

FULLY INTEGRATED IMPEDIMETRIC DEOXYRIBONUCLEIC ACID
BIOSENSOR DESIGN USING 0.18 μm COMPLEMENTARY METAL OXIDE
SEMICONDUCTOR TECHNOLOGY

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To my beloved mother and father

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ABSTRACT

Deoxyribonucleic acid (DNA) biosensor is a powerful tool that utilizes the DNA hybridization procedures to detect the presence of bacterial and virus diseases through the use of highly conserved DNA sequences. Label-free and fully integrated biosensor has favored the developing of a low cost Point-of-Care (POC) device. Recently several studies on electrical detection of biomolecules that is based on the changes in electrical double layer capacitance of the bio-functionalized electrode surface have been proposed. Such systems harness the unique impedance values i.e. permittivity of the biomolecules. However, this method does not present enough stable and accurate electrical signal since the double layer formed at the electrode-electrolyte interface is an imperfect insulator. In capacitive sensing, the occurrence of ion conduction through the permeable DNA layers can cause leakage by discharging the charge on the double layer capacitance. Therefore, a more efficient detection method is desirable. This work demonstrates an impedimetric DNA detection circuit using standard Complementary Metal-Oxide Semiconductor (CMOS) technology. In this approach, the electrical changes are defined by computing both capacitance and resistance of the electrode-electrolyte interface. A fully integrated biosensor circuit design consists of an on-chip microelectrode, a current-to-voltage converter (IVC) and two quadrature phase double-balanced Gilbert cell mixers using 0.18 μm Silterra CMOS process is carried out. The Direct Current (DC) output voltage of the detection circuit is used to estimate the magnitude and phase of the measured admittance. The IVC shows a transimpedance gain of 166 dB and an input referred noise current of $332 \text{ fA}/\sqrt{\text{Hz}}$ in 10 kHz bandwidth. The total power dissipation from 1.8 V DC supply is $97.2 \mu\text{W}$ and the size of the layout area is approximately $4485 \mu\text{m}^2$. The developed biosensor has great potential for future array integration due to its low power and flexibility in miniaturization.

ABSTRAK

Biosensor asid deoksiribonukleik (DNA) adalah satu alat berkuasa yang menggunakan prosedur penghibridan DNA untuk mengesan penyakit bawaan bakteria dan virus melalui penggunaan jujukan DNA yang sangat terpelihara. Biosensor bersepadu sepenuhnya serta bebas label telah menggalakkan pembangunan peranti *point-of-care* (POC) berkos rendah. Baru-baru ini, beberapa kajian terhadap pengesanan elektrik biomolekul berdasarkan perubahan dalam kapasitan elektrik dua lapisan pada permukaan elektrod bio-fungsian telah dicadangkan. Sistem ini memanfaatkan nilai impedan unik iaitu ketelusan biomolekul. Walau bagaimanapun, kaedah ini tidak menunjukkan signal elektrik yang stabil dan tepat kerana lapisan dua elektrik yang terbentuk di permukaan elektrod-elektrolit bukanlah penebat yang sempurna. Dalam penderiaan kapasitan, konduksi ion berlaku melalui lapisan DNA dan menyebabkan kebocoran secara pembebasan cas terhadap kapasitan dua lapisan. Oleh yang demikian, kaedah pengesanan yang lebih efisien sangat dikehendaki. Kajian ini mendemonstrasikan litar pengesanan impedan DNA menggunakan teknologi standard oksida logam pelengkap semikonduktor (CMOS). Dalam kaedah ini, perubahan elektrik ditentukan dengan mengira kedua-dua kapasitan dan rintangan di permukaan elektrod-elektrolit. Rekabentuk litar biosensor bersepadu adalah terdiri daripada mikroelektrod, penukar arus voltan (IVC), pencampur sel Gilbert dengan dua fasa kuadratur seimbang berganda menggunakan proses 0.18 μm Silterra CMOS. Nilai keluaran arus terus (DC) litar pengesanan digunakan untuk membuat anggaran magnitud dan fasa lepasan yang diukur. IVC menunjukkan 166 dB gandaan transimpedans dan input arus hingar yang dirujuk adalah 332 $\text{fA}/\sqrt{\text{Hz}}$ dalam 10 kHz jalur lebar. Jumlah pelepasan kuasa daripada bekalan DC 1.8 V adalah 97.2 μW dan keluasan litar pelan adalah kira-kira 4485 μm^2 . Litar biosensor yang telah dibangunkan mempunyai potensi yang tinggi untuk integrasi pada masa depan kerana penggunaan kuasa yang rendah dan fleksibiliti dalam pengecilan.

