

SEA SURFACE SALINITY RETRIEVAL BASED ON LEVENBERG
MARQUARDT ALGORITHM USING SATELLITE DATA

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Dedicated to my beloved mother and father,

My beloved one

My beloved siblings....

And Special Appreciation to

All lecturers from Department of Remote Sensing

Thanks for all the support...

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ABSTRACT

Soil Moisture Ocean Salinity satellite exploits the frequency of 1.4 gigahertz which represents the best conditions for salinity retrieval. The new challenge is to interpret the observed brightness temperature into the salinity. The main objective of this study is to measure the sea surface salinity in the South China Sea using the Levenberg Marquardt algorithm. The methodology of this study involves the mapping of this algorithm to solve the non-linear least squares in order to obtain the salinity. The salinity was estimated based on the comparison between brightness temperature measured and brightness temperature simulated value of the successive iteration. The difference between both brightness temperature values is compared to the desired threshold at each iteration, this recursive process either updates the brightness temperature simulated or finally terminated if the brightness temperature difference is lower or higher than that threshold respectively. The salinity values estimated from the designed of Levenberg Marquardt algorithm tools were assembled, thus maps of sea surface salinity were produced. Some accuracy analyses were carried out to identify the appropriateness of a Levenberg Marquardt algorithm for the salinity retrieval. The results of the regression analysis and Pearson Correlation Coefficient indicate that sea surface salinity measured performs high correlation with the sea truth data, which is 0.9042 and ± 0.9509 psu, respectively. The analysis of variance by testing the hypothesis indicates that there is no substantial difference between the mean of sea surface salinity from the satellite and sea truth data. The root mean square error of measured sea surface salinity is smaller compared to the sea truth data values. In conclusion, the appropriateness of Levenberg Marquardt algorithm in inverting the salinity in the non-linear technique proved as a solution for ill-posed inversion that estimates the sea surface salinity from the Soil Moisture Ocean Salinity brightness temperature.

ABSTRAK

Satelit Kelembapan Tanah Kemasinan Laut mengaplikasi frekuensi sebanyak 1.4 gigahertz di mana ia merupakan jalur yang terbaik bagi penganggaran kemasinan. Cabaran baru ialah untuk mengadaptasi suhu kecerahan yang dicerap kepada kadar kemasinan. Objektif utama kajian ini adalah untuk menentukan kemasinan permukaan laut di Laut China Selatan menggunakan algoritma Levenberg Marquardt. Kaedah digunapakai dalam kajian ini melibatkan penggunaan algoritma tersebut untuk menyelesaikan kuasa dua terkecil tidak langsung dalam menentukan kadar kemasinan. Nilai kemasinan dianggar berdasarkan perbandingan di antara cerapan suhu kecerahan dan simulasi suhu kecerahan untuk lelaran berterusan. Perbezaan di antara kedua-dua suhu pencerahan dibandingkan dengan nilai ambang yang dikehendaki pada setiap lelaran dan proses rekursif ini samada akan mengemaskini semula nilai simulasi suhu kecerahan atau prosesnya ditamatkan sekiranya perbezaan suhu kecerahan lebih rendah atau lebih tinggi daripada nilai ambang masing-masing. Penganggaran kadar kemasinan daripada algoritma Levenberg Marquardt yang direka telah dikumpul, seterusnya menghasilkan peta kemasinan permukaan laut. Beberapa analisis ketepatan dijalankan bagi menilai kesesuaian algoritma Levenberg Marquardt terhadap penentuan kadar kemasinan. Hasil bagi analisis regresi dan Pekali Hubungan Pearson menunjukkan kadar kemasinan laut memberikan perkaitan yang paling hampir dengan data lapangan, iaitu masing-masing merekodkan 0.9042 dan ± 0.9509 psu. Analisis kepelbagaian dengan menguji hipotesis menunjukkan tiada perbezaan yang ketara di antara purata kadar kemasinan laut daripada data satelit dan data lapangan. Ralat punca min kuasa dua bagi kadar kemasinan yang dicerap adalah lebih kecil berbanding nilai data lapangan. Kesimpulannya, kesesuaian algoritma Levenberg Marquardt dalam penyongsangan kadar kemasinan bagi teknik tidak langsung terbukti sebagai satu kaedah penyelesaian untuk menentukadar kemasinan laut daripada suhu kecerahan Kelembapan Tanah Kemasinan Laut.

