

SPARSE CODE MULTIPLE ACCESS WITH PRACTICAL SOFT-DEMAPPER  
FOR INTERNET-OF-THINGS APPLICATION

SYED AAMER HUSSAIN

UNIVERSITI TEKNOLOGI MALAYSIA

SPARSE CODE MULTIPLE ACCESS WITH PRACTICAL SOFT-DEMAPPING  
FOR INTERNET-OF-THINGS APPLICATION

SYED AAMER HUSSAIN

A thesis submitted in fulfilment of the  
requirements for the award of the degree of  
Master of Philosophy

Razak Faculty of Technology and Informatics  
Universiti Teknologi Malaysia

SEPTEMBER 2021

## **DEDICATION**

This thesis is dedicated to my wife, whose love and support kept me motivated in all the difficult times I faced during my thesis journey. It is also dedicated to my daughter, whose simple gestures of love and affection made me go further every time.

## ACKNOWLEDGEMENT

In preparing this thesis, I contacted many people, researchers, academicians, and practitioners. They have contributed to my understanding and thoughts. I particularly wish to express my sincere appreciation to my primary thesis supervisor, Dr Norulhusna Binti Ahmad, for encouragement, guidance, critics, and friendship. I am also very thankful to my co-supervisor, Dr Khoirul Anwar, for their guidance, advice, and motivation. Without their continued support and interest, this thesis would not have been the same as presented here. I am also indebted to Universiti Teknologi Malaysia (UTM) for funding my study. My fellow postgraduate student should also be recognised for their support. My sincere appreciation also extends to all my colleagues and others who have assisted on various occasions. Their views and tips are helpful, indeed. Unfortunately, it is not possible to list all of them in this limited space. I am grateful to all my family member.

## ABSTRACT

Non-Orthogonal Multiple Access (NOMA) can address overloaded multi-user communications systems within some limits, in which Sparse Code Multiple Access (SCMA) is one of the favourable techniques. SCMA can provide reliability, spectrum efficiency, and higher overloading of resources. However, the current SCMA techniques are complex to be implemented in machine-to-machine (M2M) communication systems involving devices with limited capabilities. Moreover, the concerns about the practical implementation of SCMA needs to be addressed, mainly when the devices have limited processing power with acceptable performance degradation. The analysis of existing systems shows that the most processing-complex part of the SCMA architecture is the decoder, while the overloading of the system depends on the optimised multiplexing of user data on the resource elements. The main objective of this thesis is to propose a new SCMA decoder with a soft-demapping technique which can reduce computational complexity and improve the error rate performance of the system. For the practical implementation of the system, this thesis proposes optimised control parameters: Log-Likelihood Ratio (LLR) limiter  $\zeta$ , and noise variance threshold  $\psi$ ; to handle the stability of the system. Then, the proposed technique is analysed for higher overloading conditions with multi-device handling using an irregular factor matrix in terms of Bit Error Rate (BER) analysis. The higher overloading clarifies the performance of SCMA in dense massive IoT communication system. The results using MATLAB simulation show that for regular factor matrix, the proposed soft-demapper technique improves 33% in the execution time of the decoding operation compares to conventional SCMA. In terms of the number of iteration ( $T$ ), the proposed technique shows a 63% reduction compares to conventional SCMA. For the practical implementation, it is found that the system has an optimised value of  $\zeta = \pm 700$  for both regular and irregular matrices. Meanwhile, the optimised value for noise variance threshold is  $\psi = 0.4$  and  $\psi = 0.1$  for regular and irregular soft-demapper matrix, respectively. Comparing with the conventional SCMA, BER analysis of regular matrix demapper system under block Rayleigh fading channel shows an SNR gain of 2.5 dB at  $10^{-3}$  BER, and under fast Rayleigh fading channel shows 1.1 dB SNR gain at  $10^{-5}$  BER. Because of the difference in channels variation, different BER target is used for both channels. The system is also simulated with an irregular matrix and it achieved a higher overloading factor of 200% than regular matrix demapper with 150% overloading. It shows that the proposed SCMA decoder with soft-demapping technique is practical to be implemented even with the irregular matrix system with improved performance and additional capability to handle more users. The proposed technique allows improvements in SCMA adaptability in the Internet of Things (IoT) domain, which has the bottleneck of not having high-end computational hardware that requires higher multi-device access.

## ABSTRAK

Capaian Berbilang Tidak Orthogon (NOMA) dapat mengatasi masalah multipengguna yang sarat di dalam sistem komunikasi dengan beberapa kekangan, di mana Kod Tatasusunan Capaian Berbilang (SCMA) adalah salah satu teknik pilihan yang terbaik. SCMA dapat menyediakan kebolehpercayaan, spektrum yang efisien, dan sarat dengan sumber yang tinggi. Walau bagaimanapun, teknik SCMA semasa adalah kompleks untuk diimplementasikan dalam sistem komunikasi mesin-ke-mesin (M2M) yang melibatkan kemampuan peranti yang terhad. Tambahan pula, implementasi SCMA yang praktikal perlu diberi perhatian, terutamanya apabila sesuatu peranti mempunyai kuasa pemprosesan yang terhad dengan prestasi yang menurun. Analisis pada sistem sedia ada menunjukkan bahagian yang paling kompleks di dalam seni bina SCMA adalah penyahkod, manakala sistem yang sarat bergantung kepada pemultipleks data pengguna yang optimum pada sumber elemen. Objektif utama tesis ini ialah untuk mencadangkan satu penyahkod SCMA dengan teknik penyahmeta lembut yang baru di mana ia dapat mengurangkan kompleksiti pengkomputeran dan meningkatkan kadar ralat prestasi sistem. Untuk implementasi sistem yang praktikal, tesis ini mencadangkan parameter terkawal yang optimum: penghad Log Nisbah Kebolehjadian (LLR)  $\zeta$  dan ambang varians hingar  $\psi$ ; untuk mengendalikan kestabilan sistem. Kemudian, teknik cadangan dianalisa melalui analisis Kadar Ralat Bit (BER) untuk situasi yang sarat dengan berbilang pengguna menggunakan kaedah faktor matriks tidak teratur. Keputusan kajian menggunakan simulasi MATLAB menunjukkan, bagi kaedah faktor matriks teratur, teknik cadangan iaitu penyahmeta lembut menambah baik masa pelaksanaan operasi penyahkod sebanyak 33% berbanding SCMA konvensional. Dari segi bilangan lelaran ( $T$ ), teknik cadangan menunjukkan pengurangan sebanyak 63% berbanding SCMA konvensional. Untuk implementasi yang praktikal, telah didapati bahawa sistem ini mempunyai nilai optimum bagi penghad,  $\zeta = \pm 700$  untuk kedua-dua teknik penyahmeta lembut matriks teratur dan tidak teratur. Sementara itu, nilai optimum bagi ambang varians hingar adalah masing-masing,  $\psi = 0.4$  dan  $\psi = 0.1$  bagi penyahmeta lembut matriks teratur dan tidak teratur. Untuk membandingkan dengan SCMA konvensional, analisis BER bagi matriks teratur pada saluran pudaran blok Rayleigh menunjukkan 2.5 dB gandaan SNR pada BER  $10^{-3}$ , dan pada saluran pudaran laju Rayleigh menunjukkan 1.1 dB gandaan SNR pada BER  $10^{-5}$ . Disebabkan perbezaan pada variasi saluran, sasaran BER adalah berbeza untuk kedua-dua saluran. Sistem ini juga disimulasi dengan matriks tidak teratur dan ia mencapai faktor sarat yang tinggi sebanyak 200% berbanding matriks teratur dengan 150% faktor sarat. Ini menunjukkan yang cadangan penyahkod SCMA dengan teknik penyahmeta lembut adalah praktikal untuk diimplementasi sekalipun menggunakan matriks tidak teratur dengan prestasi yang lebih baik dan mempunyai kebolehan menguruskan pengguna yang banyak. Teknik cadangan dapat menambah baik sistem SCMA untuk diadaptasi di dalam domain Internet Pelbagai Benda (IoT), yang tidak mempunyai perkakasan komputer atasan yang memerlukan banyak capaian berbilang peranti.