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LIST OF ABBREVIATION

A	-	Adenine
AC	-	Alternating current
ADC	-	Analog-to-digital converter
C	-	Cytosine
cDNA	-	Complementary ssDNA or target DNA
CEDEC	-	Collaborative Microelectronic Design Excellence Centre
CG-TIA	-	Common gate transimpedance amplifier
CMFET	-	Charge-modulated field-effect transistor
CMOS	-	Complementary metal oxide semiconductor
CMRR	-	Common-mode rejection ratio
DC	-	Direct current
DNA	-	Deoxyribonucleic acid
DRC	-	Design rule check
dsDNA	-	Double-Stranded deoxyribonucleic acid
EDA	-	Electronic design automation
EIS	-	Electrochemical impedance spectroscopy
etc.	-	And so on
FF	-	Fast NMOS fast PMOS

FGMOS	-	Floating gate field-effect MOSFET
FRA	-	Frequency response analyser
FS	-	Fast NMOS slow PMOS
G	-	Guanine
GBA	-	Gain boosting amplifier
GBW	-	Unity gain bandwidth
Gox	-	Glucose oxidase
IC	-	Integrated circuit or microchip
ICMR	-	Common mode voltage range
ILO	-	Interlevel oxide
ISFET	-	Ion-selective field-effect transistor
I-V	-	Current-to-voltage
IVC	-	Current to voltage converter
LVS	-	Layout versus schematic check
MOSFET	-	Metal oxide field-effect transistor
PEX	-	Parasitic extraction
PO	-	Protective overcoat
POC	-	Point-of-care
PSD	-	Phase-sensitive detection
PSRR	-	Power supply rejection ratio
RC	-	Resistor-capacitor
SF	-	Slow NMOS fast PMOS

SS	-	Slow NMOS slow PMOS
ssDNA	-	Single-stranded deoxyribonucleic acid
T	-	Thymine
TIA	-	Transimpedance amplifier
TT	-	Typical NMOS typical PMOS
USM	-	Universiti Sains Malaysia
UTM	-	Universiti Teknologi Malaysia
VA	-	Voltage amplifier

LIST OF SYMBOLS

a	-	Standard deviation constant
A	-	Area of the electrode
A_{TIA}	-	Transimpedance gain
C	-	Capacitance
$C1A$	-	Orthogonal signal of Mixer X
$C1B$	-	Inverted orthogonal signal of C1A
$C2A$	-	Orthogonal signal of Mixer Y and quadrature phase of orthogonal signal C1A
$C2B$	-	Inverted orthogonal signal of C2A Mixer Y and quadrature phase of orthogonal signal C1B
$C_0(0, t)$	-	Concentration of the oxidised species at time t
C_{DL}	-	Capacitance of the double layer
C_{GC}	-	Gouy-Chapman capacitance
C_H	-	Capacitance of the stern layer
C_{OX}	-	Oxide capacitance
C_L	-	Load capacitance
C_{PD}	-	Parasitic capacitance
$C_R(0, t)$	-	Concentration of the reduced species at time t

