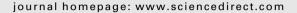
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# Review

# Efficacy of Probiotics-Based Interventions as Therapy for Inflammatory Bowel Disease: A Recent Update



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### ABSTRACT

Probiotics such as Lactobacillus spp. play an important role in human health as they embark beneficial effect on the human gastrointestinal microflora composition and immune system. Dysbiosis in the gastrointestinal microbial composition has been identified as a major contributor to chronic inflammatory conditions, such as inflammatory bowel disease (IBD). Higher prevalence of IBD is often recorded in most of the developed Western countries, but recent data has shown an increase in previously regarded as lower risk regions, such as Japan, Malaysia, Singapore, and India. Although the IBD etiology remains a subject of speculation, the disease is likely to have developed because of interaction between extrinsic environmental elements; the host's immune system, and the gut microbial composition. Compared to conventional treatments, probiotics and probiotic-based interventions including the introduction of specific prebiotics, symbiotic and postbiotic products had been demonstrated as more promising therapeutic measures. The present review discusses the association between gut dysbiosis, the pathogenesis of IBD, and risk factors leading to gut dysbiosis. In addition, it discusses recent studies focused on the alteration of the gastrointestinal microbiome as an effective therapy for IBD. The impact of the COVID-19 pandemic and other viral infections on IBD are also discussed in this review. Clinical and animal-based studies have shown that probiotic-based therapies can restore the gastrointestinal microbiota balance and reduce gut inflammations. Therefore, this review also assesses the status quo of these microbialbased therapies for the treatment of IBD. A better understanding of the mechanisms of their actions on modulating altered gut microbiota is required to enhance the effectiveness of the IBD therapeutics. © 2022 The Authors. Published by Elsevier B.V. on behalf of King Saud University. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

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# 1. Introduction

IBD, globally known as inflammatory bowel disease are referred to the chronic inflammatory conditions of the human gastrointestinal tract (GI). The inflammation affects the function of the gastrointestinal tract including food digestion, absorption of nutrients, and excretion of undigested materials (Darb Emamie et al., 2021; Desai et al., 2016; Spiller and Major 2016). IBD causes symptoms such as abdominal pain, diarrhea, rectal bleeding, fatigue, and weight loss. In addition, it has been linked to other inevitable convolutions such as abscesses, fistulas, stenoses, colitisassociated neoplasias, and colorectal cancers (Asha and Khalil, 2020; Spiller and Major 2016). Confusion with symptoms between IBD and Irritable bowel syndrome (IBS) exists in some cases. Both are gastrointestinal disorders were 0.3 to 0.5% and 7 to 21% of the world populations affected by IBD and IBS, respectively (Ananthakrishnan, 2015; Kaplan, 2015; Spiller and Major, 2016). Both diseases impose a large burden on patients, affecting the quality of living, also increasing the financial burden, directly on health care cost and indirectly in the overall economic growth of a nation (Alatab et al., 2020; Ananthakrishnan, 2015). Despite sounding similar, IBS has its distinguishable features compared to IBD. Both are chronic conditions that lead to similar manifestations including severe abdominal pain and cramping that cause an urgent bowel movement. However, the gastrointestinal disorder of IBS affects the lower region of the gastrointestinal tract, particularly in the small and large bowel (Casen et al., 2015; Darb Emamie et al., 2021). Patients with IBS often experience chronic diarrhea, constipation, and bloating symptoms. Meanwhile, IBD is characterized by gut inflammation. Severe inflammation results in worse symptoms including bloody stools, loss of appetite, massive weight loss, and could lead eventually to colonic cancer (Casen et al., 2015). Apart from environmental factors, recent data reported that the host's genetic factors play an important role during the progressive development of IBD, either through microbial dysbiosis or influence over the host's immune system (Casen et al., 2015; Darb Emamie et al., 2021; Spiller and Major, 2016).

IBD is generally diagnosed into two branches which are Crohn's disease (CD) and Ulcerative colitis (UC). Men or women at any age can develop CD or UC (Casen et al. 2015, Spiller and Major 2016). Despite similar pathogenesis, CD and UC have some distinguishable features which are the key differences that affect the treat-

ments (Hazel and O'Connor, 2020; Kaplan, 2015). Unlike UC, the CD can affect any part of the digestive system from the mouth to anus. Crohn's disease can affect any part of the intestine, often in a discontinuous pattern. It can be associated with intestinal granulomas, strictures, and/or fistulas. On the other hand, UC typically starts in the rectum and can involve the whole colon in an uninterrupted pattern (Lewis et al., 2015; Spiller and Major, 2016). Histological analysis shows the formation of thickened submucosa. necrotizing granulomas, transmural inflammation, fissuring ulceration during the development of CD. In ulcerative colitis, inflammatory changes are more superficial and limited to the mucosa submucosa with cryptitis and crypt abscesses (Ananthakrishnan, 2015; Wang et al., 2017).

Pathogenesis of IBD is still not well understood to date, yet it is often linked to the collective effectiveness of microbial infections, diet, immune system aberrant reactions, inherited genes, and environmental factors (Ananthakrishnan, 2015; Bajinka et al., 2020). The maintenance of homeostasis being the important key factor, especially in epithelial barrier function and its interaction with the pathogenic microorganisms and innate immune system, can be a key factor (Kim et al., 2015). However, the disruption of the intestinal mucosal barrier is believed to be a frequent event and most often the immune system is not stimulated (Franzosa et al., 2019; Goodrich et al., 2014; Michielan and D'Incà, 2015). This indicates none of the identified risk factors alone are adequate to drive the progression of IBD (Ananthakrishnan, 2015). In 2001, the first CD-associated gene was described, and UC-associated genes started to be characterized (Kim et al., 2015; Michielan and D'Incà. 2015). This has marked a new turnover in our understanding of factors causing the pathogenesis of IBD. The human gastrointestinal microbiome has begun to be recognized for its vital role and as a possible therapeutic solution for IBD (Hoffmann et al., 2016). The treatment goals for IBD usually include induction of remission, and prevention of relapse (Ananthakrishnan, 2015; Hazel and O'Connor, 2020). Some of these therapeutics offer a lower efficacy rate with higher adverse side effects (Casen et al., 2015; Hazel and O'Connor, 2020). Growing understanding of the synergy between a host's genetics, gut microbiome, and external environment has opened a new framework to seek alternative effective therapies (Bajinka et al., 2020; Lewis et al. 2015).

Gut dysbiosis would exhibit a major decline in the population of commensal microbial populations, functional diversity, and stability in the gut lumen (Alhagamhmad et al., 2016; Franzosa et al., 2019). This alteration of the microbiome affects the interaction of the host's homeostatic systems with lumen stimuli and eventually perpetuates uncontrolled inflammation in the intestinal mucosa that potentially leads to IBD (Alhagamhmad et al., 2016; Hoffmann et al., 2016; Michielan and D'Incà, 2015). Numerous external factors including antibiotic therapy and unhealthy dietary patterns are often considered as a cause for intestinal dysbiosis and lead to the development of IBD (Hoffmann et al., 2016). Several studies have recently begun to highlight that, the pandemic Coronavirus disease 2019 (COVID-19) severity has also been associated with alteration of gut microbiota (Liang et al., 2020; Ong et al., 2020; Segal et al., 2020). Despite its severe respiratory illness, COVID-19 patients also reported gastrointestinal disorders including diarrhea, nausea, and vomiting (Franzosa et al., 2019). A study by Liang et al. (2020) had raised concern that the alteration and gut microbiota and its associated diarrhea could be an underestimated symptom of COVID-19. Patients had a detectable level of virus in the feces, which proved its involvement in the severity of gastrointestinal tract disease (Liang et al., 2020; Yeoh et al., 2021). In another study, the occurrence of gut dysbiosis was confirmed with the depletion of symbionts and enrichment of opportunistic pathogens in the fecal samples of COVID-19 patients (Zuo et al., 2020). Additionally, recent data reported that the gut microbiome is significantly affected in patients with COVID-19 and the alteration was found to remain even after complete clearance of the virus (Yeoh et al., 2021). Even after 30 days of the post-disease resolution, some post-COVID-19 patients are diagnosed with a relatively reduced abundance of gastrointestinal commensal microbial populations, including Faecalibacterium prausnitzii. The study also reported an elevated concentration of inflammatory cytokines among recovered COVID-19 patients (Yeoh et al., 2021; Zuo et al., 2020). The post-COVID-19 symptoms were identified to cause microbial dysbiosis in the gastrointestinal environment and might lead to chronic IBD symptoms, as the patients' immune responses are still at a dysfunctional state (Liang et al., 2020; Segal et al., 2020: Zuo et al., 2020).

There is no known complete cure for IBD (Hazel and O'Connor. 2020). Current therapies practiced are based on pharmacological approaches to reduce inflammation, disease relapse and promote clinical remission (Casen et al., 2015; Zimmermann and Curtis, 2019). The traditional medicines used for IBD treatment are amino salicylates, corticosteroids, thiopurines, and folic acid antagonists (Hazel and O'Connor, 2020). Selection criteria for alternative effective therapy must be concerned with the frequency of disease relapse, disease severity, and the disease complication level (Asha and Khalil, 2020; Mahlich et al., 2017). However, the efficiency of current treatments is temporary and relieves symptomatic complications due to a remarkably high dosage (Asha and Khalil, 2020; Darb Emamie et al., 2021). Additionally, the lesion site and clinical history of the patient also influence the therapeutic management. Although UC and CD share common clinical diagnostics, the site and characteristics of inflammations distinguish them (Spiller and Major, 2016). This clinical difference, together with differences in the host's genetic and environmental make-up, as well as the immune state, affects the patient's response towards therapy. This explains the need for more clinical studies and medical interventions to achieve the most effective and promising therapy for UC or CD (Ananthakrishnan, 2015; Spiller and Major, 2016). The most extensively investigated hypothesis for the development of IBD includes an altered immune system and a disrupted host's gut microbiome (Franzosa et al., 2019; Li et al., 2015; Zuo et al., 2018). The human body together with its gastrointestinal microbial community is regarded as a "superorganism". The human gut microbiome has been estimated to be composed of one hundred times greater number of genes than

the host's genome (Bäckhed et al., 2015; Casen et al., 2015). Clarke et al. (2014) proposed the gut microbiota as an unrecognized "human metabolic organ" due to its multiple roles in digestion, modulation, and physical differentiation of human tissue. Therefore, it would be a possible cure for IBD if the dysbiotic gut microbiota could revert to its original state, without adverse side effects (Bäckhed et al., 2015; Li et al. 2015). Several studies have demonstrated alternative therapies using probiotics and specific dietary supplements such as prebiotics to modulate the gastrointestinal microbiome (Altun et al., 2019; Amoroso et al., 2020; Naseer et al., 2020). Additional studies confirmed the efficacy of utilizing the commensal microbiota to stimulate immune function and mucosal integrity (Chen et al., 2020; Kim et al., 2020). Recent well-designed randomized clinical trials had extended the promising impacts of probiotics, prebiotics, and synbiotic therapies for IBD treatments in patients (Franzosa et al., 2019; Goodrich et al., 2014). Therefore, here we present the most current research on the role of the human gastrointestinal microbiome and factors causing gut dysbiosis that leads to IBD, and studies that support the role of probiotics, prebiotic, and synbiotic therapies in combating IBD.

### 2. Global prevalence of IBD

For many decades, IBD had been referred to as a Western disease and thought to be infrequent in the Eastern region (Ananthakrishnan, 2015; Chiba et al., 2019). Howbeit, growing neoteric data has suggested that the prevalence of both UC and CD of the Eastern countries continues to rise in parallel to rapid urbanization culture (Chiba et al., 2019; Mak et al., 2020). In 2013, a large-scale population study was performed comprising eight Asia-Pacific countries from Mainland of China, Macau, Hong Kong to ASEAN countries of Thailand, Malaysia, Singapore and Indonesia, and Sri Lanka (Ng et al., 2013). The epidemiology study also compared the incidence of IBD in Australia. Based on the study, the recorded Asian incidences were 1.37 per 100 k individuals, in comparison to 23.67 incidences per 100 k individuals in Australia. The mainland of China recorded the highest incidence 3.44 per 100,000in Asia (Ng et al., 2013; Ng et al., 2016). Although it is still lower compared to Western countries, the prevalence of IBD in the Asia region has doubled in the last decade (Mak et al., 2020). Despite the variations in the numbers, UC is more prevalent in Asian countries compared to CD (Ng et al., 2016). Yet, presentday data begins a contrasting trend where the prevalence of CD is revolting among the developed Asian countries including South Korea, Hong Kong, and Japan (Ong et al., 2018). Recent reports revealed that Asian IBD patients share many similarities with their Western counterparts. Kim et al. (Kim et al., 2015) had demonstrated that the prevalence of UC and CD had elevated to 5.0 and 3.6 per 100,000 individuals respectively from the year 2006 to 2012, based on a large population epidemiological study conducted in South Korea. This incidence has risen 10-fold from 1986 to 1990 (Kim et al., 2015). Meanwhile, the neighboring country Japan recorded a prevalence of UC at 140,000 compared to 40,000 of CD, based on records from the Ministry of Health Labor and Welfare, Japan. A Japanese study reported that the significant burden of IBD suffered by Japanese patients is four times higher risk of unemployment issues in the Japanese population (Mahlich et al., 2017).

