_	Level Set
LT	_	Local Threshold
MRI	_	Magnetic Resonance Imaging
MRIBC	_	Morphological Region-Based Initial Contour
NR	_	Normal Region
PAR	_	Potentially Abnormal Region
PPV	_	Predefined Pixels Value
R	_	Region
ROI	_	Region of Interest
SDF	_	Signed Distance Function
SFF	_	Signed Force Function

SFP	_	Speed Function Parameter
SUSAN	_	Smallest Univalue Segment Assimilating Nucleus
SVM	_	Support Vector Machine
Т	_	Threshold
UTM	_	Universiti Teknologi Malaysia
WHO	_	World Health Organization
	_	

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CHAPTER 1

INTRODUCTION

1.1 Introduction

Medical imaging concludes various imaging techniques and processes to take image of human body (or parts and function thereof) for the purposes of diagnostic, treatment, examine the diseases or study of normal anatomy and physiology. Therefore, it has an important functionality in the improvement of human health (Bennett and Hauser, 2013). The field of medical imaging has complexity and it's depended on a context, which requires extra activities of medical experts, medical physicists, biomedical engineers as well as technicians. Hence, using image for diagnostic needs electronic technology and also medical equipment to capture pictures that exhibit inside of human body. Most common types of medical imaging tests include X-rays, Computed Tomography (CT) scans, digital mammography, Magnetic Resonance Imaging (MRI) and ultrasounds.

Dental radiograph imaging provides the information of teeth which is the normal process in dentistry area. There are many advantages and application to analyse this data in medical purposes such as: dental treatment and dental diagnosis. Dental disease is one of the most epidemic and common disease in human (Krol, 2003). To evaluate the condition of teeth and early dental disease detection it is essential to regularly checkup by dentist (Selwitz *et al.*, 2007). Early diagnosis in caries detection is important for treatment planning of the dental disease, which is affecting a majority

of population.

However, there are some important processes to achieve the information of teeth and analysis these images using computer. Image segmentation is the most known challenging process in medical image processing and even in dental image analysis (Li *et al.*, 2006). In previous years the image segmentation methods have been developed and improved to overcome the difficulties of images. However this process still remains challenging and difficult. Segmentation of dental images refers to identify and extract each individual tooth or any part of teeth in image from other parts like gum and pulp.

This thesis proposes an accurate segmentation method and feature extraction technique to extract the individual tooth from dental radiograph images and analyse segmented images to detect the caries.

1.2 Problem Background

Dental X-ray images are an important imaging examination, which can provide the detail of teeth and gums to dentist for early diagnosis and treatment purposes. It is considerable that failure in disease diagnosis by dentist or any specialist will cause damage to the patient health. However, it is normal that human makes mistakes in disease diagnosis even dentists or specialist, but what is the solution to reduce these mistakes to minimum or even zero? To overcome these problems, development of computer-aided diagnosis and caries detection algorithm has turn into a priority to help the dentists and specialists to make a better and faster decision on diagnosis and furthermore treatment.

In dental imaging and diagnosis applications, X-ray are recommended because

it shows the size, location and condition of teeth. In addition, they are able to detect the presence of cavities before they appear on the tooth's surface. The X-rays are cheaper than other imaging techniques and easy to access.

The teeth have many structures which can be distinguished by the features and textures appeared on the images. Structures which are dense (such as metal restoration or silver fillings) will appear white on film because of blocking the most of photons. The structures of empty area which contains just air, will appear by black on a film, and the other portions that will appear as shades of gray, which contain tissue, teeth and fluid. Dentists are now able to perform early diagnosis and treatment by observing the non-intrusive estimates such as the roughness and tooth surface which shows the texture and moreover, opacification and changes in tooth surface color (Roberson *et al.*, 2006). Furthermore, investigation or examination using human eye which has low sensitivity rate has less accuracy and the chance of missing some caries or miss treatment will occur (Olsen *et al.*, 2009). Moreover, detecting of some cavities in early stages cannot be seen by visual examination and even difficult to detect by human vision system in X-ray images.

The location, affection of hard tissues, etiology and rate of caries progress are the factors for dental caries classification (Sonis, 2003). Mostly the caries regions on tooth surface is not visible to human eyes and it is difficult to recognise it. Figure 1.1 shows barely visible caries on tooth over time.