e	-	Charge of an electron = $1.6 \times 10^{-19}\text{C}$
E^0	-	Standard equilibrium potential
f_{-3dB}	-	-3dB cut-off frequency
g_m	-	Transconductance
I	-	Current
I_D	-	Drain current
I_{DA}	-	Drain current of VA
I_{DS}	-	Drain to source current
I_{if}	-	Current through transistor (MN ₁₃ TO MN ₁₆) in double-balanced Gilbert cell mixer
I_{IN}	-	Input signal of IVC
$\overline{I_n^2}$	-	Noise current
$\overline{ i_{n,in} ^2}$	-	Input referred noise current
k^0	-	Standard rate constant
k_1	-	Rate constant of the forward reaction
k_2	-	Rate constant of the reverse reaction
k_B	-	Boltzmann constant = 1.38×10^{-23} J/K
L	-	Length of transistor
MN	-	NMOS transistor
MP	-	PMOS transistor
N_A	-	Avogadro's number = 6.022×10^{23}
N_b	-	Doping concentration

ne^-	-	Number of electron
Ox	-	Oxidation state of an element
P_{diss}	-	Power dissipation
Q	-	Amount of charge
Re	-	Reduction state of an element
R_B	-	Bit rate
R_{CT}	-	Charge-transfer resistance
R_F	-	Feedback resistance
R_{in}	-	Input Resistance
R_L	-	Load resistance
R_{out}	-	Output resistance
R_S	-	Bulk resistance of the solution
S_{Vt}	-	Standard deviation of the threshold voltage mismatch
T	-	Temperature
t_{ox}	-	Transistor gate oxide thickness
V	-	Voltage, V
V_{CG_TIA}	-	Output voltage of CG-TIA with GBA
$V_{CG_TIA_R}$	-	Output voltage of Replica CG-TIA with GBA
V_{DD}	-	Power supply voltage
V_{DS}	-	Drain-to-source voltage
V_{GS}	-	Source-to-gate voltage
V_{if}	-	Intermediate frequency signal

V_{input}	-	Input voltage of dual lock-in amplifier
V_{IVC}	-	Output signal of IVC
V_{IVC_R}	-	Output voltage of replica IVC
V_{lo}	-	Local oscillator frequency signal
$\overline{V_{n,OUT}^2}$	-	Noise voltage
V_{out}	-	Output voltage
V_{ref}	-	Reference voltage of dual lock-in amplifier
V_{rf}	-	Output frequency signal
$ V_S $	-	Magnitude of input signal dual lock-in amplifier
V_{SG}	-	Source-gate voltage
V_{TN}	-	Threshold voltage for NMOS transistor
V_{TP}	-	Threshold voltage for PMOS transistor
V_X	-	Output of the mixer X in dual lock-in amplifier
V_Y	-	Output of the mixer Y in dual lock-in amplifier
W	-	Width of transistor
$ Y $	-	Magnitude of admittance
$ Z $	-	Magnitude of impedance
z	-	Avalence or charge number
Z'	-	Real part of the impedance
Z''	-	Imaginary part of the impedance
α	-	Transfer coefficient
β	-	Transconductance coefficient.

λ_D	-	Debye length
ε	-	Permittivity
ε_0	-	Vacuum permittivity = 8.854×10^{-12} F/m
ε_r	-	Relative permittivity of the material
μ_n	-	Electron mobility
μ_p	-	Hole mobility
η	-	overpotential
η_{sol}	-	Bulk concentration
φ	-	Phase shift
ω	-	Angular frequency
ω_{if}	-	Intermediate frequency
ω_{lo}	-	Local oscillator frequency
ω_{rf}	-	Output frequency

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CHAPTER 1

INTRODUCTION

1.1 Introduction

Biosensor is an analytical tool used to convert the detected biological signal from the interaction of biological component with the analytes into an electric signal. However, these detected biological signals are indigestible and require various detection schemes to extract the relevant information.

