



Transformation of Solid Waste Management in China: Moving towards Sustainability through Digitalization-Based Circular Economy

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Abstract: In China, environmental pollution due to municipal solid waste (MSW) over-generation is one of the country's priority concerns. The increasing volume and complexity of the waste poses serious risks to the environment and public health. Currently, the annual growth of MSW generation is estimated to be approximately 8–10% and will increase to 323 million metric tons (Mt) by 2030. Based on the secondary data collected from a literature survey, this article critically evaluates the recent progress of MSW management (MSWM) in China and offers new insights into the waste sector in the era of Industry 4.0. This helps decision makers in China to plan a smooth transition nationwide to a circular economy (CE) in the waste sector. It is evident that digitalization is a driving force for China to move towards low-carbon development strategies within the framework of CE. Through digitalization, the waste sector has promoted prevention, reduction, reuse, and recycling (3Rs) of waste before waste disposal in landfills. A proper implementation of digitalization-based waste recycling has contributed to an efficient cooperation between the government and private sector, increased job opportunities, and promoted the conservation of resources. It is anticipated that this work not only contributes to the establishment of an integrated MSWM system in China, but also improves local MSWM through digitalization in the framework of a CE.

Keywords: circular economy; digitalization; industry 4.0; greenhouse gas (GHG)

1. Introduction

Over the past decades, China has undergone a rapid economic development due to urbanization. The country has a large population of 1.4 billion with an increasing gross domestic product (GDP) [1]. Figure 1 shows China's GDP trend from 1969 to 2013. Since the implementation of the open door policy in 1980s, China's economy has developed significantly, with its GDP rising to USD 1,015,986 billion [1]. The pace and scale of China's economic development has been unprecedented globally [2].

Although the country's standard of living has substantially improved and its robust economic growth have significantly contributed to global economic growth, its peaceful rise has encountered major environmental challenges. China has recently been confronted with the over-generation of solid waste. One of the sources of waste originates from demolition



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waste and mining [3–6]. Consequently, environmental pollution has increased in severity and constrained its socio-economic development.

Over 80% of China's cities consist of organic garbage [7]. In addition, increasing po-pulation growth and urbanization contribute to the over-generation of MSW [8]. The disposal of large amounts of MSW also plagues other developing countries [9].

In 2020, the total annual MSW production reached 235.12 million metric tons (Mt) (Figure 2). The global average annual growth rate of MSW was 8.4%, which is low compared to that of China (10%) (Figure 3). In 2014, the disposal rate of MSW reached 91.8%, higher than the 66.8% in 2008 [8]. As MSW generation increases annually, over 25% of cities have no suitable place for waste disposal, while over 60% of cities are confronted with the waste [10].



Figure 2. MSW generation and disposal rate from 2003 to 2020 in China [7].

Figure 1. China's GDP trend (1981–2020) [7].



Figure 3. Comparison of MSW growth rate in China and other countries [8].

In China, current challenges include reducing consumption to prevent waste production and increasing recycling rates, as local communities produce much more waste than their counterparts in non-industrial countries [11]. Traditional technological practices are not sufficient to overcome the burden of their waste sustainably. To address this, emerging technologies in the era of Industry 4.0 can provide tools, such as digital solutions, to deal with non-biodegradable waste [12].

In addition, an integrated management system and enforced policy implementation from the government is critical for the success of MSWM [13]. As the main engine for global economic growth, China's role in balancing economic growth and environmental protection is paramount. To ensure that this is to continue consecutively from one gene-ration of leadership to another, forming a sound MSWM policy is one of top priorities in China [14]. However, fiscal policy and its management are complicated because China has encountered multiple challenges in recent years amidst the COVID-19 global pandemic [15].

To deal with the pandemic, greening the waste sector, which follows a CE paradigm, not only contributes to the provision of job opportunities, but also mitigates environmental issues caused by MSW, bringing economic benefits for communities [16]. However, the value of non-biodegradable MSW as a resource is neglected. To add to its value in a CE, China needs a digitalization-based waste recycling program to replace the traditional approach and move towards sustainability in the era of Industry 4.0.

