

ENSEMBLE SUPPORT VECTOR MACHINES AND DEMPSTER-SHAFER  
EVIDENCE THEORY FOR MACHINERY MULTI FAULT DIAGNOSIS

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ENSEMBLE SUPPORT VECTOR MACHINES AND DEMPSTER–SHAFER  
EVIDENCE THEORY FOR MACHINERY MULTI FAULT DIAGNOSIS

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## **DEDICATION**

This thesis is dedicated to my parents, wife, and daughter, who continuously provide their moral, spiritual, and emotional support.

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## ABSTRACT

Machinery fault diagnosis is essential for ensuring the integrity of machinery. To this end, vibration analysis has been proven to be the most effective method. However, its effectiveness is highly dependent on the experience and knowledge of the machine operator due to abundance of various machine parameters and the complexity of machinery. Thus, artificial intelligence (AI) or the machine learning approach provides a more consistent diagnostic result based on a trained machine learning model and hence leads to a more automated fault diagnosis system that minimizes human intervention. Support vector machines (SVM) are frequently used in automated machinery fault diagnosis to classify multiple machinery faults by handling a high number of input features with small data sets. However, SVM is well known for binary fault classifications only (i.e., healthy vs. faulty). When SVM is used for multi-fault diagnostics and classification, it results in decreased classification accuracy; this is due to the adaptation of SVM for multi-fault classification which requires the reduction of multiple classification problems into multiple subsets of binary classification problems, producing many contradictory results from each individual SVM model. Thus, this research aims to improve the multi-fault classification accuracy of SVM by the adaptation of Dempster–Shafer (DS) evidence theory which is referred as Ensemble SVM-DS. Besides, a novel feature selection tree (FST) is proposed to improve the computation time of a wrapper-based feature selection algorithm such as a genetic algorithm (GA) as part of the improvement for the proposed model. In order to fulfil the objectives of this study, the scope of the work is divided into two parts: the algorithm development and the experimental study. The initial model of feature selection and fault diagnosis algorithm is developed by using a bearing dataset downloaded from the Case Western Reserve University Bearing Data Center website specifically to represent healthy and faulty ball bearing conditions. Then, the proposed algorithms are validated with two sets of vibration signals which are recorded in the laboratory at a measured velocity with a sampling frequency of 2.56 kHz from the belt-driven machinery and SpectraQuest rotating machinery, respectively. The analysis showed that the FST is 13 times faster than the GA at selecting an optimal feature subset. The novel Ensemble SVM-DS model is developed to resolve conflicting results generated from each SVM model and thus increase the multi-fault classification accuracy. The analysis showed that the proposed Ensemble SVM-DS model improved the fault diagnostic accuracy of bearings (from 76% to 94%), belt-driven machinery (from 52% to 82%), and SpectraQuest rotating machinery (from 48% to 72%), as the Ensemble SVM-DS continuously refined and eliminated all conflicting results from traditional SVM models. The proposed Ensemble SVM-DS model was found to be more accurate and effective at handling multi-fault diagnostic and classification problems commonly faced by industry, and was found to be capable of general-purpose machinery fault diagnosis.