1.1.1 Components of the Biosensor

First, the components of the biosensor are introduced in this section. Based on the principle of specific biological recognition measurements, biosensors can be divided into three parts as shown in Figure 1.1:

- i. Sensitive biological elements which able to interact specifically with an analytes. These biological elements can provide us useful information. For instance, physical properties such as temperature and pressure or biological and chemical entities such as ion, bacteria, etc.
- ii. The transducer from biosensor can be defined as a device to transfer or translate a detected biological signal into another signal form, which is readable and quantified output [1]. These signals can be in the form of electrical, mechanical, optical, magnetic or thermal.

- iii. Signal processor is responsible in processing the transformed signal from the transducer in a user-friendly way for information storage, display, analyzing and transmission.

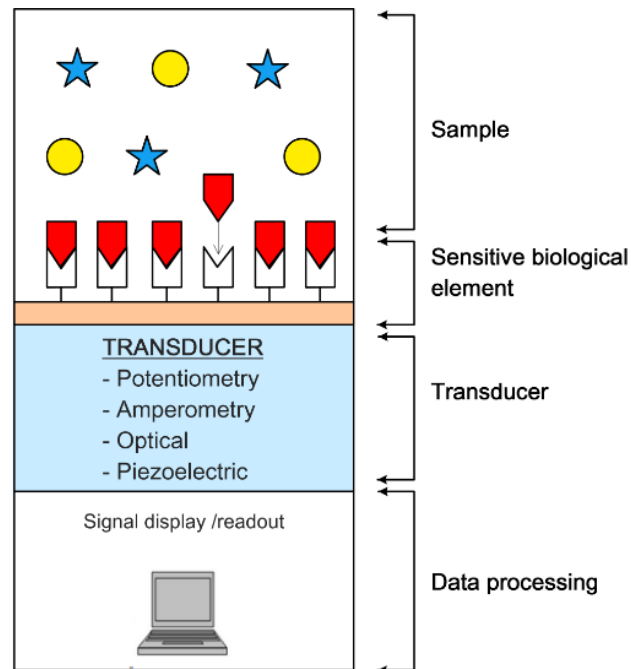


Figure 1.1 Typical architecture of a biosensor.

1.1.2 Characteristics of the Biosensor

To build a high-performance biosensor has been always a motivation for scientific and industrial research. Some of the performance characteristics are described as follows [2]:

- i. **Sensitivity:** The change in output of sensor to per unit change in analytes concentration. For instance, in a linear sensor system, the sensitivity is the slope of the calibration curve.
- ii. **Selectivity:** The ability of the sensor only reacts to the specific target analytes and no reaction to the other interfering chemical. For an ideal sensor system, the selectivity is infinity, which mean it just react to a particular target analytes.

- iii. **Range:** The concentration range over which the sensitivity of the sensor is good and is also called as dynamic range or linearity.
- iv. **Response time:** The time required for the sensor to indicate 63% of its final response due to a step change in analytes concentration. Many factors can affect the system response time such as the sensor's response time and the transducer's response time.
- v. **Detection limit:** The lowest concentration of the analytes to which there is a measurable response.
- vi. **Lifetime:** The time period over which the sensor can be used without significant deterioration in performance characteristics. This parameter is particularly important for biomedical sensor systems since the failure of the sensor system might put the patient in a dangerous situation.
- vii. **Stability:** Characterizes the change in its baseline or sensitivity over a fixed period of time. High stability allows the long-term monitoring into a specific substance.

Other than the performance characteristics listed above, there are some other characteristics that still need to be put into consideration when designing a sensor system which are size, cost and power consumption. The main goal of these three characteristics is to make them as small as possible so that the miniaturization of the sensor system can be developed. The need of the miniaturization of the system sensor is driven by the demands for portable or implantable sensor systems especially in the medical sector.

1.2 Deoxyribonucleic Acid

Deoxyribonucleic acid (DNA) is an essential macromolecule which carrying the genetic code of living organisms. The chromosomes in the DNA molecules contain huge amount of information that used to synthesize proteins and define their functions.

