# DATA-DRIVEN TIME-TO-COLLISION MODEL FOR UNMANNED AERIAL VEHICLE CONTROL SYSTEM UNDER VARIOUS PAYLOAD AND SPEED CONDITIONS

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# **DEDICATION**

To my father, wife and children Sabikan bin Wagio Hirne binti Saris Syafiqah Hanan binti Sulaiman Faizul Imaran bin Sulaiman Sakinah Maisarah binti Sulaiman Syifa Irdina binti Sulaiman

"Thank you for your patience and support"

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.

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#### ABSTRACT

Time-to-collision (TTC) can be defined as the time required for vehicles to collide with another vehicle or static obstacle if they continue at their present speed and on the same path. Hence, the mathematical model of TTC is useful to assist the collision avoidance system (CAS) in any type of autonomous vehicle. This thesis, presents the data-driven TTC model for unmanned aerial vehicles (UAV) control systems under various payloads and speeds condition. The research consists of three phases. The first phase involved the design and development of a data logging system in the multirotor UAV platform. The data acquisition process for model development requires a UAV system, which consists of the quadrotor vehicle structure, onboard flight mission controller and a ground control system. The open sources platform UAV system development and Proportional-Integral-Derivative (PID) controller used for position, altitude and attitude control have been implemented. Experiments are conducted to collect the required flight data in an uncontrolled environment using a developed platform that has been recognized for its performance. In the second phase involved modelling TTC. Controller time stamps, radio control signal magnitude, global positioning system platform and speed parameters are recorded from different payloads, ranging from 0g to 200g. A data filtering algorithm was applied to eliminate data that does not meet the specified minimum horizontal speed. Particles Swarm Optimization (PSO) algorithm was used for optimizing the model and validating with the real data from the experiment. The collected onboard real experimental data for five different payloads have been analysed to develop a mathematical model of TTC through the PSO approach. Based on the experimental data, the fitness function relationship is considered to solve optimization between speed (m/s), payload (g) and their time-to-collision (s). The TTC model predicts the time required for the collision with a static obstacle based on its current flight parameters, such as speed and payload. Finally, the third phase involved the evaluation of the UAV control system with the TTC model throughout the simulation. The TTC model has been implemented in the UAV's PID controller. Parameters such as initial speed, activation obstacle distances and final distance are introduced in the discussion of this thesis. Based on the workspace simulation environment that has been designed, the TTC model is applied to show the proposed speed based on the UAV's current speed. The activation obstacle distance obtained is a minimum of 5 metres with an initial speed of 2.0 m/s and the proposed speed will be given by the model, continuously. The distance between the obstacle and the reaching point is influenced by the payload. The distance without load is 2.589 metres, and the distance with a 200g load is 1.989 metres, both of which are safer than the specified final distance of 1 metre before a collision. In conclusion, the proposed TTC model has successfully determined the optimal proposed speed based on their current flight parameters under various payload and speed hence, it can be used as a risk assessment metric in UAV's CAS.