## TABLE OF CONTENTS

	<b>TITLE</b>	<b>PAGE</b>
	<b>DECLARATION</b>	<b>iii</b>
	<b>DEDICATION</b>	<b>iv</b>
	<b>ACKNOWLEDGEMENT</b>	<b>v</b>
	<b>ABSTRACT</b>	<b>vi</b>
	<b>ABSTRAK</b>	<b>vii</b>
	<b>TABLE OF CONTENTS</b>	<b>viii</b>
	<b>LIST OF TABLES</b>	<b>xi</b>
	<b>LIST OF FIGURES</b>	<b>xii</b>
	<b>LIST OF ABBREVIATIONS</b>	<b>xiv</b>
	<b>LIST OF SYMBOLS</b>	<b>xvi</b>
	<b>LIST OF APPENDICES</b>	<b>xvii</b>
<b>CHAPTER 1</b>	<b>INTRODUCTION</b>	<b>1</b>
	1.1 Problem Background	1
	1.2 Problem Statement	4
	1.3 Research Objectives (RO)	6
	1.4 Research Scope	6
	1.5 Research Contribution	7
	1.6 Thesis Organization	7
<b>CHAPTER 2</b>	<b>LITERATURE REVIEW</b>	<b>9</b>
	2.1 Introduction	9
	2.1.1 Orthogonal Multiple Access Techniques	9
	2.1.2 Non-Orthogonal Multiple Access	11
	2.1.2.1 Power Domain NOMA	13
	2.1.2.2 Code Domain NOMA	13
	2.1.2.3 Multi-Domain NOMA	15
	2.2 Sparse Code Multiple Access	16
	2.3 SCMA Architecture	17

2.3.1	Forward Error Correction Encoders	18
2.3.2	SCMA Mapper	19
2.3.2.1	SCMA Multidimensional Constellation	20
2.3.2.2	Data Spreading	23
2.3.3	Channel Models	25
2.3.4	Receiver and Decoding	27
2.4	Related Work	30
2.5	Chapter Summary	31
<b>CHAPTER 3</b>	<b>RESEARCH METHODOLOGY</b>	<b>33</b>
3.1	Introduction	33
3.2	Research Flowchart	33
3.3	Conventional SCMA System	34
3.4	The Proposed SCMA Model	35
3.4.1	FEC Coding and Interleaving	39
3.4.2	Mapping Symbols	39
3.4.3	Binary Factor Matrix	41
3.4.4	Channel Models	44
3.4.5	SCMA Decoding	45
3.4.5.1	Proposed Decoder based on Soft-Demapping	45
3.4.5.2	Proposed Control Parameters	47
3.5	Simulation Setup and Assumptions	49
3.6	System Performance Analysis	50
3.6.1	Complexity Analysis	50
3.6.2	Bit Error Rate	51
3.6.3	Overloading Analysis	51
3.7	Chapter Summary	52
<b>CHAPTER 4</b>	<b>RESULT AND DISCUSSION</b>	<b>53</b>
4.1	Introduction	53



4.2	System Analysis	53
4.3	Parameter Evaluation	54
4.3.1	Control parameters	55
4.3.1.1	LLR Limiter	55
4.3.1.2	Noise Variance Threshold	55
4.3.2	FEC coding parameters	58
4.4	Complexity Analysis	59
4.4.1	Decoder Iterations	59
4.4.2	Computational Complexity	61
4.5	BER Analysis	62
4.5.1	Demapper based SCMA with Regular Matrix	62
4.5.2	Demapper based SCMA with Irregular Matrix	63
4.6	Chapter Summary	65
<b>CHAPTER 5</b>	<b>CONCLUSION AND RECOMMENDATIONS</b>	<b>67</b>
5.1	Introduction	67
5.2	Significant Achievements	67
5.3	Future Works	68
	<b>REFERENCES</b>	<b>71</b>
	<b>LIST OF PUBLICATIONS</b>	<b>91</b>

## LIST OF TABLES

<b>TABLE NO.</b>	<b>TITLE</b>	<b>PAGE</b>
Table 2.1	Comparison of different NOMA techniques	16
Table 2.2	Comparison of FEC Coding Schemes	19
Table 3.1	Simulation parameters to evaluate the proposed soft demapper-based SCMA	50
Table 3.2	Overloading Scenarios	52
Table 4.1	Parameters used in the simulations	54

## LIST OF FIGURES

FIGURE NO.	TITLE	PAGE
Figure 2.1	Various orthogonal multiple access schemes	10
Figure 2.2	Difference between OMA and NOMA resource allocation	12
Figure 2.3	Division of multiple access schemes	13
Figure 2.4	Structure of SCMA frame	15
Figure 2.5	Conventional SCMA system architecture	17
Figure 2.6	Data mapping based on SCMA codebooks	22
Figure 3.1	Project flow diagram	36
Figure 3.2	Structure of the proposed SCMA transmitter and receiver involving iterative decoding	37
Figure 3.3	CBPSK mapping symbols	40
Figure 3.4	A resource block of SCMA System	41
Figure 3.5	Resource block data assignment (a) regular factor matrix (b) irregular factor matrix	43
Figure 3.6	The factor graph of resource block data assignment for regular (4 x 6) factor matrix	46
Figure 3.7	The factor graph of resource block data assignment for irregular (4 x 8) factor matrix	47
Figure 3.8	The block diagram of mutual information exchanged between soft demapper and channel decoder	48
Figure 3.9	Ambiguity in decoding for the signals existing on the decision boundary	49
Figure 4.1	Demapper based SCMA with Varying Limiter under block Rayleigh fading channel without normalisation and scaling	56
Figure 4.2	Threshold variation for regular matrix demapper based SCMA under block Rayleigh fading channel	57
Figure 4.3	Threshold variation for irregular matrix demapper based SCMA under block Rayleigh fading channel	57
Figure 4.4	Regular Demapper based SCMA system code rate variation under block Rayleigh fading channel	58

Figure 4.5	Irregular Demapper based SCMA system code rate variation under block Rayleigh fading channel	59
Figure 4.6	Conventional and regular demapper SCMA comparison under block Rayleigh fading channel	60
Figure 4.7	Comparison of execution time for conventional and Demapper based SCMA system	61
Figure 4.8	BER comparison of conventional and demapper based SCMA system under block and fast Rayleigh fading channel	63
Figure 4.9	BER comparison of conventional and Demapper based SCMA with irregular and regular matrix under block and fast Rayleigh fading channel	64
Figure A.1	Repetition decoding sequence	86
Figure A.2	Receiver operations for Repetition Coding	86
Figure B.1	Block interleaver sequence	87
Figure B.2	Block de-interleaver	88

## LIST OF ABBREVIATIONS

1G	-	First-Generation Mobile System
2G	-	Second-Generation Mobile System
3G	-	Third-Generation Mobile System
3GPP	-	3rd Generation Partner Project
4G	-	Fourth-Generation Mobile System
5G	-	Fifth-Generation Mobile System
AWGN	-	Additive White Gaussian Noise
BER	-	Bit Error Rate
CDMA	-	Code Division Multiple Access
CB	-	Codebook
CM	-	Constellation Matrix
CP	-	Cyclic Prefix
EXIT	-	Extrinsic Information Transfer-Function
FDMA	-	Frequency Division Multiple Access
GSM	-	Global System For Mobile Communication
GAM	-	Golden Angle Modulation
IoT	-	Internet of Things
IMUDD	-	Iterative Multi-User Detection/Decoder
KPI	-	Key Performance Indicators
LDPC	-	Low-Density-Parity-Check
LDS	-	Low-Density Spreading
LDS-CDMA	-	Low-Density Spreading Code Division Multiple Access
LDS-OFDM	-	LDS Aided Orthogonal Frequency Division Multiplexing
LIM	-	Limiter
LOG-MPA	-	Logarithmic-Domain Message Passing Algorithm
LTE	-	Long Term Evolution
LDM	-	Layered Division Multiplexing

LPMA	-	Lattice Partition Multiple Access
MC	-	Multidimensional Constellation
MED	-	Minimum Euclidean Distance
MUST	-	Multi-User Superposition Transmission
MUSA	-	Multi-User Shared Access
MIMO	-	Multiple Inputs Multiple Outputs
MPA	-	Message Passing Algorithm
MUSA	-	Multi-User Shared Access
NOMA	-	Non-Orthogonal Multiple Access
OMA	-	Orthogonal Multiple Access
OFDMA	-	Orthogonal Frequency Division Multiple Access
PAM	-	Pulse Amplitude Modulation
PDMA	-	Pattern Division Multiple Access
QAM	-	Quadrature Amplitude Modulation
SCMA	-	Sparse Code Multiple Access
SIC	-	Successive Interference Cancellation
SNR	-	Signal-To-Noise Ratio
SEFDM	-	Spectrally Efficient Frequency Division Multiplexing
TDMA	-	Time-Division Multiple Access
URLLC	-	Ultra-Reliable Low Latency Communication

## LIST OF SYMBOLS

$W$	-	Codewords
$N_{cu}$	-	Number of Channels to transmit one codeword
$V$	-	Total number of users
$S$	-	Spreading matrix
$X$	-	Multi-dimensional constellation
$K$	-	Number of resources
$d_v$	-	Number of users multiplexed on one resource element
$d_f$	-	Number of concurrent resources to transmit user data
$\sigma$	-	Standard deviation
$\sigma^2$	-	Variance
$\Pi$	-	Interleaver
$\Pi^{-1}$	-	Deinterleaver
$M^{-1}$	-	Demapper
$T$	-	Number of Decoder Iterations
$Q_b$	-	Minimum bit error rate
$E_b/N_o$	-	Signal to noise per bit
$\zeta$	-	Limiter
$\psi$	-	Threshold

## LIST OF APPENDICES

<b>APPENDIX</b>	<b>TITLE</b>	<b>PAGE</b>
Appendix A	Forward Error Correction Coding	85
Appendix B	Interleaving	87
Appendix C	Fading Channel Types	89



# CHAPTER 1

## INTRODUCTION

### 1.1 Problem Background

Wireless communication has passed through a broad span of research and development, which has shaped it into the modern advanced structure [1]. It has gone through four significant generations, incorporating advancements in different parameters and improving the system's capability [2]. Over the generations of wireless communication, there have been improvements in resource utilisation, where resources are either time or frequency blocks. Each generation has incorporated new ways of passing the maximum amount of user data through the limited bandwidth with the best possible benchmarks [3]. Different multiplexing techniques have been proposed in each 1-4 Generation (1G, 2G, 3G and 4G) mobile system, and each generation is unique in its use of a multiple access technique. Specifically, 1G wireless communication used Frequency Division Multiple Access (FDMA) in handling resource utilisation, while 2G incorporated Time-Division Multiple Access (TDMA). 3G communication was mainly based on Code Division Multiple Access (CDMA); in contrast, 4G used an advanced FDMA version called orthogonal FDMA (OFDMA) [4]. The techniques incorporated in 1G-4G systems use the orthogonal allocation of resources for users. The orthogonal multiple access (OMA) idea exists in dividing single narrow-band frequency resource among different users orthogonally. The technique allows the mitigation of interference among various users and improving communication reliability.