Figure 1.1: Caries area on dental X-ray image (a) the X-ray without caries. (b) the X-ray image which has been taken some months later, and can detect the cavities between the teeth, which is not visible by visual examination.

Recently, developing an algorithm for caries detection and tooth damage measurement has been majority of studies (Kutsch, 2011). These systems can show the demineralization, which cannot be observe visually. It has recommended that such systems must be used to enhance the visual or visual-tactile examination, which has done by a dentist. The process of analysis of such dental images is important in order to help dentist with their work. This process comprises many different steps of image processing such as: image enhancement, segmentation, feature extraction, detection and classification.

Segmentation of dental images refers to recognition and extraction of each individual tooth or any regions of tooth like pulp and crown (Smith and Docef, 1997). Extracted regions or each individual tooth from each image represents the ROI, which contains the data to use in further steps. ROI can be define as a distinct portion of the image which identifies the one or any particular objects extracted form image (Metzler *et al.*, 2001; Michael and Nelson, 1989). Figure 1.2 demonstrates the example of extracted ROI from a bitewing dental X-ray image and each object inside the rectangle shows the ROI.



Figure 1.2: Segmentation of bitewing X-ray image and extracted ROI of each tooth with rectangle boundary around it.

Segmentation process is required the extraction of teeth surface features. Feature extracted from dental X-ray images are used to identify the area of teeth that contains the caries. There are several features that can be extracted from the image properties. This features can be classified in five groups: features based on the image characteristics, features based on statistical calculation, features based on the region of pixels, features based on the boundary of segmented region and features based on the textures on image.

Most researches on segmentation have been done within the past ten years as the computational ability of computers has increased. There is no uniquely superior technique, as each application presents its own specific challenges. It would be foolish at best to expect one algorithm alone to be successful at segmentation in any affected problem areas. This is especially true when the objects under consideration are natural (biological), rather than man-made, since: 1) biological objects exist in many shapes, sizes, colors and textures even within a single species, and 2) many different species resemble one another. Segmentation of medical images is more challenging problem due to some facts such as large variety in topologies, the complexity of structures and imaging problems like poor image qualities, low contrast, noise, several types of artifacts and limitation of scanning methods.

Image segmentation is important and challenging process in most of the medical applications to obtain the accurate and reliable results. Notice to diagnosis and treatments, boundaries of each organ become important in medical images (Clarke *et al.*, 1995). According to X-ray image properties and image segmentation and computer vision, researchers work on this problem to propose automatic or semi-automatic image segmentation methods.

However, working with X-ray images has some difficulties like noise, intensity in homogeneities and low contrast between certain tissues. The visible tissue around the teeth is gum which has similar intensity that caused more difficulties on segmentation process. Also this type of image is gray level, thus using the color feature in not possible in processing task. Noise in medical X-ray images has a number of origins, but the most fundamental is from the X-ray source itself. This type of noise is called "Quantum noise", in reference to the discrete nature of the Xray photons producing it (Sprawls, 1995). That is why segmentation on some teeth boundary has some complexity. There are many different factors that causes the intensity inhomogeneity in each images which one of the most common problem is the source of image producing device which depends on the variations of imaging situation (Chunming *et al.*, 2008).

Segmentation of dental X-ray images are more difficult due to intensity inhomogeneity which the most of regions has same range of intensity. This issue makes more challenging process in order to recognize the segmentation regions based on the pixels intensity. Most of the researchers (Nomir and Abdel-Mottaleb, 2007; Shah *et al.*, 2006; Huang *et al.*, 2012; Al-sherif *et al.*, 2012) used the intensity homogeneity technique for image segmentation which is not suitable for this kind of images. Generally, using intensity inhomogeneity feature is not appropriate for segmentation of dental images. Recent work on dental image segmentation is (Lin *et al.*, 2014) which has been performed based on local singularity analysis. They used connected component analysis and Otsus thresholding to recognize each tooth. Moreover, they utilized snake boundary tracking for tooth delineation and morphological operation. The experimental result on 28 periapical dental X-ray images which consist of 75 useful teeth shows the accuracy of True Positive (TP) by 0.8959 and False Positive (FP) by 0.0093.