To reflect its novelty in the body of literature, this work systematically provides an overview on the gradual transformation of the country's waste management systems over the past decades as it moves towards sustainability and circularity by applying a digitalization-based CE system. This article also identifies and evaluates the current situation of MSWM in China, the enabling conditions for greening the waste sector, and methods for improving MSWM through digitalization-based waste recycling.

2. Data Acquisition

Data were collected from a literature survey of published journal articles in the body of knowledge. Relevant articles were retrieved from the body of knowledge on 01 January 2022 using certain keywords, such as "waste treatment", "Industry 4.0", "China", and "digitalization", and works were subsequently analyzed to understand the gradual transformation of waste management in China from the open door policy in the 1980s to today.

3. Current Situation of MSWM in China

In China, the management of MSW is the responsibility of the central authority, including with regard to collection, transportation, and treatment [17]. However, during the process, solid waste treatment is influenced by economic development, environmental policy, and public environmental awareness [18].

MSW is collected formally by the municipality and/or informally by scavengers [19]. The residential cohort takes the waste to collection points by themselves, while the recyclables are collected by the waste collectors via door-to-door collection systems. The MSW generated from industry is collected by private waste collectors. Recyclable materials are separated and sold as processed materials to factories. Then, private waste collectors transport the waste to refuse transfer stations [20]. Eventually, the waste is disposed of in landfill or incinerated.

Landfilling is the main method for the disposal of MSW in China (Figure 4). However, in remote areas, uncontrolled landfills still exist where waste is disposed of without any control measure. Local landfills still encounter difficulties, such as digestive cells filled with unsorted MSW and high amounts of leachate during rainy seasons. This disposal method causes leachate infiltration into the groundwater, leading to secondary pollution.





Since 1995, China has introduced incineration plants as an alternative to landfills [21]. The first garbage power plant was built in Shenzhen during the "8th Five-Year Plan". China's incinerators follow the model of "waste-to-energy (WTE)", in which the captured heat is converted to electricity for generating power supply. However, the operation of incineration plants has encountered limitations due to the high emissions of dioxin and the difficulty of disposing of incineration residue, which impact the environment and public health. To deal with the problem, China and the EU have used circulating fluidized bed combustion technology. The incineration of sewage sludge generates fly ash and slag that can be used for heavy metal removal from wastewater [22–25].

Figure 5 shows the waste collection system in China's cities [26]. The MSW is disposed of on the same day through closed collection and transportation. In urban areas, waste is collected by community's resident committees, property management companies, and waste cleaning companies, and then transferred to a local storage center [27].



Figure 5. Collection system of MSW in China [20].

Incineration is a primary treatment for hazardous waste and industrial waste in China. In 2020, almost 35% of the total MSW was disposed of by incineration, with 61% sent to landfill and only 4.4% recycled [28]. The low recycling rate was attributed to the absence of a secondary materials market and due to no formal initiatives for recycling in place. In China, recycling systems are affected by the import of low-cost secondary materials from high-income countries that export their materials to avoid using their limited landfill capacity [29].

China has a long way to go with respect to waste separation, collection, recycling, reuse, end-disposal technology and the building of a management system, as compared to developed countries. The end of treatment for MSW leads to a huge waste of potential materials, increases the consumption of resources, and brings negative impacts on the environment. If MSW is well managed, it results in a large resource pool for electricity production and steam for heating [30].

4. Evolution of MSW Management in China

China has undergone an enormous economic development since 1978 following the implementation of its open door policy. Figure 6 illustrates the different stages of evolution in China's roadmap. To project to the future, China focuses on developing a CE-based waste management. However, the country's rapid economic development presents challenges related to environmental protection [31].



Figure 6. Evolution of China's economy development stage [21].

4.1. Stage of Reform and Opening-Up

Since the implementation of the reform and the opening-up policy, China's per capita GDP growth has been over 8% per year. The reform of the Chinese economy rests on the development of a market economy, which allowed China to catch up with the rest of the world. As Deng Xiaoping, the architect of China's open door policy, made it clear in 1978, China's prospects for economic development depended on the maintenance of a peaceful environment to support its economic growth. China's ability to pursue its economic reform since 1978 has been attributed to a relevant policy framework on economic development. Reform and the open door policy were among the first regulations, which paid attention to driving economic development. This not only efficiently boosted China's economy, but also affirmed the country's position in the global landscape [32].