A study by Ng et al. (2013) deduced that the rise of IBD incidences does not have similar circumstances in all Asian countries. The study demonstrated some metropolitanized Asian countries like Malaysia and Singapore recorded fewer incidences of IBD compared to the others. The prevalence of IBD recorded in Malaysia and Singapore were 0.94 and 1.06 per 100,000 persons, respec-

tively (Ng et al., 2013). However, in a recent record at the National University Hospital of Singapore, the number has increased eight times in 2018, compared to the year 2013 (Ong et al., 2018). Similarly, a recent Malaysian meta-analysis study has demonstrated a steady increase in IBD incidences over the last three decades. In comparison, the prevalence of IBD among Malaysians had doubled to 1.46 per 100,000 population from 2010 to 2018 (Mokhtar et al., 2019). A significant rise in the CD was also recorded among Malaysians with a reduction in the ratio of UC to CD from 5:1 (in the year 1990 to 1999) to the ratio of 1.7:1 (in the year 2010 to 2018). In the year 2018, Malaysia recorded the prevalence rate of IBD, UC, and CD at 23.0; 15.67, and 7.36 per 100,000 individuals, respectively (Mokhtar et al., 2019). Malaysia and Singapore being multi-racial countries, are populated by three main ethnicities which are the Malays, Chinese, and Indians, This multiracial diversity has made its unique way of combating the growing incidence of IBD in this region (Mokhtar et al., 2019; Ong et al., 2018). Although the incidence numbers differ across the demographic differential categories, a limited number of studies that documented the trend of IBD incidences over the last four decades, were available (Mak et al., 2020). Overall, few studies have documented the incidences in developing countries and require further work (Zuo et al., 2018).

As previously noted, the highest rates of IBD tend to be in highincome countries, namely Europe and North America (Ananthakrishnan, 2015). Additionally, most of the studies reported the highest incidence rates in West regions (Chiba et al., 2019; Desai et al., 2016). Based on recent meta-analysis prevalence rates for both sexes from 1990 to 2017, was 422 per 100,000 population. The study also reported the highest age-standardized mortality rate in the year 2017 in western Europe, which was 0.97 per 100 000 population. Further, the study reported a prevalence rate of 0.83 per 100,000 population by the higher-income regions of North America (Alatab et al., 2020; Mak et al., 2020). The higher incidence of IBD in high-income regions has been noted since the industrial revolution in the 1700 s, with the transformation from rural to urban living believed to be a major driver for the increased incidence of IBD. This societal transformation comprises increased pollution rates and dietary changes involving reduced plant-fiber consumption (Chiba et al., 2019; Desai et al., 2016). Over the decades, the Western world experienced stabilized incidence numbers but recorded a steadily rising prevalence (Alatab et al., 2020).

# 3. A gut feeling: Is microbial dysbiosis the main cause of IBD or post-symptom?

# 3.1. Understanding of the human gut microbiome.

Clarke et al. (2014) proposed the human gut microbiome should be recognized as a human vital organ due to its role not only in the gut but in other systems as well. The number of cells is estimated to be far greater than the number of host cells, with a ratio of 10:1 and an approximate weight of 1 to 2 kg in an average adult (Chiba et al., 2019; Clarke et al., 2014). The estimates of human and bacterial cells found in a healthy human body had been revised recently where the microbe in the human body outnumbers the human own cells by about 10:1. The actual numbers of human microbiota were estimated to be 10<sup>13</sup> to 10<sup>14</sup> with around a 1:1 ratio of microbes to human cells. The study derived the new ratio based on the total microbial cells found in the colon of a healthy human. A healthy human gut is composed of a diverse and complex microbiome, and it varies in concentration by the site. In the colon, the population reaches  $10^{11}$  to  $10^{12}$  cells per gram of the luminal content (Bäckhed et al., 2015; Clarke et al., 2014, Goodrich et al., 2014; Selvamani et al., 2021). It was estimated that the gut microbiome harbor 100-fold more genes than humans.

These genes mostly code for enzymatic proteins not found in the host to facilitate the metabolic process. They also regulate the physiology of the host, especially the immune system (Chang and Kao, 2019). Human gut microbiota develops from a low diversity at birth into an overly complex and matured community, after the introduction of solid foods. The microbiota achieves stability by 9 to 12 months of age (Bäckhed et al., 2015; Selvamani et al., 2021). The gut microbiota becomes resilient to perturbations including changes in the types of food and exposure to antibiotics (Lange et al., 2016; Zimmermann and Curtis, 2019). Overall, the predominant gastrointestinal microbial composition belongs to the phyla of Firmicutes, Bacteroidetes, Actinobacteria, Fusobacteria, Proteobacteria, and Verrucomicrobiota (Bäckhed et al., 2015; Franzosa et al., 2019; Selvamani et al., 2021). The phyla of Firmicutes comprises the largest genera including Lactobacillus, Bacillus, Clostridium, Enterococcus, and Ruminococcus, Members of the Clostridium genera represent almost 95% of the gastrointestinal Firmicutes. Meanwhile, Bacteroidetes are predominantly composed of genera such as Bacteroides and Prevotella. In addition, the phyla of Actinobacteria are proportionally lower in abundance and mostly represented by the Bifidobacterium (Amoroso et al., 2020; Bäckhed et al., 2015; Casen et al., 2015; Chang and Kao, 2019; Clarke et al., 2014; Selvamani et al., 2021; Sokol et al., 2017).

The advent of genetic tools and the revolution of metagenomic studies over the last two decades revealed that abnormal alterations occurred in the human gastrointestinal microbiota framework and functions (Selvamani et al., 2021; Xie et al., 2016). The recent high-throughput DNA sequencing technology has not only helped in the understanding of the complex microbiome in the human body, but also for characterizing disease-associated microbiota changes, together with precisely specifying the altered microbial species (Casen et al., 2015). The traditional culturebased analysis suspected that IBD was solely caused by single pathogenic microorganisms, like Mycobacterium avium subspecies paratuberculosis (MAP); adherent-invasive Escherichia coli strains (AIEC), or Clostridium difficile (Li et al., 2015). However, advancing genomic studies in the present day has depicted a more precise and thorough understanding of the collective role of the gut microbiome. Among many genomic studies that have been reported, the integrative Human Microbiome Project (HMP) is one of the excellent resources that evaluates the core microbiome and its transitions from a healthy-balanced state to a dysbiotic state (Parada Venegas et al., 2019).

# 3.2. Growing evidence on dysbiosis

As mentioned earlier, the complex human gut microbiota varies taxonomically and functionally based on location in the gastrointestinal tract. Within the same individual microbial complexity undergoes variations due to several factors including age transition, gene expressions, food consumption, lifestyle, environmental factors, and medications such as an antibiotic (Bajinka et al., 2020; Lange et al., 2016). However, the multitude of factors that intervene with the gut microbiome also harmed human health. Gastrointestinal dysbiosis is an alteration and disturbance of the diversity of gut microbiota (Carding et al., 2015; Sokol et al., 2017). Numerous human gastrointestinal diseases including diabetes, IBD, and obesity were found to be associated with these abnormal prominent features of the gastrointestinal microbiome (Alhagamhmad et al., 2016; Sasaki and Klapproth, 2012). Accumulating evidence in an animal model and clinical studies had revealed that dysbiotic conditions of the gut microbial populations are linked to IBD or its associated symptoms. Numerous animal studies used germ-free mice (GF) to evaluate gastrointestinal dysbiosis associated with IBD. GF mice were inoculated with gastrointestinal microbiota collected from IBD patients. This induced

expression of pro-inflammatory genes in GF mice models, compared to control study which is inoculated with healthy gastrointestinal microbiota. These studies also revealed that GF mice inoculated with IBD microbiota developed severe colitis (DeGruttola et al., 2016; Singh et al., 2016). The term "Dysbiosis" refers to a disproportion condition in the gut microbial populations and this disparity is often associated with indisposition of health state (Carding et al., 2015; Casen et al., 2015; Sokol et al., 2017). The microbial disparity could be a loss or introduction of microbial population in the healthy state composition. Therefore, gut dysbiosis could be from three causes: (1) reduction and insufficient population of commensal microflora; (2) loss of diversity in commensal microbiota; and (3) great competition between the commensal microbiome and pathogenic flora (Casen et al., 2015; Singh et al., 2016; Zuo et al., 2018).

In IBD, a decrease in  $\alpha$ -diversity of gut microbiome such as Faecalibacterium spp. and Roseburia spp. was consistently observed (Bäckhed et al., 2015; Korpela et al., 2016). These patients are often diagnosed with an associated increase in the relative abundance of Bacteroidetes and other facultative anaerobic bacteria such as the genera of Enterobacteriaceae. Despite the overlap in microbial dysbiotic changes among CD and UC, there are significant differences in the mucosal and fecal microbial composition (Amoroso et al., 2020). In CD, the predominant dysbiosis is associated with microbial alteration of more than five microbial populations such as a decline in abundance of Faecalibacterium prausnitzii, Bifidobacterium adolescentis, and Dialister invisus; as well as a sudden increase in the population of Ruminococcus spp. and pathogenic Clostridium cluster XIV (Pascal et al., 2017). Gevers et al. reported an elevation in the gastrointestinal microbiota among newly diagnosed pediatric CD patients in a recent cohort study. The study also reported a significant increase in the population of Pasteurellaceae, Enterobacteriaceae, Veillonellaceae, and Fusobacteriaceae, whereas a decline was found for the relative abundance of Bacteroidales, Clostridiales, and Erysipelotrichales. The study compared microbial disparity of the pediatric IBD patients with healthy controls (Gevers et al., 2014). In addition, another recent study by Pascal et al. (2017) also reported a greater incidence of gastrointestinal dysbiosis in CD compared to UC (Pascal et al., 2017). The study reported enrichment of six microbial genera among the CD patients compared to only two in UC patients and one in healthy controls. The study also confirmed that CD patients also had a decline in the relative abundance of Faecalibacterium spp., in contrast to UC patient samples which do not show the absence of this bacterium (Pascal et al., 2017). Thus, these findings discriminate microbial composition of dysbiosis in CD with UC (Gevers et al., 2014).

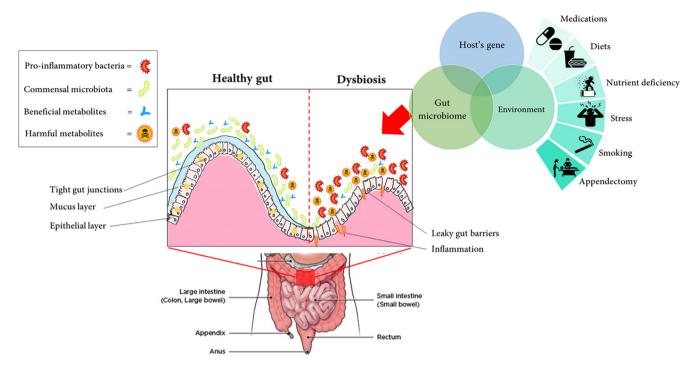
A Study conducted by Pascal et al. (2017) also reported that loss of beneficial microbes was more abundant in CD patients, and such organisms are involved in butyrate production (Pascal et al., 2017). These bacterial metabolites are well-known for their role in reducing pro-inflammatory cytokines during anti-inflammatory (Goodrich et al., 2014). Meanwhile, the members of Firmicutes are the primary producers for butyrate and short-chain-fatty acids (SCFA) in the human gastrointestinal tract (Parada Venegas et al., 2019). Reduction in the SCFA increases inflammation and affects the colonic barrier functions (Chen et al., 2020; Desai et al., 2016). The impaired gut barrier leads to the invasion of pathogenic microorganisms that increases the IBD severity. Elevation of pathogenic microbes in the gastrointestinal environment such as the species of Enterobacteriaceae and Bacteroides fragilis was found to be a secondary inflammation state in the gastrointestinal tract of IBD (Carding et al., 2015; Li et al., 2015). High endotoxic LPS was found in the outer membrane of both pathogenic microorganisms. These endotoxic LPS were found to exhibit the suppressive impact of the regulation of T-lymphocytes or in the activation of the

helper-T cells via the host's TLR signaling pathway (Parada Venegas et al., 2019). The endotoxic expression from these opportunistic pathogens was evaluated with capabilities to induce inflammation in the gut and leads to a progression of colitis in animal models (Carding et al., 2015; Parada Venegas et al., 2019). In another related study, distinctive adhesive characteristics were reported in the E. coli samples isolated from CD and UC patients (Lee et al., 2019). In comparison to CD biopsy samples, the isolates of E. coli associated with UC were found to harbor more adhesive and virulent determinants. The UC-associated E. coli isolate was positive for pathogenicity factors such as OmpA, AfaE, and USP (Lee et al., 2019; Sasaki and Klapproth, 2012). The virulent E. coli isolates are originated from phylotype B2 and D, which can cause inflammatory effects. The in vitro studies using these E. coli isolates in macrophage cultures had demonstrated a reconcilable increase in the UC pathogenicity (Lee et al., 2019). Thus, these findings strongly indicate dysbiosis serves as a factor of gastrointestinal inflammation that contributes to IBD (Carding et al., 2015; Casen et al., 2015; DeGruttola et al., 2016). Takashi et al. (2016) characterized a decline in the abundance of butyrate-producing bacteria during dysbiosis of CD patients. The decline was observed in the genera of Faecalibacterium, Eubacterium, Bacteroides, Ruminococcus in CD patients. However, the contrast found was that the genera of Actinomyces and Bifidobacterium increased their population in the gastrointestinal environment of CD patients (Takahashi et al., 2016). The study reported the occurrence of gut dysbiosis in CD patients with significant reduction of butyrateproducing bacterial species including Bacteroides uniforms, Blautia feces, Roseburia inulinivorans, Ruminococcus torques, Clostridium lavalense, and F. prausnitzii (Rivière et al., 2016; Takahashi et al., 2016).