The exponentially growing Internet of Things (IoT) has created many challenges for wireless communication systems. The main drawbacks are mobile wireless communication systems that were not designed for machine-to-machine (M2M) communication. The difference is that M2M needs high multi-device access because of the very high density of devices on a small spatial scale. The challenge mainly exists when the requirements grow even further since, according to International Data Corporation (IDC) predictions, the number of IoT devices in operation by 2025

will be 41.6 billion [5]. Further, these devices need communication systems with low computational overhead and hardware optimised system designs. The M2M communication in IoT is mostly through short-range communication protocols like Bluetooth, Wi-Fi and Zigbee; however, long-range communication is carried using mobile communication systems. M2M IoT communication's good point is its low bandwidth, leading to narrow-band communication with highly optimised architecture to be ideal for such transmissions [6, 7].

The existing division of time/frequency resources among users has limited spectrum utilisation and connectivity capability. Non-orthogonal multiple access (NOMA) techniques exist in modern telecommunication systems to improve spectrum efficiency and achieve better resource utilisation [8]. It assigns resources to users on a sharing basis rather than division. The sharing allows improved utilisation of a single resource, thereby improving spectral efficiency [9]. The sharing is necessary for the modern wireless and telecommunication systems because of mobile internet, and the IoT [10].

Like the OMA, NOMA also has several techniques under its umbrella. These techniques or methods are categorised based on the type of sharing mechanism used, mainly classified into power domain, code-domain, and multiple domain NOMA [11]. The power domain NOMA is a single carrier technique multiplexing various users in the same resource block by varying their power levels based on individual channel conditions. This multiplexed data is separated at the receiver through successive interference cancellation (SIC) technique, in which user data is extracted. Power domain NOMA has a significant spectral advantage over the OMA techniques. Additionally, due to SIC based receiver having a more straightforward decoding mechanism, the power domain NOMA has a simpler receiver architecture. Based on this, the power domain NOMA is suitable for downlink communication [12].

Unlike power domain NOMA, code domain NOMA includes multi-carrier techniques and can accommodate multiple users within the same resource block. Like the power domain, in code domain NOMA, various users are differentiated based on codes. The main techniques preferred for reliability under code-domain NOMA are

low-density spreading (LDS) and sparse code multiple access (SCMA). In LDS, data of different users are distributed sparsely in assigned resource blocks. Therefore, each user is assigned a subset of resource blocks, and this subset is not common among the different users. The LDS data assignment is based on the repetition of constellation points, based on which data is spread in the assigned resource blocks. Due to the sparse nature of data being distributed in resource blocks, it is easier to separate user data at the receiver end. The complexity of the receiver is significantly reduced. The standard message passing algorithm (MPA) is used to detect multi-user at the receiver end [13]. The other code domain scheme, SCMA, is an advanced version of LDS and works on the same principle of sharing resources among multi-users by sparsely spreading data in the assigned resource blocks. The difference between the two exists in the data encoding. SCMA uses multidimensional constellations to map the input bits into codewords instead of repeating constellation points over all the assigned resource blocks. In SCMA, different characters are used for each resource block, making it more robust and complex [14].

Multiple-domain NOMA incorporates power, code, and spatial domain in multiplexing user data [15]. To achieve this, the prominent techniques in this category are pattern division multiple access (PDMA) and lattice partition multiple access (LPMA). PDMA sparsely spread user data on the assigned resource blocks like SCMA. Still, the number of allocated resources is not fixed, and a variable number of resources are available for different users [16]. The differentiating domain is also not constant, and data can be multiplexed in either power, code, or spatial domain. Additional areas for different data clusters require SIC and Maximum a posteriori (MAP) decoder in conjugation for multi-user separation. In contrast, LPMA uses code and power domains combined for user data multiplexing. Based on the channel conditions, a multilevel lattice code allocates different codes to different users. Comparable to power domain NOMA, SIC is used at the receiver end for user recognition [17].

There has been extensive study on the comparison of different NOMA techniques considering various aspects. In the literature, [15], the superiority of code and multiple domain NOMA techniques is presented because, unlike power domain NOMA, these are less affected by channel conditions. Also, the MPA detector used in

code and multiple domain NOMA has a near-optimal detection than the SIC detector. However, the optimised nature of MPA is due to the sophisticated algorithm in its operation, thereby increasing receiver complexity. A comparison between the power and code domain NOMA [16] also concludes that although code domain SCMA has a highly complex receiver architecture than the power domain NOMA still its performance is much better. Research also shows that within multi and code domain SCMA, SCMA has a much better performance than PDMA because of the multidimensional constellation design [18]. From the performance point of view, SCMA is found to have higher robustness and can work better under high resource utilisation [19]. SCMA is also a better option for uplink communication [20] and has a low latency communication suitable for modern systems [21]. The technique has already been studied for M2M transmission in the uplink, providing high multiplexing capability [20].

SCMA can be incorporated in the IoT M2M communication and has already been used in various scenarios. However, to incorporate SCMA into different application scenarios, specific requirements need to be fulfilled. Like in M2M communication, the SCMA architecture needs customisation and must pass compatibility challenges to be integrated. Regarding IoT, these challenges are related to hardware optimisation and the enhancement of mass communication capabilities. The subsequent section discuss the specific problems under these broad domains and what study objectives are set to mitigate these challenges.

## **1.2 Problem Statement**

Current SCMA technique is complex to be implemented in machine-to-machine (M2M) communication system involving devices with limited capabilities. Analysis of existing systems shows that the most processing-complex part of the SCMA architecture is the decoder. SCMA system require decoder which can reduce computational complexity and improve the error rate performance of the system. Many research approaches are using different techniques for decoder enhancement. Maximum a posteriori (MAP) based MPA and log-MPA decoders are frequently used in SCMA architecture considering their low complexity, but, it induces performance limitations

in the system implementation [22]. Maximum likelihood (ML) is considered for multi-user detection. However, due to high computational complexity, its implementation is quite limited [23]. Modern machine learning technique has also been presented to be effective [24]. However, such a technique is problematic when the number of users/devices increases. Considering all the cases, the commonly used iterative message-passing algorithm leads to a higher number of iterations for convergence and causing processing and delay as an overhead. Soft-demapping based decoding serves as an alternate for achieving higher convergence efficiency. It has been studied as an optimised decoder in [25], where the decision threshold algorithm is used for demapping the data with low computational complexity and with high throughput. Alhamdi in [26] also used Optimal Soft Demapper for 5G new radio (NR) Wireless Communication Systems proposing thresholds for practical applications. Considering the requirement of M2M communication in IoT, soft demapper is preferred as a computationally better and fast converging decoder. However, incorporating a soft demapper based decoder and its practical implementation for SCMA architecture needs to be researched.

Considering the limited resource in M2M communication of IoT, the practical implementation of SCMA needs to be addressed with acceptable performance degradation. SCMA system needs to have parameters and thresholds for operating the system in a controlled manner considering data path complexities in SCMA.

SCMA system is also required to multiplex the data in a more efficient way without effecting the BER performance. For improving multi-device communication, various research approaches exist. Full-duplex communication is presented in [27] for ultra-reliable and low latency communications (URLLC) in the IoT to enhance the multi-user capability by using the short packet transmission of IoT devices in both uplink and downlink communication. Frequency hopping based SCMA has also been studied for handling the massive connectivity improvements in IoT communication [28], but the receiver complexity due to hopping has not been considered in the study. The mapping matrix's nature is studied in [29], which proposes irregular degree distribution in the mapping matrix for higher connectivity. Comparing a regular and irregular matrix has shown that with better system design, the SCMA system's performance can be improved using an irregular mapping matrix. Yu et al. in [30]

showed that irregular SCMA has a better bit error rate (BER) performance, especially at higher SNRs. However, the challenges concerning decoder complexity and decoding performance are not considered. So, concerning IoT, there is a need to have a study on the trade-off between decoding complexity and performance considering high multi-device communication.