At the same time, the scale of urbanization increased from 17.9% in 1978 to 51.3% in 2011, putting pressure on urban areas [33]. During this period, the amount of MSW has risen sharply with an annual growth rate that exceeds 10%. The complex environment has made the open door policy become difficult to continue implementing. With environment degradation, this increases the responsibilities and obligations toward environmental protection. Therefore, in 1989, China issued the first law on environmental protection to mi-tigate the negative effects of economic development. Subsequently, China published its Solid Waste Pollution Prevention Law in 1995 [34].

4.2. Stage of Agenda 21st

The conflict between the environment and people potentially damaged China's economic development in the long term. To comply with the 21st Century Agenda of the United Nations (UN), the State Council of China promulgated a Five-Year national plan aimed at sustainable development in 1996. Due to rapid development and environmental damage, efforts have been made to bring the increasing environmental pollution and deterioration of the environment under control. Therefore, the State Council considered that a complete environmental management or related law system should be established in line with the existing laws [35].

In 1992, China developed a national 21st Century Agenda with respect to population and the environment [36]. The State Council was engaged in the formulation of the 21st Century Agenda. The core concept of the 21st Century Agenda is sustainable development, which means that the current system has to meet the demand of the present gene-ration without limiting the demands of future generations [37].

For this purpose, China collaborates with stakeholders to foster innovation to tackle the implications of MSW. For example, sustainable development sets a goal to reduce waste to 30% by 2030 by: strengthening the standardization of waste material recovery and recycling; establishing an integrated MSWM system of environmental laws, policies, and standards; providing technical support for waste reduction, recycling, and cleaner production; and mitigating the contamination caused by the waste. The 21st century Agenda concerns the protection of the environment, including the conservation and sustainable use of natural resources [38].

In 2005, the revised law on solid waste introduced the concept of the 3R's (reduce, recycle, and reuse) for waste management. In June 2008, China imposed a ban on the production, sale, and use of plastic bags [39]. This regulation prohibited any stores, shops, or supermarkets from giving free plastic bags to consumers. However, to date, those that implemented the 3R's movements have not been able to alleviate the pressure on landfills [40].

During this period, China carried out a series of initiatives to tackle the issues with solid waste, aiming to develop a low-carbon CE. Based on the "Law on Prevention and Control of Environmental Pollution caused by Solid Waste of PRC", the municipal government reduced the total amount of MSW by improving the recycling rate, preserving resources, and dismantling discarded electronic appliances monthly [41].

From 1990 to today, China enacted laws and regulations relating to environmental protection and solid waste management (Table 1). However, the system has limitations. With changes in legislation, environmental resource laws do not meet the requirements of sustainable development. The laws and regulations were enacted during the 1980s and have not been adapted to the economic system. The principles of a solid waste management system lack flexibility. During this period, problems such as inadequate disposal capacity of solid waste and poor management occurred.

Strategic Planning	Specific Policy	Main Content
Pollution prevention and control planning	The "12th Five-Year" plan of national environmental protection	Strengthen environmental protection; mitigate environmental risks; curb high incidence of environmental pollution events.
Pollution prevention and control planning	The "12th Five-Year" plan of hazardous waste pollution prevention planning	By 2015, the nationally generated units of hazardous waste and business units for the standardized management of hazardous wastes qualified spot-check rate above 90% and 95%, respectively; waste utilization industry value is expected to exceed CNY 200 billion.
Infrastructure planning	The "12th Five-Year" plan of national urban living garbage disposal facilities construction plan	(1) During the "twelfth five-year" period, the urban living garbage disposal facilities construction will increase with a total investment of about CNY 263.6 billion, which is 3.05 times the investment compared to the period of the "11th five-year plan".
Comprehensive utilization of resources and scientific and technological innovation rules	The "12th Five-Year" plan of bulky industrial solid waste comprehensive utilization	By 2015, the amount of national bulky industrial solid waste comprehensive utilization reached 1.6 billion tons; the comprehensive utilization rate reached 50%; the annual output value reached CNY 500 billion; during the period of "12th Five-Year" national plan, large industrial solid waste comprehensive utilization reached 7 billion tons.

Table 1. Development of solid waste treatment planning.

