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# Development of temperature and mass transfer-based empirical models for estimating reference evapotranspiration in Nigeria

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# ABSTRACT

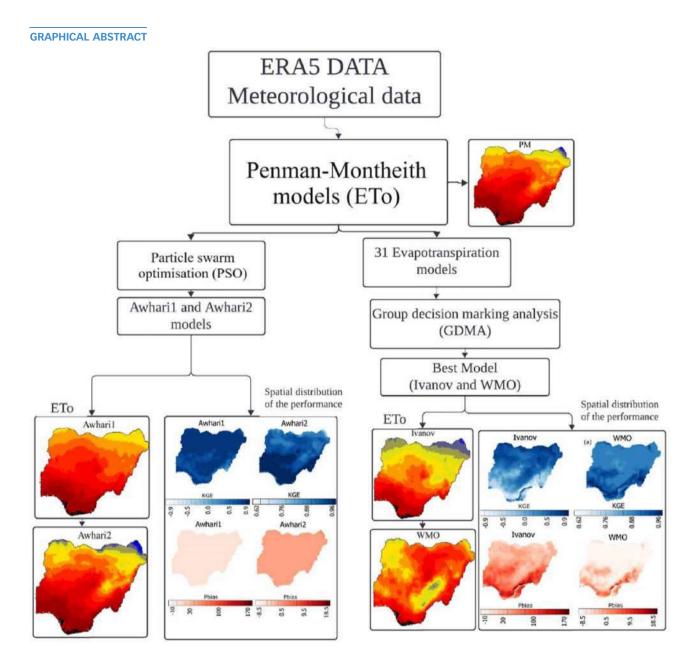
The empirical models commonly employed as alternatives for estimating evapotranspiration provide constraints and yield inaccurate results when applied to Nigeria. This study aims to develop novel empirical models to enhance evapotranspiration (ET<sub>0</sub>) estimation accuracy in Nigeria. The coefficients of non-linear equations were optimised using the particle swarm optimisation (PSO) algorithm for the development of the two new ET<sub>0</sub> models for Nigeria, Awhari1 (temperature-based) and Awhari2 (mass transfer-based). ERA5 reanalysis data with a  $0.1^{\circ} \times 0.1^{\circ}$ resolution was used. The models were rigorously assessed against the FAO-56 Penman–Monteith method, resulting in Kling–Gupta efficiency (KGE) and percentage bias (Pbias) values of 0.75, 6.49, and 0.92, 5.67, respectively. The spatial distribution analysis of performance metrics showed both equations exhibited superior accuracy in estimating ET<sub>0</sub> across diverse climatic zones in Nigeria. The incorporation of PSO in model development, coupled with spatial analysis, highlights the study's multidimensional approach. The spatial performance of the models indicates that they can be valuable tools for water resource management, irrigation planning, and sustainable agriculture practices in Nigeria.

Key words: Awhari models, Nigeria, particle swam optimisation, Penman-Monteith

#### **HIGHLIGHTS**

- A novel spatial analysis evaluates the performance of the models across various climatic zones.
- Validation against FAO-56 confirms high model accuracy, as evidenced by high KGE and low bias values.
- The research provides valuable insights into water management and sustainable agriculture practices by incorporating PSO optimisation and spatial analysis.

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# **1. INTRODUCTION**

Water is a precious resource, and efficient water management in agriculture is crucial for sustainable development in agricultural planning (García-Tejero *et al.* 2011; Zaman *et al.* 2017). Reference evapotranspiration (ET<sub>0</sub>) is critical to understanding crops' water needs and planning effective irrigation strategies (Bai *et al.* 2017; Roy *et al.* 2021; Dong *et al.* 2024). ET<sub>0</sub> models serve as fundamental tools in irrigation and water resource management and represent the water requirement of well-watered reference crops, forming the basis for calculating crop water requirements and climate change. Accurate ET<sub>0</sub> estimation enables water resource managers to optimise irrigation scheduling, conserve water, and enhance agricultural productivity. In regions like Nigeria, where water management is a recurring challenge, precise ET<sub>0</sub> models are essential for sustainable water use.

Despite the global development of various empirical  $ET_0$  models, none have been specifically tailored to the unique climatic conditions in Nigeria. This gap in the literature underscores the need for region-specific models that can account for the intricacies of Nigeria's diverse climate. Empirical models, which rely on observed data and are often more adaptable to local conditions, become paramount in addressing this gap and providing accurate  $ET_0$  estimates for specific needs in Nigeria.

Many empirical models have been developed worldwide to estimate  $ET_0$ , often using readily available meteorological data, e.g., temperature, wind speed, and relative humidity (Trabert 1896; Meyer 1926; Linacre 1977; Ahooghalandari *et al.* 2016; Muhammad *et al.* 2022). While these models offer valuable tools, their accuracy can be significantly limited when applied in regions with unique climatic characteristics. Nigeria has a diverse landscape, ranging from humid coastal regions to arid northern savannas, each experiencing distinct rainfall patterns, wind speed, temperature regimes, and solar radiation profiles (Oguntunde *et al.* 2011; Shiru *et al.* 2020; Oloyede *et al.* 2022; Animashaun *et al.* 2023). This climatic heterogeneity challenges existing global  $ET_0$  models, often leading to inaccurate estimates within the country.

The consequences of inaccurate  $ET_0$  estimation can be substantial for irrigation, water resource management, and food security (Bashir *et al.* 2023; Awhari *et al.* 2024). Overestimating  $ET_0$  can lead to excessive irrigation, wasting precious water resources, and potentially damaging soil through salinisation (Ankindawa & Awhari 2010; Al-Bakri *et al.* 2022). Conversely, underestimating  $ET_0$  can cause water deficits, endanger crop yields, and contribute to food insecurity (Nikolaou *et al.* 2020). Therefore, developing dependable and region-specific  $ET_0$  models for the Nigerian climate is crucial for optimising water management strategies and ensuring sustainable agricultural practices.