The genetic disorder can affect an individual from birth that makes an individual disease-prone because of his or her gene. Some examples of gene-based diseases are cancer, diabetes, cardiovascular diseases and Alzheimer disease [3]. Therefore, genetic testing has become an important part of the health care checking among the people. Due to this demand, such effort is spent to develop reliable, low cost and accurate devices for genetic testing. On the other hand, the DNA technology can be used in identification of individuals by their respective DNA profiles. This technology is especially useful in criminal investigation.

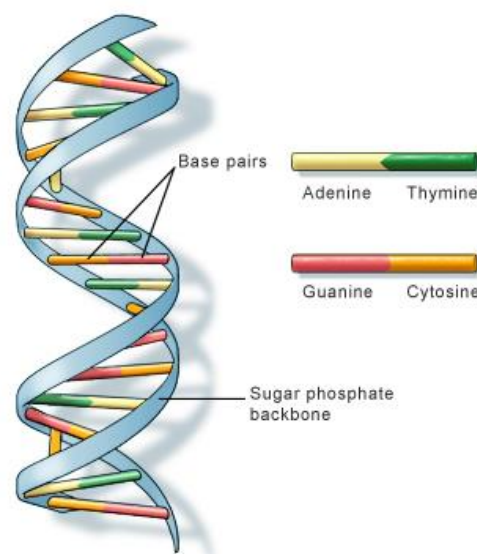


Figure 1.2 Diagram of DNA double helix. [4]

DNA is a molecule strand that consists of four different types of nucleotides, which are named as adenine (A), thymine (T), cytosine (C) and guanine (G). A diagram of DNA double helix [4] is shown in Figure 1.2. The genetic code or gene is defined by the sequence of these nucleotides in a single strand. Nucleotides are composed of three building blocks, which are sugar deoxyribose, a phosphate group and a nitrogen base. The nitrogen bases determine the type of a nucleotide, while the sugar-phosphate group forms the backbone of the strand. The adenine only combines with thymine while cytosine combines with guanine. This complementary property has been used in many DNA detection mechanisms.

1.2.1 DNA Hybridization

In the 1960s, the DNA hybridization technique was developed by Roy Britten as a way of analysing the composition of the genome [5]. At high temperatures ($\sim 84^\circ\text{C}$), a double-stranded DNA (dsDNA) will separate into two single-stranded DNAs (ssDNA). This phenomenon is called as denaturing the DNA. These two single strands will anneal when the temperature is lowered because of the base pairing interactions of the complementary strands. This is called as DNA hybridization. Diagram of denaturing and hybridization of DNA is shown in Figure 1.3. If the ssDNA (probe DNA) from one source is immobilized by attachment to a solid surface such as nitrocellulose, complementary ssDNA (target DNA) from another source will hybridize with the probe and be retained by the immobilized ssDNA. This is the basis for various DNA detection techniques.

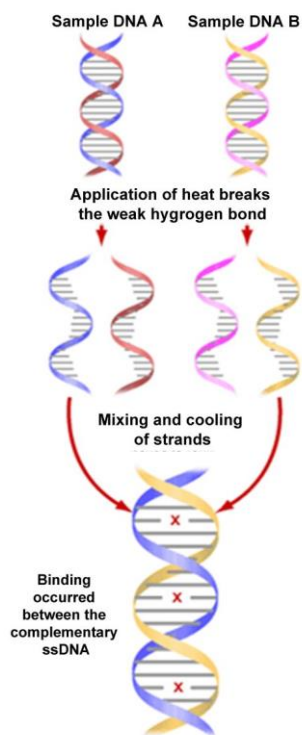


Figure 1.3 Diagram of denaturing and hybridization of DNA. [5]

1.2.2 DNA Biosensor

DNA biosensor is a powerful tool that utilizes the DNA hybridization procedures to detect the presence of bacterial and virus diseases through the use of highly conserved DNA sequences. The sensitive element is composed of ssDNA molecules that allow the hybridization of the complementary DNA (cDNA). Different methods can be used to transduce these hybridization signals, including optical transducers [2], electrochemical transduction [6] and the piezoelectric transduction [7].