4.3. *Stage of Strategy*

When leaders change, the priority also changes [42]. When President Xi Jinping took up his presidency, a strategic road map was outlined under the concept of "One Belt, One Road". If carried out, it would lead China to the next level of development. This "One Belt, One Road" strategy is designed to meet national interests, including creating a CE.

The world is moving towards the direction of a CE, which aims to reduce environmental risks and material scarcity for sustainability [43]. For this reason, China has taken a proactive approach when balancing economic development and environmental protection. China's transition to a CE has implications both domestic and worldwide. The go-vernment's strategy in transitioning to a CE provides jobs and saves resources. Based on the demand for economic transformation, a new road map for waste management needs to include digitalization. Under the current economic climate, which relies on fossil fuels for economic development, digitalization in waste management offers resource circularity to address material scarcity [44].

In China, several companies offer integrated system solutions combining software and hardware to enable garbage collection service providers to use highly efficient data services. This solution slashes costs and improves efficiency based on the internet of things (IoT) in waste management by gathering data about garbage collection from various industries and analyzing them using artificial intelligence (AI) algorithms to improve urban services. For this purpose, an integrated circuit is attached to trash bins to locate their place and alert data centers when the trash reaches its predetermined point [45].

5. Roadmap of MSWM in China

This section provides a framework of the waste sector and waste hierarchy. The future direction explains how it fits into the process of achieving sustainable development and how to cope with the challenge of resource scarcity at national and regional levels.

5.1. Greening the Waste Sector Framework

Figure 7 presents a framework for an integrated resource management system in China. This framework relies on the concept of zero waste, which explains that everything we consume has two side effects: the consumption of natural resources for producing it, and the increase of waste when it becomes useless [46].



Figure 7. Framework for greening the waste sector.

Greening the waste sector relies on all stakeholders' involvement during the provision of the MSWM service. It involves a complex mixture of different department responsibilities and technologies. This approach can make use of the new technologies to preserve the stability of the ecosystem and improve the employment rate, while protecting the environment. All the aspects, from the point of generation to ultimate disposal, are taken into consideration during the digitalization of waste recycling [47]. A sound MSWM can reduce the emission of GHG through recycling and reusing [48].

Overall, the primary objective is to prevent and reduce food waste at source. So if we consume less and recycle more, then the waste amount decreases. Waste generators are responsible for turning food waste into renewable energy through composting and anaerobic digestion, while non-separated food waste is disposed of at landfills [49,50].

5.2. Waste Hierarchy

An integrated MSWM system is based on the hierarchy of waste management (Figure 8), which combines the concept of 'reduce, reuse, recycle' (3Rs). The most preferred pillar is the prevention of waste, with the aim of encouraging people to reduce waste generation and to separate the recyclables from non-recyclables at source. Waste charging is encouraged for waste reduction/prevention. People pay less for the system if less is used. In developed countries, people are aware of their consumption levels. As a result, waste generation places them ahead of the developing world, who struggle with changing their systems.

The next priority is waste reuse and the recycling of recyclable materials [51]. In order to achieve high recovery efficiency in sorting and collection, a separating system must be established. Waste minimization, reuse, and recycling are dependent on waste separation at source. The Chinese government needs to open a new market for recycling materials. The recyclables generated from each waste sector are returned to the consumption cycle after reuse or recycling, promoting a CE nationwide. Economically, waste prevention, reduction, and reuse require less investment, while generating a higher return of investment. This enables China's cities to harness multiple climate co-benefits such as income generation and energy security. This requires a convergence of urban policies for mitigation and adaptation with techno-financial support for climate-sensitive development projects.



Figure 8. Waste management hierarchy [41].

After waste prevention, reuse, and recycling, residential waste must be disposed of properly through incineration, landfill, or waste-to-energy (WTE) technology. Any remaining materials are disposed of at landfills. Landfill provides space for residuals generated from incineration and composting [52]. When encountering increasing MSW and a shortage of land supply, other technologies, such as composting, anaerobic digestion, and WTE, can be developed to match the existing waste stream [53].

6. Opportunities for Greening the Waste Sector

6.1. Promising Market Prospects for Entrepreneurs in the Waste Sector

Greening the waste sector needs a transformation from a 'business-as-usual' approach, such as open dumping, to an economic method, which generates a direct benefit. Although the waste industry in China is still at an early stage of development, it has promising prospects [54].