### **1.3 Research Objectives (RO)**

The research aims to design an SCMA system for massive IoT M2M communication through encoder and decoder optimisation. Concerning that, the research objectives are,

- to propose a new SCMA decoder with soft demapping technique having reduced computational complexity,
- to optimise the soft demapper parameters for practical implementation of SCMA for IoT application,
- to evaluate the performance of the proposed design based on complexity and BER analysis under high overloading machine type communication (MTC) scenario.

### **1.4 Research Scope**

The research deals with the solution to reduce the complexity of the iterative MPA decoders and improve the decoding convergence efficiency. The designed/proposed solution is to be tested for practical implementation using various parameters, and the challenges in its execution are thereby addressed. Lastly, the optimised architecture is tested for higher overloading conditions suitable for dense M2M communication, and results will be analysed for improvements.

The designed system provide a computationally less intensive and fast converging decoder that allow the SCMA system to be incorporated into devices

with less processing capabilities. The proposed system help to handle the IoT M2M communication scenario in an optimised way. Multiple IoT devices are considered using multiple channels for uplink data communication to test the proposed system. The higher overloading capability of the SCMA system is simulated using an optimised factor graph matrix for encoding multi-user data on the resource blocks. An optimised matrix allow more users to share the same number of resource blocks without compromising communication reliability.

Evaluation of data mapping and demapping is simulated using the simulation environment in *Matrix Laboratory (MATLAB)*. The study is limited to handling six to eight users on a single frequency resource. The BER is considered to identify the factor graph's optimal design and demapper capabilities. Performance evaluation of the system is performed against existing systems referenced in articles/patents.

The study has the limitation of lacking real-time system testing with actual user data. Since the task requires real telecommunication hardware for the base station and corresponding user equipment, the research help get the system's true performance. However, the current study is limited to the simulation domain analysing the offline results of the system.

## **1.5 Research Contribution**

This study undertake the multi-device communication optimisation problem in the IoT domain, considering the use of massive IoT devices. The main contributions of this research are as follows:

- To propose and implement a fast converging and computationally better SCMA decoder utilising soft-demapping technique to improve the system's adaptability in IoT devices.
- Evaluating the overloading capability of the SCMA system by incorporating optimal decoder with the high order factor graph for MTC/M2M application and overloading scenario.

## 1.6 Thesis Organization

The document consists of five chapters: introduction, literature review, methodology, results, and conclusion. The introduction described the research background and related issues, objectives, problem statement, and work scope.

The second chapter covers the literature review providing an overview and a critical review of various system designs and system analysis of NOMA techniques. It initially explains the OMA techniques and their limitation from the literature; then, NOMA is described with emphasis on research presenting its effectiveness compared to OMA systems. SCMA literature and its implementation in uplink and downlink systems are explained in the next part. It also encapsulates the advancements carried out in developing methods for IoT networks using the SCMA technique.

The third chapter presents the research methodology and the proposed SCMA system, which covers different design aspects. The flow chart based on the research objectives is presented. The practical soft demapper system design is developed, and the mathematical model is presented. The system performance considered in this thesis is explained in this chapter.

In chapter four, the results of the proposed system for various channel models is presented. Based on the objectives, the BER and complexity analysis are carried out for overloading and decoder optimisation. Initially, the parameter optimisation for various control and tuning parameters is performed. The parameters are used in the complexity analysis of the decoding sequence to test the algorithm convergence. These same parameters are then used in the BER analysis of overloaded and soft demapper based SCMA. Testing under different scenarios ensures the system's durability and presents the actual picture of the system response.

The last chapter presents the concluding remarks emphasising the linkage between the system results and the real-world application. The chapter also handles future work, which can further benefit the problem statement.



## REFERENCES

- [1] Li, X., Gani, A., Salleh, R. and Zakaria, O. The future of mobile wireless communication networks. *2009 International Conference on Communication Software and Networks*. IEEE. 2009. 554–557.
- [2] Vora, L. J. Evolution of mobile generation technology: 1G to 5G and review of upcoming wireless technology 5G. *International journal of modern trends in engineering and research*, 2015. 2(10): 281–290.
- [3] Sood, R. and Garg, A. Digital society from 1G to 5G: a comparative study. *International Journal of Application or Innovation in Engineering & Management (IJAIEM)*, 2014. 3(2): 186–193.
- [4] Roberts, M. L., Temple, M. A., Mills, R. F. and Raines, R. A. Evolution of the air interface of cellular communications systems toward 4G realization. *IEEE Communications Surveys & Tutorials*, 2006. 8(1): 2–23.
- [5] Wasicek, A. The future of 5G smart home network security is micro-segmentation. *Network Security*, 2020. 2020(11): 11–13.
- [6] Xiong, X., Zheng, K., Xu, R., Xiang, W. and Chatzimisios, P. Low power wide area machine-to-machine networks: key techniques and prototype. *IEEE Communications Magazine*, 2015. 53(9): 64–71.
- [7] Cao, Y., Jia, M., Ma, J. and Abdoli, M. J. Adjustable ultra narrow-band pulse for asynchronous 5G M2M communications. *2015 IEEE 26th Annual International Symposium on Personal, Indoor, and Mobile Radio Communications (PIMRC)*. IEEE. 2015. 883–888.
- [8] Cai, D., Fan, P., Lei, X., Liu, Y. and Chen, D. Multi-dimensional SCMA codebook design based on constellation rotation and interleaving. *2016 IEEE 83rd Vehicular Technology Conference (VTC Spring)*. IEEE. 2016. 1–5.
- [9] Dai, L., Wang, B., Yuan, Y., Han, S., Chih-Lin, I. and Wang, Z. Non-orthogonal multiple access for 5G: solutions, challenges, opportunities, and future research trends. *IEEE Communications Magazine*, 2015. 53(9): 74–81.

- [10] Kassab, W. and Darabkh, K. A. A–Z survey of Internet of Things: Architectures, protocols, applications, recent advances, future directions and recommendations. *Journal of Network and Computer Applications*, 2020. 163: 102663.
- [11] Dai, L., Wang, B., Ding, Z., Wang, Z., Chen, S. and Hanzo, L. A survey of non-orthogonal multiple access for 5G. *IEEE communications surveys & tutorials*, 2018. 20(3): 2294–2323.
- [12] Islam, S. R., Avazov, N., Dobre, O. A. and Kwak, K.-S. Power-domain non-orthogonal multiple access (NOMA) in 5G systems: Potentials and challenges. *IEEE Communications Surveys & Tutorials*, 2016. 19(2): 721–742.
- [13] Ameer, W. B., Mary, P., Dumay, M., Héland, J.-F. and Schwoerer, J. Performance study of MPA, Log-MPA and MAX-Log-MPA for an uplink SCMA scenario. *2019 26th International Conference on Telecommunications (ICT)*. IEEE. 2019. 411–416.
- [14] Nikopour, H. and Baligh, H. Sparse code multiple access. *2013 IEEE 24th Annual International Symposium on Personal, Indoor, and Mobile Radio Communications (PIMRC)*. IEEE. 2013. 332–336.
- [15] Cai, Y., Qin, Z., Cui, F., Li, G. Y. and McCann, J. A. Modulation and multiple access for 5G networks. *IEEE Communications Surveys & Tutorials*, 2017. 20(1): 629–646.
- [16] Moltafet, M., Yamchi, N. M., Javan, M. R. and Azmi, P. Comparison study between PD-NOMA and SCMA. *IEEE Transactions on Vehicular Technology*, 2017. 67(2): 1830–1834.
- [17] Fang, D., Huang, Y.-C., Ding, Z., Geraci, G., Shieh, S.-L. and Claussen, H. Lattice partition multiple access: A new method of downlink non-orthogonal multiuser transmissions. *2016 IEEE Global Communications Conference (GLOBECOM)*. IEEE. 2016. 1–6.
- [18] Wang, B., Wang, K., Lu, Z., Xie, T. and Quan, J. Comparison study of non-orthogonal multiple access schemes for 5G. *2015 IEEE International*