## ABSTRAK

Diagnosis kerosakan mesin adalah penting untuk menjamin keutuhan mesin. Untuk tujuan ini, analisis getaran terbukti merupakan kaedah yang paling berkesan. Walau bagaimanapun, keberkesanannya amat bergantung kepada pengalaman dan pengetahuan pengendali mesin kerana kelimpahan pelbagai parameter mesin dan kerumitan mesin. Oleh itu, pendekatan kecerdikan buatan (AI) atau pembelajaran mesin memberikan keputusan diagnosis yang lebih konsisten berdasarkan model pembelajaran mesin terlatih dan seterusnya membawa kepada sistem diagnosis kerosakan automatik yang memerlukan penglibatan manusia secara minimum. Mesin vektor sokongan (SVM) sering digunakan dalam diagnosis kerosakan mesin automatik untuk mengelaskan pelbagai kerosakan mesin dengan mengendalikan jumlah ciri-ciri masukan yang tinggi dengan data yang kurang. Bagaimanapun, SVM terkenal dengan pengelasan kerosakan perduaan sahaja (keadaan normal dan keadaan rosak). Apabila SVM digunakan untuk diagnosis dan pengelasan pelbagai kerosakan, ia melibatkan penurunan kejituan pengelasan. Ini disebabkan oleh penyesuaian SVM bagi pengelasan pelbagai kerosakan memerlukan penurunan pelbagai masalah pengelasan kepada beberapa masalah pengelasan perduaan yang menghasilkan banyak keputusan yang bercanggah dari setiap model SVM. Kajian ini bertujuan untuk meningkatkan kejituan pengelasan pelbagai kerosakan SVM dengan menyesuaikan teori Dempster-Shafer (DS) yang dinamakan sebagai SVM-DS. Selain itu, akar pokok pemilihan ciri-ciri baharu (FST) dicadangkan untuk memperbaiki masa pengiraan algoritma pemilihan ciri-ciri berasaskan pembalut seperti algoritma genetik (GA) sebagai sebahagian daripada penambahbaikan model yang dicadangkan. Untuk memenuhi objektif kajian ini, skop kajian dibahagikan kepada dua bahagian iaitu pembangunan algoritma dan kajian eksperimen. Model awal pemilihan ciri-ciri dan pengelasan kerosakan dibangunkan dengan menggunakan data yang dimuat turun dari laman sesawang Case Western Reserve University Bearing Data Center untuk mewakili keadaan galas yang normal dan rosak. Kemudian, algoritma yang dicadangkan disahkan dengan dua set signal getaran yang dikumpulkan di makmal pada halaju getaran yang diukur dengan kadar pensampelan 2.56 kHz bagi mesin pacuan tali sawat dan mesin putaran SpectraQuest. Analisis menunjukkan bahawa FST adalah 13 kali ganda lebih pantas berbanding GA dalam pemilihan subset ciri-ciri optimum. Model SVM-DS baharu dibangunkan untuk mengatasi keputusan yang bercanggah yang dihasilkan dari setiap model SVM dan seterusnya meningkatkan kejituan pengelasan pelbagai kerosakan. Analisis menunjukkan bahawa model SVM-DS yang dicadangkan meningkatkan kejituan diagnosis kerosakan galas (dari 76% kepada 94%), mesin pacuan tali sawat (dari 52% kepada 82%), dan mesin putaran SpectraQuest (dari 48% kepada 72%) kerana SVM-DS terus ditapis dan dihapuskan semua hasil yang bercanggah daripada model SVM tradisional. Model SVM-DS yang dicadangkan didapati lebih jitu dan berkesan dalam menangani masalah diagnosis dan pengelasan pelbagai kerosakan yang sering dihadapi oleh industri, dan didapati mampu melakukan diagnosis kerosakan umum.

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## LIST OF ABBREVIATIONS

AI	-	Artificial Intelligence
ANN	-	Artificial Neural Network
AR	-	Autoregressive
ASME	-	American Society of Mechanical Engineers
BN	-	Bayesian Networks
BPNN	-	Back-Propagation Neural Network
CEEMD	-	Complementary Ensemble Empirical Mode Decomposition
CMFE	-	Multiscale Fuzzy Entropy
CNN	-	Convolutional Neural Network
CRN	-	Convolutional Residual Network
CWRU	-	Case Western Reserve University
DAE	-	Deep Auto-Encoder
DBN	-	Deep Belief Network
DNN	-	Deep Neural Network
DS	-	Dempster–Shafer Evidence Theory
DWAE	-	Deep Wavelet Auto-Encoder
ELM	-	Extreme Learning Machine
EMD	-	Empirical Mode Decomposition
ESA	-	Envelope Spectrum Analysis
FFT	-	Fast Fourier Transform
FST	-	Feature Selection Tree
GA	-	Genetic Algorithm
HMM	-	Hidden Markov Model
HSDE	-	Hierarchical Symbol Dynamic Entropy
IDE	-	Integrated Development Environment
MLP	-	Multilayer Perceptron
NNBC	-	Non-Naive Bayesian Classifier
OEM	-	Original Equipment Manufacturer
RBF	-	Gaussian Radial Basis Function
RMS	-	Root-Mean-Square

ROC	-	Receiver Operating Characteristic
SD	-	Standard Deviation
SDP	-	Symmetrized Dot Pattern
SOM	-	Self-Organizing Map
SVM	-	Support Vector Machines
VRF	-	Variable Refrigerant Flow
WPD	-	Wavelet Packet Decomposition
WT	-	Wavelet Transform

## LIST OF SYMBOLS

$Bel$	-	Belief Function
$m$	-	Mass Function
$Pl$	-	Plausibility
$\alpha$	-	Discount Coefficient
$\Theta$	-	Finite Set of Possible Answers
$\phi$	-	Empty Set