# 3.3. The origin of dysbiosis

As gut dysbiosis is anticipated as a key factor for the pathogenesis of IBD, the most vexing question is: What would be the origin of gastrointestinal dysbiosis? Bujinkan et al. (2020) had highlighted that various extrinsic factors lead to gut dysbiosis which is extensively studied but poorly linked the varying degree of consequences to respective factors (Bajinka et al., 2020). Notably, there are several studies that discussed the factors that cause human gastrointestinal dysbiosis, based on previously published works (DeGruttola et al., 2016; Pittayanon et al., 2020). Specifically, Bajinka et al. (2020) had reviewed extrinsic factors that are associated with dysbiosis including mode of delivery; effect of dietary (high fat; fiber; animal fat and amino acids; gluten; sucralose); different types of diet therapy (Mediterranean or Vegan diet); the effects of antibiotics and drugs; the effects of prebiotics; oxidative stress, and the impacts of socioeconomic status (Chiba et al., 2019; Racine et al., 2016). Fig. 1 summarizes the different lumen conditions between healthy gut and dysbiosis, together with factors that possibly lead to gut dysbiotic conditions.

In a recent population-based analysis conducted in Canada, a significant IBD increase in children aged 0–5 years old was reported and the incidence remained stable in children older than 6 years (Pittayanon et al., 2020). The poor development of the human gastrointestinal microbiome in early life was caused by the cesarean delivery and formulated milk feedings mode (Bäckhed et al., 2015). Severe disruption of the gastrointestinal microbiome was also reported in infants born by cesarean sections which then affects the development of balanced immune systems and gut microbiome which later leads to other complications (Selvamani et al., 2021). In comparison to natural delivery, the cesarean section delivered infants experience lower diversity of *Actinobacteria*, *Bacteroides*, and *Bifidobacterium* spp. since birth to a minimum of 90 days of life (Rutayisire et al., 2016). A decline



**Fig. 1.** Schematic representation of the healthy intestinal lumen and in dysbiotic conditions. The correlation between host gene, gut microbiome, and environmental factors are identified to lead the development of IBD. However, none of the risk factors alone are sufficient for the progression of IBD.

in the probiotic Bifidobacteria populations leads to a significant increase of Clostridia spp. in the cesarean section-infants was explained as the impact of antibiotic use (Lange et al., 2016; Yoon and Yoon, 2018). Another study conducted on cesarean section-born infants also reported a disproportionate representation of Klebsiella and Veillonella spp. in. Mothers undergoing cesarean section surgery need to take antibiotics before, during, and after delivery which subsequently alters and cause dysbiosis in their new-born (Clarke et al., 2014; Rutayisire et al., 2016). In addition, mothers' breast milk also influences the framework of microbial composition in the newborn gastrointestinal environment during the early stages of life. The unique and diverse composition of microbial, nutrient, and other valuable components of human milk has a great influence on shaping the gut microbiome structure (Selvamani et al., 2021). However, formula feeding had been found to lower the abundance of Bifidobacteria, in contracts with the normal gut microbial establishment by breastfeeding infants. Human milk oligosaccharides act as prebiotics that flourishes the growth of the intestinal microbiome (Selvamani et al., 2021).

Another factor that largely contributes to gut dysbiosis and further leads to IBD would be the type of diet (Chiba et al., 2019). Several clinical studies and reviews have reported the impact of diets during progressive inflammation of the gastrointestinal tract at the chronic level (Desai et al., 2016; Singh et al., 2017). Recent research reported that gut dysbiosis caused by Western-based diets had increased susceptibility to IBD (Chiba et al., 2019). Westernized diets were found to cause poor production of microbial metabolites, promote the proliferation of microbes, and accelerate the mucus degradation that had resulted in the disrupted barrier functions (Mak et al., 2020). Under the incessant deficiency of dietary fibers, the gastrointestinal microbiota started to utilize the host secreted mucous glycoproteins as their metabolic nutrients. This eventually brings the disintegration of the gastrointestinal mucosal barrier, and this condition also promotes greater invasion by mucosal pathogenic microbial populations, such as Citrobacter rodentium (Ananthakrishnan et al., 2013; Desai et al., 2016). Racine et al. (Racine et al., 2016) reported that malnourishment with a large intake of sugar and carbonated beverages had caused rising UC incidents among Europeans. The study also reported the consumed meals lack of vegetables and fibers. Another two recent meta-analyses also showed that larger consumption of carbonated drinks and their simple sugars such as sucrose also linked with the progression of UC (Nie and Zhao, 2017; Wang et al., 2017). Meanwhile, the development of CD was found associated more with an insufficient dietary fiber intake, especially under malabsorption conditions. A study by Ananthakrishnan et al. (2013) reported that prolonged consumption of dietary fibers derived from fruits can lower the risk of CD, notwithstanding for UC (Ananthakrishnan et al., 2013). Furthermore, patients suffering from ileal CD and ileal resection were found to be experiencing a deficiency of vitamin B<sub>12</sub> (Ward et al., 2015). With rising obesity and IBD on a global scale, low-calorie diets such as low-calorie sweeteners had become popular 'healthier' diets recently. However, a recent study by Suez and colleagues reported that these artificial sweeteners also had significantly disrupted gut microbiota in both animal and human experimental interventions (Suez et al., 2014).

Moreover, antibiotics represent a long-time extrinsic factor that is associated with gut dysbiosis. Antibiotics are highly prescribed drugs on a global scale that saved millions of lives since their discovery (Lange et al., 2016). However, broad-spectrum antibiotics such as clindamycin had severely reduced bacterial diversity in the human gut which subsequently increased the relative abundance of opportunistic pathogens (Lange et al., 2016; Lewis et al., 2015). In a recent Finnish pediatric cohort study, antibiotic macrolides triggered a prolonged transposition in the gastrointestinal microbiome, with significant depletion of the relative abundance of Actinobacteria, Bifidobacterium, and Lactobacilli (Korpela et al., 2016). Macrolides are effectively used for bacterial infections, including in nonspecific inflammatory bowel diseases, especially CD, and the eradication of Helicobacter pylori. Ciprofloxacin and metronidazole are considered frontline antibiotics prescribed for CD and found to cause gastrointestinal disturbances, stunted

growth of cartilages and rupture of tendons in the fetus, oral candidiasis, and a prolonged consumption could lead to permanent damage of the peripheral nerves (Lange et al., 2016; Lewis et al., 2015). Antibiotic therapy also increases antibiotic resistance in the gastrointestinal microflora. A recent study reported the development of ciprofloxacin resistance in about 66.7% of the Gramnegative bacterial isolates isolated from abdominal abscess samples collected from CD patients (Yoon and Yoon, 2018). In addition, recent findings also highlighted the side impact of non-antibiotic drugs which increase the severity of dysbiosis in the gut lumen (Zimmermann and Curtis, 2019). In a recent systematic review, the prescription of non-antibiotic drugs also causes intestinal dysbiosis (Le Bastard et al., 2018). The study reported that the nonantibiotic medications including anti-psychotic drugs and proton-pump inhibitors lowered the  $\alpha$  diversity of the gastrointestinal microbiota. In addition, oral diabetes medicine - metformin: non-steroid-based anti-inflammatory drugs, and the broad group of pain-relieving drugs - opioids were also found to trigger gut dysbiosis by increasing the relative abundance of Enterobacter, Escherichia, Klebsiella, and Citrobacter (Le Bastard et al., 2018).

Moreover, other extrinsic factors such as exercise, oxidative stress, quality of water, and socio-economic status of an individual also significantly alter the framework of gut microbiota (Bajinka et al., 2020). Notwithstanding, Chang and Kao (Chang and Kao, 2019) reviewed that the impact of host factors should not be neglected on gut dysbiosis. Despite the compelling evidence on the extrinsic factors, the study highlighted the importance of host genetic factors that are responsible for the modulation of gut microbial compositions (Goodrich et al., 2014; Xie et al., 2016). A comprehensive understanding of host genetics could open the window of opportunity for alternative and effective therapeutic strategies by manipulating the gastrointestinal microbiome (Xie et al., 2016). Goodrich et al. (2016) evaluated the association between hosts' genes in the modulation of the gastrointestinal microbiome by performing a comparative study in fecal samples of 416 twin pairs (Goodrich et al., 2016). The study reported that the relative abundance of commensal microbiota in the gut was influenced by the host's genetics. This group of researchers had expanded the investigation with 1126 twins and successfully reported the involvement of notable host genes associated with microbial diversity (Goodrich et al., 2016). The study demonstrated that the relative abundance of Bifidobacterium in the gastrointestinal environment is based on the association between the bacterial lactase gene with the host's ALDH1L1 gene locus. This has explained the existence of a co-evolution link of a host's blood pressure with formate production by the gut bacterium. The study also projected the association of other genes that were involved in the barrier defense, metabolism, and diet-sensing (Goodrich et al., 2014; Goodrich et al., 2016).

# 3.4. The grey spot

Despite the accumulating evidence, there are more profound utterances that misapprehended the concept of gut dysbiosis with IBD. One of the key questions that arise is: what time does the gastrointestinal microflora become dysbiotic – before or after IBD? Is gut dysbiosis is the actual cause of IBD or it is just a secondary symptom of IBD? As mentioned earlier, there are several extrinsic factors and host factors that cause gut dysbiosis. Among them, antibiotics are generally known to kill broad microbial components. Despite the benefits of antibiotics, their usage has been linked to short- and long-term health issues. Antibiotic administration was found to cause perturbations in the intestinal microbial compositions (Lange et al., 2016; Yoon and Yoon, 2018). The world's first true antibiotic – penicillin was found to have less

impact on the microbial composition (Zimmermann and Curtis, 2019) However, amoxicillin which is widely prescribed for skin, throat, and urinary tract infections were found to increase the abundance of *Enterobacteriaceae*, mainly *Citrobacter* spp., *Enterobacter* spp., and *Klebsiella* spp. Similar perturbations were also observed as side effects of macrolides, ketolides, clindamycin, tigecycline, Fosfomycin, cephalosporins, and clavulanate (Zimmermann and Curtis, 2019). Many antibiotics induced a decline in the relative abundance of butyrate-synthesizing microflora in the gut environment (Takahashi et al., 2016).

Butyrate is important evidence for the existence of a 'symbiotic' relationship, a shared existence that benefits both the microbial population and the host cells. Butyrate is an SCFA produced by gastrointestinal microbes that supports host immune system functions and protects against several diseases of the digestive tract (Rivière et al., 2016: Takahashi et al., 2016). Additionally, butyrate regulates the hypoxia conditions of the gut environment to ensure the sustainability of the gastrointestinal microbiome (Takahashi et al., 2016). However, antibiotic therapy has been shown to increase oxygen content in the lumen of the gut tract (Rivera-Chávez et al., 2016). Consumption of antibiotics decreases the abundance of *Clostridia* spp. which is a well-known butyrate producer. This increases the oxidative reactions in the intestinal environment which subsequently promotes the diffusion of oxygen in the lower regions of the gut (Lewis et al., 2015; Yoon and Yoon, 2018). The transformation of the hypoxia state of the gut is a major drawback for the survival of anaerobic intestinal microbiota. The oxidative state exacerbates the condition by endorsing the growth of aerobic or facultative microflora such as Actinobacteria and Proteobacteria. This leads to gastrointestinal dysbiosis (Rivera-Chávez et al., 2016; Rivière et al., 2016; Takahashi et al., 2016). Nonetheless, several animal model studies also found that the pathogenic microorganisms also promote the oxidative activity of the epithelial layer and increase oxygen concentration in the mucosal surfaces (Rivera-Chávez et al., 2016). This finding proves the hypothesis of the impact of antibiotic treatment for regular infectious disease on gut dysbiosis which then leads to the IBD symptoms (Lange et al., 2016; Lewis et al., 2015; Zimmermann and Curtis, 2019).

Despite the pathogenesis, antibiotic treatment for IBD was found to induce severe dysbiotic conditions, after the patient's diagnosis of IBD (Lewis et al., 2015; Zimmermann and Curtis, 2019). Some of the IBD-associated antibiotic therapy such as metronidazole and ciprofloxacin were proven to cause severe aftereffects including vomiting, abdominal pain, diarrhea, headache, and nausea, as well as anxiety and confusion (Yoon and Yoon, 2018). Another evidence for the occurrence of dysbiosis after IBD could be the rising of Clostridium difficile infection (CDI) incidences which are common in all antibiotic therapies. The cornerstones of IBD therapies are being causative agents to increase CDI incidences and worsen the clinical course (Berg et al., 2012). The impact of CDI on IBD patients was characterized in several reports (Berg et al., 2012; Rivera-Chávez et al., 2016). In contrast with antibiotics as therapy for IBD, it has been related to the pathogenesis of IBD through gut dysbiosis. Patients diagnosed with IBD, especially CD, must be prescribed antibiotics for a longer time such as 2 to 5 years, compared to a healthy human. This prescription was found to alter the intestinal microflora composition, decreasing luminal bacteria concentration, and leading to gut dysbiosis (Korpela et al., 2016). However, these conditions give rise to another argument that gut dysbiosis is just a secondary phenomenon and not the cause of IBD. It will be a giant leap in the development of an effective and more promising strategy, not merely for treating IBD, but also for other gastrointestinalassociated disorders such as obesity and diabetes (Nie and Zhao, 2017). In a recent review by Brüssow (2020) a concern had been

raised that human microbiome research and medical understanding are still in a descriptive phase (Brüssow, 2020). The study evaluated that the existing number of microbiome studies resulted in datasets which statistically underpowered and require more sophisticated analysis (Brüssow, 2020). Ni and Zhao (2017) highlighted those existing reports clarified the role of dysbiosis as causative for IBD are mostly based on animal models. Extensive animal model studies also enlightened the role of diverse and sustained gastrointestinal microbiome in the healthy state and during illness. However, metamorphosing the scientific understanding of the microbiome into a feasible and productive therapy is a challenge (DeGruttola et al., 2016; Nie and Zhao, 2017).