- Symposium on Broadband Multimedia Systems and Broadcasting*. IEEE. 2015. 1–5.
- [19] Wu, Z., Lu, K., Jiang, C. and Shao, X. Comprehensive study and comparison on 5G NOMA schemes. *IEEE Access*, 2018. 6: 18511–18519.
- [20] Shahab, M. B., Abbas, R., Shirvanimoghaddam, M. and Johnson, S. J. Grant-free non-orthogonal multiple access for IoT: A survey. *IEEE Communications Surveys & Tutorials*, 2020. 22(3): 1805–1838.
- [21] Parvez, I., Rahmati, A., Guvenc, I., Sarwat, A. I. and Dai, H. A survey on low latency towards 5G: RAN, core network and caching solutions. *IEEE Communications Surveys & Tutorials*, 2018. 20(4): 3098–3130.
- [22] Wei, F. and Chen, W. Message passing receiver design for uplink grant-free SCMA. *2017 IEEE Globecom Workshops (GC Wkshps)*. IEEE. 2017. 1–6.
- [23] Chen, G., Dai, J., Niu, K. and Dong, C. Optimal receiver design for SCMA system. *2017 IEEE 28th Annual International Symposium on Personal, Indoor, and Mobile Radio Communications (PIMRC)*. IEEE. 2017. 1–6.
- [24] Wei, C.-P., Yang, H., Li, C.-P. and Chen, Y.-M. SCMA Decoding via Deep Learning. *IEEE Wireless Communications Letters*, 2020.
- [25] Ali, I., Wasenmüller, U. and Wehn, N. A high throughput architecture for a low complexity soft-output demapping algorithm. *Advances in Radio Science*, 2015. 13(C.): 73–80.
- [26] Syukra, A., Anwar, K. and Saputri, D. M. On the Design of Optimal Soft Demapper for 5G NR Wireless Communication Systems. *2020 10th Electrical Power, Electronics, Communications, Controls and Informatics Seminar (EECCIS)*. IEEE. 2020. 313–318.
- [27] Zeng, J., Lv, T., Lin, Z., Liu, R. P., Mei, J., Ni, W. and Guo, Y. J. Achieving ultrareliable and low-latency communications in IoT by FD-SCMA. *IEEE Internet of Things Journal*, 2019. 7(1): 363–378.
- [28] Bai, Z., Li, B., Yang, M., Yan, Z., Zuo, X. and Zhang, Y. FH-SCMA: frequency-hopping based sparse code multiple access for next generation Internet of Things. *2017 IEEE Wireless communications and networking conference (WCNC)*. IEEE. 2017. 1–6.

- [29] Zhang, S., Xiao, B., Xiao, K., Chen, Z. and Xia, B. Design and analysis of irregular sparse code multiple access. *2015 International Conference on Wireless Communications & Signal Processing (WCSP)*. IEEE. 2015. 1–5.
- [30] Yu, L., Fan, P., Ma, Z., Lei, X. and Chen, D. An optimized design of irregular SCMA codebook based on rotated angles and EXIT chart. *2016 IEEE 84th Vehicular Technology Conference (VTC-Fall)*. IEEE. 2016. 1–5.
- [31] Hilton, S. Machine-to-machine device connections: worldwide forecast 2010–2020. *Analysys Mason Report*, 2010.
- [32] Maeder, A., Rost, P. and Staehle, D. The challenge of M2M communications for the cellular radio access network. *Proc. Würzburg Workshop IP, Joint ITG Euro-NF Workshop “Vis. Future Gener. Netw.” EuroView*. 2011. 1–2.
- [33] Park, Y. and Adachi, F. *Enhanced radio access technologies for next generation mobile communication*. Springer. 2007.
- [34] Arshad, M. J., Farooq, A. and Shah, A. Evolution and development towards 4th generation (4G) mobile communication systems. *Journal of American Science*, 2010. 6(12): 63–68.
- [35] Rohling, H., Brüninghaus, K. and Grünheid, R. Comparison of multiple access schemes for an OFDM downlink system. In: *Multi-Carrier Spread-Spectrum*. Springer. 23–30. 1997.
- [36] Reddy, B. S. K. Experimental validation of non-orthogonal multiple access (NOMA) technique using software defined radio. *Wireless Personal Communications*, 2021. 116(4): 3599–3612.
- [37] Myung, H. G. Introduction to single carrier FDMA. *2007 15th European signal processing conference*. IEEE. 2007. 2144–2148.
- [38] Scott, L. and Williams, C. M. Cyclic time hopping in time division multiple access communication system, 2000. US Patent 6,041,046.
- [39] Faruque, S. Orthogonal Frequency Division Multiple Access (OFDMA). In: *Radio Frequency Multiple Access Techniques Made Easy*. Springer. 63–77. 2019.
- [40] Faruque, S. Code division multiple access (cdma). In: *Radio Frequency Multiple Access Techniques Made Easy*. Springer. 45–62. 2019.

- [41] Morelli, M., Kuo, C.-C. J. and Pun, M.-O. Synchronization techniques for orthogonal frequency division multiple access (OFDMA): A tutorial review. *Proceedings of the IEEE*, 2007. 95(7): 1394–1427.
- [42] Liu, Y., Qin, Z., El Kashlan, M., Ding, Z., Nallanathan, A. and Hanzo, L. Non-orthogonal multiple access for 5G and beyond. *arXiv preprint arXiv:1808.00277*, 2018.
- [43] Union, I. IMT traffic estimates for the years 2020 to 2030. *Report ITU*, 2015: 2370–0.
- [44] Boccardi, F., Heath, R. W., Lozano, A., Marzetta, T. L. and Popovski, P. Five disruptive technology directions for 5G. *IEEE communications magazine*, 2014. 52(2): 74–80.
- [45] Liu, Y., Qin, Z., El Kashlan, M., Ding, Z., Nallanathan, A. and Hanzo, L. Non-orthogonal multiple access for 5G and beyond. *Proceedings of the IEEE*, 2017. 105(12): 2347–2381.
- [46] Lei, L. *From Orthogonal to Non-orthogonal Multiple Access: Energy-and Spectrum-Efficient Resource Allocation*. Ph.D. Thesis. Linköping University Electronic Press. 2016.
- [47] Xiong, C., Li, G. Y., Zhang, S., Chen, Y. and Xu, S. Energy-and spectral-efficiency tradeoff in downlink OFDMA networks. *IEEE transactions on wireless communications*, 2011. 10(11): 3874–3886.
- [48] Ji, Y., Duan, W., Wen, M., Padidar, P., Li, J., Cheng, N. and Ho, P.-H. Spectral Efficiency Enhanced Cooperative Device-to-Device Systems With NOMA. *IEEE Transactions on Intelligent Transportation Systems*, 2020.
- [49] Kizilirmak, R. C. and Bizaki, H. K. Non-orthogonal multiple access (NOMA) for 5G networks. *Towards 5G Wireless Networks-A Physical Layer Perspective*, 2016. 83: 83–98.
- [50] Lee, H., Kim, S. and Lim, J.-H. Multiuser superposition transmission (MUST) for LTE-A systems. *2016 IEEE International Conference on Communications (ICC)*. IEEE. 2016. 1–6.

- [51] Wang, L., Xu, X., Wu, Y., Xing, S. and Chen, Y. Sparse code multiple access-towards massive connectivity and low latency 5G communications. *Telecommun. Netw. Technol.*, 2015. 5(5).
- [52] Hidayat, I., Meylani, L., Kurniawan, A., Arifianto, M. S. and Anwar, K. Doubly irregular sparse code multiple access with EXIT analysis. *2018 International Conference on Signals and Systems (ICSigSys)*. IEEE. 2018. 115–119.
- [53] Maraqa, O., Rajasekaran, A. S., Al-Ahmadi, S., Yanikomeroglu, H. and Sait, S. M. A survey of rate-optimal power domain NOMA with enabling technologies of future wireless networks. *IEEE Communications Surveys & Tutorials*, 2020. 22(4): 2192–2235.
- [54] Luo, Q., Gao, P., Liu, Z., Xiao, L., Mheich, Z., Xiao, P. and Maaref, A. An Error Rate Comparison of Power Domain Non-Orthogonal Multiple Access and Sparse Code Multiple Access. *IEEE Open Journal of the Communications Society*, 2021. 2: 500–511.
- [55] Islam, S., Zeng, M. and Dobre, O. A. NOMA in 5G systems: Exciting possibilities for enhancing spectral efficiency. *arXiv preprint arXiv:1706.08215*, 2017.
- [56] Choi, J. Low density spreading for multicarrier systems. *Eighth IEEE International Symposium on Spread Spectrum Techniques and Applications-Programme and Book of Abstracts (IEEE Cat. No. 04TH8738)*. IEEE. 2004. 575–578.
- [57] Hoshyar, R., Razavi, R. and Al-Imari, M. LDS-OFDM an efficient multiple access technique. *2010 IEEE 71st Vehicular Technology Conference*. IEEE. 2010. 1–5.
- [58] Yuan, Z., Yu, G., Li, W., Yuan, Y., Wang, X. and Xu, J. Multi-user shared access for internet of things. *2016 IEEE 83rd Vehicular Technology Conference (VTC Spring)*. IEEE. 2016. 1–5.
- [59] Taherzadeh, M., Nikopour, H., Bayesteh, A. and Baligh, H. SCMA codebook design. *2014 IEEE 80th Vehicular Technology Conference (VTC2014-Fall)*. IEEE. 2014. 1–5.