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# CHAPTER 1

## INTRODUCTION

### 1.1 Overview

Unscheduled maintenance can lead to costly downtime and can threaten human life. Accordingly, machinery condition monitoring and fault diagnosis play a vital role in the operation, maintenance, aging management, reliability and performance of a critical industry such as the power generation, petrochemical, and aviation industries. For instance, in December 2016 Malaysia Airlines had to reschedule its flights to Medina due to unscheduled maintenance. Various methods have been developed for machinery condition monitoring and fault diagnosis, such as vibration analysis (Gelman *et al.*, 2014), acoustic analysis (Jena and Panigrahi, 2015), and thermal imaging interpretation (Janssens *et al.*, 2015). Vibration spectra analysis has been proven as the most efficient condition monitoring and fault diagnostic method for rotating machinery (Chen *et al.*, 2013). Hence various vibration signal processing tools have been introduced, namely wavelet analysis, empirical mode decomposition, and the Hilbert–Huang transform. These signal processing methods have advanced from non-adaptive to self-adaptive signal analysis (Hui *et al.*, 2014). The capabilities of vibration analysis have also progressed from qualitative analysis to quantitative analysis (Cui *et al.*, 2016). For instance, bearing fault diagnostic methods were previously developed to identify the conditions of the bearing (i.e., healthy or faulty), but recent diagnostic methods are intended to determine the severity of the bearing fault (e.g., the fault size). However, the effectiveness of these diagnostic methods is highly dependent on the experience and knowledge of the machine operator.

## 1.2 Research Problem

Currently, machinery condition monitoring and fault diagnosis in critical industrial plants such as oil and gas, power generation, and petrochemical plants is implemented by observing trends in the equipment parameters, such as temperature, pressure, vibration levels, and operating speeds, at various machine locations. Figure 1.1 plots the compressor vibration at a measured displacement of a gas turbine and its original equipment manufacturer (OEM) limits. The data are gathered from Petronas Gas Berhad. The gas turbine was operating within its OEM limits, but was found to exhibit obvious damage on multiple blades during its periodic and borescope inspections, as depicted in Figure 1.2. Therefore, machinery condition monitoring and fault diagnosis solely based on the OEM limits are deemed to be insufficient.

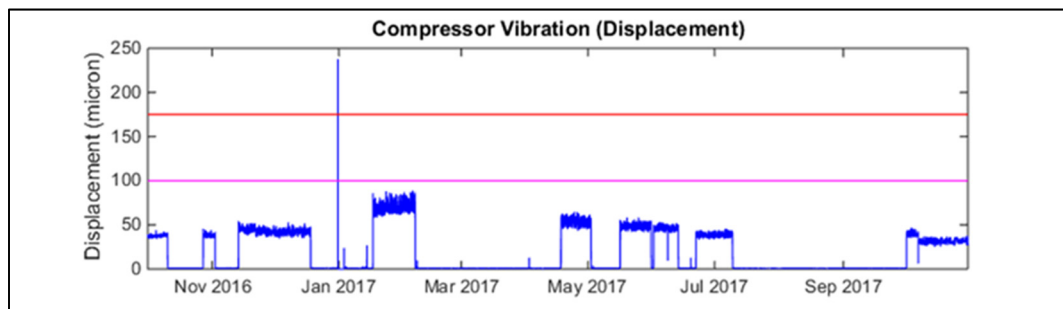


Figure 1.1 Compressor vibration of a gas turbine (magenta line: alarm limit; red line: trip limit)



Figure 1.2 Multiple instances of blade damage found during the periodic and borescope inspections of the gas turbine

In recent years there has been increasing interest in the use of an artificial intelligence (AI) or machine learning approach for machinery fault diagnosis. This

approach provides a more consistent diagnostic result based on a trained machine learning model. As a result, it leads to a more automated fault diagnosis system that reduces or eliminates human intervention. A machine learning algorithm attempts to establish a relationship between the input (i.e., data captured by sensors) and the output (i.e., the conditions of the machine). Subsequently, the trained machine learning model can provide an output based on a new input. Although machine learning-based machinery fault diagnosis provides more consistent diagnostic results, its accuracy remains highly dependent on the machine learning algorithm applied to analyse the input. In other words, the accuracy of diagnostics based on an artificial neural network (ANN), self-organizing map (SOM), support vector machines (SVM), the Hidden Markov model (HMM), particle filtering, regression analysis and fuzzy logic, and the Bayesian technique could be completely different.