Thus, scientific investigations must be expanded in numbers and scope to delineate the interaction of the host and its microbiome. The transition of experimental data to causal relationships with the disease is required to fulfill Koch's postulates (Singh et al., 2016). Koch's postulates are a set of standards that define a reliable role of an organism in a disease. Four postulates must be fulfilled where (1) identified organism must be present in all cases of the study; (2) the organism must be isolated from infected or diseased patients; (3) the organism must be able to cause the same disease/ symptoms when reintroduced to a healthy susceptible animal model; and (4) the organism can be isolated again from the new host (Singh et al., 2017). As the gut dysbiosis that leads to IBD is caused by several organisms, Koch's postulates have been modified to accommodate new understandings of microorganisms (Nie and Zhao, 2017; Singh et al., 2016). Singh et al. (2017) proposed a modified Koch's postulate which comprises: (1) re-define single organism to several possible pathobionts; (2) acceptance of molecular microbial characterization of dysbiosis; (3) allow the introduction of several possible dysbiotic communities in the re-introduction; and finally, the re-isolation analysis must require recharacterization of the dysbiotic community by molecular methods (Singh et al., 2016; Singh et al., 2017).

The modification of Koch's postulates and the development of advanced methods to distinguish a healthy microbiome from dysbiosis conditions are required. This is as important as the existence of a substantial inter-individual variability and manipulation by the confounding factors (DeGruttola et al., 2016; Singh et al., 2016). In addition, most studies focused on the correlation between inflammation and the gastrointestinal microbiome. Indeed, the human gut microbiome is also comprised of fungi and viruses. Successful therapy in animal models failed to reduce

or control fungal colonization. This has raised questions on the causative role of fungi in the healthy gut microbiome and inflammation. Antibiotic exposure in IBD treatment was found to promote the expansion of fungal taxa (Sokol et al., 2017). A recent study, involving adults experiencing IBD symptoms, showed a differential relative abundance of fungi compared to healthy individuals (Yeoh et al., 2021). Analogously, the perspicuous role of viruses in the IBD is still not well understood to date (Liang et al., 2020).

# 4. Defining Pro- and prebiotic mechanisms in IBD

# 4.1. Probiotics - Beyond 'just a living cell'

Historically, the term Probiotic was derived from Greek, meaning "for life". In 1954, Ferdinand Vergin who studied the destructive side-effects of antibiotics and other anti-microbial agents on the gastrointestinal microbial populations first introduced the term 'Probiotics' (Brüssow, 2020; Naseer et al., 2020). However, the term was subsequently changed over the purpose and benefits. The WHO and FDA had defined Probiotics as live microorganisms that can be administered in an adequate amount to confer health benefits (Asha and Khalil, 2020). Since 2013, this definition was maintained by the International Scientific Association for Probiotics and Prebiotics (ISAPP) (Darb Emamie et al., 2021; Palumbo et al., 2016). The commonly used probiotics comprise the genera of Lactobacillus, Bifidobacteria, and Saccharomyces yeasts (Selvamani et al., 2021). The efficacy of probiotics mostly depends on the microbiological and physiological properties of the selected strains (Darb Emamie et al., 2021). Briefly, the probiotics must be able to withstand human consumption, transit through differential conditions of the gastrointestinal tract, and they should produce a significant physiological impact on the host's microbial ecosystem and immune system (Asha and Khalil, 2020). Many studies have reported the mechanism of action of probiotics and mostly they are species or strain specific. Appropriate selection of the probiotic strain is paramount for the promising success of the therapy (Altun et al., 2019). Therefore, to evaluate the effectiveness of each probiotic action, the mechanism underlying the probiotic action must be well understood. Recent studies have demonstrated the potential mechanisms of action underlying the therapeutic action of probiotics in patients with IBD (Altun et al., 2019; Fedorak et al., 2015; Matsuoka et al., 2018). Among the potential mechanisms

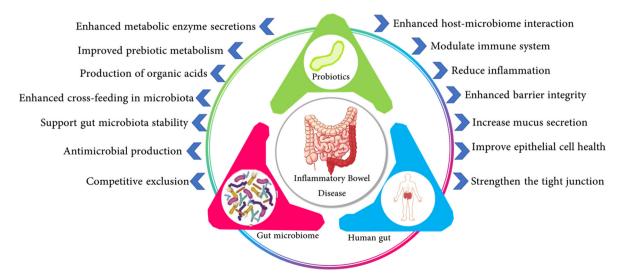


Fig. 2. The mechanism of actions of probiotics in combating IBD symptoms. The probiotics were identified to interact with both host tissue and resident microbiota to drive the probiotic benefits.

that could be linked to probiotic action (Fig. 2) is the alteration of the gastrointestinal microbiome, modulation of intestinal barrier function, and modulation of the host's immune response.

Several studies have reported that probiotic therapy significantly induced changes over the gastrointestinal microbial composition and diversity (Chen et al., 2020; Liu et al., 2020; Xia et al., 2020). Recently in 2016, Yasuda and colleagues demonstrated a successful modulation of gut microbiota using a probiotic strain, Clostridium butyricum MIYAIRI (CBM). The CBM probiotic preparation was used safely in Japan over four decades and MIYAVI-CBM was clinically targeted for adverse conditions of IBD. Even though the study did not have a placebo, it had proven that the probiotic preparation of CBM can alter the microbiome by inhibiting putrefying microflora and enriching the population of probiotics including Bifidobacterium and Lactobacillus (Yasueda et al., 2016).

These findings also reported a significant decline in the relative abundance of Escherichia during the probiotic therapy. Higher levels of E. coli were always linked to gastrointestinal tract inflammation in patients with IBD (Yasueda et al., 2016). In patients of UC, consumption of a mixture of Lactobacillus and Bifidobacteria reported a significant increase in the population of genera of Proteobacteria and reduction in Gram-negative rods. Supplementation of the probiotic mixture had a significant effect on the alteration of the gastrointestinal microbiome (Pilarczyk-Żurek et al., 2017). Similarly, several other studies also reported that consumption of probiotics successfully restores and modulates a healthy microbial ecosystem as a treatment for IBD in patients (Liu et al., 2020; Xia et al., 2020). Moreover, Chen et al. (2020) demonstrated probiotic action in animal studies (Chen et al., 2020). The study reported that probiotic mixture is given to experimentally colitis-induced DSS mice was productive as it could restore the relative abundance of gut microbiota such as Lactobacillus, Bifidobacteria, Bacteroides, and Akkermansia (Chen et al., 2020). Although recent works had demonstrated the numerous probiotic strain's potential to alter the intestinal microbiota, it remains unclear which strain could specifically drive the induction of gastrointestinal homeostasis in IBD patients. Another probiotic action that is always linked to the efficacy of IBD treatment would be the modulation of the host's intestinal barrier. Several studies reported IBD patients to display increased paracellular permeability on the intestinal tight junction abnormalities (Komaki et al., 2020). The disruption of the mucosal barrier was found to initiate and accelerate inflammation of intestinal linings during the progression of IBD (Amoroso et al., 2020). A reduced number of goblet cells were observed in UC patients. This reduced the thick mucosal layers that cover the intestinal lining and altered the mucus composition, especially lacking antimicrobial mucins, phosphatidylcholine, and glycoproteins (Chen et al., 2020; Michielan and D'Incà, 2015).

Probiotic strains of Lactobacillus and Bifidobacterium strains were elevated to express goblet cells and contributed to the inhibition of pathogenic bacterial adherence at intestinal epithelial cells and ultimately play a crucial role in the anti-inflammatory actions (Ivanovska et al., 2017). Chen et al. (2020) demonstrated that the consumption of a mixture of the quadruple probiotic mixture (Pqua) improved the functions of the disrupted intestinal barrier. The preparation of P-qua comprises gastrointestinal commensal microflora such as B. infantis, L. acidophilus, Enterococcus faecalis and Bacillus cereus. The induced UC studies evaluated the efficacy of the probiotic therapy where the integrity of the mucosal layer improved; the trans-epithelial electrical resistances had enhanced, and the permeability of epithelium and endothelium towards macromolecules had decreased (Chen et al., 2020; Naseer et al., 2020). The lactic acid probiotic strains were found to have a direct impact on the intestinal epithelial barrier by upregulating the expression of tight-junction proteins. These effects were mediated

through probiotic fermentation in the gastrointestinal environment which produced SCFAs, including acetate, propionate, butyrate (Chen et al., 2020; Nataraj et al., 2020).

Probiotics are also well-known for modulating the host's immune responses and several studies reported this effect in probiotic therapy for IBD (Darb Emamie et al., 2021; Singh et al., 2016). Probiotic treatment was proven to downregulate the expression of proinflammatory cytokines like tumor necrosis factor-α (TNFα), interleukin-6 (IL-6), and interferon-gamma, inducible nitric oxide synthase, and matrix metalloproteinase activity in inflamed mucosa of active IBD or experimental ulcerative colitis (Ananthakrishnan, 2015; Chen et al., 2020). The host's immune cells secrete excessive amounts of inflammatory substances, including cytokines and active oxides during adverse IBD conditions. The pathogenic infections also caused the secretion of IL8 and TNFα from intestinal epithelial cells (D'Incà et al., 2011). Recent works have evaluated the impact of probiotic therapy on immunomodulation in IBD patients. Xia et al. (2020) demonstrated that probiotic therapy over the DSS-induced colitis model has proven to suppress the NF-κB signaling pathway (Xia et al., 2020). Supporting this, another animal model and the in-vitro study reported that probiotic therapy using Bifidobacterium lactis decreased the NF-kB signaling during the pro-inflammatory expression (Tamaki et al., 2016). A study by Fedorak et al. (Fedorak et al., 2015) had demonstrated that the VSL which is a commercial probiotic preparation composed of one strain of Streptococcus thermophilous, three Bifidobacteria strains and four different strains of Lactobacilli, had decreased the inflammatory cytokines, effectively in the intestinal mucosa. Similarly, in another study, a mix of 11 strains of Lactobacillus and Bifidobacterium were tested, and they found 5 strains that had high potential for the management of IBD through immunomodulation in the host's body (Chen et al., 2020).

# 4.2. Prebiotics – The 'booster' of gastrointestinal microbiota

The health benefits of prebiotics and dietary fibers being part of the human meal down have been well described before civilization. The great Greek physician - Hippocrates had described in 450 BCE, that coarse wheat attributes of laxation compared to refined variety (Asha and Khalil, 2020). Scientifically, the term 'prebiotics' is firstly defined as "a non-digestible food ingredient that beneficially affects the host by selectively stimulating the growth and/or activity of one or a limited number of bacteria in the colon, and thus improves host health" (Darb Emamie et al., 2021). Later in the year 2004, 'prebiotics' was further defined with additional criteria such as 1) being impervious to adverse gastric conditions and mammalian enzymatic degradation as well as intestinal absorption; 2) being able to be fermented by the gastrointestinal microflora, and 3) selective enrichment of growth or activity of gastrointestinal microflora to enhance health benefits (Asha and Khalil, 2020; Darb Emamie et al., 2021). Now, the International Scientific Association of Probiotics and Prebiotics (ISAPP) has redefined the term of prebiotics as "a substrate which selectively fermented by the gut microflora and bestowed health benefits to the host". This new definition allows non-carbohydrate substances also recognized as prebiotics and application prebiotics are not only restricted to the gastrointestinal tract (Naseer et al., 2020). The example of prebiotics is numerous. The majority of the prebiotics belong to carbohydrates under oligosaccharide subsets. Fibers and carbohydrate-based prebiotics are distinguished by two measures. Firstly, the degree of polymerization (DP) where fibers have DP of 3 or higher. Secondly, fibers are indigestible by endogenous compared to prebiotic oligosaccharides (Ananthakrishnan et al., 2013). The most common prebiotics known for their beneficial impacts would be fructooligosaccharides (FOS), galactooligosaccharides (GOS), starch-derived oligosaccharides, and other oligosaccharides such as pectin, galacturonic acid, and arabinose (Naseer et al., 2020). Vegetables and fruits are the natural sources of prebiotics such as asparagus, sugar beet, garlic, chicory, onion, Jerusalem artichoke, wheat, honey, banana, barley, tomato, rye, soybean, human and cow milk, peas, beans, and recently the seaweeds and microalgae also were reported (Desai et al., 2016) However, natural sources/foods often contained in low concentrations of prebiotics. Thus, prebiotics is also manufactured on large industrial capacity from raw materials such as lactose, sucrose, or starch (Kanwal et al., 2020; Li et al., 2020).

FOS is most frequently utilized in human prebiotic therapy compared to other prebiotics. FOS is an oligosaccharide with a fructose-based linear chain with  $\beta$  (2  $\rightarrow$  1) linkage, where inulin has higher DP compared to the rest of the FOS (Benjamin et al., 2011). The length of fructans chains is being an important criterion to determine which bacteria can ferment these prebiotics (Benjamin et al., 2011; Halfvarson et al., 2017). GOS is another prebiotic which widely found in many probiotic-associated food products. GOS composed of lactose residues that are composed of galactose in  $\beta$  (1  $\rightarrow$  6),  $\beta$ (1  $\rightarrow$  3), and  $\beta$ (1  $\rightarrow$  4) linkages. The beta galactosidases of different origins result in the formation of GOS that differs in the amount, glycosidic linkages, and DPs (Azpiroz et al., 2017; Ishikawa et al., 2011). Both FOS and GOS type prebiotics are synthesized by various methods including chemical synthesis using specific transferase enzymes or through microbial fermentations. Although chemical reactions are costly, the concentrations of end products are low (Naseer et al., 2020). Therefore, microbial fermentation using similar probiotic strains including Bifidobacterium, Lactobacillus spp., or other strains such as Aspergillus, Aureobasidium pullulans, were exploited to produce therapeutic potential prebiotics (Rogha et al., 2014; Shavakhi et al., 2014). Prebiotics are indigestible by the host's enzyme but will be fermented selectively by gastrointestinal microbiota. When this prebiotic reaches the colonic environment, the host's gut microflora release various enzymes to convert higher DP prebiotics into simpler derivatives. Prebiotics acts as an anchor to establish a unique ecosystem in the lumen of the gastrointestinal tract (Kim et al., 2020). Several studies have shown that prebiotics promotes intestinal microflora shift by stimulating the growth of commensal gut microbiota such as Bifidobacteria, Lactobacilli, and nonpathogenic E. coli. This has enhanced gut resistance towards colonization by disease-causing microflora (Benjamin et al., 2011; De Preter et al., 2007). Despite some selective fermentations, prebiotic stimulation of gut microbiota is also non-selective species basis and could promote the growth of non-common probiotics such as Eubacteria rectale, Clostridium coccoides, and Roseburia inulinivorans (Niv et al., 2016).