Level-set methods (Osher and Sethian, 1988) have been more interesting to researchers from different areas (Deng and Tsui, 2002; Nilsson and Heyden, 2003; Jeon *et al.*, 2005). The advantage of this method is that the all level-sets represent a nice extraction of regions and boundaries without uses of complex data structures. However, level-set function is limited to separation of two regions. And if it is more than two regions, the level-set method loses the parts of its capability. Moreover, the result depends on initial contour placement (Shrimali *et al.*, 2009). The researchers (Shuo *et al.*, 2006, 2007) used variational level-set method through the use of SVM to train and find the initial contour point. However, their work is not accurate and it is not an automatic method, and needs human involvement and more over it is time consuming process.

Likewise, Gao and Chae (2010) focused on segmentation of individual tooth from CT images proposing coupled variational level set method. However, due to dental image difficulties the proposed method still have some problem with identifying the metal artifacts and accuracy in teeth segmentation. Lin P *et al.* (2010) used levelset methods for teeth alignment algorithm and segmentation of teeth. Their result shows it is a promising method but still has problem in segmentation such as time consuming and misclassifying teeth in segmentation. Originally, the level-set method uses a numerical technique for tracing interfaces and shapes (Udupa and Samarasekera, 1996), and it has been even more applied to image segmentation and especially medical images in the previous years (Shuo *et al.*, 2007; Gao and Chae, 2010). The term of level set function refers to contours of surfaces which are represents the zero level set in higher dimensional function. Recently many studies have been done to improve the image segmentation using level set method. Taheri *et al.* (2010) used intensity thresholding to define the speed function in level set for 3D tumor image segmentation. Proposed level set based segmentation method is efficient while the image is clear enough and boundaries of tumor and non-tumor regions is visible. In case, the method is not suitable for noisy images such as dental X-ray images. Zhang *et al.* (2010) proposed a region based active contours method. They utilized the gussian filtering for regularizing the level set segmentation method and reduced re-initialization of traditional level set method. However, method still depends on selection of initial contour and poor selection of initial contour will cause the result of segmentation.

Therefore, the accuracy of every process in such a dental image analysis are important for clinical diagnosis applications to reduce the risk of wrong diagnosis and speed up the treatment process. In this research the X-ray images are analyzed in order to detect the caries which are not visible by human visual inspection. The segmentation is challenging process in this research which requires improvement to obtain the high accuracy in result of caries detection.

1.3 Problem Statement

Among well-known contributions on this problem, level set based method has shown effective performance on medical and dental images segmentation. However, the accuracy of the image segmentation process need to be improve for dental X-ray images. It is because the images contain noises from imaging equipment and reflection of dental works and moreover the poor boundaries between tissues. Therefore the manual process of initial contour selection in level set method need to intelligently automate to obtain the most suitable initial contour points for getting the accurate segmentation results. Beside that, the speed function and signed force function parameters need to be intelligently identify to avoid inappropriate selection which causes unsatisfactory segmentation result. The accurate segmentation result will provide better features for caries detection process.

In most of the image segmentations using level set function, the contour level zero or initial contour has been chosen manually for all images (Gao and Chae, 2010; Shuo *et al.*, 2006, 2007), and segmentation process is done without selecting the proper initial contour which affect the result of segmentation. Selection of proper initial contours manually for each image is also a time consuming process. Images that contain noise or images that need to segment the local regions will fail in segmentation process by using this method.

One of the most important and yet largely unsolved issues in level set segmentation framework is parameter selection for speed function and signed force function (Taheri *et al.*, 2010). Usually, parameter of speed function fixed beforehand by the developer of algorithm and that will result in the best possible segmentation for images (Li *et al.*, 2011; Chunming *et al.*, 2011; Shuo *et al.*, 2007). Inappropriate choice of parameters may result in unsatisfactory segmentation and user may have to spend a significant amount of time correcting the segmentation. Furthermore, the proper signed force function will speed up the method and increase the accuracy of segmentation of images with poor boundaries.

Detection of caries in each segmented tooth requires to extract the new features of each tooth surface and identify the caries and non caries area. Develop a feature map of each tooth surface will speed up the detection process

1.4 Research Goal

The goal of this research is to increase the accuracy of segmentation process by enhancement of the level set segmentation method for extracting the individual tooth and moreover obtain the features for accurate caries detection.