A machine learning algorithm that is able to achieve exceptional classification accuracy with limited sampling data is deemed to be crucial in machinery fault diagnosis, as the availability of fault sample data is often restricted. The renowned machine learning subset of deep learning is thus considered to be unsuitable as it requires a large amount of high-quality data for the nested layers in the neural networks. Previous studies have reported SVM as superior to other machine learning algorithms in fault diagnosis due to its capability to handle a large number of input features with a small sampling data set (Kankar *et al.*, 2013; Jedliński and Jonak, 2015; Zhang *et al.*, 2015). However, SVM was developed for two-class (binary) problem classification. Various strategies can be found in the literature regarding the use of SVM for multi-fault classification, including one-versus-one, one-versus-all, binary tree, error correcting output code, and directed acyclic graphs (Cheong *et al.*, 2004). However, most research on SVM multi-fault classification emphasizes the use of the one-versus-one (Wang *et al.*, 2014) and one-versus-all (Liu *et al.*, 2013; Baccarini *et al.*, 2011) strategies. These approaches require more than one SVM model for multi-fault classification, and different SVM models may provide contradictory results, which significantly degrade the performance of SVM in multi-fault classification. Chen *et al.* (2014) reported a bearing fault classification accuracy with an original SVM, which discard all contradictory outcomes from multiple SVM models is 76.82%. It is now well established that multi-fault classification with SVM can be achieved by reducing a multi-fault problem into multiple binary problems. However,

the multi-fault classification performance of SVM has remained unsatisfactory. Therefore, this study attempts to improve SVM for multi-fault classification performance by adapting Dempster–Shafer (DS) evidence theory.

Overall, the problem addressed in this study can be summarised as follows:

- (a) Machinery condition monitoring and fault diagnosis by manually monitoring machinery parameters based on the OEM limits is found to be insufficient.
- (b) The machine learning approach provides a more consistent diagnostic result based on a trained machine learning model and thus leads to a more automated fault diagnosis system, which reduces or eliminates human intervention.
- (c) The literature indicates that the SVM is regarded as the most promising machine learning algorithm in machinery fault diagnosis. However, its multi-fault diagnostic accuracy is found to be significantly degraded due to the contradictory results generated by multiple SVM models.

### **1.3 Research Question**

This study attempts to address the research questions as follows.

- (a) How can the classification accuracy of an SVM in multi-fault classification be improved?
- (b) What is the performance of an SVM in multi-fault classification with fine-tuned *BoxConstraint* and *KernelScale* values?
- (c) Can the improved SVM model be used to classify other machinery fault?
- (d) How can contradictory output from multiple SVM models be reduced by selecting the most representable feature subsets of the dataset as input for the SVM?

- (e) How can the computational time of a wrapper-based feature selection algorithm such as the genetic algorithm (GA) be improved?

#### **1.4 Objective**

This study embarks on the following objectives.

- (a) To develop an algorithm to improve the classification accuracy of an SVM in multi-fault classification based on DS evidence theory.
- (b) To develop an algorithm to improve the computational time of the wrapper-based feature selection algorithm.
- (c) To develop a more robust SVM-based machinery fault diagnostic method for general-purpose machinery fault diagnosis, which can be used to classify any machinery fault with a higher classification accuracy than a conventional SVM model.

#### **1.5 Scope**

The scope of this study is presented below.

- (a) The feature selection and fault diagnosis algorithms are developed in MATLAB's Integrated Development Environment (IDE).
- (b) A bearing dataset is downloaded from the Case Western Reserve University (CWRU) Bearing Data Center website specifically to represent healthy and faulty ball bearing conditions and is used to develop the initial model of feature selection and fault diagnosis algorithm.
- (c) Vibration signals of various machinery conditions are collected from the belt-driven machinery fault simulator and SpectraQuest machinery fault simulator

located in the laboratory for verification and generalisation of the developed model.

- (d) These three machinery fault datasets are used to develop the machinery fault diagnosis algorithm for general-purpose machinery fault diagnosis.

## **1.6 Significance of the Study**

This study set out to enable and improve SVM for multi-fault classification due to its capability to handle a high number of input features with a small sampling data set. The results of the study will be of great benefit as follows.

- (a) An Ensemble SVM-DS is proposed by continuously refining the classification results generated by multiple conventional SVM models. The multi-fault classification accuracy of a conventional SVM significantly improved with the proposed Ensemble SVM-DS technique. Thus, dependency on knowledgeable and experienced personnel in machinery condition monitoring and fault diagnosis could be reduced.
- (b) A feature selection technique termed FST is proposed to select an optimal feature subset by using a faster and more systematic approach, which avoided repeated computation of the performance of identical feature subsets.
- (c) The proposed Ensemble SVM-DS machinery fault diagnostic method is a better algorithm for general-purpose machinery fault diagnosis as compared to conventional SVM as it provides a higher classification accuracy in machinery fault diagnosis.