Numerous  $\text{ET}_0$  models have been developed using temperature, solar radiation, wind speed, and various combinations to accurately predict evapotranspiration rates from surfaces such as soil, water, and vegetation canopies (Huang *et al.* 2021). The FAO-PM equation is widely recognised as the most effective approach for estimating  $\text{ET}_0$  across various climatic conditions (Hernández-Bedolla *et al.* 2023; Wu *et al.* 2023). FAO-PM estimation is resource-intensive, and the necessary data are not readily accessible in underdeveloped countries like Nigeria. An inherent constraint in the widespread use of the universally recognised FAO-PM approach is the lack of access to the extensive data it requires at multiple meteorological stations, compounded by concerns over the quality of these data points.

This study aimed not to develop a novel approach that substitutes FAO-PM but to devise a straightforward method based on temperature and mass transfer-based models. This method relies solely on maximum temperature, wind speed, and relative humidity, making it suitable for areas where other climatic parameters are unavailable at the desired level of detail. The FAO-PM was used as the reference for formulating these novel methodologies. This research evaluated the model's effectiveness in accurately representing the spatial distribution of the performance metrics and compared it with FAO-PM as reference ET<sub>0</sub>.

# 2. MATERIAL AND METHODS

#### 2.1. Study area

Nigeria, situated in West Africa between latitudes 4°30'N and 14°30'N and longitudes 3°15'E and 14°45'E, boasts a geographical tapestry defined by diverse ecological zones. Benin bounds it to the west, Chad and Cameroon to the east, and Niger to the north, Nigeria's borders encompass a nation of varied topography, including plains, plateaus, and mountains, with an elevation of approximately 2,419 m, as shown in Figure 1. The ecological richness of Nigeria is manifested in distinct zones that significantly influence its climate and biodiversity. The southern rainforest zone features an abundance of vegetation and greenery in the rainforest region and high precipitation levels. In the central Savannah zone, including grasslands, wet and dry seasons facilitate agricultural activities. The northern Sahel zone presents semi-arid conditions with sparse vegetation, posing challenges for agriculture. Nigeria experiences a tropical climate, marked by a rainy season from April to October, notably in the rainforest and Savannah zones, and a dry season from November to March, affecting the entire nation. The harmattan, a dry and dusty trade wind, characterises the dry season, impacting visibility and lowering temperatures. Mean temperatures fluctuate across the country, with coastal areas having temperatures between 25 and 35 °C, while the northern interior may experience a range of 21-40 °C. Precipitation levels follow a similar pattern, with the southern regions receiving more rainfall, with average annual precipitation averages of 1,900 mm. The arid north receives an average scarce rainfall of around 400 mm. Daily air temperature, wind speed, and relative humidity data for 72 years (January 1950–December 2023) across Nigeria were retrieved from the ERA5 reanalysis dataset ( $0.1^{\circ} \times 0.1^{\circ}$  resolution) (https:// cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land?tab=form) and were used to develop the models. Figure 2 illustrates the stepwise methodology for developing the temperature and mass transfer-based models.

#### 2.2. Reference evapotranspiration equation

This study relied on the widely recognised FAO-PM model as the reference standard for  $ET_0$  estimation. The accuracy of the FAO-PM models is widely accepted globally, and many researchers have used it as a reference in developing models and their suitability in different climate conditions (Ahooghalandari *et al.* 2016; Shiri 2017; Emeka *et al.* 2021). The FAO-PM model is

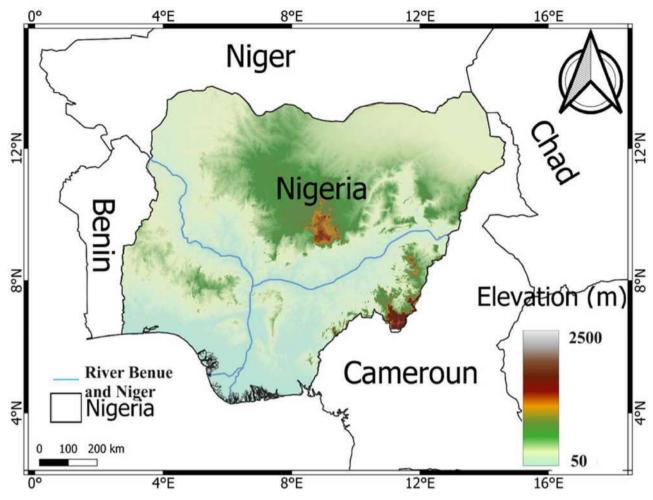


Figure 1 | The location of Nigeria and the spatial distribution of its elevation.

mathematically represented by the following equation:

$$\mathrm{ET}_{0} = \frac{0.408\Delta(R_{\mathrm{n}} - G) + \gamma\left(\frac{900}{T + 273}\right)u_{2}(e_{\mathrm{s}} - e_{\mathrm{a}})}{\Delta + \gamma(1 + 0.34u_{2})}$$
(1)

where  $\Delta$  denotes the saturation vapour pressure curve gradient in kPa/°C.  $R_n$  refers to the net radiation in mm/day. *G* represents the density of soil heat flow (mm/day), and  $T_a$  is the mean air temperature (°C). The variable ' $e_a$ ' represents the current vapour pressure in kPa, whereas ' $e_s$ ' represents the saturation vapour pressure in kPa. The variable ' $u_2$ ' represents the wind speed (m/s) at a height of 2 m, which is derived using the ' $u_{10}$ ' data via the wind profile relationship. The psychrometric constant  $\gamma$  is measured in kPa/°C, the surface resistance *rs* is measured in s/m, and the latent heat of vaporisation  $\lambda$  is measured in MJ/kg.

This study first assessed 31 empirical models, as shown in Table 1, consisting of 20 models based on temperature and 11 models based on mass transfer. Kling–Gupta efficiency (KGE) (Gupta & Kling 2011) and percentage bias (Pbias) were used for this purpose. KGE measures correlation, variability ratio, and mean bias between two datasets and is considered a better metric for assessing model performance. Pbias provides the mean bias in  $ET_0$  estimation and thus serves as a simple measure of model deviation from the observation.