- [60] Chen, S., Ren, B., Gao, Q., Kang, S., Sun, S. and Niu, K. Pattern division multiple access—A novel nonorthogonal multiple access for fifth-generation radio networks. *IEEE Transactions on Vehicular Technology*, 2016. 66(4): 3185–3196.
- [61] Luo, F.-L. and Zhang, C. J. *Signal processing for 5G: algorithms and implementations*. John Wiley & Sons. 2016.
- [62] Han, Y., Zhou, W., Zhao, M. and Zhou, S. Enabling high order SCMA systems in downlink scenarios with a serial coding scheme. *IEEE Access*, 2018. 6: 33796–33809.
- [63] Zhang, S., Xu, X., Lu, L., Wu, Y., He, G. and Chen, Y. Sparse code multiple access: An energy efficient uplink approach for 5G wireless systems. *2014 IEEE Global Communications Conference*. IEEE. 2014. 4782–4787.
- [64] Ma, Z. and Bao, J. Sparse code multiple access (SCMA). In: *Multiple Access Techniques for 5G Wireless Networks and Beyond*. Springer. 369–416. 2019.
- [65] Wu, Y., Zhang, S. and Chen, Y. Iterative multiuser receiver in sparse code multiple access systems. *2015 IEEE International Conference on Communications (ICC)*. IEEE. 2015. 2918–2923.
- [66] Xiao, B., Xiao, K., Zhang, S., Chen, Z., Xia, B. and Liu, H. Iterative detection and decoding for SCMA systems with LDPC codes. *2015 International Conference on Wireless Communications & Signal Processing (WCSP)*. IEEE. 2015. 1–5.
- [67] Niu, K., Chen, K., Lin, J. and Zhang, Q. Polar codes: Primary concepts and practical decoding algorithms. *IEEE Communications magazine*, 2014. 52(7): 192–203.
- [68] Karakchieva, L. and Trifonov, P. Joint list multistage decoding with sphere detection for polar coded SCMA systems. *SCC 2019; 12th International ITG Conference on Systems, Communications and Coding*. VDE. 2019. 1–6.
- [69] Zhang, Y., Ge, W., Zhang, P. and Gao, M. The optimization scheme for joint iterative detection and decoding of polar coded SCMA system. *Optical Fiber Technology*, 2020. 58: 102283.

- [70] Vameghestahbanati, M., Marsland, I., Gohary, R. H. and Yanikomeroglu, H. Polar codes for SCMA systems. *2017 IEEE 86th Vehicular Technology Conference (VTC-Fall)*. IEEE. 2017. 1–5.
- [71] DHUHEIR, M. and ÖZTÜRK, S. Polar Codes analysis of 5G systems. *2018 6th International Conference on Control Engineering & Information Technology (CEIT)*. IEEE. 2018. 1–6.
- [72] Cui, J., Yan, S., Hu, J., Li, N., Chen, R. and Li, J. How Does Repetition Coding Enable Reliable and Covert Communications? *IEEE Wireless Communications Letters*, 2020.
- [73] Hu, S., Yu, B., Qian, C., Xiao, Y., Xiong, Q., Sun, C. and Gao, Y. Nonorthogonal interleave-grid multiple access scheme for industrial internet of things in 5G network. *IEEE Transactions on Industrial Informatics*, 2018. 14(12): 5436–5446.
- [74] Torres, P. and Malhão, S. Error correction repetition codes with Arduino and Raspberry Pi. *International Conference on Innovation, Engineering and Entrepreneurship*. Springer. 2018. 25–31.
- [75] Adhikary, A., Lin, X. and Wang, Y.-P. E. Performance evaluation of NB-IoT coverage. *2016 IEEE 84th Vehicular Technology Conference (VTC-Fall)*. IEEE. 2016. 1–5.
- [76] Tahir, B., Schwarz, S. and Rupp, M. BER comparison between Convolutional, Turbo, LDPC, and Polar codes. *2017 24th international conference on telecommunications (ICT)*. IEEE. 2017. 1–7.
- [77] Shao, S., Hailes, P., Wang, T.-Y., Wu, J.-Y., Maunder, R. G., Al-Hashimi, B. M. and Hanzo, L. Survey of turbo, LDPC, and polar decoder ASIC implementations. *IEEE Communications Surveys & Tutorials*, 2019. 21(3): 2309–2333.
- [78] Balatsoukas-Stimming, A., Giard, P. and Burg, A. Comparison of polar decoders with existing low-density parity-check and turbo decoders. *2017 IEEE Wireless Communications and Networking Conference Workshops (WCNCW)*. Ieee. 2017. 1–6.



- [79] Boyd, C., Vehkalahti, R. and Tirkkonen, O. Grant-free access in URLLC with combinatorial codes and interference cancellation. *2018 IEEE Globecom Workshops (GC Wkshps)*. IEEE. 2018. 1–5.
- [80] Bayesteh, A., Nikopour, H., Taherzadeh, M., Baligh, H. and Ma, J. Low complexity techniques for SCMA detection. *2015 IEEE Globecom Workshops (GC Wkshps)*. IEEE. 2015. 1–6.
- [81] Wei, F., Chen, W., Li, J., Luo, Y. *et al.* Toward 5G Air Interface Technology: Sparse Code Multiple Access. *arXiv preprint arXiv:2002.12121*, 2020.
- [82] Dong, C., Gao, G., Niu, K. and Lin, J. An efficient SCMA codebook optimization algorithm based on mutual information maximization. *Wireless Communications and Mobile Computing*, 2018. 2018.
- [83] Zhang, S., Xiao, K., Xiao, B., Chen, Z., Xia, B., Chen, D. and Ma, S. A capacity-based codebook design method for sparse code multiple access systems. *2016 8th International Conference on Wireless Communications & Signal Processing (WCSP)*. IEEE. 2016. 1–5.
- [84] Zhang, Y., Zhao, S., Cui, S., Zhang, Z., Dong, Y., Wang, X. and Dai, X. Constant modulus codebook design for SCMA system. *2018 IEEE International Conference on Communication Systems (ICCS)*. IEEE. 2018. 242–246.
- [85] Liu, S., Wang, J., Bao, J. and Liu, C. Optimized SCMA codebook design by QAM constellation segmentation with maximized MED. *IEEE Access*, 2018. 6: 63232–63242.
- [86] Yu, L., Fan, P., Cai, D. and Ma, Z. Design and analysis of SCMA codebook based on star-QAM signaling constellations. *IEEE Transactions on Vehicular Technology*, 2018. 67(11): 10543–10553.
- [87] Metkarunchit, T. SCMA codebook design base on circular-QAM. *2017 Integrated Communications, Navigation and Surveillance Conference (ICNS)*. IEEE. 2017. 3E1–1.
- [88] Yan, C., Kang, G. and Zhang, N. A dimension distance-based SCMA codebook design. *IEEE Access*, 2017. 5: 5471–5479.

- [89] Zhong, C., Niu, K., Dai, J. and Dong, C. A Novel SCMA Codebook Construction Based on Extended Factor Graph Design. *2018 IEEE Globecom Workshops (GC Wkshps)*. IEEE. 2018. 1–6.
- [90] Zhang, X., Han, G., Zhang, D. and Yang, L. A Lattice-Based SCMA Codebook Design for IoMT Communications. *2019 IEEE/CIC International Conference on Communications Workshops in China (ICCC Workshops)*. IEEE. 2019. 169–173.
- [91] Prakash, Y. and Gupta, S. K. Energy efficient source coding and modulation for wireless applications. *2003 IEEE Wireless Communications and Networking, 2003. WCNC 2003*. IEEE. 2003, vol. 1. 212–217.
- [92] Bao, J., Ma, Z., Mahamadu, M. A., Zhu, Z. and Chen, D. Spherical codes for SCMA codebook. *2016 IEEE 83rd Vehicular Technology Conference (VTC Spring)*. IEEE. 2016. 1–5.
- [93] Rosas, F. and Oberli, C. Modulation and SNR optimization for achieving energy-efficient communications over short-range fading channels. *IEEE Transactions on Wireless Communications*, 2012. 11(12): 4286–4295.
- [94] Bao, J., Ma, Z., Ding, Z., Karagiannidis, G. K. and Zhu, Z. On the design of multiuser codebooks for uplink SCMA systems. *IEEE Communications Letters*, 2016. 20(10): 1920–1923.
- [95] Zhao, M., Zhou, S., Zhou, W. and Zhu, J. An improved uplink sparse coded multiple access. *IEEE Communications Letters*, 2016. 21(1): 176–179.
- [96] Li, L., Ma, Z., Fan, P. Z. and Hanzo, L. High-dimensional codebook design for the SCMA down link. *IEEE Transactions on Vehicular Technology*, 2018. 67(10): 10118–10122.
- [97] Vameghestahbanati, M., Marsland, I. D., Gohary, R. H. and Yanikomeroglu, H. Multidimensional constellations for uplink SCMA systems—A comparative study. *IEEE Communications Surveys & Tutorials*, 2019. 21(3): 2169–2194.
- [98] Simon, M. K. and Alouini, M.-S. *Digital communication over fading channels*. vol. 95. John Wiley & Sons. 2005.