#### ABSTRAK

Masa-untuk-perlanggaran (TTC) boleh ditakrifkan sebagai masa yang diperlukan untuk kenderaan berlanggar dengan kenderaan lain atau halangan statik jika ia meneruskan pada kelajuan sekarang dan di laluan yang sama. Oleh itu, model matematik TTC berguna untuk membantu sistem mengelakkan perlanggaran (CAS) dalam mana-mana jenis kenderaan autonomi. Tesis ini membentangkan model TTC dipacu data untuk sistem kawalan kenderaan udara tanpa pemandu (UAV) di bawah pelbagai muatan dan keadaan kelajuan. Penyelidikan ini direka bentuk untuk terdiri daripada tiga fasa. Fasa pertama melibatkan reka bentuk dan pembangunan sistem pengelogan data dalam platform UAV multirotor. Proses pemerolehan data untuk pembangunan model memerlukan sistem UAV, yang terdiri daripada struktur kenderaan quadrotor, pengawal misi penerbangan atas kapal dan sistem kawalan darat. Pembangunan sistem UAV platform sumber terbuka dan pengawal Proportional-Integral-Derivative (PID) yang digunakan untuk kawalan kedudukan, ketinggian dan sudut telah dilaksanakan. Eksperimen telah dijalankan untuk mengumpul data penerbangan yang diperlukan dalam persekitaran yang tidak terkawal menggunakan platform yang dibangunkan yang telah diiktiraf prestasinya. Fasa kedua melibatkan pemodelan TTC. Setem masa pengawal, magnitud isyarat kawalan radio, sistem kedudukan global platform dan parameter kelajuan direkodkan daripada muatan yang berbeza, antara 0g hingga 200g. Algoritma penapisan data telah digunakan untuk menghapuskan data yang tidak memenuhi kelajuan mendatar minimum yang ditetapkan. Algoritma Pengoptimuman Kerumun Zarah (PSO) digunakan untuk mengoptimumkan model dan mengesahkan dengan data sebenar daripada eksperimen. Data sebenar eksperimen atas kapal yang dikumpul untuk lima muatan berbeza telah dianalisis untuk membangunkan model matematik TTC melalui pendekatan PSO. Berdasarkan data eksperimen, perhubungan fungsi kecergasan dipertimbangkan untuk menyelesaikan pengoptimuman antara kelajuan (m/s), muatan (g) dan masa-untukperlanggaran (s). Model TTC meramalkan masa yang diperlukan untuk perlanggaran dengan halangan statik berdasarkan parameter penerbangan semasanya, seperti kelajuan dan muatan. Akhir sekali, fasa ketiga melibatkan penilaian sistem kawalan UAV dengan model TTC sepanjang simulasi. Model TTC telah dilaksanakan dalam pengawal PID UAV. Parameter seperti kelajuan awal, jarak halangan pengaktifan dan jarak akhir telah diperkenalkan dalam perbincangan tesis ini. Berdasarkan persekitaran simulasi ruang kerja yang telah direka bentuk, model TTC digunakan untuk menunjukkan kelajuan yang dicadangkan berdasarkan kelajuan semasa UAV. Jarak halangan pengaktifan yang diperoleh adalah minimum 5 meter dengan kelajuan awal 2.0 m/s dan dan kelajuan yang dicadangkan akan diberikan oleh model, secara berterusan. Jarak antara halangan dan titik capai dipengaruhi oleh muatan. Jarak tanpa beban ialah 2.589 meter, dan jarak dengan beban 200 g ialah 1.989 meter, keduaduanya lebih selamat daripada jarak akhir yang ditetapkan iaitu 1 meter sebelum perlanggaran. Sebagai kesimpulan, model TTC yang dicadangkan telah berjaya menentukan nilai optimum kelajuan yang dicadangkan berdasarkan parameter penerbangan semasa mereka di bawah pelbagai muatan dan kelajuan, oleh itu, ia boleh digunakan sebagai metrik penilaian risiko dalam CAS UAV.

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# LIST OF ABBREVIATIONS

CAS	-	Collision Avoidance System
DDM	-	Data-Driven Modelling
DR	-	Deceleration Rate
ET	-	Encroachment Time
EW	-	Empty Weight
GCS	-	Ground Control System
GPS	-	Global Positioning System
GT	-	Gap Time
IAPT	-	Initially Attempted Post-Encroachment Time
LQR	-	Linear Quadratic Regulators
MSE	-	Mean Square Error
MTOW	-	Maximum Take-Off Weight
OPS	-	Open-source Project
PET	-	Post-Encroachment Time
PID	-	Proportional-Integral-Derivative
PPM	-	Pulse Position Modulation
PSD	-	Proportion of Stopping Distance
PSO	-	Particles Swarm Optimization
RC	-	Radio Control
TTC	-	Time to Collision
UA	-	Unmanned Aircraft
UAS	-	Unmanned Aircraft (or Aerial) Systems
UAV	-	Unmanned Aerial Vehicle
USB	-	Universal Serial Bus
CAS	-	Collision Avoidance System

# LIST OF SYMBOLS

$V_b$	-	Current quadrotor horizontal speed
$V_f$	-	Stop speed or hovering
Viw	-	Average speed of wind
Wio	-	Quadcopter payload
t <sub>lb</sub>	-	Time required for the quadrotor to hover
<i>TTC</i> <sub>s</sub>	-	Time to collision from the TTC model
$v_i^k$	-	Particle velocities vector
$x_i^k$	-	Positions vector
$TTC_d$	-	Time to collision from the experiments
$p_1$	-	TTC mathematical model coefficients 1
$p_2$	-	TTC mathematical model coefficients 2
$p_3$	-	TTC mathematical model coefficients 3
$p_4$	-	TTC mathematical model coefficients 4
S	-	Horizontal speed of vehicle, m/s
W	-	UAV payload, grams
sp	-	Initial speed of quadrotor
WP	-	Flight waypoints
Xin Yin Zin	-	Quadrotor model and position timeseries
obs_d	-	TTC controller activation distances
min_d	-	Final distance between quadrotor and obstacle
current_speed	-	Real-time speed of quadrotor
proposed_speed	-	Proposed speed for the quadrotor controller

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#### **CHAPTER 1**

### **INTRODUCTION**

#### **1.1 Background of the Research**

Unmanned Aerial Vehicles (UAV) are a class of aircrafts that can fly without the onboard presence of pilots. Quadrotor is a typical design for small UAV. It is a popular concept for a UAV. The major advantages for the quadrotor is its ability to hover, and take-off vertically. This makes the quadrotor useful for many tasks and allows it to be operated in nearly any environments. Powered by four high speed electrical rotors, it can manoeuvre in three-dimensional spaces, within tight space and relatively low altitude. Furthermore, it can navigate autonomously or controlled manually by an operator who can manoeuvre the vehicle to avoid collision. Dealing with unexpected environment and to realize autonomous flight mission, safe navigation capabilities remains a research challenge.