During the period of the "12th Five-Year" plan, governments enacted a series of new measures in solid waste management (Table 1). During the period of the "11th Five-Year", the investment in environmental protection and waste management was USD 2160 billion and USD 210 billion, respectively, while at the end of the "12th Five-Year", the investment reached up to USD 3400 billion and USD 800 billion [55] (Figure 9).



Figure 9. National policy of investment in environmental protection and waste management [45].

Greening the waste sector has benefits for the local government and MSWM companies, with incentives such as tax relief, environmental improvement funds, and tipping fees.

Table 2 illustrates examples of market-based instruments in MSWM. The waste treatment companies benefit from the tipping fee for the waste collection from each household [56].

Policy Option	Example	Description	
Waste taxes	Tax credits; tax rebates; tax relief; landfill tax	Allowances on property taxes, customs duties, or sales taxes to motivate investment in waste management improvements	
Charge reduction	Tickets for littering	Based on proof of recycling or reuse in reducing wastes or requiring collection or disposal	
Deposit refund	Beverage containers	Refund given upon product return for reuse	
Eco-labeling	Reusable shopping bags; eco-labeled detergents	Notes product's recyclable content and whether product is recyclable	

Table 2. Market-based instruments in MSWM.

6.2. Modern Technologies and Mature Law Promote Waste Sector Development

Table 3 presents an overview of solid waste legislative regulations in China. Since the enactment of reform, environmental issues became worse because of industrialization. Up to now, the government has published environmental protection policies and regulations to cope with the environmental issues. The first laws about environmental protection and waste management were enacted in 1989 and 1996, respectively [57]. The major legislation in China for solid waste management are the Waste Disposal Act and Resource Recycling Act. The latter aims to conserve natural resources, reduce waste generation, and advocate for recycling, including the reuse of all materials.

Table 3. Summary of National Laws and Regulations on MSW in China [57].

Laws and Regulations	Brief Description	Issuer	Effective Time
Law of Environmental Protection of PRC	To protect and improve environmental quality; prevent pollution.	The Standing Committee of the National People's Congress	26 December 1989 (24 April 2014)
Law on Prevention and Control of Environmental Pollution Caused by Solid Waste of PRC	First law to regulate the management of MSW.	The Standing Committee of the National People's Congress	1 April 1996 (24 April 2015)
Administration of Comprehensive Utilization of Renewable Resources	For the conservation of natural resources, reducing waste generation and increasing recycling and sustainable use of materials.	Ministry of Commerce of the People's Republic of China	3 July 2002
Law for Promotion of Cleaner Production of PRC	At each step of production, the manufacturers should take measurements to reduce pollution.	The Standing Committee of the National People's Congress	1 January 2003
Law for Environment Impact Assessment of PRC	Emphasize the importance of preventing environmental pollution from source; any new construction must obtain permission to build.	The Standing Committee of the National People's Congress	1 September 2003
Regulations on Municipal Residential Solid Waste	Management of collecting, transferring, and treating residential waste.	The Ministry of Construction of PRC	1 September 1993
Technical Policies on the Disposal of Domestic Waste and the Prevention of Pollution	Guidance and standards for the technologies applied in MSW treatment.	The Ministry of Construction of PRC	June 2000

7. Multiple Benefits of Greening the Waste Sector

The waste sector could contribute to multiple benefits for China in terms of social, economic, political, and environment factors. The sector gives due consideration to a stream of waste that has significant benefits for volume, the economy, society, and the environment and the related implications and opportunities for the future of China (Figure 10).



Figure 10. Implications of greening the waste sector.

Based on the CE paradigm, the waste sector can combine all departments, enabling them to become involved in the collection, transportation, and final disposal of MSW. The renewable energy, electricity, and compost generated from the reuse of solid waste reduces the demand for resources and minimizes GHG release indirectly [41–43]. Due to resource scarcity, the benefits of energy generation from waste are an incentive to develop the waste sector.

Greening the waste sector creates new job opportunities directly and indirectly, which also adds value to laborers. Scavenging is informal waste collection through door-to-door bell-ringing collection due to the lack of adequate MSW collection and separation systems [44,45]. Scavenging is an opportunity for the poor to earn their living. They not only play an important role in the food basket of poor urban households, but also promote job creation. An integrated MSWM can alleviate poverty and lower the cost of raw materials, while protecting the environment through reuse and recycling [58].