Hybridization biosensors rely on the immobilization of the ssDNA probes onto the transducer surface. The hybridization with duplex arrangement can be detected by means of hybridization signal or by other changes obtained as a result of the hybridization event. There are two important characteristics when developing DNA biosensors, the sensitivity and the selectivity [7]. Selectivity gives a measure of the ability of the system to detect the analytes in the presence of other interfering molecules, while sensitivity is referred to the lowest detectable analytes concentration.

Electrochemical impedance spectroscopy (EIS) is a useful method to characterize the interaction between molecules and the sensor surface [8]. A small excitation sinusoidal voltage is applied to analyze the properties of the electrode-electrolyte interface. The impedance value can be obtained from the relationship between the applied voltage and the resulting current across the electrode, and it can be expressed as the sum of the real and the imaginary parts. The measured value is the result of the sum of all contributions of all resistances and capacitances of the electrode-electrolyte interface.

1.3 Problem Statement

Several studies on the electrical detection of biomolecules based on the changes in the electrical double layer properties of the functionalized electrode surface have been proposed. Such systems harness the unique impedance values from

biomolecules such as DNA, proteins and other cells. One of the detection methods that based on this principle is the capacitive detection method [9][10]. The notation ‘d’ in Figure 1.4 represents the distance between the polarized metal electrode and the attracted ions for the capacitance. After dsDNA is formed due to the hybridization event, the capacitance of the double layer, C_{DL} , decreases and the charge-transfer resistance, R_{CT} , increases. However, the capacitive sensing does not present enough stable electrical properties as the measured capacitance after the hybridization event may increases. As shown in Figure 1.4, the flexible ssDNA transforms into a rigid rod upon hybridization and causes the dsDNA (complementary binding) to become straight up to the surface. Under this condition, some ions are able to access near to the electrode surface due to the opening space between the dsDNA [11][12][13]. To overcome this problem, some efforts have focused on the modification of the probe layer on the surface of the electrode [14][15].

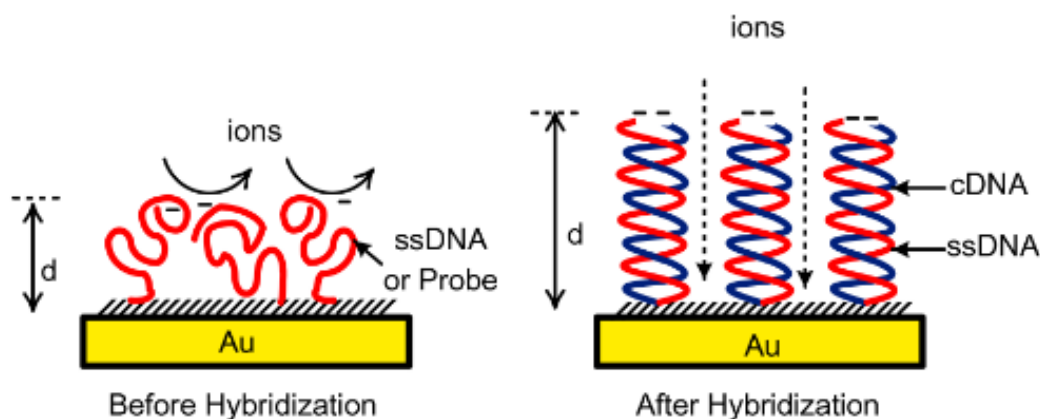


Figure 1.4 DNA physical changes upon DNA hybridization [11].

Therefore, the impedance-based biosensor that measures both capacitance and resistance of the electrode-electrolyte interface after the hybridization event can provide a more stable and accurate result compared to the capacitive detection method. This work proposes a low voltage, label-free and fully integrated impedance-based detection circuit using standard CMOS technology to compute both capacitance and resistance of the electrode-electrolyte interface. Manickam et al. proposed an impedance-based DNA biosensor that utilized the phase-sensitive detection (PSD) technique in year 2010 [13]. The existing problems in the Manickam et al. work are summarized in Table 1.1. Therefore, the main characteristics of detection circuit to be

improved are transimpedance gain, input referred noise and power dissipation. Circuit optimization will be done to reduce the number of transistors used in order to cut the area consumption.