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LIST OF SYMBOLS

B	-	Radiance [$\text{Wm}^{-2}\text{sr}^{-1}$]
F_t	-	The Power Flux Emitted [$\text{W}\cdot\text{sr}^{-1}$]
A_t	-	Unit of Surface [m^2]
A_r	-	The Effective Area of the Antenna [m^2]
R	-	The Distance between the Antenna and the Radiating Target [m]
Ω_t	-	The Transmitting Antenna [.]
P	-	Power [Watts]
Δf	-	Bandwidth of the Receiver [Hertz]
f	-	Frequency [Hertz]
h	-	Constant of Planck (6.63×10^{-34} Js)
T	-	Absolute Physical Temperature [K]
c	-	Speed of Light [ms^{-1}]
B_{bb}	-	Brightness of a Blackbody [K]
e	-	Emissivity [.]
R	-	Fresnel Power Reflection Coefficient Dependent on the Polarization. [.]
T_b	-	Brightness Temperature [K]
$T_{b,flat}$	-	Brightness Temperature of a Flat Sea Surface [K]
$T_{b,rough}$	-	Contribution of Sea Surface Roughness [K]
θ	-	Incidence Angle [degree]
SST	-	Sea Surface Temperature [$^{\circ}\text{C}$]
SSS	-	Sea Surface Salinity [psu]
P_{rough}	-	Parameter Used To Characterize the Roughness
Γ	-	Reflectivity [.]
R_p	-	Fresnel Reflection Coefficient At Polarization p

ϵ	-	Dielectric Constant of Seawater [.]
ϵ_{∞}	-	The Dielectric Constant at Infinite Frequency [.]
ϵ_s	-	The Static Dielectric Constant [.]
ω	-	Radian Frequency [Hertz]
τ	-	The Relaxation Time [seconds]
i	-	Imaginary Number [.]
σ	-	The Ionic Conductivity [mhos/meter]
ϵ_0	-	The Permittivity of Free Space [farads/meter]
W_{10}	-	Wind Speed Below than 10 m/s [m/s]
χ^2	-	The Sum of Squared Difference between T_b meas and T_b sim
$T_{b,meas}$	-	Measured Brightness Temperature [K]
$T_{b,sim}$	-	Simulated Brightness Temperature [K]
N	-	Number of SMOS Measurements along a Dwell Line
σ_p	-	Variance of The Expected Error of the Reference Values

LIST OF ABBREVIATIONS

AMSR-E	-	Advanced Microwave Scanning Radiometer for EOS
CCSDS	-	Consultative Committee for Space Data Systems
CDOM	-	Color Dissolved Organic Matter
CEOS	-	Committee on Earth Observation Satellites
DGG	-	Discrete Global Grid
ECS	-	East China Sea
EEZ	-	Exclusive Economic Zone
EMR	-	Electromagnetic Radiation
ENSO	-	El-Nino Southern Oscillation
E-P	-	Evaporation minus Precipitation
ESA	-	European Space Agency
FOV	-	Field of View
ISEA	-	Icosahedral Synder Equal Area
L1C	-	SMOS Level 1C data
MIRAS	-	Microwave Imaging Radiometer Using Aperture Synthesis
SMOS	-	Soil Moisture and Ocean Salinity
SSS	-	Sea Surface Salinity
SSA	-	Small Slope Approximation Model
SST	-	Sea Surface Temperature
SWH	-	Significant Wave Height
W	-	Wind Speed
WISE	-	Wind and Salinity Experiments

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CHAPTER 1

INTRODUCTION

1.1 Background of the Study

Study on ocean becomes significant as the ocean covers almost 71 percent of the earth's surface and it has larger influence and capability in transporting energy. As a result, this imposes knowledge on coastal characteristics and climate to be improved. Salinity is dissolved salt or literally defined as the total amount of dissolved solids in the units of 1000 grams. Interaction between lattices and water molecules induces salinity to form the ion which is the charged molecules. By the presence of molecule charges, salinity can be determined by seawater's conductivity. The main salt ions contributed to the seawater element are chlorine, sodium, sulphate, magnesium, calcium, and potassium. Seawater also contains some types of dissolved gases such as carbon dioxide, nitrogen, and oxygen.

In the climatological aspect, salinity observation becomes an integral part of global ocean observations designed ultimately to monitor interannual to interdecadal processes as of the idea is to understand uncertainties of El-Nino Southern Oscillation (ENSO) forecasting, global warming and other climate variations (Lagerloaf *et al.*, 1995). In fisheries, the lower salinity level that originated from the

fresh water end turns sea grass blades to yellow and thus this adversely impacts the breeding ground for fish, prawns and other aquatic lives (Thorhaug *et al.*, 2006).