Ivanov and World Meteorological Organization (WMO) performed better among these models based on their KGE values, as shown in Table 1. These models were the main focus of our investigation. A novel temperature technique that utilises

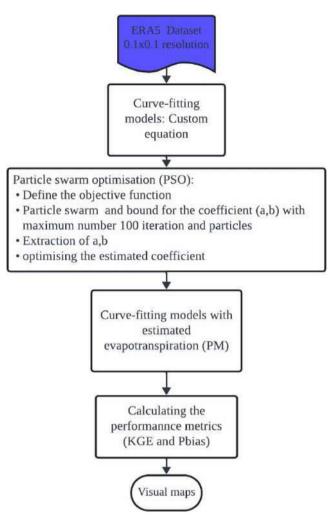


Figure 2 | Step-by-step methodology flowchart of the study.

maximum temperature and relative humidity to determine  $ET_0$  was derived. Ivanov generally performs accurately across the specified region among the temperature-based models. There is a need to build a straightforward temperature approach that can effectively work at all locations throughout the nation. As a result, a novel empirical temperature equation using maximum temperature and relative humidity was developed. In developing a new technique, the aerodynamic term was calculated based on the mean vapour pressure deficit (Dav). It is suggested that Dav may be estimated as 1 - RH/100, where RH represents the relative humidity.  $ET_0$  is closely associated with extra-terrestrial radiation (Ahooghalandari *et al.* 2016). The new equations were derived by integrating the expression (1 - RH/100) with the maximum temperature.

The mass transfer method is one of the oldest and simplest methods because of its reasonable evaporation accuracy (Singh & Xu 1997; El-Mahdy *et al.* 2021). The mass transfer-based models are built around the concept of Dalton's equation, which is the free water surface and is expressed as follows:

$$\mathrm{ET}_0 = C(e_\mathrm{s} - e_\mathrm{a}) \tag{2}$$

 $ET_0$  represents the evaporation of water from the free surface,  $e_s$  represents the saturated vapour pressure at the water surface temperature,  $e_a$  represents the vapour pressure in the air, and *C* represents the aerodynamic conductivity (Singh & Xu 1997), which is influenced by factors, such as horizontal wind speed, surface roughness, and thermally generated turbulence, as presented in the following equation:

$$\text{ET} = f(u)(e_{\rm s} - e_{\rm a})$$

(3)

# Table 1 | Reference evapotranspiration model methods

No.	Model	Inputs	Equation	KGE	Pbias	References			
Tem	Temperature-based models								
1	Linacre	Т	$\mathrm{ET}_{0} = rac{700(T\pm0.006z)}{100-L} + 15(T-T_{\mathrm{d}}) - rac{80-T}{80-T}$	0.092	61.0	Linacre (1977)			
2	Hamon	Т	$ET_0 = 0.165L_d RHOSAT \times KPEC$	-47.00	-89.4	Hamon (1963)			
3	FAO-Blaney	Т	$ET_0 = p(0.46T + 8.13)$	-5,199.18	$-11,\!031.0$	Dooreng & Pruitt (1977)			
4	Kharrufa	Т	$\mathrm{ET}_0 = 0.34 p T^{1.3}$	-0.48	86.6	Kharrufa (1985)			
5	Ahooghalandari-1	<i>T</i> , RH	$\mathrm{ET}_{0} = 0.252 igg(rac{R_{\mathrm{a}}}{\gamma}igg) + 0.221 T_{\mathrm{mean}} igg(1 - rac{\mathrm{RH}_{\mathrm{mean}}}{\mathrm{100}}igg)$	0.001	61.5	Ahooghalandari <i>et al.</i> (2016)			
6	Ahooghalandari-2	<i>T</i> , RH	$\mathrm{ET}_{0} = 0.29 \binom{R_{\mathrm{a}}}{\gamma} + 0.15 T_{\mathrm{max}} \left(1 - \frac{\mathrm{RH}_{\mathrm{mean}}}{100}\right)$	-0.10	68.1	Ahooghalandari <i>et al.</i> (2016)			
7	Ivanov	<i>T</i> , RH	$ET_0 = 0.00006(25 + T)^2(100 - RH)$	0.33	54.2	Romanenko (1961)			
8	Papadakis	<i>T</i> , RH	$\mathrm{ET}_0 = 2.5(e_{ma}-e_\mathrm{a})$	0.19	73.8	Papadakis (1965)			
9	Hargreaves – Samani	$T, T_{\max}, T_{\min}, R_{a}$	$\mathrm{ET}_{0} = (0.0023)*\sqrt{T_{\mathrm{max}} + T_{\mathrm{min}}}(T + 17.8)*R_{\mathrm{a}}$	$\begin{array}{c} -4.30 \times \\ 10^6 \end{array}$	$\begin{array}{c} -9.20 \times \\ 10^6 \end{array}$	Hargreaves & Samani (1985)			
10	Baier-Robertson	$T, T_{\max}, T_{\min}, R_a$	$ ext{ET}_0 = 0.109igg( rac{R_{ ext{a}}}{\lambda} igg) + 0.157{*}T_{ ext{max}} + 0.158 \ (T_{ ext{max}} - T_{ ext{min}})$	-537.1	-1,072.7	Baier & Robert (1965)			
11	Modified Baier	$T, T_{\max}, T_{\min}, R_{a}, RH$	$ET_0 = 0.1844(T_{\text{max}} - T_{\text{min}}) + 0.1136R_a(e_s - e_a) - 0.0039T_{\text{max}} - 4.04$	$8.77\times10^5$	$1.93\times 10^6$	Baier (1971)			
12	Modified H-S1	$T, T_{\rm max}, T_{\rm min}, R_{\rm a}$	$\mathrm{ET}_{0} = 0.408*0.003*(T+20)\mathrm{TD}^{0.4}R_{\mathrm{a}}$	$1.14\times 10^6$	$\begin{array}{c}-2.45\times\\10^6\end{array}$	Droogers & Allen (2002)			
13	Modified H-S2	$T, T_{\rm max}, T_{\rm min}, R_{\rm a}$	$\mathrm{ET}_{0} = 0.408*0.0025*(T+16.8)\mathrm{TD}^{0.5}R_{\mathrm{a}}$	$\begin{array}{c} -4.67 \times \\ 10^5 \end{array}$	$1\times 10^8$	Droogers & Allen (2002)			
14	Trajkovic	$T,T_{\rm max},T_{\rm min},R_{\rm a}$	$\mathrm{ET}_{0} = (0.0023R_{\mathrm{a}})\mathrm{TD}^{0.424}(T+17.8)$	-371.43	-813.5	Trajkovic (2007)			
15	Ravazzani	$T, T_{\rm max}, T_{\rm min}, R_{\rm a}$	$ET_0 = (0.817 + 0.00023R_a)(TD^{0.5})(T + 17.8)$	$\begin{array}{c}-9.39\times\\10^7\end{array}$	$\begin{array}{c} -2.01 \times \\ 10^7 \end{array}$	Ravazzani et al. (2012)			
16	Schendel	<i>T</i> , RH	$\mathrm{ET}_{0} = 16 \left( rac{T}{\mathrm{RH}}  ight)$	-1.64	163.7	Schendel (1967)			
17	Dorji	$T, T_{\rm max}, T_{\rm min}, R_{\rm a}$	$ET_0 = 0.002*0.408R_a(T+33.9)(T_{max}-T_{min})^{0.296}$	-332.22	-695.8	Dorji <i>et al</i> . (2016)			
18	Berti	$T,T_{\rm max},T_{\rm min},R_{\rm a}$	$\mathrm{ET}_{0} = 0.00193 R_{\mathrm{a}} (T + 17.8) (T_{\mathrm{max}} - T_{\mathrm{min}})^{0.517}$	-1,031.3	-2,259.8	Berti et al. (2014))			
19	Talee and Tabari	$T, T_{\max}, T_{\min}, R$	$\mathrm{ET}_{0} = [0.0031 * R_{\mathrm{a}}(T + 17.8)(T_{\mathrm{max}} - T_{\mathrm{min}})^{0.5}] / \lambda$	-1,817.3	-2,706.3	Tabari & Talaee (2011)			
20	Drogers and Allen	$T, T_{\rm max}, T_{\rm min}, R$	$ET_0 = [0.003 * R_a (T + 20) (T_{max} - T_{min})^{0.4}] / \lambda$	-1,336.9	-2,903.2	Droogers & Allen (2002)			
Mas	Mass transfer-based models								
21	Mahringer	<i>T</i> , RH, <i>u</i>	$\text{ET}_0 = (0.\ 15072)\sqrt{3.6u}(e_{\rm s} - e_{\rm a})$	0.74	18.96	Mahringer (1970)			

