# CONFIGURABLE VERSION MANAGEMENT HARDWARE TRANSACTIONAL MEMORY FOR EMBEDDED MULTIPROCESSOR FIELD-PROGRAMMABLE GATE ARRAY

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UNIVERSITI TEKNOLOGI MALAYSIA

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To my supervisors, family and friends for taking care of me during my studies

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#### ABSTRACT

Multiprocessor platforms have been introduced to solve the performance limitation of uni-processor platform. However, programming on a shared memory multiprocessor platform in an efficient way is difficult. The inefficiency of lockbased synchronization limits the performance of the parallel programs. Transactional memory (TM) provides a promising method in creating an abstraction layer for programmers to maximize hardware capacity of multiprocessor platform. Hardware TM (HTM) is faster compared to software TM although the performance of hardware transactional memory (HTM) is application-specific. Previous HTM implementations for embedded system were built on fixed version management which results in significant performance loss when transaction behaviour changes. In this thesis, a configurable version management HTM is proposed. The proposed version management is able to be configured to eager version management for low contention applications since it allows fast commit, or lazy version management that is suitable for applications with high contention since it can abort fast. In this work, an analytical model of the proposed hardware transactional processing time for different version management has been developed. With the analytical model, the bounds of the worst case and best case processing time can be estimated for a particular transaction size. The switching point of the performance between eager and lazy version management can also be estimated. The HTM has been prototyped and analyzed on Altera Cyclone IV platform. Based on our experiments, lazy version management is able to obtain up to 12.82% speed-up while eager version management obtains up to 37.84% speedup on different memory request distributions for transaction sizes of 4, 8 and 16. The proposed HTM can be configured to obtain a shorter processing time for different types of applications compared to fixed version management.

#### ABSTRAK

Platform multipemproses telah diperkenalkan untuk meningkatkan prestasi platform unipemproses. Walau bagaimanapun, proses utuk membina pengaturcaraan cekap untuk multipemproses dengan ingatan sepunya adalah sukar. Ketidakcekapan penyegerakan berasaskan kunci menghadkan kecekapan program selari. Ingatan Transaksi (TM) mewujudkan lapisan abstrak untuk memudahkan pengaturcara membina aturcara yang cekap supaya kapasiti multipemproses dapat dimaksimumkan. Perkakasan TM (HTM) adalah lebih cepat berbanding dengan perisian TM walaupun prestasi perkakasan ingatan transaksi (HTM) adalah khusus atas satu-satu aplikasi. Pelaksanaan HTM sebelum ini untuk sistem terbenam dibina dengan pengurusan versi tetap mengakibatkan penurunan prestasi yang ketara apabila corak transaksi berubah. Dalam tesis ini, ingatan transaksi dengan pengurusan versi keboleh-konfigurasi adalah dicadangkan. Pengurusan versi bersemangat sesuai untuk aplikasi dengan kadar konflik yang rendah kerana masa yang diperlukan untuk menetapkan perubahan yang dilakukan oleh satu transaksi adalah singkat. Manakala, versi malas adalah sesuai untuk digunakan dengan aplikasi dengan kadar konflik yang tinggi kerana masa yang diperlukan untuk membatalkan perubahan yang dilakukan oleh satu transaksi adalah singkat. Model analisa berdasarkan perkakasan TM juga dibincangkan dalam tesis ini. Dengan model analitikal ini, masa maksimum dan minimum untuk menjalankan transaksi dapat dianggarkan. Titik pengalihan prestasi di antara versi malas dan versi semangat dapat dianggarkan. Ingatan transaksi ini di prototaip dan dianalisa pada platform Altera Cyclone IV. Berdasarkan eksperimen yang dijalankan, pengurusan versi malas menunjukkan peningkatan sehingga 12.82% kelajuan manakala versi pengurusan bersemangat menunjukkan peningkatan sehingga 37.84% kelajuan bagi aras konflik yang berlainan untuk saiz transaksi 4, 8 dan 16. HTM yang dicadangkan dapat dikonfigurasikan bagi mendapatkan masa pemprosesan yang lebih rendah berbanding pengurusan versi tetap.