Salinity plays an important role in the earth's water cycle in which it subsequently affects the weather and climate by means of temperature salinity that drives the ocean currents. The change in salinity is mainly caused by the additional or removal of freshwater from land. The salinity of sea water is normally about 30 to 35 psu (practical salinity unit) in open ocean but tends to be variable in coastal water coming from the fresh water output, tidal fluctuations and etc (Thorhaug *et al.*, 2006). Several studies have reported that the reflectance spectra of certain seagrass species indicating the physiology of the seagrass are strongly and significantly affected by low salinity level (Thorhaug *et al.*, 2006). Level of salinity in water can be classed in different types based on the electrical conductivity and salt concentration tabulated in Table 1.1.

Table 1.1: Class of salinity level in water (Rhoades *et al.*, 1992).

Water class	Electrical conductivity (dS/m)	Salt concentration (mg/l)	Type of water
Non-saline	<0.7	<500	Drinking and irrigation water
Slightly saline	0.7 - 2	500-1500	Irrigation water
Moderately saline	2 - 10	1500-7000	Primary drainage water and groundwater
Highly saline	10-25	7000-15 000	Secondary drainage water and groundwater
Very highly saline	25 - 45	1 5 000-35 000	Very saline groundwater
Brine	>45	>45 000	Seawater

Sea water or ocean is strongly related to hydrologic water cycle in the context of providing sustainability of the origin of the sea water. The water vapor evaporates from the ocean surface and gaseous are released from the molten igneous rocks which provide functionality in cooling the earth. Later, the earth's surface becomes

cool until reaching the level below the boiling point temperature. Rain takes part in the continuous process to gain the humidity of the ground and flows to the watershed and the ocean.

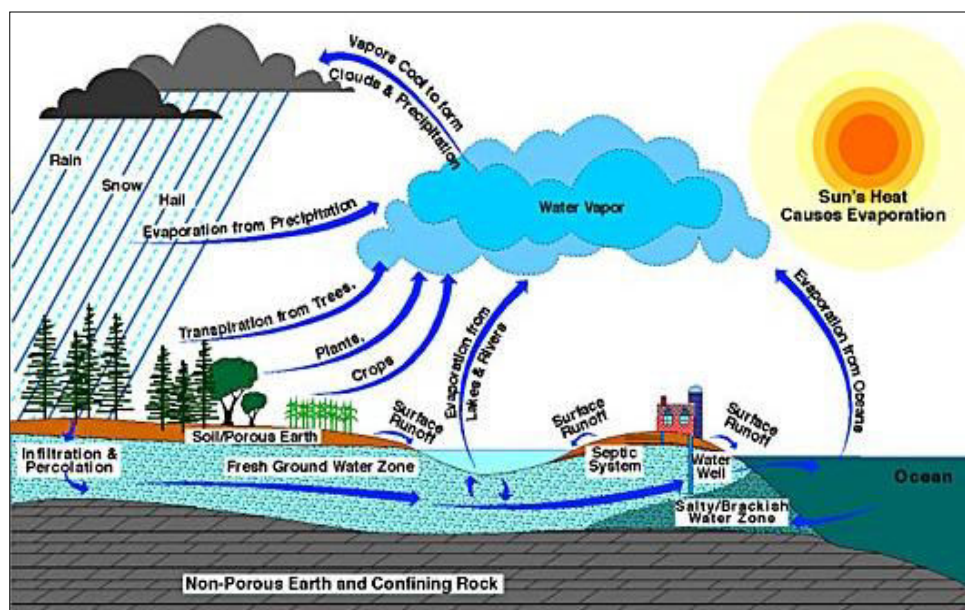


Figure 1.1: The hydrologic water cycle (from <http://www.Greenwatersheds.Org/Cycle.Html>).

The sun heat distills pure water from the sea surface and keeps the salt remains in the ocean that later contributes to the oceans salinity. All these processes are schematically presented as hydrologic water cycle in Figure 1.1. The hydrologic water cycle is the continual exchange of water between the Earth and the atmosphere which explains the existing of oceans water and sources of the salts.

Conventional methods to observe sea surface salinity (i.e., by means of hydrolab taken in the excursion by vessel) are very time consuming, expensive and limited to small area. On the other hand, remote sensing technique has proved as an efficient technique in mapping the sea surface salinity at regional or global scale. Several algorithms have been introduced to measure the sea surface salinity by space-borne data.