Table 1.1: Problems in the Manickam et al. work.

Parameter	Units	(Manickam et al., 2010) [13]	Problems to be solved
Impedance Measurement Technique	-	Phase-Sensitive Detection (PSD)	Lower attainable gain if compared to other methods.
Gain	dB	86	Not sensitive enough for small electrode.
Minimum detectable input current	A	330 p	
Technology	μm	0.35	-
Power Supply	V	3.3	
Power Consumption	W	511.5 μ	Too large for low voltage applications.
Layout Area	μm^2	10000	Can be optimized by reducing the circuit complexity.

1.4 Research Objectives

The objectives of the study are stated as below:

- i. To design a CMOS detection circuit and physical layout based on the current to voltage converter (IVC) for impedimetric sensing of DNA biomolecules.
- ii. To analyze the electrical performance of the designed detection circuit.

1.5 Scope of Work

The scope of this research is stated as below:

- i. DNA is chosen as the target of biomolecules.
- ii. Phase-sensitive detection (PSD) is used as the impedance measurement method in this study.
- iii. Main characteristics to be improved are transimpedance gain, input referred noise and power dissipation of the IVC. Circuit optimization will be done to reduce the number of transistors used in the gain-boosting amplifier in order to cut the area consumption.
- iv. Silterra 0.18 μm CMOS process (CL180G) will be used in the design of fully integrated biosensor circuit.
- v. The Cadence EDA is used as the simulation tool throughout the research.
- vi. This work is limited to circuit design consideration and no experimental work will be carried on.

1.6 Highlights on Research Methodology

The methodology of this research is shown in Figure 1.5. The research begins with determination of the design specifications of DNA biosensor. Then, literature review based on various impedimetric detection circuits is done. Next, the impedimetric detection circuit is designed and simulated under the Cadence EDA environment. The simulated performances of the transistor level circuit are analyzed in terms of its gain, noise and frequency range. After the obtained performances are met with the initial specifications, the IC layout of the designed circuit is created with Cadence EDA Tools. After that, the performance of completed IC layout design is analyzed with the existence of parasitic parameters. The performance of the IC layout design is again compared with the specifications. The study will proceed to

improvements and discussion if the specifications are achieved. Analysis and validation will be done on the simulated results before all the simulated results are taken as final results.