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# LIST OF ABBREVIATIONS

HTM	-	Hardware Transactional Memory
MPSoC	_	Multiprocessor System on Chip
STM	_	Software Transactional Memory

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### **CHAPTER 1**

#### INTRODUCTION

Multiprocessor System-on-Chip (Multiprocessor System on Chip (MPSoC)) consists of several general-purpose processors on in a single chip and shares hardware resources such as memory and input/output (I/O) pins. MPSoC offers lower latency, higher bandwidth and lower clock rate without losing throughput [1] compared to uni-processor systems. MPSoC has become a norm for servers, desktop, and embedded systems. However, programmers could not fully exploit parallelism potential of MPSoC parallel architecture as software industry still produce programs for uniprocessor. Application tasks are still handled sequentially, thus making the performance of MPSoC similar to uniprocessor for a single segmented application. In order to improve MPSoC throughput by running programs in parallel [1], memory architecture must also facilitate parallelism at atomic level.

Parallel programming model partitions tasks to parallel executables in parallel execution such that the time taken to complete the task can be made considerably low. However, maximizing parallel programming potential is not trivial, even for expert programmers [2]. Message passing and shared memory are the most common parallel programming models. Message passing models need explicit communication in which programmers are required to synchronize memory access. On the other hand, shared memory model requires blocking synchronization to maintain coherency among multiple threads. When a task acquires a lock for a specific memory segment, other tasks have to wait until that particular task has been completed and the memory segment is unlocked. Shared memory model are hardware supported, where everything occurs implicitly [1]. Software level management causes large overhead and programmers needs to know the memory segments modified. The scratch pad model is an example of methods which manage parallel access of memory. However, memory transfer need to be done beforehand, thus making it suitable for certain applications [2].

In general, shared memory MPSoC uses blocking synchronization. Blocking synchronization can be divided into fine grain lock and coarse grain lock. Fine grain locking gives better performance than coarse grain but at the cost of development time. On top of that, fine grain method requires programmers to explicitly develop applications using fine-grain locks. On the other hand, coarse grain locking is much easier to implement. However, large chuck of memory segment which are locked becomes unavailable to other threads and thus, potential parallelism could not be fully exploited. Fine grain lock requires multiple locks each for a memory segment to allow parallelism. However, this type of synchronization needs to be handled by the programmer. In short, synchronization on shared memory based on locks and mutual exclusion are difficult to scale [2].

A much simpler abstraction for MPSoC synchronization is by using transactions. A transaction is defined as a sequence of memory read and write that belong within a single thread. Transactional memory provides non-blocking wait-free synchronization on multiple threads accessing the same memory location. Its execution is atomic, isolated, durable and consistent. Each thread or processor will have to execute its task as transactions. When there is a conflict between two or more transactions, all transactions except the winner have to restart or abort. For a successful transaction, modification made by it becomes permanent and available for other transactions to modify at the end of the transaction. In the end, all these changes are updated for all transactions and become visible to the other threads or processors.

### **1.1 Problem statement**

Currently, lock-based synchronization schemes are widely used for synchronizing MPSoC threads. The increasing application programming complexity has led on researches towards TM. Software Transactional Memories (STM) such as [3, 4] are flexible in-terms of size of transaction. However, their performance is inferior to hardware TM. The main tasks of transactional memory (TM): conflict detection, commit and abort; are done in software and cause high latency, making STM the bottle neck in MPSoC [1]. Thus, several hardware transactional memory (HTM) architectures have been proposed such as [5–10] which focus on high performance cache coherent systems.

Existing HTM architectures were implemented and tested in simulation

environment to allow architectures that are more complex to be proposed without concerning about the underlying hardware implementation details. Another spectrum of HTM implementation are those works that focus on building HTM prototype on field programmable gate array (FPGA) platform. ATLAS [11] and Real Time Transactional Memory (RTTM) [12] focuses on prototyping HTM for embedded architecture. NetTM [13] HTM implementation is targeted for network applications. These HTM architectures are based on fixed configurations and their performance is highly dependent on the variant running applications.