In recent years, there has been increasing interest in the implementations of quadrotor technology in the real world; for instance for real estate photography, aerial surveying, periodic forest monitoring, search/rescue missions [1] and IoT sensor networks [2]. Basic quadrotor helicopters platform consists of a flight controller, stabilization sensors [3], and propeller system powered either by four, six or eight Brushless DC electric motor. In addition, different types of external sensors are required for different situation of application, which should be installed on the vehicle. External sensors are used for additional tasks required for specific applications, such as global positioning, capturing images or video and obstacle detection.

For successful deployment of UAV, it is demanding to operate safely in the real world environment. Therefore, it requires a real-time quadrotor control system with have capability to manage collision avoidance algorithms. The control system with collision avoidance algorithm to avoid collisions is called as Collision Avoidance System (CAS). Many research has been conducted in order to avoid collision with static objects such as trees or building walls, researchers develop CAS. That is one basic problem for UAV to be a fully autonomous vehicle. CAS involves multiple algorithms that have various advantages and disadvantages. Normally, the CAS methods require three stages, namely obstacle detection, collision recognition and obstacle avoidance path generation. The obstacle detection is a process of acquiring useful information about its surrounding environment. This function is carried out by a physical sensor that is integrated to the main controller circuits. Once a CAS receives information of the obstacles, collision recognition process occurs where the UAV determines if there are any imminent collisions. Finally, CAS path generation process need to perform collision avoidance new path to avoid a collision. Many methods have been proposed to perform collision avoidance in aerial vehicle, such as in [4] (collision avoidance layer), [5] (teleoperated obstacle avoidance), [6] (Bug algorithm), [7] (Artificial Potential Field algorithms), [7] (Vector Field Histogram algorithm), and [8] (Bubble Band Technique).

The main intent of this thesis is to design a UAV real-time control system by providing predefined information about Time-to-Collision (TTC) in the collision recognition stage of CAS. In case an obstacle is detected, collision recognition algorithm will be activated, with different strategies. At this point, the developed TTC model will be function as a matrix of speed to avoid a collision. That will improve the decision-making process and offer good perspectives in the understanding the navigation control algorithm to avoid a collision.

#### 1.2 Motivation

For the ground vehicle, TTC parameters have often been used as a risk assessment metric for traffic safety analyses. TTC was introduced by Hayward [9] in 1972 and has been applied to identify traffic safety impacts, such as in [10] and [11]. Some research has been carried out on TTC with different terms, such as Gap Time (GT), Encroachment Time (ET), Deceleration Rate (DR), Proportion of Stopping

Distance (PSD) Ratio, Post-Encroachment Time (PET) and Initially Attempted Post-Encroachment Time (IAPT). GT is similar to TTC [12].

According to Hayward and Federal Highway Administration, U.S. Department of Transportation (FHWA), for example, TTC can be defined as the time required for two vehicles to collide if they continue at their present speed and on the same path. In 2008, FHWA combined traffic simulation and automated traffic conflict analysis to develop a software utility referred to as a surrogate safety assessment model (SSAM) [13,14]. In freeway simulation models, TTC is often a critical element of a driver's trajectory management decision-making process and has been used as a cue for decision-making in traffic conflicts research and for activating a driver support system such as CAS [15], [16]. In addition, TTC has proven to be an effective measure for rating the severity of traffic conflicts and for discriminating critical from normal behavior. In principle, the lower the TTC, the higher the risk of a collision.

Any system with predefined information about TTC is an advantage in order to improve the decision-making process and offer good perspectives in the understanding the navigation control algorithm to avoid a collision. This information also helps to reduce dependency on physical information like distance and speed. For example in [15], proposed a collision warning algorithm based on the TTC estimation for traffic safety in the scenario of an arterial road with on-ramp under a connected environment. The Global Positioning System (GPS) based information of vehicles is assumed to be collected by the roadside device such as position, travelling direction and velocity. Then, the TTC of a pair of vehicles in arterial road and on-ramp is estimated based on their position, travelling direction and velocity difference. Besides that, visual control of vehicle braking based on TTC information was introduced by [17]. However, the implementation is limited only for the ground vehicles scenario.

In addition, there is an increasing demand for UAV to agility in complex environments. For instance, a deep learning-based TTC estimation algorithm proposed by train neural networks with real images from monocular camera in the indoor environment [18]. However, a vision-based approach required a large significant amount of datasets of collision cases are needed to compute average TTC estimation [19], [20]. Moreover, the prediction of the time to collision parameter from a predefined avoidance map for a pair of UAV control inputs is proposed to solve conflict resolution among UAVs [21]. The time parameter obtained will be graded to provide alternative solutions for the UAVs to avoid conflict earlier.