- [99] Sergienko, A. B. and Klimentyev, V. P. SCMA detection with channel estimation error and resource block diversity. *2016 International Siberian Conference on Control and Communications (SIBCON)*. IEEE. 2016. 1–5.
- [100] Mou, S., Dai, J. and Si, Z. Extended SCMA Graphs for Block Fading Channels. *2020 IEEE/CIC International Conference on Communications in China (ICCC)*. IEEE. 2020. 929–934.
- [101] Yu, L., Lei, X., Fan, P. and Chen, D. An optimized design of SCMA codebook based on star-QAM signaling constellations. *2015 International Conference on Wireless Communications & Signal Processing (WCSP)*. IEEE. 2015. 1–5.
- [102] Yuan, W., Wu, N., Guo, Q., Li, Y., Xing, C. and Kuang, J. Iterative receivers for downlink MIMO-SCMA: Message passing and distributed cooperative detection. *IEEE Transactions on Wireless Communications*, 2018. 17(5): 3444–3458.
- [103] Zhou, Y., Yu, Q., Meng, W. and Li, C. SCMA codebook design based on constellation rotation. *2017 IEEE International Conference on Communications (ICC)*. IEEE. 2017. 1–6.
- [104] Wang, P., Liu, L., Zhou, S., Peng, G., Yin, S. and Wei, S. Near-Optimal MIMO-SCMA Uplink Detection With Low-Complexity Expectation Propagation. *IEEE Transactions on Wireless Communications*, 2019. 19(2): 1025–1037.
- [105] Elhammouti, H., Sabir, E., Benjillali, M., Echabbi, L. and Tembine, H. Self-organized connected objects: Rethinking qos provisioning for iot services. *IEEE Communications Magazine*, 2017. 55(9): 41–47.
- [106] Beyene, Y. D., Jantti, R., Ruttik, K. and Iraji, S. On the performance of narrow-band Internet of Things (NB-IoT). *2017 IEEE wireless communications and networking conference (wcnc)*. IEEE. 2017. 1–6.
- [107] Ghaffari, A., Leonardon, M., Cassagne, A., Leroux, C. and Savaria, Y. Toward high-performance implementation of 5G SCMA algorithms. *IEEE Access*, 2019. 7: 10402–10414.
- [108] Van Nee, R., Van Zelst, A. and Awater, G. Maximum likelihood decoding in a space division multiplexing system. *VTC2000-Spring. 2000 IEEE 51st*

- Vehicular Technology Conference Proceedings (Cat. No. 00CH37026)*. IEEE. 2000, vol. 1. 6–10.
- [109] van Etten, W. Maximum likelihood receiver for multiple channel transmission systems. *IEEE Transactions on Communications*, 1976. 24(2): 276–283.
- [110] Bahl, L. R., Jelinek, F. and Mercer, R. L. A maximum likelihood approach to continuous speech recognition. *IEEE transactions on pattern analysis and machine intelligence*, 1983. (2): 179–190.
- [111] Yang, L., Liu, Y. and Siu, Y. Low complexity message passing algorithm for SCMA system. *IEEE Communications Letters*, 2016. 20(12): 2466–2469.
- [112] Yang, L., Ma, X. and Siu, Y. Low complexity MPA detector based on sphere decoding for SCMA. *IEEE Communications Letters*, 2017. 21(8): 1855–1858.
- [113] Mataveli, L. O. Complexity Reduction of Max-Log-MPA Based on Thresholds in SCMA. *2020 23rd International Symposium on Wireless Personal Multimedia Communications (WPMC)*. IEEE. 2020. 1–6.
- [114] Hiram, H. and Saba, T. Complexity Reduction of MPA Detection Using Joint IQ Factor Graph in SCMA. *2020 IEEE 91st Vehicular Technology Conference (VTC2020-Spring)*. IEEE. 2020. 1–5.
- [115] Zhang, G., Gu, Z., Zhao, Q., Ren, J. and Lu, W. A Threshold-Based Max-log-MPA Low Complexity Multiuser Detection Algorithm. *Sensors*, 2020. 20(4): 1016.
- [116] Miao, J., Hu, X. and Zhao, Z. A Low Complexity Multiuser Detection Scheme with Dynamic Factor Graph for Uplink SCMA Systems. *2019 IEEE/CIC International Conference on Communications in China (ICCC)*. IEEE. 2019. 846–851.
- [117] Wei, F. and Chen, W. Low complexity iterative receiver design for sparse code multiple access. *IEEE Transactions on Communications*, 2016. 65(2): 621–634.
- [118] Ghaffari, A., Leonardon, M., Savaria, Y., Jengo, C. and Leroux, C. Improving performance of SCMA MPA decoders using estimation of conditional

- probabilities. *2017 15th IEEE International New Circuits and Systems Conference (NEWCAS)*. IEEE. 2017. 21–24.
- [119] Peng, X., Pan, Z., Lai, K., Wen, L. and Lei, J. Low complexity receiver of sparse code multiple access based on dynamic trellis. *IET Communications*, 2020. 14(9): 1420–1427.
- [120] Meng, X., Wu, Y., Chen, Y. and Cheng, M. Low complexity receiver for uplink SCMA system via expectation propagation. *2017 IEEE Wireless Communications and Networking Conference (WCNC)*. IEEE. 2017. 1–5.
- [121] Anwar, K. and Matsumoto, T. Iterative spatial demapping for two correlated sources with power control over fading MAC. *2012 IEEE 75th Vehicular Technology Conference (VTC Spring)*. IEEE. 2012. 1–7.
- [122] Andriani, O. F., Anwar, K. and Adriansyah, N. M. Simple Iterative Channel Coding and Modulation for Harbor Wireless Communications. *International Journal on Advanced Science, Engineering and Information Technology*, 2021. 11(1): 1–11. ISSN 2088-5334. doi:10.18517/ijaseit.11.1.11138. URL [http://ijaseit.insightsociety.org/index.php?option=com\\_content&view=article&id=9&Itemid=1&article\\_id=11138](http://ijaseit.insightsociety.org/index.php?option=com_content&view=article&id=9&Itemid=1&article_id=11138).
- [123] Peng, J., Chen, W., Bai, B., Guo, X. and Sun, C. Joint optimization of constellation with mapping matrix for SCMA codebook design. *IEEE Signal Processing Letters*, 2017. 24(3): 264–268.
- [124] Jaber, S. and Chen, W. Subcarrier Assignment and Power Allocation for SCMA Energy Efficiency. *2020 IEEE 20th International Conference on Communication Technology (ICCT)*. IEEE. 2020. 425–429.
- [125] Pan, Z., Li, E., Zhang, L., Lei, J. and Tang, C. Design and optimization of joint iterative detection and decoding receiver for uplink polar coded SCMA system. *IEEE Access*, 2018. 6: 52014–52026.
- [126] Jia, M., Wang, L., Guo, Q., Gu, X. and Xiang, W. A low complexity detection algorithm for fixed up-link SCMA system in mission critical scenario. *IEEE Internet of Things Journal*, 2017. 5(5): 3289–3297.
- [127] Rep., T. 3GPP, "Physical channels and modulation. 2018.

- [128] Zhang, X., Han, G., Zhang, D., Zhang, D. and Yang, L. An efficient SCMA codebook design based on lattice theory for information-centric IoT. *IEEE Access*, 2019. 7: 133865–133875.
- [129] Dolinar, S. and Divsalar, D. Weight distributions for turbo codes using random and nonrandom permutations. *TDA progress report*, 1995. 42(122): 56–65.
- [130] Swaminathan, R., Madhukumar, A., Wang, G. and Kee, T. S. Blind reconstruction of Reed-Solomon encoder and interleavers over noisy environment. *IEEE Transactions on Broadcasting*, 2018. 64(4): 830–845.
- [131] Jia, Y.-q., Li, L.-p., Li, Y.-z. and Gan, L. Blind estimation of convolutional interleaver parameters. *2012 8th International Conference on Wireless Communications, Networking and Mobile Computing*. IEEE. 2012. 1–4.
- [132] Sadjadpour, H. R., Sloane, N. J., Salehi, M. and Nebe, G. Interleaver design for turbo codes. *IEEE Journal on Selected Areas in Communications*, 2001. 19(5): 831–837.

## Appendix A Forward Error Correction Coding

### A.1 Repetition Coding

In the repetition codes, the typical transmission faults can be rectified at the receiver without re transmission using the error correction coding technique. Through the repetition coding error correction coding approach, common transmission errors can be corrected at the receiver without re transmission. The redundancy in the data bits ensures the opportunity to repair errors. In the case of  $n$  number of repetitive data bits, the number of error bits that can be corrected is given by  $(n - 1)/2$ .

For instance, considering the most basic repeating codes, When the sent bit is 1, we can transmit it five times, resulting in bit sequence 11111 being sent out. The majority rule is used by the decoder, which means that the most common bit in the received sequence is used to decide. As a result, if the decoder receives bits 01111 due to a transmission fault, the decoder can claim that the information bit is one and repair the error. The transmission rate, commonly abbreviated as  $R$ , is the ratio of the number of information bits to coded bits. The transmission rate of the repetition code in the example above is  $1/5$ . The corresponding code is represented by a generator matrix  $G$  and a parity-check matrix  $H$  defined as follows

$$G = (1 \ 1 \ 1 \ 1 \ 1) \quad (A.1)$$

and

$$\mathbf{H} = \begin{bmatrix} 1 & -1 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 & 0 \\ 0 & 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 1 & -1 \end{bmatrix}. \quad (A.2)$$

The smaller  $R$ , the more redundancies are incorporated, and the more reliable the transmission becomes; nevertheless, a smaller  $R$  requires more communication bandwidth because the transmitter must send out more coded bits in the same amount of time. A balance between reliability and resource use is required.