1.2 Problem Statement

Traditional methods that extract salts directly from the ocean are very time consuming, expensive and limited to small area coverage. For instance, the estimation of net Evaporation minus Precipitation (E-P) has high correlation with sea surface salinity and therefore is used to relatively estimate the sea surface salinity. Even though the net E-P provides better understanding of the thermohaline circulation and later this technique helps to improve the estimation of latent heat flux, E-P measurement imposes massive manpower, high time consuming and very costly.

Sea surface salinity retrieval by remote sensing technique proved as an efficient technique in mapping the salinity at regional or global scale. In the context of Malaysian coastal waters, focus more on the sea-truthing than satellite-based measurements are mainly reported. The satellite derived sea surface salinity was majorly formulated by means of optical bands at which interferences by weather, cloud covers and atmospheric induced error are regularly encountered. There is also concern on the impact of seasonal monsoon towards the sea surface salinity particularly at the east coast of Malaysia where the study on impact is necessary for biological production and ocean ecology studies.

Most of the satellite derived sea surface salinity was obtained by optical remote sensing data though this approach has disadvantages of interferences produced by atmospheric condition, weather and cloud covers. This is not a case for the microwave radiometer type satellite called Soil Moisture and Ocean Salinity (SMOS) which has been deployed in space in 2009 by which high degree of ocean salinity and soil moisture are retrieved using microwave sensor. Yet, the salinity product estimated from the Microwave Imaging Radiometer Using Aperture Synthesis (MIRAS) have yet been calibrated and validated as this 1.4GHz L-band sea surface salinity variant is ill-posed solution. As a result, high order non linear solution is needed and provides complicated solution.

1.3 Objectives

The aim of this study is to measure the sea surface salinity using the SMOS data over the South China Sea. Therefore, the objectives of this study are:

1. To develop a tool for the SMOS sea surface salinity retrieval based on a non-linear inversion algorithm using the ocean surface brightness temperature data.
2. To validate the SMOS sea surface salinity retrieval over the coastal water of Malaysia using the corresponding in-situ measurements.
3. To map the ocean salinity distribution of South China Sea from SMOS data.
4. To determine the impact of seasonal monsoon on the estimated SMOS sea surface salinity.

1.4 Scope of Study

The scopes of this study are as follows,

1. Soil Moisture and Ocean Salinity (SMOS) data providing sea surface salinity information within large area of 35 kilometre and revisiting time of 3 days with accuracy between 0.5 to 1.5 psu for a single observation is used as the primary data. Level 1C data is projected on an Icosahedral Synder Equal Area (ISEA 4H9) grid provides a uniform inter cell distance of 15 km.
2. Klein and Swift model (1977) and semi-empirical models are considered to compute the brightness temperature in both conditions of flat sea surface and rough sea surface as those models provide systematic and straight ward estimation procedures.

3. Levenberg Marquardt technique is chosen to solve for the non-linear optimization and inversion on the SMOS brightness temperature pixels because the technique provides simultaneous estimation of sea surface salinity, sea surface temperature and wind speed.
4. Sea truth data of sea surface salinity and sea surface temperature were used for algorithm validation and the fieldwork was carried out in the coastal water of east coast of Peninsular Malaysia on June 2008 and June 2009. Besides that, some sea truth data were obtained from the related agencies namely Universiti Malaysia Terengganu (UMT) and Southeast Asian Fisheries Development Center (SEAFDEC).
5. For calibration and validation of the SMOS data, regression analysis provides better overview on the accuracy of SMOS derived sea surface salinity.

1.5 Significance of Study

This is the first study of SMOS data application in the Malaysia coastal water that involves extensive data processing (i.e., SMOS data acquisition, brightness temperature estimation and validation) and development of iterative non-linear inversion algorithm. The SMOS mission is dedicated to continuously measure the ocean salinity and soil moistures over the globe at the higher degree of accuracy in space and time. This study would serve to the salinity mapping over coastal water in east coast Peninsular Malaysia and give benefit to fisheries, aquaculture and habitats for coral reef and sea grass. Salinity affects water density that controls the sinking of water and the patterns of evaporation over the ocean. This would therefore improve the knowledge of the water cycle and thus gives better understanding of climate change. Salinity information helps to constrain the hydrological cycle and by

incorporating high degree of accuracy of salinity may improve ocean circulation modelling and data assimilation (Yueh *et al.*, 2000).