Prebiotic fermentations by specific microflora of the gut produce SCFAs, lactic, acetic, propionic, and butyrate acids. These SCFAs are produced through several systemic and colon-specific pathways (Berni Canani et al., 2017). Acetate is widely used up as cell fuel to generate for muscles and colon. Propionic acid is utilized during the synthesis of cholesterol molecules. Butyrate receives particular attention exerts various benefits to the host, such as improving metabolism, promoting anti-inflammatory actions, and modulating the host's immune system (Takahashi et al., 2016). Therefore, prebiotic consumption was proven to enhance the host's immune function, strengthen colonic integrity, decrease infection rate, down-regulate allergic responses, and improve the digestion process. However, these effects are not directly imposed upon prebiotic ingestion. A recent review suggested that the benefits of prebiotics are attained indirectly, as the prebiotic fermentations alter the gastrointestinal microbiota compositions (Darb Emamie et al., 2021; Naseer et al., 2020).

Numerous animal model studies have shown that prebiotics had improved over the mucosal barriers by enhancing the growth of probiotics that are capable of upregulating epithelial defense mechanisms (Asha and Khalil, 2020; Naseer et al., 2020).

# 5. Arising probiotic, prebiotic and synbiotic therapy for IBD

Large numbers of clinical studies were previously conducted regarding antibiotic therapy for IBD (Lange et al., 2016; Zimmermann and Curtis, 2019). As the current understanding of the pathogenesis of IBD also involved intestinal microflora, antibiotics are extensively prescribed to reduce IBD-associated microbial concentration in the host's gut. However, antibiotic treatment can be associated with negative outcomes as well (Lewis et al., 2015). In addition, antibiotic treatment does not always result in a uniform outcome. Studies have shown the adverse impact of antibiotics altering gut microbial populations. The perpetuated consumption of antibiotics with higher concentrations had impacted gastrointestinal microfloral activity (Yoon and Yoon, 2018). The growing evidence on the role of gastrointestinal microbiota in combating IBD could be utilized to manipulate the microenvironment and cure the inflammatory process (Casen et al., 2015). Rapid advancement in metagenomics and molecular studies does not only describes the pathogenesis of IBD but also helped to explore alternative remedies for IBD by alteration of the gastrointestinal microbiome (Xie et al., 2016). Probiotics, prebiotics, and their synbiotic approaches are being emerging strategies that target the gut microbiome, slow the progression of IBD, and restore intestinal health (Asha and Khalil, 2020). Although the beneficial impacts of probiotics, prebiotics, and synbiotics therapies have been established, the collective data is still insufficient to support the impact on restoration and recovery of the host's gut microbiome. Therefore, further studies particularly in IBD are needed to evaluate and understand the potential therapies in the amelioration of gastrointestinal disorders.

# 5.1. Probiotic therapy in animal models and human studies

As the enteric microflora become more aberrant in IBD patients, a significant decline of probiotics especially *Bifidobacterium* and *Lactobacillus* spp. was observed in many studies (Matsuoka et al., 2018; van der Waal et al., 2019). Pathogenesis of IBD might be engendered by the rising of abnormal microflora in the gut. Oral administration of probiotics is widely practiced for various health improvements, including as therapies for gut-related disorders (Altun et al., 2019; Pilarczyk-Żurek et al., 2017; van der Waal et al., 2019). Studies conducted to evaluate the efficiency of probiotics in the IBD, that demonstrated in animal models are summarized in Table 1 and the clinical intervention are summarized in Table 2.

The effect of probiotic administration is different in both animal models and clinical studies, as the probiotics are used at different types, doses, frequencies, and duration of administration. Generally, there are either a single stain or a consortium of probiotic strains was utilized for the probiotic therapy in both animal and human trials. The commercial probiotic preparations of VSL#3 are one of the common probiotics analyzed in human trials and it is composed of four different strains of *Lactobacillus* spp., three strains of *Bifidobacterium* spp., and a sole strain of *Streptococcus* spp. (Fedorak et al., 2015; Ng et al., 2010; Tursi et al., 2010). However, several recent studies also demonstrated the effectiveness of a single strain. Chen et al. (2020) had reported the effectiveness of *Bifidobacterium breve* CCFM683 therapy in restoring gut microbial population in C57BL/6] mice model.

**Table 1**Summary of animal models' studies of using probiotics.

Reference	Type of treatment	Model	Dose	Intake	Duration	Parameters analyzed	Conclusion
Chen et al. 2020	Bifidobacterium breve CCFM683	C57BL/ 6J mice	0.2 mL (10 <sup>6</sup> cfu/day CCFM683, 10 <sup>7</sup> cfu/day CCFM683, 10 <sup>8</sup> cfu/day CCFM683, 10 <sup>9</sup> cfu/day CCFM683, 10 <sup>10</sup> cfu/day CCFM683)	Once daily	2 weeks	weight loss, stool consistency and fecal blood	Effective (Improved intestinal epithelial barriers, protecting the intestinal mucus layer, restoring gut microbiota, and downregulating the inflammatory cytokines)
Chen et al. 2020	Bifidobacterium infantis, Lactobacillus acidophilus, Enterococcus faecalis with (quadruple probiotics, P- qua) or without (triple probiotics, P-tri) aerobic Bacillus cereus	C57BL/ 6 mice	B. infantis, L. acidophilus, and E. faecalis $(1.5 \times 10^9)$ CFU respectively) in 200ul PBS and B. cereus $(0.5 \times 10^8)$ CFU) in 200ul	Once- daily	3 cycles (45 days)	Intestinal inflammation and functions of multiple barriers, including the mucus barrier, epithelial barrier, and endothelial barrier known as a gut-vascular barrier (GVB)	Effective (Aerobe-contained P- qua was a powerful adjuvant therapy for chronic colitis, via restoring the intestinal microflora and recovering the multi-barriers in the inflamed gut)
Kim et al. 2020	Lactobacillus plantarum CBT LP3 (KCTC 10782BP)	male C57BL/ 6 mice	1 × 10 <sup>8</sup> bacteria in 0.1 mL PBS	Once- daily	16 days	Disease activity index (DAI), analysis of macrophages and T cell subsets gene expression and cytokine profiles	Effective (showed anti- inflammatory effects, with increased induction of regulatory T cells and type 2 helper T cells in splenocytes and restoration of goblet cells accompanied by suppression of proinflammatory cytokine expressions
Komaki et al. 2020	Lactococcus lactis subsp. lactic JCM5805	Mice	1 mg, 5 mg, 10 mg, 15 mg, or 20 mg	Once daily	1 week	The survival rate, length, histopathological parameters of the colon, and concentrations of inflammatory cytokines in serum	Effective (High-dose administration of <i>L. lactis</i> deteriorates intestinal inflammation)
Liu et al. 2020	Clostridium butyricum	male C57BL/ 6 mice	$2 \times 10^8$ CFU bacteria in 200ul physiological saline	3 times per week	30 days	Detect severity of colitis, tumorigenesis, and cytokines including TNF-a, IL-6, and Cyclo-oxygenase-2 (COX-2)	Effective (Regulate structure and composition of gut microbiota)
Sanders et al. 2020	Weissella paramesenteroides WpK4	C57BL/ 6J female mice	Approximately 10 <sup>8</sup> CFU	Once- daily	10 days	Acute colitis assay, intestinal permeability, histological analysis, assessment of social, anxiety, depressive-like behaviors, cytokines, and inducible nitric oxide synthase	Effective (Promoted the epithelial barrier, reducing gu leakage)
Silveira et al. 2020	Lactobacillus bulgaricus	C57BL/ 6 mice	$1\times10^9$ CFU were diluted in 200 $\mu L$ of PBS	3times per week	12 weeks	Intestinal inflammation, cytokines levels were determined from colon and/or tumor	Effective (Regulates the inflammatory response and preventing Colitis-associated cancer, CAC)
Xia et al. 2020	Lactobacillus plantarum AR113	male C57BL/ 6J	$1 \times 10^9 \text{ CFU}$	Once- daily	2 weeks	Disease activity index (DAI) scores, colon morphology, colonic myeloperoxidase (MPO) activity, microbiota, and gene expression analysis	Effective (mitigate dysbiosis ogut microbiota)
Rodríguez- Nogales et al. 2018	Saccharomyces boulardii	Male C57BL/ 6 J mice	$5\times10^9$ CFU in 200 $\mu L$ PBS	Once daily	26 days	Disease Activity Index (DAI), expression of inflammatory markers, micro-RNAs, and microbiota populations	Effective (showed intestinal anti-inflammatory effects)
Souza et al. 2016	Escherichia coli strain Nissle 1917 (EcN)	female BALB/c mice	0.1 mL (9.0 log10 c.f. u. EcN ml - 1)	Once- daily	10 days	Animal body weight, feces consistency, presence of blood in feces, histology, measurement of myeloperoxidase (MPO), eosinophil peroxidase (EPO), and cytokine levels (KC/CXCL-1, eotaxin/CCL11, and IL-1β) in the intestinal tissue	Effective (reduced inflammation)
Thakur et al. 2016	Lactobacillus casei Lbs2	Balb/c mice	1 × 10 <sup>9</sup> CFU	Once- daily	2 weeks	Macroscopic and microscopic inflammation scoring, Myeloperoxidase (MPO) activity, and In-vitro T-cells suppression assay	Effective (suppressed lipopolysaccharide-induced pro-inflammatory cytokine (TNF-alpha, IL-6) secretion)
Lim et al. 2016	Bifidobacterium longum CH57 and Lactobacillus brevis CH23	Male C57BL/ 6	$2\times10^9\text{CFU/0.2 mL}$	Once- daily	3 days	Histological examination, an assay of myeloperoxidase activity and determine Th17 and Treg cells in the lamina propria of colons	Effective (probiotic mixture inhibiting macrophage activation and restoring Th17 Treg balance)

Table 1 (continued)

Reference	Type of treatment	Model	Dose	Intake	Duration	Parameters analyzed	Conclusion
Oliva et al. 2012	L. reuteri ATCC 55,730	Balb/c mice	Enema solution, 10 <sup>10</sup> CFU	-	8 weeks	Clinical endoscopic and histological scores as well as rectal mucosal expression levels of IL-10, IL-1b, TNFa and IL-8	Effective (improving mucosal inflammation and changing mucosal expression levels of some cytokines)

One of the common questions that will arise in probiotic therapy will be the effective doses. Many commercially available probiotic supplements contain one to 10 billion CFU per dose (Fedorak et al., 2015; Yoshimatsu et al. 2015). This number of doses is generally recommended in probiotic therapy as the live cells must pass through the adverse conditions of the alimentary canal and sufficient in numbers to provide their probiotic effects. Thus, a range of 10<sup>6</sup> to 10<sup>11</sup>cfu of probiotics was commonly used in all animal studies and human trials (Kim et al., 2020). In both animal and human studies, probiotics are consumed at least once a day to a maximum of three times per day. However, the total duration of the probiotic therapy is varying among the studies. The minimal duration would be seven days (Komaki et al. 2020). Fedorak et al. (2015) suggested that probiotics should be consumed at least for one year continuously to observe their effectiveness (Fedorak et al., 2015).

Although probiotics are known as GRASS and the efficacy of the different dosages and types had been proven in human volunteers, the animal model studies are crucial in the investigation to understand the chronological genesis of IBD (Chen et al., 2020; Komaki et al., 2020). Even though not all mechanisms that would occur in humans are presented, animal models are still the most effective way to understand the pathogenesis of IBD, compared to others (Lim et al., 2016). The common probiotic strains or preparations were used for both animal studies and clinical trials (Table 1 and Table 2) belonged to genera of *Lactobacillus* (Komaki et al., 2020; Silveira et al., 2020; Xia et al., 2020) and Bifidobacterium (Chen et al., 2020; Lim et al., 2016). Besides these genera, other probiotics that were evaluated for their therapeutic potentials are Escherichia coli Nissle 1917 (Matthes et al., 2010; Souza et al., 2016), Clostridium butyricum (Liu et al., 2020; Sun et al., 2018; Yasueda et al., 2016), and Weissella paramesenteroides WpK4 (Sandes et al., 2020).

Therapeutic potential of Saccharomyces boulardii was studied in animal model and human clinical trial (Bourreille et al., 2013; Rodríguez-Nogales et al., 2018). This strain was originally isolated from a cholera epidemic. Later, it had been characterized as nonpathogenic and only yeast that has been verified and recognized with probiotic properties (Rodríguez-Nogales et al., 2018). The efficacy of this human probiotic yeast has been demonstrated widely in gut-associated disorders, such as diarrhea associated with antibiotics, traveler's diarrhea, or in CDI incidences (Bourreille et al., 2013; Rodríguez-Nogales et al., 2018). In a dysbiotic animal model study, S. boulardii was found to upregulate two endothelial genes during angiogenesis, in germ-free mice models. Angiogenesis causes chronic inflammations in the gut that leads to IBD. The study demonstrated that probiotic therapy using a preparation of probiotic yeast can prevent angiogenesis that reduces inflammations (Sokol et al., 2017). However, in another study, probiotic therapy using probiotic yeast S. boulardii was reported as not effective in clinical trials. To date, there are only four clinical trials that have reported using S. boulardii as probiotic therapy for IBD were, three works reported the efficacy (Bourreille et al., 2013; Rodríguez-Nogales et al., 2018). The probiotic therapy using probiotic yeasts such as S. boulardii could be a plausible treatment for clinal studies,

yet it requires several placebo studies to validate the efficacy reported in the animal models.