The waste sector benefits economic development by promoting the development of waste-to-energy (WTE) systems across industry. The WTE approach is considered to be a part of the waste management system [49]. WTE not only contributes to reducing GHG emissions (Figure 11), but also materializes the benefits from energy recovery [50]. The annual benefits from the sales of electricity, thermal power, and recyclable waste have driven the development of the WTE industry. In China, income from the WTE business is electricity sales, which ranges from 70 to 85% of the total income and tipping fees [50].



Figure 11. Electricity generation for waste sector in different countries [53].

8. The Way forward for Digitalization to Promote a CE in the Waste Sector

The recycling sector has the potential to seize the opportunities of a CE through di-gitalization. Although landfill is a main tool for waste disposal, the future lies in digita-lizing waste recycling in the market. Digitalization, defined as "the use of digital techno-logies to change a business model", provides critical solutions for strengthening waste management practices to mitigate its impacts on the environment by generating real-time data about the location, condition, and availability of non-organic waste, increasing traceability and improving ease of access to products and services. This creates the possibility of interoperable automation in waste management for non-organic waste. This enables people to rely on digitalization to streamline the physically intensive work [51]. It takes at least two years to introduce digitalization in an enterprise from idea formation to its implementation.

The use of digital technologies for efficient waste management is feasible and enables the development of 'Pay-As-You-Throw' systems, 'Know-As-You-Throw' (KAYT) schemes, and the use of 'Radio Frequency Identification' (RFID), which monitors waste fractions at source. If waste collection service companies adopted digital solutions, such as RFID or artificial intelligence (AI), the time required for waste collection could be reduced by 35%. This competitiveness enables the companies involved to efficiently deploy driverless trucks to the designated points of waste collection citywide.

Embracing digitalization in the waste industry will keep the recycling system in place and businesses alive amidst the current pandemic by providing the strategic move essential to make leapfrogging progress. This help the waste industry to shift towards sustainable solutions with the traceability of waste flows. As a result, we could avoid the GHGs that may be emitted from manufacturing virgin resources, minimizing CH₄ emissions [52].

Digitalization is critical to help MSWM achieve its goals by boosting the transformation towards a sustainable CE by narrowing the material loops with increased resource efficiency. By fostering technological innovation in products and processes, digitalization promotes efficient waste minimization and longer life for products, and reduces transaction costs. Without digitalization, a CE will form slowly, with few attractive circular business models and less impact on global climate goals. Without a coherent and inclusive global digitalization effort, a climate goal cannot be attained in a timely manner [59].

Digital technologies could be integrated in local waste management systems, after being improved to secure an efficient market. Digitalization not only addresses the impacts of global change caused by non-organic waste, but also establishes a post-COVID-19 world with the characteristics of being resilient, sustainable, safe, and equitable by mi-tigating the economic shocks due to the pandemic [60].

9. Concluding Remarks

The article has reviewed and analyzed the transformation of MSMW in China over the past four decades. The increasing environmental awareness, stricter legislation, urban concentration, and population growth have contributed to the evolution of MSWM in China. As its cities grow rapidly and strive to achieve world-class status, investment in sustainable urban waste management systems towards a CE model is necessary to create environmentally friendly, livable, and sustainable cities nationwide.

Investment in smart city initiatives can enhance planning, preparation ability, and capacity to respond to the global pandemics that require timely and integrated actions. The availability and proper application of such technologies could contribute to waste digitalization in the era of 4IR.

It is evident from the literature survey that digitalization is a driving force for China, helping it move towards low-carbon development strategies in the framework of CE. Harnessing digital solutions for the waste recycling industry is a viable solution to strengthen a circular, resource-efficient, and low-carbon economy. In the era of Industry 4.0, digital solutions have the ability to improve waste recycling practices. With digitalization, a proper waste management system is mandatory to protect the environment and public health.

Through digitalization, the waste sector could contribute to the country's CE in the move towards sustainable development. Digitalization not only strengthens MSWM in China, but it also maximizes the utilization efficiency through waste recycling.

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