(Continued.)

#### Table 1 | Continued

No.	Model	Inputs	Equation	KGE	Pbias	References
22	Penman	<i>T</i> , RH, <i>u</i>	$\mathrm{ET}_{0} = \left(2.625 + \frac{0.000479}{u}\right)(e_{\mathrm{s}} - e_{\mathrm{a}})$	0.72	-10.17	Penman (1948)
23	Rohwer-2	<i>T</i> , RH, <i>u</i>	$\mathrm{ET}_0 = (3.3 + 0.891(u))(e_\mathrm{s} - e_\mathrm{a})$	0.20	59.62	Rohwer (1931)
24	Szasz	<i>T</i> , RH, <i>u</i>	$ET_0 = 0.00536(T+21)^2 \left(1 - \frac{RH}{100}\right)^{\frac{2}{3}} f(u)$ f(u) = (0.0519u) + 0.905	0.37	55.16	Szasz (1973)
25	Trabert	<i>T</i> , RH, <i>u</i>	${ m ET_0} = (0.3075) \sqrt{u} (e_{ m s} - e_{ m a})$	0.62	27.92	Trabert (1896)
26	WMO	RH, <i>u</i>	$\mathrm{ET}_0 = 0.1298 + 0.0934(u)(e_\mathrm{s} - e_\mathrm{a})$	0.89	6.63	WMO et al. (1966)
27	Albrecht	<i>T</i> , RH, <i>u</i>	${ m ET_0} = 0.1005 + 0.297(u)(e_{ m s} - e_{ m a})$	-0.33	90.10	Albrecht (1950)
28	Meyer	<i>T</i> , RH, <i>u</i>	$\mathrm{ET}_0 = (0.375 + 0.05026(u))(e_\mathrm{s} - e_\mathrm{a})$	0.28	54.67	Meyer (1926)
29	Brockamp-Wenner	<i>T</i> , RH, <i>u</i>	${ m ET_0}=0.543(u^{0.456})(e_{ m s}-e_{ m a})$	-0.69	121.70	Brockamp & Wenner (1963)
30	Fitzgerald	<i>T</i> , RH, <i>u</i>	$\mathrm{ET}_{0}=0.44+0.19u\;(e_{\mathrm{s}}-e_{\mathrm{a}})$	$\begin{array}{c} -3.62 \times \\ 10^5 \end{array}$	$7.69\times10^5$	FitzGerald (1886)
31	Saif	RH, <i>u</i>	$\mathrm{ET}_{0} = (0.37 + 0.72u)(e_{\mathrm{s}} - e_{\mathrm{a}})$	$\begin{array}{c} -6.38 \times \\ 10^5 \end{array}$	$1.34\times10^{6}$	Islam <i>et al</i> . (2020)

Note:  $ET_0$  is the reference evapotranspiration (mm/day), ND is the length of time interval (day), and *f* is a correction factor that varies with the average annual temperature ( $T_a < 23.5 \,^{\circ}C$ , F = 0.01).  $R_a$  is the extra-terrestrial radiation (MJ/m<sup>2</sup>/day), *u* is the wind speed at 2 m (m/s), RH is the relative humidity (%), *T*,  $T_{max}$ .  $T_{min}$  are average, maximum, and minimum air temperature (°C),  $T_d$  is the daily dewpoint air temperature in (°C), TD is the difference between the  $T_{max}$  and  $T_{min}$  (°C),  $e_{ma}$  is the saturation vapour pressure at the monthly mean daily maximum temperature (kPa), and  $e_a$  and  $e_s$  represent actual and saturation vapour pressure (kPa), except for Penman, Papadakis, and Rohwer (kPa), RHOSAT is the saturated vapour density ( $g/m^3$ ), KPEC is a calibration coefficient (1.2), *p* is a constant having two values (0.27 and 0.28), *f*(*u*) denotes a function of wind speed, *L* is the latitude at each point (degree), *z* is the elevation (m),  $\lambda$  represents latent heat of evaporation (MJ/kg),  $\Delta$  is the slope of saturation vapour pressure–temperature curve (kPa/°C), and  $\gamma$  is the psychometric constant (kPa/°C).

where f(u) represents the wind function, which depends on observation heights, wind, and vapour pressure. This study developed a new, simple empirical mass transfer model based on established principles and techniques.