Several studies reported the usage of multi-strain probiotic preparations and commercial probiotic preparations (Altun et al., 2019; Chen et al., 2020; Mardini and Grigorian, 2014). Among them, VSL#3 is well known for its effectiveness and there are numerous supportive data for use in IBD (dos Santos Cruz et al., 2020; Fedorak et al., 2015; Mardini and Grigorian, 2014; Tursi et al., 2010). This commercial probiotic mixture comprises three genera of bacteria with a total of 8 different strains that exert different probiotic effects. The VSL#3 contains L. acidophilus, L. Plantarum, L. casei, L. delbrueckii subspecies bulgaricus, B. breve, B. longum, B. infantis, and S. salivarius (Fedorak et al., 2015; Mardini and Grigorian, 2014). This commercial probiotic preparation has been widely used for UC, and other IBD symptoms to restore the microflora, attenuate inflammation and reduce microbial translocation. The probiotic strains in VSL#3 participate in various health regulation mechanisms which have been appraised in-depth, by recently published review works (dos Santos Cruz et al., 2020; Mardini and Grigorian, 2014). Tursi et al. (2010) reported a significant cure of UC with long-term consumption of this commercial VSL#3 probiotic preparations, compared to placebo (Tursi et al., 2010). In addition, Mardini and Grigorian (2014) reported a metaanalysis that stated consumption of VSL#3 in combination with standard therapy is significantly more curative compared to standard therapy alone in UC associated patients (Mardini and Grigorian, 2014). However, one of the probiotic formulations was administrated to prevent the recurrence of CD after surgery. Fedorak et al. (2015) published the first assessment on the prevention of recurrence of endoscopic CD by using VSL#3. These findings showed long-term consumption of VSL#3 is highly linked to promising and effective outcomes to reduce IBD (Fedorak et al., 2015).

# 5.2. Prebiotic therapy in animal models and human studies

Prebiotic therapy was proven to alter and restore the gastrointestinal microbiome by flourishing the growth of commensal microbiota. These prebiotics were also found to enhance resistance towards disease-inducing microorganisms (Liu et al., 2016; Morse et al., 2010; Naseer et al., 2020; Sakena et al., 2020). Surprisingly, more studies were performed in animal models compared to human trials, in the reduction of IBD (Table 3 and Table 4). A low number of significant prebiotic-associated human clinical trials was also reported in other recent reviews and meta-analyses (Naseer et al., 2020). A limited number of studies is a major drawback and not sufficiently conclusive to support the utilization of prebiotics to treat IBD. Most of the prebiotics used for the animal study were polysaccharides extracted from vegetables such as kale (de Albuquerque et al., 2010; yam (Li et al., 2020), from fruits such as grapes (Li et al., 2020), from mushrooms such as Ganoderma lucidum (Xie et al., 2019), and herbs (Gou et al., 2019). In contrast, most of the human clinical trials reported usage of fructooligosaccharides for prebiotic therapy of IBD (Benjamin et al.,

**Table 2**Summary of randomized clinical intervention of probiotics in patients with IBD.

References	Treatment & Composition	Dose	Intake	Duration	Parameters analyzed	Conclusion
Altun et al. 2019	Enterococcus faecium, Lactobacillus Plantarum, Streptococcus thermophilus, Bifidobacterium lactis, Lactobacillus acidophilus, Bifidobacterium long, and fructooligosaccharide	six probiotic strains (3x10 <sup>9</sup> CFU) and fructooligosaccharide (225 mg/ tablet)	1 tablet for twice a day	8 weeks	Hemoglobin, leukocyte, neutrophil- to-lymphocyte ratio, sedimentation, and C-reactive protein (CRP) values, clinical and endoscopic activity indices	Effective
van der Waal et al. 2019	Nine bacterial strains (Bifidobacterium bifidum W23; Bifidobacterium lactis W51; Bifidobacterium lactis W52; Lactobacillus acidophilus W22; Lactobacillus casei W56; Lactobacillus paracasei W20; Lactobacillus plantarum W62; Lactobacillus salivarius W24; Lactobacillus lactis W19)	$7.5 \times 10^9$ CFU per 3 g in powder form	Once- daily	6 weeks	Quality of life from a patient perspective (semi-structured interviews)	Effective
Matsuoka et al. 2018	Bifidobacterium breve strain Yakult and Lactobacillus acidophilus	10 billion bacteria of Bifidobacterium breve and 1 billion bacteria of Lactobacillus acidophilus	One pack per day	48 weeks	Clinical procedure (change in abdominal symptom score from baseline, Sutherland DAI subscore, change in abdominal symptom scores (passage of flatus and bloating), and intestinal microbiota	Less significant effect
Sun et al. 2018	Clostridium butyricum	420 mg per capsule, $1.5 \times 10^7$ CFU /g	3 capsules 3 times a day	4 weeks	Change from baseline in IBD symptoms, quality of life, stool consistency, and frequency	Effective
Pilarczyk-Ż urek et al. 2017	Lactobacillus plantarum PL02, Lactobacillus rhamnosus KL 53A, and Bifidobacterium longum PL03	one sachet, mixture of three viable strains, with a total of $\geq 1 \times 10^8 \text{ CFU}$	once a day	2 months	colonoscopy, including colon biopsies for histopathology and microbiology, collection of a stool sample for microbiology as at the initial visit	Effective
Palumbo et al. 2016	Lactobacillus salivarius, Lactobacillus acidophilus, Bifidobacterium bifidum strain BGN4	Not mentioned	Two	24 months	stool frequency, endoscopy, rectal bleeding	Effective
Fedorak et al. 2015	VSL#3 (900 billion viable bacteria, comprising 4 strains of <i>Lactobacillus</i> , 3 strains of <i>Bifidobacterium</i> , and 1 strain of <i>Streptococcus salivarius</i> subspecies thermophilus)	One sachet (3 g)	Twice daily	One year	Crohn's Disease Activity Index (CDAI), inflammatory bowel disease questionnaire, and mucosal cytokine measurements	No significant effect (no statistic differences)
Shadnoush et al. 2015	Yogurt (Lactobacillus acidophilus La-5 and Bifidobacterium BB-12)	10 <sup>6</sup> CFU g/yogurt	250 g yogurt per day	8 weeks	Stool specimens measured by Taqman real-time PCR method.	Improve intestinal function by increasing probiotic bacteria
Famaki et al. 2016	Bifidobacterium longum 536	One sachet, $2-3 \times 10^{11}$ freeze-dried viable BB536	1 for three times/day	8 weeks	Primary endpoints & secondary endpoints	Effective
Yasuda et al. 2016	Clostridium butyricum MIYAIRI	20 mg of CBM,	3 for three times/day	24 months	Endoscopic examination, blood tests, fecal microbiota,	Effective with minimal sid effects
Yoshimatsu et al. 2015	Bio-Three [Streptococcus faecalis + Clostridium faecalis and Bacillus mesentricus]	2 mg of lactogen (Streptococcus faecalis T- 110), 10 mg of Clostridium butyricum TO-A, and 10 mg of Bacillus mesenteric TO-A	3 tablets 3 time/daily	12 months	Analysis of intestinal microflora, HPLC analysis of fecal organic acids	Effective
Petersen et al. 2014	EcN, Escherichia coli Nissle 1917	100 mg EcN	1 tablet for 4 days then 2 d	7 weeks	clinical activity index (CAI)-score, blood, and stool samples for future analysis	Less significant effect
Bourreille et al. 2013	Saccharomyces boulardii (yeast)	1 g/day	Once- daily	52 weeks	CDAI scores, full blood counts, C- reactive protein (CRP), and erythrocyte sedimentation rates (ESRs)	No effect
Yoon et al. 2014	LacClean Gold-S® (Cell Biotech, Co. Ltd., Gimpo, Korea)	500 mg/capsule (5 $\times$ 10 <sup>9</sup> viable cells)	One capsule daily	4 weeks	The intensity of abdominal pain/ discomfort, bloating, stool frequency/consistency, alterations in fecal microflora	Significant (increased significantly in the probiotics groups)
Wildt et al. 2011	Probio-Tec AB-25, <i>Lactobacillus</i> acidophilus La-5, and B. animalis subsp. <i>lactis</i> BB-12	One capsule, $2.5 \times 10^{10}$ CFU	3 times/ day	52 weeks	Quantification of LA-5 and BB-12 in feces by qPCR	Less significant effect

Table 2 (continued)

References	Treatment & Composition	Dose	Intake	Duration	Parameters analyzed	Conclusion
Ng et al. 2010	VSL#3	One sachet contains 900 billion viable lyophilized bacteria	2 sachets twice per day	8 weeks	Rectal biopsies, Myeloid colonic DC, surface expression of activation markers	Effective
Tursi et al. 2010	VSL#3	One sachet contains 900 billion viable lyophilized bacteria	2 sachets twice per day	8 weeks	Endoscopic examination, bowel frequency, rectal bleeding, physician's rating of severity	Effective
Matthes et al. 2010	Escherichia coli Nissle 1917 (EcN)	40-, 20-, or 10-ml enemas containing 10^8 EcN/ml	Once- daily	8 weeks	Clinical DAI (stool frequency, rectal bleeding, assessment of disease activity by physician)	Effective
D'Incà et al. 2011	Lactobacillus casei DG	$8 \times 10^8  \text{CFU}$	Twice daily	8 weeks	Biopsies from sigmoid region to culture mucosal-associated microbes and to assess cytokine and TLR messenger RNA (mRNA) levels by quantitative real-time polymerase chain reaction (RT-PCR)	Effective

2011; De Preter et al., 2007; Kim et al., 2020; Morse et al., 2010). Available data had demonstrated that FOS-enriched inulin supplementation for patients with CD, had shown elevated levels of acetaldehyde and butyrate productions (De Preter et al., 2007; Morse et al., 2010). The fructooligosaccharides (FOS) are the short-sugar polymers that contain fructose residues (Naseer et al., 2020). Most of the gut microflora is characterized by an ability to break down the FOS compounds. Therefore, FOS are mainly required to increase the population of endogenous microflora, especially Lactobacillus and Bifidobacterium (Azpiroz et al., 2017). Fermentation of FOS in the gastrointestinal environment enhanced SCFA productions, as well as organic acids and butyrate which are required to enhance immunomodulation in the gut (Takahashi et al., 2016; Zha et al., 2020). These could be the reason behind the extensive applications of FOS as prebiotic therapy for IBD. Prebiotics generally have no life-threatening or severe side effects. The human genome does not encode the digestive enzymes for prebiotics. Naturally, the human gastrointestinal environment is rich with prebiotic fermenting microflora. Only the prebiotic chain length could be an influential parameter to develop any side effects or failure in fermentation (Naseer et al., 2020). FOS supplemented to IBD patients in a range of 2.5 to 15 g per day, either one- or twotime consumption (Benjamin et al., 2011; Morse et al., 2010). Azpiroz et al. (2017) reported that increasing doses of FOS supplementation was associated with an increase in fecal Bifidobacterium (Azpiroz et al., 2017). Additionally, in vitro and animal studies also revealed the effect of FOS on the enrichment of other bacterial abundance such as the major butyrate-producing species in the human gut (Rivera-Chávez et al., 2016; Rivière et al., 2016).

Recently, mushrooms and their polysaccharides are being recognized as a promising source of prebiotic fibers. The mushroom polysaccharides are widely used as prebiotic fibers with various beneficial impacts on the human gut microbiota (Liu et al., 2020; Xie et al., 2019).  $\beta$ -glucans are the most notable mushroom polysaccharide which regarded as medicinal prebiotics. However, mushrooms contain different types of polysaccharides which have similar prebiotic potentials as  $\beta$ -glucans and these include  $\alpha$ -glucans, chitin, mannans, xylans, and Galatians (Liu et al., 2020). Xie et al. (2019) reported that the *Ganoderma lucidum* polysaccharide (GLP) prebiotics bring down the disease activity index significantly and increase SCFA production with an enrichment of SCFA producing bacteria, including *Ruminococcus*. The study also reported a reduction of pathogenic microflora such as *Escherichia* and *Shigella* spp. in the rat model (Xie et al., 2019).

# 5.3. Synbiotic therapy

Synbiotics refer to preparations composed of both prebiotics and probiotics (Darb Emamie et al., 2021; Rogha et al., 2014). How-

ever, DeGrutelle et al. (2016) had the term 'symbiotic' to refer to such preparations. Symbiotics usually referred to a type of relationship that exists between two living organisms or groups of organisms in a niche that could be harmful or beneficial to each other. However, Darb Emamie et al. (2021) re-defined such preparations with the term 'synbiotics'- referring to the synergistic conditions where the probiotics metabolize the supplemented prebiotics to stimulate specific refabrications of dysbiotic gut and hosts health. The synergistically acting probiotics and prebiotics stimulate the growth of selective microorganisms or activate specific metabolism by gut microflora (Darb Emamie et al., 2021; DeGruttola et al., 2016). Table 5 summarizes the administration of synbiotics in the animal model studies and Table 6 summarizes clinical intervention for IBD treatment in patients by using selected synbiotics. These studies revealed a significant improvement over inflammations through modulation of the immune system. A clinical trial with a random placebo control study evaluated the efficacy of synbiotic therapy for UC patients (Altun et al., 2019). The patients consumed synbiotic preparations that consists of six probiotic strains and prebiotic FOS. The findings revealed a significant reduction of inflammations and showed an amelioration of the disease status (Altun et al., 2019; Liang et al., 2021). The study by Liang et al. (2021) demonstrated the efficiency of synbiotic preparations in reducing IBD-linked diarrhea symptoms, through a double-blind random placebo clinical trial. The synbiotic preparation was composed of prebiotic FOS with B. lactis DSMZ 32269; B. bifidum DSMZ 32403; B. longum DSMZ 32946; L. acidophilus DSMZ 32418, and L. rhamnosus FloraActiveTM 19070-2. The feelings of incomplete bowel movements, pain at the rectal, flatulence, and diarrhea were significantly ameliorated in the patients compared to placebos (Liang et al., 2021).