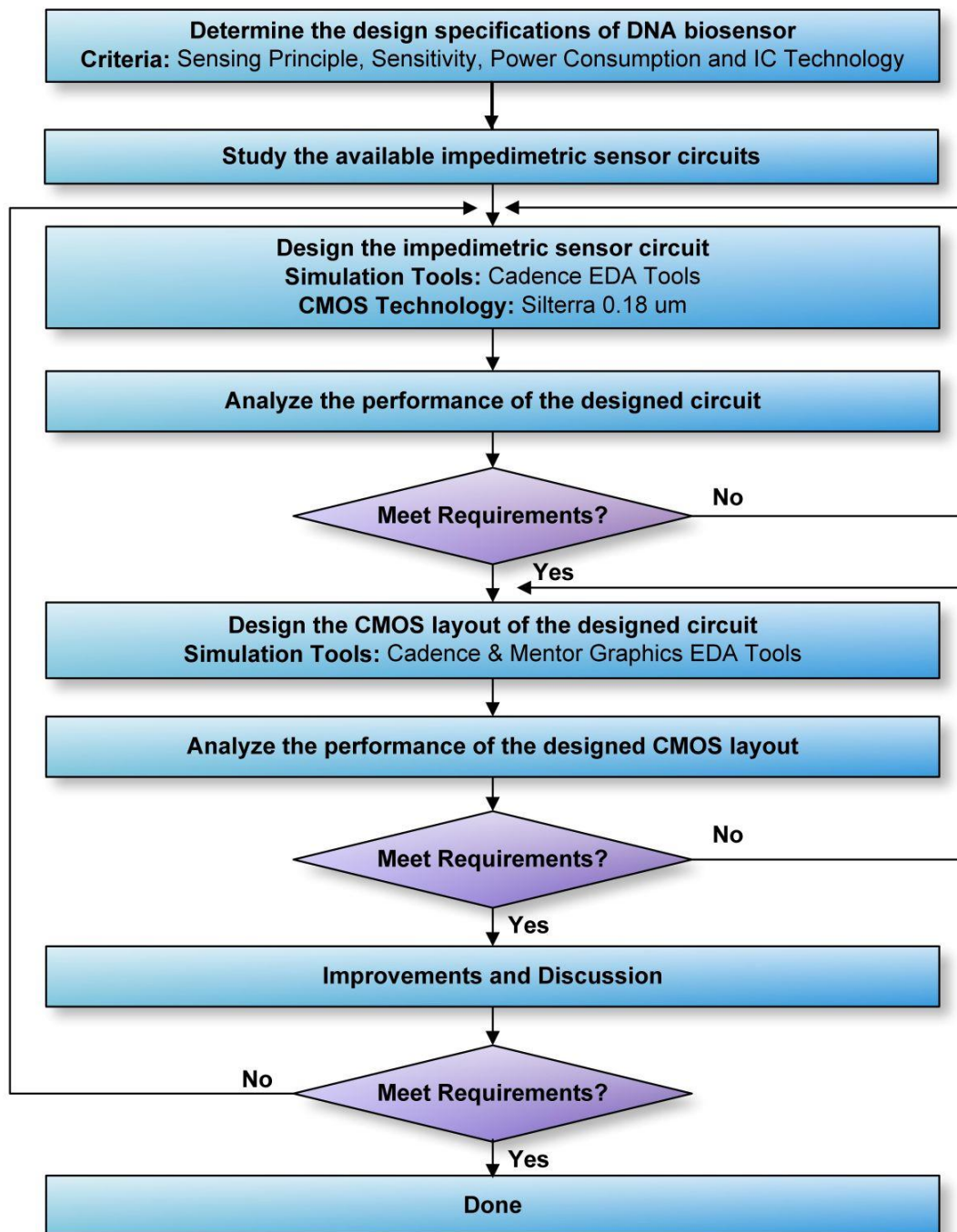


Figure 1.5 Research methodology of this research.

1.7 Significance of the Study

The principal goal of this work is to design a high sensitivity and low voltage (< 2 V) impedance-based detection circuit for DNA hybridization detection. The impedance-based detection circuit that measures the changes of both capacitance and resistance of the electrode-electrolyte interface due to hybridization event is expected to provide a more stable and accurate result compared to the capacitive detection method. With a highly sensitive detection circuit, DNA testing can be performed with small volume of DNA sample. Besides that, it is able to detect short-stranded DNA which is less than 10-mer.

In this study, the optimized detection circuit has simpler topology and lower power consumption compared to [13] by reducing the number of transistors. The achieved low power and small area consumption shows high possibility towards future array intergration for massively parallel analysis of DNA detection, which is highly desirable in POC applications.

1.8 Thesis Outline

In this thesis, the background of the basic architecture of the biosensor and DNA molecules is provided in the Chapter 1. Chapter 2 discusses the various kinds of existing DNA detection methods. The principles and the advantages of these DNA detection methods are discussed in detail. Furthermore, this chapter also gives an overview of the operational principles and the building blocks of the impedance-based detection circuit. Chapter 3 describes the design procedures of the impedance-based detection circuit in a $0.18\ \mu\text{m}$ CMOS process. The schematic and IC layout of the detection circuit are presented in this chapter. Chapter 4 presents and discusses the simulated performance results of the designed impedance-based detection circuit, and Chapter 5 concludes and summarizes the contribution of this work.

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