#### 2.3. Models development

The two models, Awhari1 (temperature-based) and Awhari2 (mass transfer-based), were developed through curve-fitting techniques and particle swarm optimisation (PSO). These equations evaluate the fitness function for  $ET_0$  estimation, incorporating varying 'a' and 'b' values and discrepancies between simulated and observed  $ET_0$  values. To optimise model performance, we implemented PSO, a technique that iteratively refines the objective function for each particle. This involves the concurrent execution of both the  $ET_0$  model and the simulated evapotranspiration model, with dynamic adjustments to each particle's velocity and position based on historical best positions and those discovered within the swarm. The optimised models, identified through personal and global best positions, underwent rigorous evaluation by comparing simulated and observed  $ET_0$ . The two constants, *a* and *b*, were set with options and bounds for coefficients [*a*, *b*], Ib = Iower bounds for coefficients [*a*, *b*], ub = upper bounds for coefficients, and max iterations of 100. The two constant values for the temperature and mass transfer-based models, *a* and *b*, were calculated using PSO over the years 1950–2022.

## 2.3. Theory of PSO

The PSO is a metaheuristic optimisation algorithm inspired by the social behaviour of birds flocking or fish schooling. PSO aims to iteratively improve a population of potential solutions by simulating the behaviour of particles in a multidimensional search space. The following are the steps, as illustrated in Figure 3.

i. *Initialisation*: The PSO algorithm begins by creating a swarm of particles in a defined search space. Each particle represents a potential solution to the optimisation problem, equipped with randomly assigned positions and velocities.

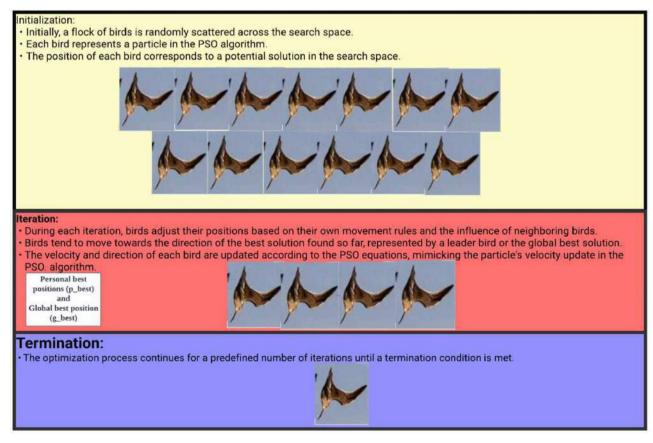


Figure 3 | Illustration of the PSO technique.

(7)

- ii. *Fitness evaluation*: The performance of each particle is evaluated using a predefined fitness function that quantifies how well its position conforms to the optimal solution. This feature is key in guiding the search towards the desired regions.
- iii. Velocity update: Particle movement is influenced by three key factors:
  - · Inertia: This component encourages continued exploration by maintaining momentum in the current direction.
  - *Cognitive component*: Each particle remembers its best-known position (personal best) and is drawn towards it, promoting the exploitation of previously identified promising areas.
  - Social component: Particles also possess an awareness of the swarm's best position (global best) and are attracted towards it, facilitating exploration of promising regions identified by others.
- iv. *Position update*: Based on their updated velocities, particles move within the search space, effectively exploring neighbouring regions.
- v. *Personal and global best update*: Upon reaching their new positions, particles compare them to their respective personal best positions. If an improvement is found, the personal best is updated. The global best position is also constantly tracked and updated if any particle discovers a superior solution.
- vi. *Termination*: The iterative process continues until a predefined termination criterion is met. This could include reaching a maximum number of iterations, achieving a satisfactory solution quality, or exhausting a set computational budget.
- vii. *Final solution*: The best-known position discovered by any particle in the swarm represents the optimal or near-optimal solution to the optimisation problem.

# 3. RESULTS

#### 3.1. Development of Awhari1 and Awhari2 models

The model development using PSO involved optimising the coefficients of two non-linear equations using termination criteria based on temperature and mass transfer models. The equations optimised to develop the new models are:

$$ET_0 = a(1 - bRH)T_{max}$$

$$ET_0 = a + b(u)(e_s - e_a)$$
(5)

where *a* and *b* are the coefficients optimised with *u*,  $e_s$ ,  $e_a$ , RH, and  $T_{max}$ . Equation (4) is a temperature-based model, and Equation (5) is a mass transfer-based model. The PSO algorithm finds optimal values of *a* and *b* to fit the observed data. The PSO algorithm was executed for 100 iterations with predefined lower and upper bounds to optimise the coefficients *a* and *b*. During the evolution process, the PSO algorithm iteratively updated the positions of particles in the search space, aiming to minimise or maximise the objective function (Kohler *et al.* 2019; Gad 2022), which is KGE between the model simulated and observed ET<sub>0</sub>. The PSO algorithm iteratively adjusted the coefficients *a* and *b* for each equation within the specified bounds, optimising them to fit the data best. The termination criteria guided the algorithm to stop once satisfactory convergence was achieved. This ensures that the algorithm terminates when further iterations are unlikely to improve the solutions significantly. The process produces two equations:

Awhari1: 
$$ET_0 = 0.3648 (1 - 0.0104 RH) T_{max}$$
 (6)

Awhari2: 
$$ET_0 = 0.6337 + 1.6018(u)(e_s - e_a)$$

Equation (6) is a temperature-based model termed as 'Awhari1' and Equation (7) is the mass transfer-based model termed as 'Awhari2'.

## 3.2. Models performance

## 3.2.1. Temperature-based Awhari1 model

Figure 4(a)–4(c) depicts the spatial distribution of the ET<sub>0</sub> estimated using the Awhari1, Ivanov, and FAO-PM models. The figures reveal that the Awhari1 model (Figure 4(c)) could accurately replicate the spatial patterns of ET<sub>0</sub> values exhibited by the FAO-PM models, outperforming the Ivanov model (Figure 4(b)). The ET<sub>0</sub> estimated by Awhari1 and PM ranged between 0 and 10 mm/day, except in the Lake Chad basin, where FAO-PM estimated ET<sub>0</sub> had 11–13 mm/day. The Ivanov had higher ET<sub>0</sub> in the northern part, with range values of 6–14 mm/day and a higher ET<sub>0</sub> of 14 mm/day at the Lake Chad basin.