# 6. Other microbial based therapies for IBD

# 6.1. Faecal microbiota transplantation (FMT) in IBD

FMT is an emerging milestone in microbial therapy for patients with metabolic disorders associated with gut dysbiosis. FMT is a technique of transferring healthy fecal microbial preparation to patients with metabolic disorders (Shinde et al., 2019). The technical approaches of FMT include oral capsules; enemas, nasogastric or nasojejunal tubes which are used to restore the healthy gastrointestinal microbiome (Colman et al., 2014; Nicco et al., 2020). The oldest intervention of FMT will be dated back to the 4th century when 'yellow soup' - prepared from human stool was consumed to treat severe diarrhea (Zhang et al., 2012). There were historical descriptions of fresh and fermented fecal preparations prescribed for patients experiencing constipation, abdominal pain, diarrhea, dating back until the 6th century of the Ming Dynasty

**Table 3**Summary of animal models' studies of using prebiotics.

Reference	Type of treatment	Composition	Model	Dose	Intake	Duration	Parameters analyzed	Conclusion
Cui et al. 2021	Polysaccharide from Scutellaria baicalensis Georgi, SP2-1	Mannose, ribose, rhamnose, glucuronic acid, glucose, xylose, arabinose, fucose Colitis inducer: 3% dextran sodium sulfate (DSS)	C57BL/ 6J mice	50 and 200 mg/ kg	Once- daily	10 days	r body weight, loose stools, morbidity, and hematochezia. The disease activity index (DA Evaluated body weight, loose stools, morbidity, hematochezia, and the disease activity index	Effective (attenuated body weight loss, reduced DAI, ameliorated colonic pathological damage, and decreased MPO activity)
Kanwal et al. 2020	Dictyophora indusiate polysaccharide (DIP)	Glucose 59.84%, mannose 23.55%, and galactose 12.95% Colitis inducer: dextran sodium sulfate (DSS)		low dose 10 mg/kg and (high dose 33 mg/kg	Once- daily	2 weeks	Assessment of disease activity index, histological, analysis of goblet cells and mucus layer thickness, cytokines by ELISA and Immunoblotting assay	Effective
Sakena et al. 2020	Gracilaria fisheri oligosaccharides (GFO)	monosaccharide composition in the GFO was D-galactose. Colitis inducer: acetic acid (AA)-induced colitis mice		100, 500, or 1000 mg/ kg	Once- daily	2 weeks	Gastrointestinal (GI) transit time, ex vivo propulsive motility, <i>in vitro</i> colonic smooth muscle contractility, the composition of colonic microbiota, and production of SCFAS	Effective (prevent and attenuate colitis symptoms and GI dysmotility & reducing populations of harmfu bacteria and increasin SCFAS
.i et al. 2020	Freeze-dried muscadine grapes (FMG) or dealcoholized muscadine wine (DMW)	FMG: Fructose 34.7 % Glucose 31 %, sucrose 9.9% DMW: Fructose, sucrose, and glucose not detected Colitis inducer: 3% dextran sodium sulfate (DSS)	C57BL/ 6J mice	FMG (7%, w/w) or DMW (5.5%, v/ w)	NM	3 weeks	Bodyweight, stool consistency and bleeding, Disease activity index (DAI), short-chain fatty acids in feces, and Mucin 2 and IgA in feces	Effective (Reduced dysbiosis in the colon.
i et al. 2020	CYP-1 (Chinese yam polysaccharide)	CYP-1, mannoglucan of 1,4-α-linked Glcp branched at O-2, O-3, and O-6 position by t-α-linked Map Colitis inducer: dextran sodium sulfate (DSS)		300 mg/ kg body weight	Once- daily	1 week	Histological, measurement of cytokines, gut microbiota analysis, and colonic transcriptomic	Effective
iu et al. 2020	α-D-glucan from marine fungus <i>Phoma herbarum</i> YS4108	Polysaccharide with backbone possessed most likely a linear $\alpha$ - $(1 \rightarrow 4)$ bonded glucopyranose main chain co-bearing through side $\alpha$ - $(1 \rightarrow 6)$	Male C57BL/ 6 mice	40 mg/ kg/day	Once- daily	1 week	Disease activity index (DAI) scores, histology immunohistochemistry analysis, evaluation of SOD and MDA activities, and determinations of inflammatory cytokines	Effective (significantly increased butyrate, isovaleric acid levels, and prominent alterations on specific microbiota)
Gou et al. 2019	WPSPP-1	Glucose and mannose in the molar ratio of 20.44:1.00		400 mg/ kg body weight	NM	4 weeks	Histological, gut microbiota, and biochemical analysis	Effective
(ie et al. 2019	Ganoderma lucidum polysaccharide (GLP)	β-glucan (>90%) that contained a 1,6-linked β- D-Glcp backbone	male Wistar rats	NM	NM	3 weeks	Determination of the disease activity index, body weight, short-chain fatty acids in cecal samples,	Effective (immunity enhancement, inflammatory respons alleviation & colon cancer risk reduction)
Tha et al. 2020	xylan butyrate ester, Xylo	xylose, arabinose, and glucose at a ratio of 1:5:1	C57BL/ 6 mice	50 and 200 mg/ kg	NM	2 weeks	body weights, feces, and physical activity of the mice, Compositional analysis of the gut microbiota,	Effective (reduces inflammatory intestin damage)
iu et al. 2016	konjac oligosaccharide (KOS)	Glucose and mannose residues at a molar ratio of 1:1.6 joined through the ß1,4-glucosidic link Colitis inducer: 2,4,6- trinitrobenzenesulfonic acid (TNBS)		1 g and 4 g/kg	Once- daily	2 weeks	Bacteria profile, short-chain fatty acids (SCFAs) production in feces, colon damage by macroscopic and histological	Effective
leAlbuquerque et al. 2010	Kale and papaya	Kale (60%) + papaya (40%) Colitis inducer: tri-nitro- benzenesulfonic acid (TNBS)		500 mg/ kg per rat weight	NM	3 weeks	Clinical endoscopic and histological scores as well as rectal mucosal expression levels of IL-10, IL-1b, TNFa and IL-8 Evaluation of the intestinal anti-inflammatory effects and microbiological studies of intestine luminal content	Effective

**Table 4**Summary of randomized clinical intervention of prebiotics in patients with IBD.

Reference	Type of treatment	Composition	Dose	Intake	Duration	Parameters analyzed	Conclusion
Azpiroz et al. 2017	Short-chain fructooligosaccharides (scFOS)	95% of pure scFOS & 5% of simple sugars (sucrose, fructose, and glucose)	5 g per sachet	Twice daily in powder sachets	4 weeks	rectal sensitivity, anxiety/depression, quality of life scores, and composition of fecal microbiota	Less significant (scFOS on rectal sensitivity may require higher doses and may depend on the subgroup)
Niv et al. 2016	Partially hydrolyzed guar gum (PHGG)	galactomannan	6 g PHGG	Once- daily in powder sachets	18 weeks	Francis Severity score and quality-of- life scores	Less significant (Improvement of bloating score, however, no effect on other journal reported symptoms)
De Preter et al. 2007	OF-IN (ORAFTISynergy-1)	1:1 mixture of inulin and oligofructose.	10 g OF-IN	Twice daily	4 weeks	Fecal metabolites before and after treatment	Effective
Benjamin et al. 2011	Fructo- oligosaccharides (FOS).	Synergy1, Beneo- Orafti, Belgium	7.5 g × two sachets per day	Twice daily	4 weeks	Crohn's Disease Activity Index (CDAI), cytokine production by intestinal DCs, quantified fecal bifidobacteria and Faecalibacterium prausnitzii	No significant (no significant differences in the fecal concentration of bifidobacteria and F. prausnitzii
Morse et al. 2010	A mixture of Inulin Plus Oligofructose	inulin plus oligofructose 1:1 mixture	7.5 or 15 g	Once- daily	9 weeks	stool analyzed for microflora composition	Not clear

(Wang et al., 2018). Then, FMT was widely used in veterinary fields since the 17th century, to cure gastrointestinal diseases among equines and ruminants (Shinde et al., 2019). In the recent years before 2013, the application of FMT was generally limited to patients with CDI incidence (Zhang et al., 2012). At present, several studies demonstrate successful and efficient therapy using FMT (Colman et al., 2014; Nicco et al., 2020).

Samples of FMT are selected from healthy donors who must undergo a standard screening protocol to avoid the risks of transmitting unknown pathogens from donor to recipient. The donors will be investigated on their historical background which encloses their health state including blood transfusion details, family history on autoimmune response, metabolic disorders, and previous operations. The collected details also include the donor's travel details, sexual behaviors, and food consumption, especially on alcohol and drugs. Upon selection of a suitable donor, their blood and fecal samples will be screened for the presence of pathogens (Colman et al., 2014; Shinde et al., 2019). Patients undergoing FMT also receive strong support and education before the treatment. The recipient will be ensured not to consume any types of antibiotics in 48 h before the infusion, the gut should be free of fecal materials and some patients consumed loperamide an hour before FMT, to ensure the transplanted feces stay in the gut for at least 4 h long (Evrensel et al., 2016; Hu et al., 2018).

There are several methods of transferring fecal material to the recipients (Selvamani et al., 2021). Currently, the fecal material is given through the upper gastrointestinal route, lower gastrointestinal route, or oral capsules. FMT by an upper gastrointestinal route which would be through esophagogastroduodenoscopy, nasogastric, nasojejunal, or nasoduodenal tube, is performed for patients suffering from an inflamed colon (Wang et al., 2019). The lower gastrointestinal route FMT will be performed through colonoscopy or retention enema (Caldeira et al., 2020). The colonoscopy method is more successful in recolonization of the entire colonic area with favorable microbiota, compared with retention enema which is limited to the distal colon only. Compared to colonoscopy, the retention enema is much more affordable and less invasive than colonoscopy (Selvamani et al., 2021). In most of the reported therapies, patients receive FMT relatively a mean of 25 g of inoculum via the upper gastrointestinal route than a mean of 90 g by colonoscopy (Cammarota et al., 2014; Orenstein et al., 2016). In a randomized clinical study by Cammarota et al. (2014) 90% successful rate of preventing recurrent infection through FMT by colonoscopy was reported using 152 g of fecal samples. In the retention enema method, Lee et al. (2016) reported that consumption of 17 g of frozen-and-thawed or fresh FMT showed efficacy at a 60% rate. FMT therapy via administration of capsules had shown comparative and more effective outcomes than FMT through colonoscopy, in preventing the recurrence of CDI (Lee et al., 2016). The fecal capsules can overcome the microbial loss in the gastrointestinal environment and restore the integrity of the intestinal ecosystem effectively (Orenstein et al., 2016).

Recently, work done by Calderia, and colleagues (2020) reported meta-analysis studies evaluating the effectiveness of FMT in patients diagnosed with IBD, up to April 2020. The study reported that FMT for IBD patients had shown a rate of response at 53.8% with a complete remission rate at 37%. A higher clinical remission rate was recorded for frozen-fecal material compared to fresh FMTs (Calderia et al., 2020). Lee et al. (2016) had compared the effectiveness of FMT therapy using frozen and fresh material to patients suffering from CDI after IBD. The frozen FMT approach also added value of wide applicability in health care settings compared to fresh type (lee et al., 2016). The frozen capsules only contained centrifuged bacterial pellets compared to fresh samples which is a whole fecal suspension. The use of frozen FMT was found to reduce the frequency of pre-screening of fecal samples. This was potentially reduced the FMT preparation costs. In addition, FMT performed through colonoscopy often causes discomfort, severe pain, and increased risks of gastrointestinal bleeding in patients. The oral FMT procedure with frozen samples showed comparable efficacy and safety compared to colonoscopy procedures (Orentein et al., 2016; Selvamani et al., 2021).