1.5 Research Objectives

The main objectives of research include:

- To propose a new scheme to generate the appropriate initial contour for each images which causes more accurate result in dental image segmentation.
- To enhance the level set method by using morphological concept and artificial neural network for define the speed function parameter selection with new signed force function parameter to increase the segmentation performance.
- To develop caries detection method with the proposed segmentation method to improve the caries detection performance.

1.6 Research Scope

The research objectives are achieved by identifying the problem scope which covers the following aspects:

• Propose a novel morphological region-based initial contour generation method

and level set based method by utilizing motion filtering for signed force function and moreover neural network and feature extraction methods to generate the speed function parameter for dental X-ray image segmentation.

- Analysis on dental X-ray images which is collect from Universiti Teknologi Malaysia (UTM) Health Center, and used as dataset for this study. The images are periapical dental X-rays which is common for dental disease detection and diagnosis in clinics.
- Evaluation of segmentation performance in compare with other recent dental segmentation methods.
- Develop appropriate feature extraction technique to achieve the features map of caries area and non caries area. Furthermore, identify the tooth which has problem and achieve the area of caries.

1.7 Significance of Study

Dental diseases has high risk of affection in the globe and mostly in adult population. Worldwide WHO (World Health Organization) studies reports that the main purpose of tooth loss and most of dental disease causes by dental caries which is involving large population in world. Dental caries is one of the main concerns in dental diagnosis and treatment community which more than 90% of all the adults have dental caries (WHO, 2012). In Malaysia also more than 80% of adults have dental disease and suffering from it.

The study shall contribute to four area of digital image processing; the image enhancement, the image segmentation, feature extraction and finally classification process. Segmentation of such a dental X-ray images compare to other medical images due to its difficulties is more challenging procedure. The segmentation must be able to eliminate the background of X-ray image of teeth and achieve the individual tooth. It

is necessary to propose an accurate and robust segmentation method to use in medical images field to analysis and process of huge volumes datasets. A proper segmentation method can save long time and help doctors in order to achieve fixed results to guide them in their diagnosis and treatment (Jurgen et al., 2007). Most of the segmentation algorithms are semi-automatic. They need some human interactions to initialize and start the process and the results depend on the initial values and human experience. Moreover, necessity of such a system in dental education to assist dental students in developing the analytical and psychomotor skills is also considerable. This work will be possible to the scientific community to be a basis for other methods or to the improvement of our method which method concerns the detection of dental caries in the X-ray images.

1.8 Organization of The Thesis

This dissertation is organized as follows.

This chapter presents on overview of the dental radiograph image segmentation, background of research and problems involved in this process. It also discusses some recent research contributions in this area specifically focusing on dental X-ray image segmentation methods and highlight the problems in existing methods.

Chapter 2 presents an overview of significant contributions and literature review in the area of medical image analysis methods. It also demonstrates advantages and drawbacks of each medical image segmentation method. Furthermore, neural network and feature extraction methods are explained

Chapter 3 presents the research methodology of the proposed techniques and discusses step-by-step processes and proposed algorithms used in image segmentation

method. This chapter also discusses all the proposed techniques involved in implementation of proposed methodology on dental X-ray image segmentation and caries detection approach.

Chapter 4 presents the dataset development of this study and describes preprocessing process for obtained images. This chapter discusses the specification of dataset used in this study and how to make a better quality out of reduced image quality to achieve reliable results.

Chapter 5 elaborates on the realisation of all the two main objectives of this research. It presents the proposed method to generate initial contour for level set segmentation. Furthermore, the proposed method to produce the parameter and improve the parametric level set based segmentation is explained.

Chapter 6 presents detection process which includes extraction of each individual tooth and produce feature map of tooth surface to classification of caries and non caries area. According to the expertise guide the detection process will be done.

Chapter 7 demonstrates the experimental results for current dental X-ray image dataset. The results of each periapical radiograph image segmentation are presented with explanation. Furthermore, the popular and recent research in dental image segmentation implemented and compared with this work to evaluate the performance and accuracy of this research. This chapter also provides comparison between proposed method with other famous methods in dental X-ray image segmentation with current dataset.

Finally, chapter 8 concludes the major achievements drawn from this research and future directions are recommended.

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