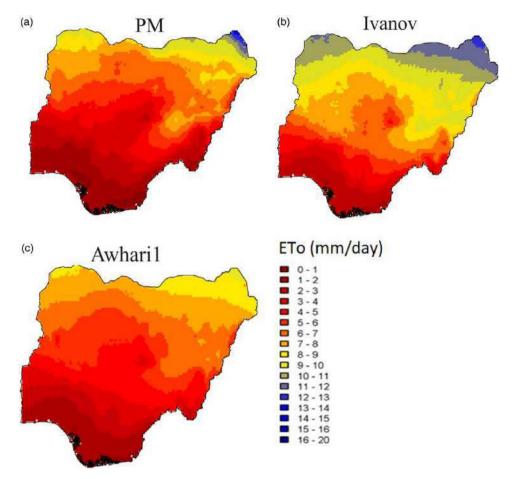


Figure 4 | Spatial distribution of ET<sub>0</sub> (mm/day) estimated using (a) PM, (b) Ivanov, and (c) Awhari1 model.

Figure 5 shows the spatial distribution of KGE and Pbias values for the Ivanov and newly developed Awhari1 models compared with the FAO-56 PM models across all grid cells. Ivanov's KGE ranges from -1.65 to 0.75 with a mean of 0.33, while its Pbias ranges from -6.30 to 205.89 with a mean of 54.20 in Table 2. Awhari1 showed KGE ranging from -0.26 to 0.89 with a mean of 0.75 and Pbias between 0.47 and 1.82 with a mean of 0.48. This excellent performance by the new models is evident in 98% of the grid cells, surpassing the Ivanov model, which only performs well in the northern region.

The boxplots of daily  $ET_0$  estimated by the Awhari1, Ivanov, and FAO-56 PM models in the study area are shown in Figure 6(a). Similarly, the new model provided the  $ET_0$  distribution most similar to the PM model, with a median value of 4.6 mm/day, while Ivanov has 5.2 mm/day.

The probability density function (PDF) of the estimated  $ET_0$  using Awhari1, Ivanov, and PM models for the whole study region is shown in Figure 6(b). PM and Awhari1 showed peak densities,  $ET_0$  of 0.18 and 2.3 mm/day, and median  $ET_0$ values of 4.6 mm/day, respectively. Compared with the PM  $ET_0$ , Ivanov exhibits a lower peak density of 0.14 and a slightly lower median  $ET_0$  of 4.4 mm/day, suggesting a tendency to underestimate  $ET_0$ . The tail behaviour of PM  $ET_0$  towards tails gradually fades towards zero, reflecting the decreasing probability of extreme  $ET_0$  values and the Awharil1 model. The shorter left tail implies a lower probability of underestimating extreme  $ET_0$  events. The Ivanov model's longer right tail suggests a higher chance of overestimating extreme  $ET_0$  events.

#### 3.2.2. Mass transfer-based Awhari2 model

Figure 7(a)–7(c) presents a spatial distribution of potential evapotranspiration (PET) estimates across the grid cell. Awhari2 and PM estimated  $ET_0$  ranged between 0 and 10 mm/day across all grid cells, except for the Lake Chad basin, where the WMO and Awhari2 exhibit slightly higher  $ET_0$  (11–13 mm/day). Notably, the WMO displays a greater spatial variation in  $ET_0$ , reaching a maximum of 11 mm/day in the northwestern and Benue basins.

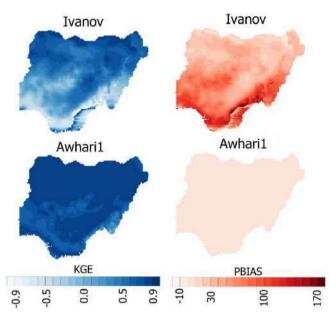


Figure 5 | Spatial distribution of KGE and Pbias % of the Ivanov and Awhari1 methods in estimating ET<sub>0</sub>.

Table 2	Statistical	performance of	the models	against the	FAO-PM	model in	estimating dai	V FTo
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S/No	Performance metrics	Ivanov	wмо	Awhari1	Awhari2
1	Pbias	54.20	-6.63	0.48	5.67
2	KGE	0.33	0.89	0.75	0.93

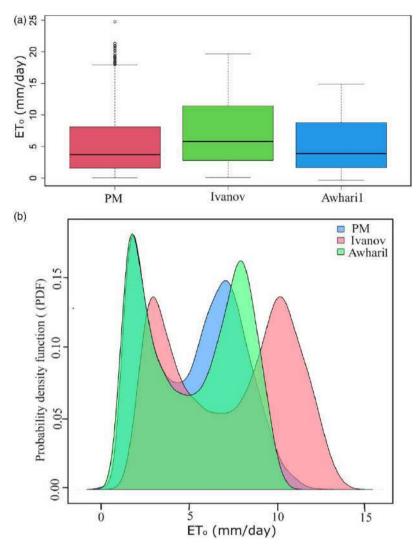
Figure 8 shows the spatial distribution of KGE and Pbias for the WMO and Awhari2 models compared with the FAO-56 PM model. WMO shows KGE ranging from 0.65 to 0.92 (mean 0.89) and Pbias ranging from -11.12 to 20.90 (mean -6.63). Awhari2 exhibits better performance, with KGE ranging from 0.76 to 0.99 (mean 0.92) and Pbias between 0.010 and 0.95 (mean 5.67) in Table 2. The Awhari2 model performed excellently in all the grid cells, with absolute performance in the southern part of the country. KGE values are not less than 0.95 in the region.

The boxplots of daily  $ET_0$  estimated by the Awhari2, WMO, and PM models are shown in Figure 9(a). The Awhari2 model provided the most similar  $ET_0$  distribution as the PM model, with a median value of 4.6 mm/day, while WMO underestimated  $ET_0$  by 4.4 mm/day.