There are several systematic reviews published that evaluated the safety criteria and efficacy of FMT as a possible therapy for IBD. The first review was published in 2012, but the information collected was less as the FMT was only used for selected diseases, not for IBD (Cammarota et al., 2014). A recent *meta*-analysis published in 2020, suggested FMT for treating patients with active UC as a more practical therapy with beneficial and safe outcomes (Caldeira et al., 2020). The pooled results showed that FMT therapy

**Table 5**Summary of animal models' studies of using synbiotics.

Reference	Probiotics	Prebiotics	Model	Dose	Intake	Duration	Parameters analyzed	Conclusion
dos Santos Cruz et al. 2020	VSL#3	Yacon (6% Fructooligosaccharide + inulin)	Interleukin- 10- knockout mice	VSL#3 <sup>®</sup> (10 <sup>9</sup> CFU/day) + PBY (6% FOS and inulin)	NM	13 weeks	Manifestations of colitis, colon histology, expression of antioxidant enzymes, production of organic acids, and intestinal microbiota	Preservation of intestinal architecture, improve intestinal integrity, increased expression of antioxidant enzymes and concentration of organic acids
Seong et al. 2020	L. paracasei	Opuntia humifusa extract (mucilage + pectin)	male Wistar rats	L. paracasei (1 × 10 <sup>10</sup> cfu/g) & (10.0 / 30.0 mg %, w/w) of Opuntia humifusa extract IBS/IBD inducer: Chronic restraint stress	Once daily	4 weeks	Fecal microbial analysis, serum corticosterone levels, tumor necrosis factor-α (TNF-α) levels in the colon tissue, and expression of tight junction proteins	Effective (Greater abundance of <i>L. paracassei</i> in fecal microbial analysis, lower serum corticosterone levels, lower TNF-α levels in the colon tissue, and higher expression of tight junction proteins)
Son et al. 2019	L. rhamnosus strain GG (LGG)	Tagatose	Female BALB/c mice	10 <sup>9</sup> cfu/mL of LGG and 25 mg of tagatose Colitis inducer: dextran sodium sulfate (DSS)	Two days once	3 weeks	Measure body weight, food intake, noting rectal bleeding, stool conditions, blood in stool, expression of proinflammatory cytokines in serum, analysis on gut microbiota	Effective (Gut microbiota composition recovered from the dysbiosis caused by DSS treatment)
Ivanovska et al. 2017	L. Casey 01	oligofructose-enriched inulin	Female Wistar rats	1 mL ayran containing non-encapsulated probiotic/prebiotics Colitis inducer: 2,4,6- trinitrobenzenesulfonic acid (TNBS)	Once- daily	2 weeks (before induction) & 1 week (after induction)	Assessment of colonic damage, inflammation scoring, myeloperoxidase assay and microbiological studies	Effective (Significant decline in myeloperoxidase (MPO) activity)
Kaur et al. 2017	L. acidophilus, L. rhamnosus, B. longum and S. boulardi	guar gum and xanthan gum	Wistar albino rats	23 mg/kg (Prebiotics) + 1 g/day (probiotic)	Once- daily	17 days	Comparative evaluation of fecal contents, weight gain trend, and histopathological studies	Efficient and cost- effective targeting of the drug to the colon

could improve the clinical and endoscopic rates in active UC, compared to controls. The study also suggested further research must focus on understanding the rate of efficacy for fresh and frozen FMT preparations (Caldeira et al., 2020). Despite other FMT trials, one study reported colonoscopy application using fresh material had a higher remission rate compared to the enema method (Anderson et al., 2012). The study demonstrated an effective outcome in the lower gastrointestinal route compared to the upper route. The presence of live bacteria in FMTs leads to more subsequent colonization at lower gastrointestinal regions. The study also found that an adequate FMT dose is required for a successful infusions rate of FMT.

FMT was found to significantly alter the microflora compositions in the UC patients compared to control patients (not administered with FMT) and to healthy donors. Tian et al. (2019) evaluated the *Bacteroidetes* proportions in patients with UC, which showed a gradual upward trend after treatment. Several genera such as *Bacteroides*, *Proteus*, and *Prevotella* were significantly

enriched compared to the original healthy donors. Meanwhile, the population of pathogenic Klebsiella genus and Streptococcus genus before treatment were found to decrease significantly after FMT (Tian et al., 2019). Reduction in the abundance of Prevotella with enriching the proportion of Klebsiella and Streptococcus were important factors to the onset of UC (Pilarczyk-Żurek et al., 2017). Another study also evaluated the remission of active UC linked to several microflorae such as Clostridium cluster IV and XVIII; Barnesiella spp.; Blautia spp.; Parabacteroides spp.; Dorea spp., and Ruminococcus spp. The study, which used colonoscopy infusion reported that microflora such as Fusobacterium spp. and Sutterella spp. were linked to no remissions (Sood et al., 2019). Unfortunately, some studies reported conflicting results where FMT therapy failed to ameliorate disorder and restore gut dysbiosis (Khoruts et al., 2016; Sood et al., 2019). The disease was found to flare following the FMT, compared to placebo. Rossen et al. (2015) reported no significant differences among UC patients for both clinical and endoscopic remissions. Khoruts et al. (2016)

**Table 6**Summary of randomized clinical intervention of synbiotics in patients with IBD.

Reference	Probiotics	Prebiotics	Dose	Intake	Duration	Parameters analyzed	Conclusion
Matijašić et al. 2016	Fermented milk ( <i>L. acidophilus</i> La-5, <i>B. animalis</i> ssp. <i>lactis</i> BB-12, <i>St. thermophiles</i> )	dietary fiber (90% inulin, 10% oligofructose)	L. acidophilus La-5 $(1.8 \times 10^7 \text{ cfu/g}) \& B$ . animalis ssp. lactis BB-12 $(2.5 \times 10^7 \text{ cfu/g})$ ; 2% dietary fiber (packed in 180-g)	Twice daily	2 weeks	Stool samples subjected to real-time PCR and 16S rDNA profiling by next- generation sequencing	Less effective (short-term effect on the amount and proportion of La-5-like strains and <i>B. animalis</i> ssp. <i>lactis</i> in the fecal microbiome)
Rocha et al. 2014	Synbiotic Lactol® Bacillus Coagulans	Fructo- oligosaccharides	Bacillus Coagulans (15 × 10 <sup>7</sup> Spores) & Fructo- oligosaccharides (100 mg)	Once- daily	12 weeks	Abdominal pain (scored 1 to 7), diarrhea, and constipation (scored 1 to 5)	Effective (Relieving abdominal pain/ discomfort, diarrhea, and beneficial effects remained for at least nine months)
Shavakhi et al. 2014	Balance®(L. casei, L. rhamnosus, L. acidophilus, L/ bulgaricus, B. breve, B. longum and St. thermophiles.	Fructo- oligosaccharides	1 × 10 <sup>8</sup> CFU/per capsule	Twice daily	2 weeks	Abdominal pain, distension, symptoms, and quality-of-life	No significant effect (No beneficial effects over placebo)
Cappello et al. 2013	L. plantarum, L. casei subp. Rhamnosus, L. gasseri, B. infantis, B. longum, L. acidophilus, L. salivarus, L. sporogenes and St. termophilus	inulin and 1.3 g of tapioca-resistant starch	5-g sachets	Twice daily	4 weeks	Assess abdominal bloating, flatulence, pain, urgency by a 100-mm visual analog scale, stool frequency, and bowel functions quality of life (SF-36)	Less effective (decreasing the severity of flatulence in IBD patients, failed improvement in global satisfactory relief of abdominal flatulence)
Ishikawa et al. 2011	BbY, Live <i>B. breve</i> strain Yakult	GOS, galactooligosaccharides	Freeze-dried powder of 1 g (10 <sup>9</sup> CFU/g) & GOS 5.5 g (55% as a gel)	three times a day	one year	Colonoscopy index, amount of myeloperoxidase in a lavage solution, Analysis of Fecal Microorganisms	Effective
Steed et al. 2010	Bifidobacterium longum	Synergy 1	2 × 10 <sup>11</sup> freeze-dried viable <i>B. longum</i> in a gelatin capsule, and a sachet	Twice daily	6 months	Transcription levels of pro-inflammatory cytokines in mucosal tissue, microbiological analysis of tissue biopsies, and histopathology	Effective (improvement in their histological scores, and reductions in serum levels of proinflammatory TNF-α)

reported that more than a quarter of analyzed IBD patients had disease flare following the FMT. In this context, several studies found that the effectiveness of FMT to treat IBD is not predictable (Borody et al., 2013; Cammarota et al., 2014). Therefore, it is still unknown whether FMT fits the treatment paradigm. In addition, all reported systematic and *meta*-analyses have highlighted a low number of thorough investigations of FMT (Borody et al., 2013; Sood et al., 2019). Despite reported significant and positive taxonomic alteration in the gut of patients diagnosed with FMT, the observations are still inconsistent and their function, as well as the metabolic consequences, are not well documented (Sood et al., 2019). FMT could be a promising therapy for UC but CD and other IBDs including chronic constipation, pouchitis, and irritable bowel syndrome, the data collected were too limited to predict better conclusions (Borody et al., 2013; Paramsothy et al., 2017).

# 6.2. Postbiotics in IBD

The term 'biotic' originates from a Greek word -'biōtikós', - which is defined as 'about life' referring to a biological system composed of living organisms with an interaction with the external environment. This term is currently being used in the 'biotics' family terms after pro-, pre-and *syn*-biotics (Aguilar-Toalá et al., 2018; Żółkiewicz et al., 2020). The types and functional characteristics of bioactive compounds secreted during microbial fermentation are enclosed under this 'postbiotic' criteria (Aguilar-Toalá et al., 2018; Amoroso et al., 2020). Recently several investigators proposed different descriptions to define these new interventions under the 'biotics' family. According to Nataraj et al. (2020), 'post-

biotics' refer to preparations made of metabolic products or non-viable products synthesized by commensal microorganisms. The study refers to microorganisms that originate from the host and are known to produce specific biological products in the host's body (Nataraj et al., 2020). Although various definitions have been proposed and used widely, hitherto none of the international regulatory bodies or scientific or research associations have recognized a specific definition (Aguilar-Toalá et al., 2018; Żółkiewicz et al., 2020).

The postbiotics comprise SCFAs, enzymes, peptides, vitamins, peptidoglycans, endo-polysaccharides, exopolysaccharides, plasmalogens, organic acids that are produced from microbial fermentation (Nataraj et al., 2020). The viability of microbial cells is no longer paramount in the criteria for postbiotics. The efficiency of postbiotic therapy is based on the metabolites or complex molecules synthesized during microbial fermentation (Aguilar-Toalá et al., 2018). Two recent reviews have highlighted several pharmacodynamic features that must be standardized in postbiotics therapy (Nataraj et al., 2020; Żółkiewicz et al., 2020). Based on these reviews, the postbiotic preparations must exert: (1) zero risk on translocation of microflora from the gastrointestinal environment to blood as this will be of risk to vulnerable and immunocompromised subjects; (2) zero risk on acquisition and transfer of antibiotic resistance; (3) standardized and natural extract with good transport and stored; (4) the beneficial impact of postbiotics should not change during the process of extraction and/or separation from microbial cells, and (5) an enhanced interaction between released molecules from disrupted cells with epithelial cells (Nataraj et al., 2020; Zółkiewicz et al. 2020).

Rising concern on a healthy lifestyle has drawn more innovative applications in functional food productions. Several studies reported the effectiveness of probiotics, such as fermented milk products, in the reduction of numerous infectious diseases (Matijašić et al., 2016; Shadnoush et al., 2015). Probiotic L. paracasei fermented milk was reported to reduce infections in respiratory and gastrointestinal tracts of young children (Berni Canani et al., 2017). The bacterium was heat-killed, yet it has shown positive alteration in the human gut, similar to performed by living cells. Viable cells in fermented products will be inactivated at the time of consumption. However, the postbiotics mechanisms are still not described clearly, even so, a scientific hypothesis has been made as microbial fermented foods still can perform and may influence similar beneficial functions as the live cells (Aguilar-Toalá et al., 2018; Nataraj et al., 2020; Żółkiewicz et al., 2020). Fermented products may influence microflora compositions and host health (Amoroso et al., 2020). Notwithstanding that several studies have confirmed the potential of postbiotics, it is still poorly evaluated as a novel therapeutic approach in CD or UC. However, several animal models and cell-line-based studies were used to develop postbiotic therapy for IBD (Nataraj et al., 2020). According to Żółkiewicz et al. (Żółkiewicz et al., 2020), postbiotics could be an attractive and modern therapeutic strategy as this method does not contain living microbial cells. All the risks associated with the probiotic and synbiotic therapies would be minimized. The study also suggested that postbiotic therapy could maintain similar efficacy as previous alternative therapies with no serious side effects (Zółkiewicz et al., 2020). In addition, postbiotic therapies also allow researchers to study methods of concentrating or mixing different bioactive components to speed up the recovery process or alteration based on the patient's requirements. This serves as an attractive strategy for managing IBD among different groups of patients such as age, gender, or even different regions.

# 7. Conclusions and future perspectives

Human gut microflora plays a crucial role in triggering, progressing, maintaining, and exacerbating IBD. Specific bacterial strains are overrepresented, and some important commensals are suppressed during IBD. A decrease in relative abundance and microbial diversity has been found to dysregulate the host's immune responses. Several studies have evaluated the factors involved in the alterations of gut microbiota that lead to a dysbiotic state. Gut dysbiosis is highlighted as a key stakeholder associated with IBD. Regrettably, the investigations conducted so far are still unclear regarding the specific core microbiome that is established in a healthy state as well as during IBD pathogenesis. The probiotic, prebiotic, and synbiotic therapies could represent a valid armamentarium to retore the gut dysbiosis, modulate gut microbiota, and eventually might lead to a potential cure for IBD. The probiotic mechanisms of action on IBD are diverse and complex to understand to date. Briefly, probiotic-based therapies can reduce and repair intestinal permeability towards pathogenic microorganisms. The probiotic therapies also induce the intestinal immune system by promoting Th I cell differentiation, gut barrier function, and synthesis of essential proteins. However, several reported significant clinical outcomes are still at low numbers and insufficient to make an effective prediction of future treatments. Therefore, a well-defined and intensive multidisciplinary clinical study must be developed to understand the impact of probiotic, prebiotic, and synbiotic therapy on IBD. Recent publications had opened new opportunities to investigate other interventions such as microbial-derived products (postbiotics) as novel therapies for inflammatory diseases.

### **Author contributions**

SS, VM, KP, TV, HA, ST, and IZ were involved in drafting the manuscript and data collections from different literature sources. HE and BA were involved in the review design, data collection, and interpretation, manuscript drafting. All authors reviewed and approved the final version of the review.

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# Data availability statement

No data was generated in this study.

# **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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