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## Appendix A Example Calculation of the Ensemble SVM–DS

Table A-1 presents an example of DS calculations used to handle conflicting results. For example, when SVM results were classified as neither class 1 nor class 2 (and not class 3 or class 4), the probabilities of class 1 and class 2 can be calculated using DS evidence theory to enable a final decision to be made. The values shown in Table A-1 were obtained by multiplying basic probability with the results generated from the SVM model (column 2), and merging the result with the normalised SVM training accuracy (row 2). The SVM training accuracy was obtained based on the capability of every individual SVM model to predict the training samples correctly. Subsequently, the mass function ( $m$ ), belief function ( $Bel$ ), and plausibility ( $Pl$ ) can be calculated as follows.

Table A-1 Illustration of DS theory calculation

Class \	Class	1	2	Not 3	Not 4	$\Theta$
<b>Class</b>	m	0.2038	0.2275	0.2500	0.2500	0.0688
<b>1</b>	0.25	0.0509	0.0569	0.0625	0.0625	0.0172
<b>2</b>	0.25	0.0509	0.0569	0.0625	0.0625	0.0172
<b>Not 3</b>	0.25	0.0509	0.0569	0.0625	0.0625	0.0172
<b>Not 4</b>	0.25	0.0509	0.0569	0.0625	0.0625	0.0172

$$m(1) = \frac{0.0509 + 0.0509 + 0.0509 + 0.0625 + 0.0625 + 0.0172}{1 - 0.1078}$$

$$m(1) = 0.3305$$

$$m(2) = \frac{0.0569 + 0.0569 + 0.0569 + 0.0625 + 0.0625 + 0.0172}{1 - 0.1078}$$

$$m(2) = 0.3507$$

$$m(\text{Not } 3) = \frac{0.0625 + 0.0625 + 0.0625 + 0.0172}{1 - 0.1078}$$

$$m(\text{Not } 3) = 0.2294$$

$$m(\text{Not } 4) = \frac{0.0625 + 0.0172}{1 - 0.1078}$$

$$m(\text{Not } 4) = 0.0893$$

$$Bel(1) = 0.3305$$

$$Pl(1) = 0.3305 + 0.2294 + 0.0893 = 0.6492$$

$$Bel(2) = 0.3507$$

$$Pl(2) = 0.3507 + 0.2294 + 0.0893 = 0.6694$$

## Appendix B Ensemble SVM–DS MATLAB Script

```
1 function [ DisplayDecision,Testing_Accuracy,Indecisive ] = newsvmms( ...
2   TrainData,TrainTarget,TestData,TestTarget )
3
4 % NEWSVMMS is a funtion of Ensemble Support Vector Machines (SVM) and
5 % Dempster-Shafer (DS) Evidence Theory for multi-fault classification.
6
7 % When SVM is used for multi-fault diagnostics and classification it
8 % results in decreased classification accuracy; this is because the
9 % adaptation of SVM for multi-fault classification requires the
10 % reduction of the multiple classification problem into multiple subsets
11 % of binary classification problems, producing many contradictory
12 % results from each individual SVM model. Thus, this function is
13 % developed to improve multi-fault classification.
14
15 % Last updated: 23 April 2019
16
17 % Determine number of classes.
18 Class = length(unique(TrainTarget));
19
20 % Train the SVM model with TrainData & test with TestData.
21 for a = 1:Class
22
23     % Create temporary target matrix by zeros.
24     TempTrainTarget=zeros(length(TrainTarget),1);
25
26     for x = 1:length(TrainTarget)
27
28         % Assign 1 to the current class, else 0.
29         if TrainTarget(x,1) == a
30
31             TempTrainTarget(x,1) = 1;
32
33         else
34
35             TempTrainTarget(x,1) = 0;
36
37         end
38
39     end
40
41     % Train SVM structure with Kernel Function = rbf.
42     SVMStruct{a} = svmtrain(TrainData,TempTrainTarget,...
43         'kernel_function','rbf');
44
45     % Test the trained SVM structure with TrainData.
46     TrainOutput{a} = svmclassify(SVMStruct{a},TrainData);
47
48     % Test the trained SVM structure with TestData.
49     TestOutput{a} = svmclassify(SVMStruct{a},TestData);
50
51     % Gather all Train Output generated by each SVM structures.
52     AllTrainOutput(:,a) = TrainOutput{1,a};
53
54     % Gather all Test Output generated by each SVM structures.
55     AllTestOutput(:,a) = TestOutput{1,a};
56
57 end
58
59
60
```

```

61 %% Dempster-Shafer Operations.
62
63 % Calculate weightage for the DS.
64
65 % Determine training accuracy for each SVM structure.
66 for b=1:Class
67
68     m(b,1) = length(unique(find(AllTrainOutput((b-1)/4)*...
69         length(TrainData)+1:(b/4)*length(TrainData),1)==(b==1)));
70
71     m(b,2) = length(unique(find(AllTrainOutput((b-1)/4)*...
72         length(TrainData)+1:(b/4)*length(TrainData),2)==(b==2)));
73
74     m(b,3) = length(unique(find(AllTrainOutput((b-1)/4)*...
75         length(TrainData)+1:(b/4)*length(TrainData),3)==(b==3)));
76
77     m(b,4) = length(unique(find(AllTrainOutput((b-1)/4)*...
78         length(TrainData)+1:(b/4)*length(TrainData),4)==(b==4)));
79
80 end
81
82 % Calculate training accuracy for each SVM structures.
83 MSVMStruct = [sum(m(:,1))/length(AllTrainOutput) ...
84     sum(m(:,2))/length(AllTrainOutput) ...
85     sum(m(:,3))/length(AllTrainOutput) ...
86     sum(m(:,4))/length(AllTrainOutput)];
87
88 % Calculate training error for each SVM structures.
89 MSVMStructErr=[1 1 1 1]-MSVMStruct;
90
91 % Result fusion by DS.
92
93 % Calculate the size of matrix for the Test Output from SVM.
94 Row = size(AllTestOutput,1);
95 Col = size(AllTestOutput,2);
96
97 % Reset counter for indecisive output.
98 Indecisive = 0;
99
100 % Process data for each column.
101 for c = 1:1:Row
102
103     % Process data for each row.
104     for d = 1:1:Col
105
106         % Check if there is no decision (all zeros).
107         if sum(AllTestOutput(c,:),2) == 0
108
109             % Correction on output from SVM for all zeros cases.
110             CorrSVM(c,d) = 1/Col; % Assign error.
111             CorrSVM(c,Col+1) = 1; % Mark to use error.
112
113         else
114
115             % Correction on output from SVM for non all zeros cases.
116
117             % Calculate accuracy.
118             CorrSVM(c,d) = AllTestOutput(c,d)/sum(AllTestOutput(c,:));
119
120             % Mark to use accuracy.