The PDF of the  $\text{ET}_0$  estimated using PM, WMO, and Awhari2 models for the whole study region is shown in Figure 9(b). PM and Awhari2 peaked at 0.17 and 0.16 and  $\text{ET}_0$  of 2.6 mm/day and median values of 4.6 mm/day for both the PM and the models. WMO exhibits a higher peak density at 0.2. The tail behaviour of the Awharil2 model's longer left tail implies a higher probability of overestimating extreme  $\text{ET}_0$  events. The WMO model's shorter right tail suggests a higher chance of underestimating extreme  $\text{ET}_0$  events.

# 4. DISCUSSION

The present study developed empirical PSO models for reliable  $ET_0$  estimation using the ERA5 reanalysis temperature, wind speed, and relative humidity data. Several studies have endorsed the use of ERA5 reanalysis data for investigating the climate of West Africa (Quagraine *et al.* 2020; Steinkopf & Engelbrecht 2022; Bodjrenou *et al.* 2023; Gbode *et al.* 2023). Compared with its predecessor, ERA-Interim, ERA5 notably improves in representing various climatic aspects. Quagraine *et al.* (2020) demonstrated that ERA5 provides a more accurate depiction of precipitation patterns across West Africa, particularly by capturing crucial interannual variability and regional variations in summer monsoon rainfall. ERA5 also shows reduced biases in the annual cycle, resulting in a more precise representation of seasonal temperature fluctuations (Gbode *et al.* 2023). In



**Figure 6** | (a) Boxplot of the  $ET_0$  (mm/day) estimated using PM, Ivanov, and Awhari1 models, (b) probability distribution function of the  $ET_0$  estimated using PM, Ivanov, and Awhari1 models.

addition, ERA5 exhibits improved capabilities in capturing interannual temperature variations (Bodjrenou *et al.* 2023). ERA5 data have also been found suitable for agricultural study (Araghi *et al.* 2022). Therefore, the results obtained in this study using ERA5 reanalysis climate data can be considered reliable.

The comparison of the newly developed models showed higher performance in terms of the statistical indices KGE and Pbias compared with the existing widely used models. The performance of the models showed considerable and promising improvement. For example, the newly developed temperature-based Awhari1 shows a mean KGE of 075 and Pbias of 6.49% compared with the Ivanov with KGE values of 0.33 and Pbias of 54.20%. In contrast, the WMO shows KGE values of 0.89 and Pbias of -6.63, and Awhari2 exhibits even better performance with KGE values of 0.92 and Pbias of 5.67%. This indicates a significant improvement in both the KGE and Pbias and the capability of newly developed models to estimate  $ET_0$  in Nigeria with higher accuracy and less uncertainty. The spatial variability of a model's parameter development is significant because it provides an inside look at all the grid points where a model can be calibrated and validated to know it, thereby addressing the daily percentage bias varies in design irrigation and water resource management for crop production. The striking comparison that can be made on the performance of an equation can be seen from the spatial point of the observed and computed daily evaporation. This study brings an insight into the spatial performance of models that can easily be identified where the Pbias of the models developed can be addressed between the observed values across the grid cell and adjusted

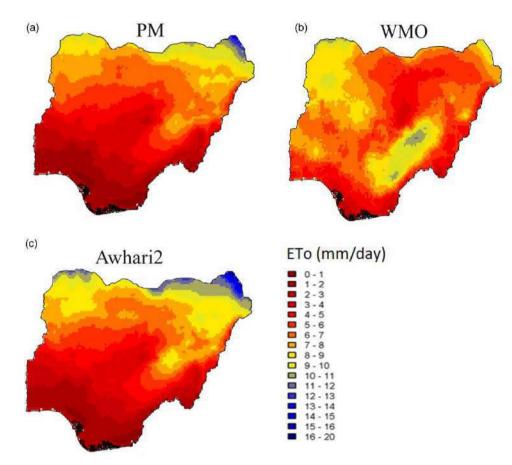


Figure 7 | Spatial distribution of ET<sub>0</sub> (mm/day) estimated using (a) PM, (b) WMO, and (c) Awhari2 model.

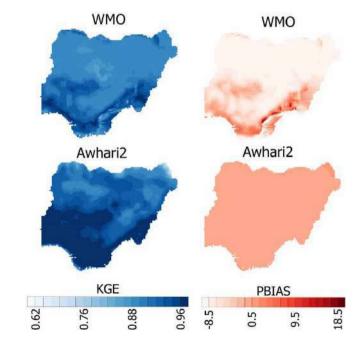
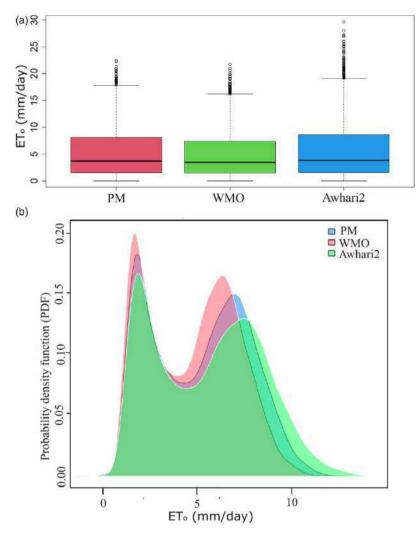


Figure 8 | Spatial distribution of KGE and Pbias % of WMO and Awhari2 in estimating ET<sub>0</sub>.



**Figure 9** | (a) Boxplot of the ET<sub>0</sub> (mm/day) estimated using PM, WMO, and Awhari2 models, (b) probability distribution function (PDF) of the ET<sub>0</sub> estimated using PM, WMO, and Awhari2 models.

to the required values. This means that an acceptance level of confidence can be achieved when transferring the model's applicability from one region to another, even within a large region.