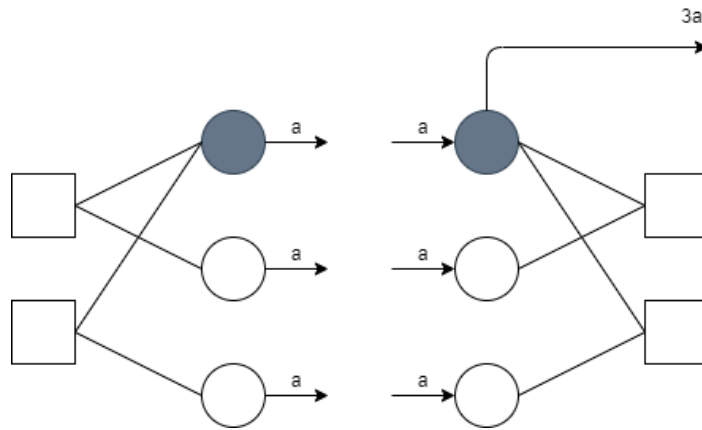


Figure A.1: Repetition decoding sequence

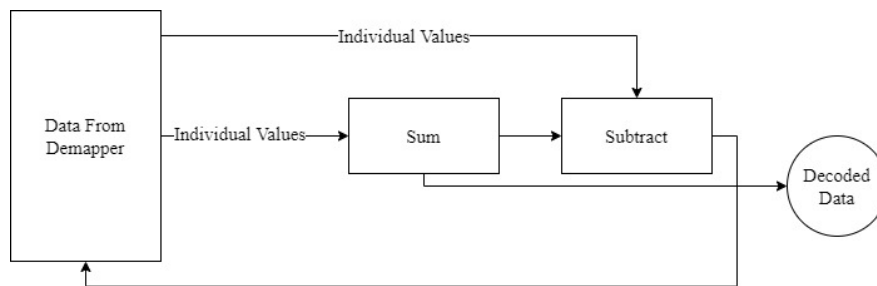


Figure A.2: Receiver operations for Repetition Coding

Practically, the EC decoder has two outputs, one it generates the priori data for the decoder by decoding the individual redundant data elements through subtracting their value from the sum of all the repetitive parts. Secondly, it outputs the transmitted user data by summation of all the repetitive data elements. This addition omits errors less than  $(n - 1)/2$  from data and generates a correct value for transmitted user/device data. Considering 'a' to be the user data, the sequence of operation in the repetition decoding and its main blocks are shown in Figure A.1 and Figure A.2 respectively.



## Appendix B Interleaving

There are three main types of interleaving techniques presented in the literature. Namely block interleaver, convolutional interleaver, and random interleaver. A block interleaver rearranges the input matrix in a way that the data in the output matrix is written row wise and read out column wise [129] as shown in Figure B.1.

Like interleaver, the block deinterleaver reads the matrix with data elements column by column and then sends the matrix contents in the form of row by row to the output, as shown in Figure B.2.

Block interleaver is suitable for the case when error patterns are limited to a single row. If error is spread in several consecutive rows like in the case of concatenated errors which are spread over a number of rows, then the interleaving method needs to be altered in a way that column of interleaving matrix is read out in a specific format to spread as many error patterns as possible [130].

Convolutional interleaver consist of commutator at the input and output nodes along with a bank of shift registers. Input data in the form of blocks is inserted cyclically into the shift registers by the commutator. The placement generates a delay in the transmission by the shift registers. The output commutator in the same way samples the data cyclically from the shift registers. At the deinterleaver end the inverse operation is performed i.e. each bit in the block is delayed by the same shift registers, thereby generating the original data [131].

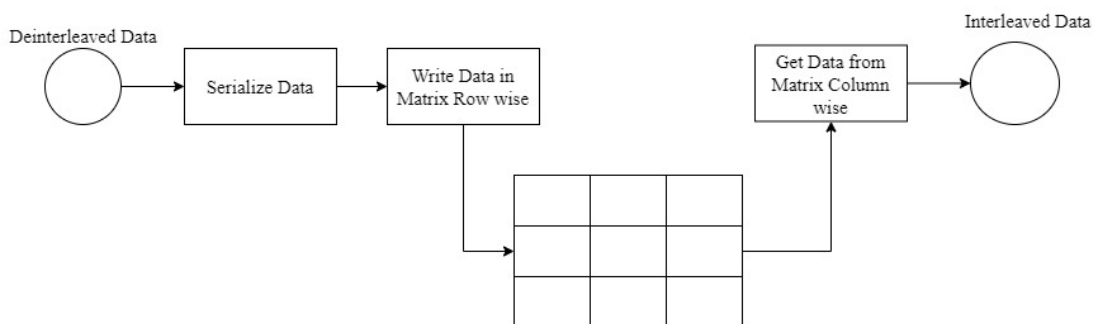


Figure B.1: Block interleaver sequence

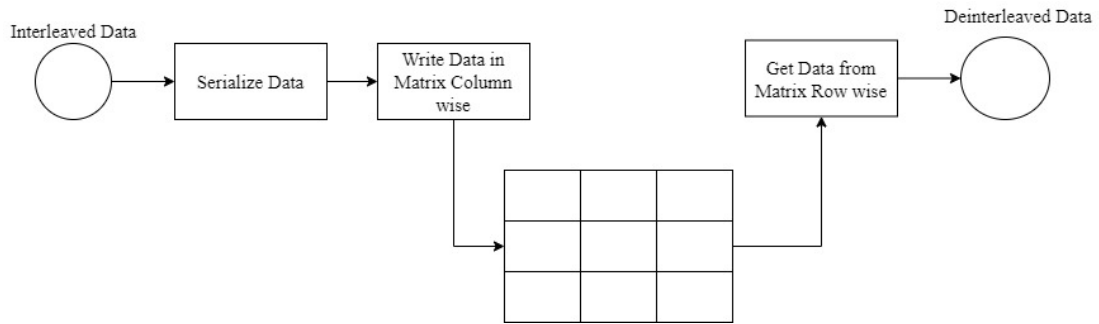


Figure B.2: Block de-interleaver

In the case of random interleaver a block of bits is taken as input which is read out randomly. The interleaver vector  $\Pi(i)$ , where  $i \in 1, 2 \dots N$  for  $N$  steps of interleaver can be generated by choosing randomly an integer  $i$  from the set  $A = 1, 2, \dots, N$ , according to a uniform distribution between 1 and  $N$  [132].

## Appendix C Fading Channel Types

The appendix presents explanation on different fading channels existing,

1. Flat Fading Channel: Flat fading is the name given to the case when the channel coherence bandwidth is larger than the signal bandwidth and hence all frequencies of the transmitted signal experience the same channel condition; i.e., over the signal bandwidth, the channel frequency response is essentially flat; and hence the name Flat Fading. In the time domain, this corresponds to having an expected smaller than the signal symbol period.
2. Block Rayleigh Fading Channel: The block-fading channel model assumes that the channel coefficients remain constant for a block of  $T$  consecutive symbols and change to an independent realization in the next block [7]. The parameter  $T$  can be thought of as the channel's coherence time, or more generally, the number of time-frequency slots over which the channel stays constant. A codeword of length  $n = LT$  spans  $L$  independent channel realizations
3. Fast Rayleigh Fading Channel: In a fast Rayleigh fading channel, the rate of change of the channel is higher than the signal symbol period and hence the channel changes over one period. In other words, the channel coherence time,  $T_c$ , is smaller than the symbol period.
4. Frequency Selective Fading Channel: if the channel bandwidth is narrower than the signal bandwidth, different frequency bands of the signal are affected differently. The time domain analogue is that the channel is larger than the signal symbol period.
5. Slow Fading Channel: In a slow fading channel, the channel coherence time is larger than the symbol period and hence the channel remains approximately static over a symbol or multiple symbols. Slow fading is usually expected with low Doppler spread values; i.e. with slower moving obstacles and receiver/transmitter.

## LIST OF PUBLICATIONS

### Indexed Journal

1. **Hussain, S. A.**, Ahmad, N., Shayea, I., Kaidi, H. M., Abdul, L., Latiff, N. M., & Sam, S. M. (2021). A review of codebook design methods for sparse code multiple access. Indonesian Journal of Electrical Engineering and Computer Science, 22(2), 319-327. (Indexed by SCOPUS)
2. Iqbal, M. S., Rahim, Z. B. A., **Hussain, S. A.**, Ahmad, N., Kaidi, H. M., Ahmad, R., & Dziauddin, R. A. (2020). Mobile Communication (2G, 3G &4G) and Future Interest of 5G in Pakistan: A Review. Indonesian Journal of Electrical Engineering and Computer Science, 15(3). (Indexed by SCOPUS)