```

```

121         CorrSVM(c,Col+1) = 0;
122
123     end
124
125 end
126
127 % Check if to use error.
128 if CorrSVM(c,Col+1) == 1
129
130     % Fusion of corrected SVM Test Output with error.
131     DS = (CorrSVM(c,:))*MSVMstructErr;
132
133 else
134
135     % Fusion of corrected SVM Test Output with accuracy.
136     DS = (CorrSVM(c,:))*MSVMstruct;
137
138 end
139
140 % Decision making based on SVM structure.
141
142 % Select diagonal cells in the matrix.
143 DiagFused = diag(DS);
144
145 % Calculate probability for Class 1.
146 Decision(c,1) = DiagFused(1,1)/sum(DiagFused);
147
148 % Calculate probability for Class 2.
149 Decision(c,2) = DiagFused(2,1)/sum(DiagFused);
150
151 % Calculate probability for Class 3.
152 Decision(c,3) = DiagFused(3,1)/sum(DiagFused);
153
154 % Calculate probability for Class 4.
155 Decision(c,4)=DiagFused(4,1)/sum(DiagFused);
156
157 TestDecision(c,:) = Decision(c,:)==max(Decision(c,:));
158
159 if sum(TestDecision(c,:)) == 1
160
161     % Identified types of fault.
162     FinalDecision(c,1) = find(TestDecision(c,:) == 1);
163
164 else
165
166     % Undefined.
167     FinalDecision(c,1) = 0;
168     Indecisive = Indecisive+1;
169
170 end
171
172 % Validate the test result.
173 FinalDecision(c,2) = FinalDecision(c,1) == TestTarget(c,1);
174
175 end
176
177 % Calculate test accuracy.
178 Testing_Accuracy = sum(FinalDecision(:,2))/length(AllTestOutput);
179
180 % Display final decision.