Validation and calibration of SMOS salinity product may serve to local satellite mapping in order to improve the accuracy of data product. In this case, discrepancy of satellite salinity product is reduced so that increases its data reliability in space and time. As result, high accuracy remote sensing data offer more effective salinity mapping technique and more cost efficiency covering large ocean areas than that of conventional ones. Study on the impact of seasonal monsoon to the salinity distribution give significance overview for the ocean bio geochemical identification namely shellfish productivity, aquaculture, ice melt process, major river run-off events and fish location dependent parameters (Castillo *et al.*, 1996; Morita *et al.*, 2001).

1.6 Study Area

The study area is in the South China Sea as shown in Figure 1.2. The area covered from 2°30'N and 103°00'E to 6°00'N and 105°00'E that governs open seawater with low and high salinity range. There are various surrounding marine resources and habitats that rich with coral reefs, sea grass and seaweeds and therefore this area is also known as the Exclusive Economic Zone (EEZ). The change in salinity in turn affects the coral reefs, sea grass and seaweed habitats that eventually intervenes the growth and life of fish, prawns, sea cucumber and other marine resources. The South China Sea is the marginal sea and connects to the East China Sea (ECS), the Pacific Ocean in northern and also links with the Java Sea and the Sulu Sea in the south. The South China Sea is one of the busiest ocean routes and networks for ships.

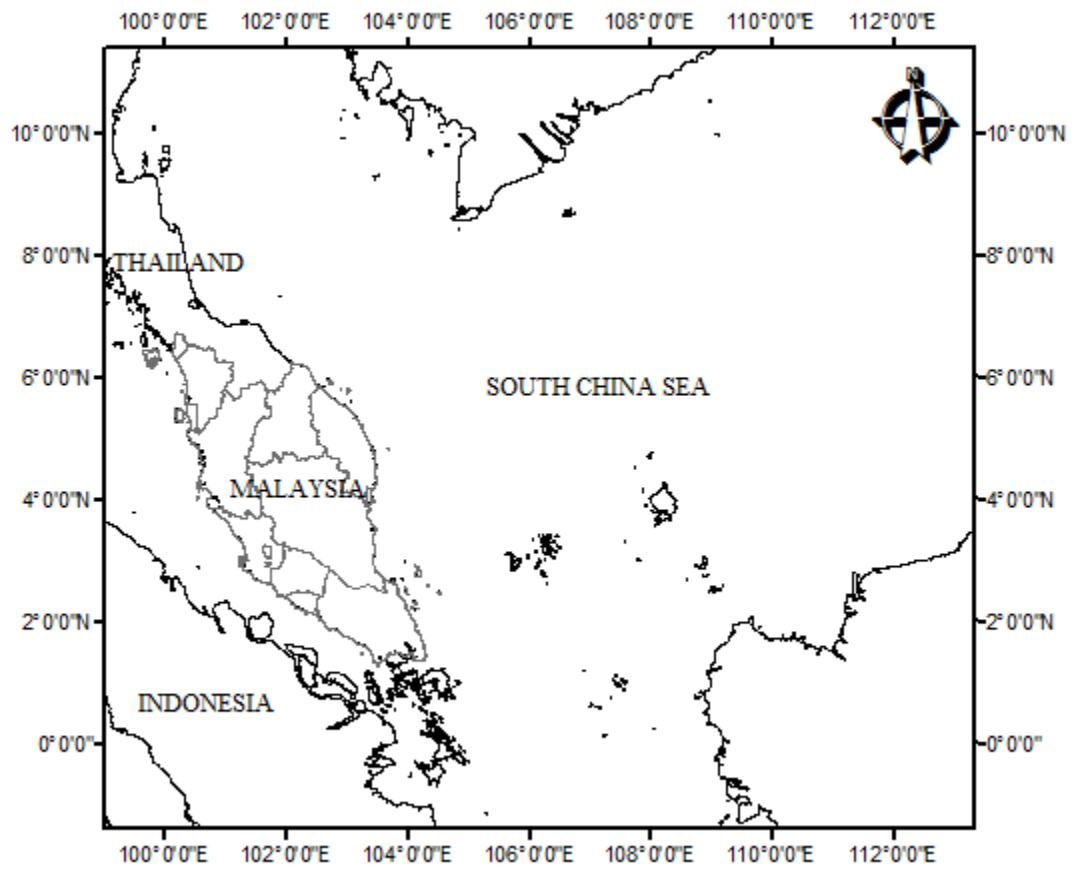


Figure 1.2: Location map of the study area.

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