Designing HTM for embedded system put consideration on energy consumption and simplified complexity of HTM design. EmbeddedTM [14] focuses on reducing power consumption. However, it also uses cache coherent protocols which adds significant resource overhead that is too complex for embedded applications [2]. CTM [2] has introduced a generic approach in building HTM for embedded system. In this design, HTM can be configured to either lazy or eager conflict management to suit to application demand (i.e., probability of conflict). Its architecture consists of a unified cache for all processors, eliminating the need for complex coherence protocol. However in [2], version management context was not fully exploited, where transactional memory cache inside CTM needs to update main memory one word at a time.

### 1.2 Objectives

The primary objective of this thesis is to prototype a hardware transactional memory for MPSoC system. The baseline architecture is an improvement on CTM [2] by embedding configurable version management mechanism. Hence, the proposed HTM version management can be configured between eager and lazy as each configurations is more suitable for different types applications. Specifically, this thesis proposes the following.

- 1. The first objective is to characterize the performance of different version management schemes based on the CTM architecture [2] to work with varying application behaviours (contention level). This is done through analytical modelling of HTM version management.
- 2. The second objective is to prototype configurable version management HTM architecture on FPGA. The proposed architecture is parametrizable and able to

initiate switching of version management at run time.

3. The third objective is to verify the proposed HTM performance. This includes comparison and analysis of the analytical model with results from simulation on hardware prototype.

### 1.3 Scope of Work

This thesis focuses on characterizing the effect of version management on the performance of HTM. Conflict management is kept constant using lazy conflict management to provide a fair comparison between both version managements. Different conflict management may result in different pathology to complete execution of program, thus producing varying performance [15].

HTM overflow handling mechanism is not implemented. Overflow in HTM happen when the number of transactions that need to be speculated is more then the available memory space. All transactions in the test cases in this thesis are designed to be within the bound of the memory hardware capacity.

The HTM prototype presented in this thesis uses only available memory blocks available on the FPGA device. There is no difference in access time for speculative memory or the main memory. The memory access speed up factor between the speculative memory and main memory is fixed at 1. The discussion on the effect of hierarchical memory is not included in this thesis.

There are no additional instructions implemented in for the proposed transactional memory. Processors access the proposed HTM at the instruction level. Similar with [2], the HTM is shared among different processors, where each processor has a local cache for instructions. By doing this, heterogeneous core with or without individual cache is able to use the HTM.

### 1.4 Contributions

The proposed HTM architecture is an improved architecture over [2]. A MPSoC platform using four Nios II processors has been developed to verify the

functionality of the proposed HTM architecture against the STM library [16]. A configurable version management HTM architecture is proposed with the ability to switch its version management at run time. The proposed HTM is also parameterizable and is able to be configured based on varying application demand. An analytical model has been formulated to approximate the bounds of processing time for both eager and lazy version management. Using this analytical model, it is possible to determine the most suitable version management for a certain application.

### 1.5 Thesis Organization

The rest of the thesis is organized as follows.

Chapter 2 covers related works in literature and discusses important aspects of TM analysis. This chapter also explains the problems in producing efficient programs for MPSoC systems. The advantages and disadvantages of current parallel programming models are also discussed. This chapter then provides a detailed discussion on TM and existing TM architecture in hardware.

Chapter 3 provides the methodology for the work done presented this thesis. This chapter also includes the general approach in TM research, as well as the tools and platform used to model, prototyped, and verify the proposed TM. The final section in this chapter describes the method used for creating datasets for verification purposes.

Chapter 4 presents the characterization of the proposed HTM. An analytical model is proposed to characterize the bounds of HTM processing time. Based on the model, the relationship of HTM processing time and different application contention levels is analysed. The comparison between the mathematical model and the implementation model is also provided.

Chapter 5 provides an overview of the hardware TM architecture of the proposed HTM system and a detail description of the proposed FPGA SoC hardware prototyping at different abstraction levels. This chapter also discusses the design consideration to allow HTM to be deployed in real MPSoC embedded system. Besides, the HTM programming model is also shown at the end of in this chapter.

Chapter 6 summarizes the work presented in this thesis, re-stating the contributions to knowledge, and suggests directions for future research.

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