```



```
181     DisplayDecision = FinalDecision(:,1);
182
183 end
184
185
```

## Appendix C FST MATLAB Script

```
1 function [ BestFeatures ] = fst( TrainData,TrainTarget,TargetedFeatureNum )
2 % FST is a systematic evaluation of features for machine learning.
3
4 % Last updated: 23 April 2019
5
6 % Find number of features.
7 NumFeas = size(TrainData,2);
8
9 % TargetedFeature < 1 means to ignore desirable number of feature.
10 if TargetedFeatureNum < 1
11
12     % If the desirable number of feature ignored, then select the
13     % best features based on training accuracy.
14     TargetedFeatureNum = NumFeas;
15
16 end
17
18 % Generate combination for 1 feature.
19 Combi{1,1} = combnk(1:NumFeas,1);
20
21 % From the 1st to the total number of combination for a single
22 % feature.
23 for A1 = 1:size(Combi{1,1},1)
24
25     % Assigned training data.
26     TrainInput = TrainData(:,Combi{1,1}(A1,1));
27
28     % Assigned training target.
29     TrainTarget = TrainTarget;
30
31     % Call SVM function, calculate, and record training accuracy.
32     [ Combi{1,1}(A1,2) ] = svm4feasel( TrainInput,TrainTarget );
33
34 end
35
36 A2 = 0;
37
38 % From the 2nd feature to the total number of features.
39 for A3 = 2:TargetedFeatureNum
40
41     % Find mean training accuracy percentage from the previous
42     % evaluated feature.
43     B1 = mean(Combi{1,A3-1}(:,A3));
44
45     % Find all features with the accuracy larger than mean accuracy
46     % to avoid the system missed any identical accuracy.
47     % B3 = Cell ID of the highest accuracy features.
48
49     [B2,~] = find(Combi{1,A3-1}(:,A3)>B1);
50
51     % Temp best features from previous evaluated features.
52     TempBestFeas = Combi{1,A3-1}(B2,1:A3-1);
53
54     % Record the best performance features.
55     BestAcc(A2+1:A2+size(B2,1),1:A3-1) = TempBestFeas;
56
57     % Record the best performance features' accuracy.
58     BestAcc(A2+1:A2+size(B2,1),TargetedFeatureNum+1) = Combi{1,A3-1}...
59     (B2,A3);
60
```

```

61     % Updated A2 value based on the total combination of the
62     % previous evaluated features.
63     A2 = A2+size(TempBestFeas,1);
64
65     A4 = 0;
66
67     % From 1 to the total of highest performance features.
68     for A6 = 1:size(TempBestFeas,1)
69         A5 = A6-1;
70
71         % Generated the combination of all features and record
72         % latest left over features.
73         F1 = (1:NumFeas)';
74
75         for A7=1:size(TempBestFeas,2)
76
77             % Generate the previous feature for the combination
78             % of 2 features (up to all features) based
79             % on the highest previous evaluated features.
80             Combi{1,A3}(A4*A5+1:(NumFeas-A3+1)*A6,A7) = ...
81                 TempBestFeas(A6,A7);
82
83         end
84
85         % From 1 to the total number of highest performance features.
86         for A8 = 1:size(TempBestFeas,2)
87
88             % Find the row ID of left over features.
89             [A9,~] = find(F1~=TempBestFeas(A6,A8));
90
91             % Updated the latest left over features.
92             F1 = F1(A9,1);
93
94         end
95
96         % Generate the 2nd feature for the combination of 2 features
97         % (up to all features) based on the highest previous
98         % evaluated features.
99         Combi{1,A3}(A4*A5+1:(NumFeas-A3+1)*A6,A3) = F1;
100
101         A4 = NumFeas-A3+1;
102
103     end
104
105     for A10 = 1:size(Combi{1,A3},1)
106
107         % Sorting the features (ascending) by each row.
108         Combi{1,A3}(A10,:) = unique(Combi{1,A3}(A10,:));
109
110     end
111
112     % Eliminated identical features.
113     Combi{1,A3} = unique(Combi{1,A3},'rows');
114
115     % Training for combination of 2 features and above.
116     for A11 = 1:size(Combi{1,A3},1)
117
118         % Assigned training input.
119         TrainInput = TrainData(:,Combi{1,A3}(A11,1:A3));
120

```

```

121         % Assigned training target.
122         TrainTarget = TrainTarget;
123
124         % Call SVM function, calculate, and record training accuracy.
125         Combi{1,A3}(A11,A3+1) = svm4feasel( TrainInput,TrainTarget );
126
127     end
128
129 end
130
131 % Find mean training accuracy percentage from the second last
132 % evaluated feature.
133 B1 = mean(Combi{1,TargetedFeatureNum}(:,TargetedFeatureNum+1));
134
135 % Find all features with the accuracy larger than mean accuracy to
136 % avoid the system missed any identical accuracy.
137 % B3=Cell ID of the highest accuracy features.
138 [B2,~] = find(Combi{1,TargetedFeatureNum}(:,TargetedFeatureNum+1)==B1);
139
140 % Temp best features from previous evaluated features.
141 TempBestFeas = Combi{1,TargetedFeatureNum}(B2,1:TargetedFeatureNum);
142
143 % Record the best performance features.
144 BestAcc(A2+1:A2+size(B2,1),1:TargetedFeatureNum) = TempBestFeas;
145
146 % Record the best performance features' accuracy.
147 BestAcc(A2+1:A2+size(B2,1),TargetedFeatureNum+1) = Combi{1,...
148     TargetedFeatureNum}(B2,TargetedFeatureNum+1);
149
150 % Find highest training accuracy percentage from all evaluated
151 % feature.
152 [B3,~] = max(BestAcc(:,TargetedFeatureNum+1));
153
154 % Find all features with the accuracy within 5% of the highest
155 % accuracy to avoid the system missed any identical accuracy.
156 % B4=Cell ID of the highest accuracy features.
157 [B4,~] = find(BestAcc(:,TargetedFeatureNum+1)>B3-0.05);
158
159 BestFeatures=nonzeros((BestAcc(B4(1,1),1:TargetedFeatureNum)))';
160
161 end