PSO is a potent and adaptable optimisation technique because of its global search powers, resilience, and ease of implementation (Juneja & Nagar 2016; Wang *et al.* 2018). It is a viable solution for many optimisation issues because of its unique properties, despite its strengths and shortcomings. It efficiently navigates the search space, avoiding being trapped in local optima (Gad 2022; Zhang & Kong 2023). This is accomplished by the swarm intelligence process, in which particles exchange information and draw insights from one another's achievements, thus avoiding premature settling on less-than-ideal solutions. PSO tolerates noise and complicated search landscapes, making it appropriate for situations with non-smooth objective functions or noisy data. It may be easily adjusted for different optimisation issues by parameter modifications or the inclusion of other processes. PSO is more computationally efficient than other population-based algorithms (Xu *et al.* 2023; Zhang & Kong 2023). Furthermore, PSO promotes information exchange among particles, accelerating algorithmic convergence. Population-based approaches are effective for smooth objective functions with well-defined gradients but may be susceptible to local optima and struggle with noisy functions. In contrast, PSO is more resilient (Gad 2022). This advantage has contributed to the development of superior  $ET_0$  models.

Developing innovative evapotranspiration models in Nigeria might significantly impact agriculture, water resource management, environmental sustainability, and other areas. Accurate estimation of  $ET_0$  is a challenge in Nigeria due to the unavailability of reliable data on the variables required for its estimation (Adesogan & Sasanya 2023). Enhanced  $ET_0$  data using readily accessible information may guide irrigation strategies, improve water efficiency and minimise waste. This may result in higher crop yields, enhanced food security, reduced irrigation expenses for farmers, and, ultimately, greater economic sustainability and profitability. Irrigation water management is a major issue in agricultural development in Nigeria (Oluniyi & Bala 2021). Localised  $ET_0$  models include area climate and soil variables to help farmers make irrigation choices tailored to their crops and localities. Drought significantly affects agriculture in some parts of Nigeria (Shiru *et al.* 2020).  $ET_0$ models may pinpoint regions with elevated  $ET_0$  levels, aiding in creating drought readiness plans, reducing crop losses, and promoting agricultural resilience.

The new models contribute to sustainable water management in Nigeria by improving the accuracy of  $ET_0$  estimates and enabling better irrigation planning and water resource allocation. Precise evapotranspiration data may assist water managers in distributing water resources more effectively across different sectors, such as agricultural, residential consumption, and industrial requirements. Comprehending  $ET_0$  patterns may help develop reservoir operating plans to optimise water storage and release to fulfil needs while preserving ecological balance. Integrating  $ET_0$  into hydrological models may enhance the precision of flood predictions, facilitating prompt preparation and mitigation measures. Optimising water use in agriculture reduces water footprints, decreases environmental effects, and conserves valuable resources.

Standard statistical metrics often focus solely on overall  $ET_0$  magnitude agreement, overlooking crucial aspects such as timing, frequency, and variability of  $ET_0$  events. These characteristics are vital for comprehending drought dynamics, where short-term deficits and episodic droughts matter more than long-term averages. While accurate  $ET_0$  estimation based on statistical metrics is valuable, it does not guarantee optimal performance in various hydrological applications. A model might accurately estimate observed  $ET_0$ , yet its simulations can yield different drought occurrence estimations using standard indices or depict distinct aridity patterns. This discrepancy arises from neglecting crucial  $ET_0$  characteristics relevant to drought and aridity assessments, which were not evaluated during model development. In addition, models might perform differently when used in conjunction with other variables. Further study can be conducted to assess the performance of the models in accurately estimating drought, aridity, or other hydro-climatic events.

This study has pioneered a novel methodology for estimating  $ET_0$  tailored for Nigeria. Its focus on Nigeria may restrict its immediate generalizability. However, developing new models that effectively estimate  $ET_0$  using a limited set of meteorological variables significantly contributed to Nigeria's irrigation and water resources management. Moreover, the research contributes significantly to developing a methodology for constructing  $ET_0$  models, setting a precedent for future endeavours to formulate  $ET_0$  equations for diverse geographical regions beyond Nigeria.

#### 5. CONCLUSION

In conclusion, this study has successfully advanced the  $ET_0$  estimation by developing empirical models using PSO with temperature, wind speed, and relative humidity data. The comparison of these models against widely used existing ones revealed a substantial and promising improvement in performance, as evidenced by higher statistical indices, specifically KGE and Pbias. The temperature-based model, Awhari1, emerged as a standout, showing a mean KGE of 0.75 and a Pbias of 6.49%, showing a remarkable enhancement compared with established models like Ivanov. Awhari2 demonstrated an even more impressive performance, with KGE values of 0.92 and a Pbias of 5.67. These results demonstrate that the new models can estimate  $ET_0$  in diverse climatic conditions with Nigeria with heightened accuracy and reduced uncertainty. The spatial distribution analysis of model parameters, allowing for comprehensive calibration and validation at different grid points, provides a notable novelty and addresses the insight of daily percentage bias, offering valuable implications for designing irrigation and water resource management strategies in agricultural production. Moreover, a spatial performance comparison of observed and computed daily evaporation across grid cells provides a striking visualisation of model effectiveness. The ability to address Pbias variations between observed and model values at the grid cell level demonstrates the adaptability of the developed models, fostering confidence in transferring parameter values from one region to another, even within a diverse environmental context. These findings significantly contribute to  $ET_0$  estimation, particularly in regions with limited data availability and diverse climatic conditions.

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# **AVAILABILITY OF CODE**

The codes used for data processing can be provided by the corresponding author at request.

#### **CONSENT FOR PUBLICATION**

All the authors consented to publish the paper.

## **AUTHORS CONTRIBUTION**

A.P.D.: Conceptualisation, Methodology, Software, Validation, Formal analysis, Investigation, Resources, Data Curation, Writing – Original Draft, Writing – Review & Editing, Visualisation; M.H.J.: Formal analysis, Investigation, Data Curation, Writing – Original Draft, Writing – Review & Editing, Visualisation, Supervision; M.K.I.M.: Formal analysis, Investigation, Data Curation, Writing – Original Draft, Writing – Review & Editing, Visualisation, Supervision; K.B.M.: Methodology, Formal analysis; Z.M.Y.: Methodology, Software, Formal analysis, Investigation, Data Curation, Writing – Review & Editing, Visualisation, Data Curation, Supervision; S.S.: Methodology, Software, Validation, Formal analysis, Investigation, Data Curation, Writing – Review & Editing, Visualisation, Supervision; Supervision; Supervision.

#### **DATA AVAILABILITY STATEMENT**

All relevant data are included in the paper or its Supplementary Information.

### **CONFLICT OF INTEREST**

The authors declare there is no conflict.

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