```

```

1 function [ SVM_Training_Accuracy ] = svm4feasel( TrainData,TrainTarget )
2 %   SVM4FEASEL is a function of SVM that used for EST.
3
4 %   Last updated: 23 April 2019
5
6   %   Determine number of class.
7   Class = length(unique(TrainTarget));
8
9   % Train SVM model & test with Test Data.
10  for a = 1:Class
11
12      %   Create temporary target matrix by zeros.
13      TempTrainTarget = zeros(length(TrainTarget),1);
14      for x = 1:length(TrainTarget)
15
16          if TrainTarget(x,1) == a
17
18              %   Assign 1 to the current class, else 0.
19              TempTrainTarget(x,1) = 1;
20
21          else
22
23              TempTrainTarget(x,1) = 0;
24
25          end
26
27      end
28
29      %   Train SVM structure with Kernel Function = rbf.
30      SVMStruct{a} = svmtrain(TrainData,TempTrainTarget,...
31          'kernel_function','rbf');
32
33      %   Test the trained SVM structure with Train Input.
34      TrainOutput{a} = svmclassify(SVMStruct{a},TrainData);
35
36      %   Gather all Train Output generated by each SVM structures.
37      AllTrainOutput(:,a) = TrainOutput{1,a};
38
39      end
40
41      %   Calc size of matrix for the Test Output from SVM.
42      Row = size(AllTrainOutput,1);
43      Col = size(AllTrainOutput,2);
44
45      %   Process data for each column.
46      for b = 1:1:Row
47
48          if sum(AllTrainOutput(b,:)) == 1
49
50              %   Identified types of fault.
51              SVMFinalDecision(b,1) = find(AllTrainOutput(b,:) == 1);
52
53          else
54
55              %   Undefined.
56              SVMFinalDecision(b,1) = 0;
57
58          end
59
60      %   Validate the test result.

```

```
61         SVMFinalDecision(b,2) = SVMFinalDecision(b,1) == TrainTarget(b,1);
62
63     end
64
65     % Calculate test accuracy.
66     SVM_Training_Accuracy = sum(SVMFinalDecision(:,2))/...
67         length(AllTrainOutput);
68
69 end
70
71
```



## LIST OF PUBLICATIONS

### Journal with Impact Factor

1. **Hui, K.H.**, Ooi, C.S., Lim, M.H., Leong, M.S., Al-Obaidi, S.M. (2017) ‘An improved wrapper-based feature selection method for machinery fault diagnosis’ *PLoS ONE*, 12(12), 1–10. **(Q1, IF: 2.766)**
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1. **Hui, K.H.**, Lim, M.H., Leong, M.S. and Al-Obaidi, S.M. (2017) ‘Dempster-Shafer Evidence Theory for Automated Bearing Fault Diagnosis’ *International Journal of Mechanical Engineering and Technology*, 8(4), 277–288. **(Indexed by SCOPUS)**

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2. **Hui, K.H.**, Hee, L.M. and Leong, M.S. (2014) 'Machine Learning Tools in Machinery Faults Diagnosis: A Review' *Applied Mechanics and Materials*, 575, 833–836. **(Indexed by SCOPUS)**
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4. **Hui, K.H.**, Lim, M.H., Leong, M.S., Zakaria, M.K. and Ngui, W.K. (2015) 'A Hybrid Method of Support Vector Machine and Dempster-Shafer Theory for Automated Bearing Fault Diagnosis' *Proceedings of the 16th Asia Pacific Vibration Conference*, 525–531.
5. **Hui, K.H.**, Lim, M.H., Leong, M.S. and Abdelrhman, A.M. (2015) 'Vibration Condition Monitoring: Latest Trend and Review' *Applied Mechanics and Materials*, 774, pp.139–143.