From the previous works mentioned above, the TTC algorithm is an inessential method in traffic micro simulation modelling software. However, the use of a TTC algorithm model as a risk assessment matrix for CAS in an aerial vehicle, especially for a UAV has relatively little studies found. Most existing robotic applications of TTC simply control the TTC to be constant or constantly decreasing, without fully exploring the applicability of TTC [22]. The TTC model provides important parameters that can be utilized as a risk assessment matrix for CAS analyses in the UAV system as has been applied on the ground vehicle. Therefore, in this work, design and develop a method to create a model of TTC for the UAV control system.

#### **1.3 Problem Statement**

Issues related to TTC parameters for collision prediction and traffic simulation has often been used for ground vehicle situation [14],[23],[24],[25]. TTC can be defined as the time required for two vehicles to collide if they continue at their present speed and on the same path. TTC parameters has often been used as a risk assessment metric for traffic safety analyses TTC was introduced in 1972 and has been applied to identify traffic safety impacts. Until today, safety issues for navigating UAV become of significant importance. In order UAV to be able to navigate safely through complex environments, it would be useful to estimate accurate time-to-collision (TTC) to obstacles in their obstacle controller. The main issues in the use of TTC in previous research are related to vision based collision estimation [18],[19],[26]. Their study uses simple images from on-board cameras or from predefined operational maps, to develop a collision avoidance system. Given this point, the present study is limited to see the use of time to collision or time to contact parameter to assess their collision avoidance controller. In fact, none of the previous studies have examined the potential by building a TTC model and using it to help the existing controller. Model development based on

real flight data in the real world requires an optimization algorithm method that is very necessary to reduce data noise and improve the analysis process to obtain optimal solutions in model development. Furthermore, the effect of speed and payload on TTC are also beneficial to be studied. TTC should not be constant or constantly decreasing, regardless of those factors. By developing a mathematical model that can predict an aerial vehicle collision with a static object, taking into account various speeds and payloads, the relationship between both parameters and TTC can potentially be found. By doing so, the model can be used to help obstacle avoidance controller decision-making.

### 1.4 Research Objective

The objectives of this research are as follows:

- (a) To design a data-driven time-to-collision model based on UAV's speed, and payload that can be useful to collision avoidance decision-making.
- (b) To apply optimization algorithms to compute the optimal function of the timeto-collision of the model.
- (c) To evaluate UAV control system with time-to-collision prediction capability for obstacle avoidance which considers speed and payload.

### **1.5** Scope of the Research

The scopes of this study is as follows:

(a) The research platform or testbed UAV system is based on the capacity of opensource project platform. ArduPilot open-source quadrotor UAV controller was chosen due to capability of the full range of flight requirements. In addition, the testbed must be capable of performing real-time onboard flight data recording, manoeuvring control and real-time monitoring.

- (b) The modelling of UAV TTC model is based on data-driven approach by using the real platform in outdoor uncontrolled environments. The two considered UAV parameter is speed and payload. The range of parameter values studied is based on the capability limits of the platform used in this research. The maximum speed and payload for safe flight is 5 m/s and 200 g.
- (c) Many swarm optimization algorithms have been introduced and all of these algorithms have demonstrated their potential to solve many optimization problems [27]. For example, Genetic Algorithms (GA), Ant Colony Optimization (ACO), Particle Swarm Optimization (PSO), Differential Evolution (DE), Artificial Bee Colony (ABC), Swarm Optimization (GSO), and Cuckoo Search Algorithm (CSA). However, in this research, PSO swarm intelligence technique is used. It is simple to implement, has only a few parameters to be set, it is effective in global search, it is insensitive to scaling of design variables, and suitable to identify of the unknown parameters in the TTC model.
- (d) The PSO algorithm, implemented in a MATLAB code and all simulation of UAV control system is done using the MATLAB/Simulink software.

#### 1.6 Contributions of Research Work

Through this work, this thesis make contributions in the one major research areas;

The major contribution is proposed a data-driven TTC model under various payload and speed for aerial vehicle in order to evaluate and improve decision making of obstacle avoidance control system. The parameter is called "Time-to-Collision". For successful deployment of UAV into a civil airspace, it is necessary to guarantee that they can operate safely in the environment. This requires robust collision avoidance algorithms. Therefore, the study has a potential to provide as an additional assessment matrix to CAS before making a decision. A PSO is used in the development of TTC mathematical model and simulated using MATLAB/Simulink.

Other than that, within this study, a complete quadrotor research platform is developed based on the Open-Source Project (OSP). This platform successfully developed, tested and implemented to be fulfil as a vehicle to collect a data required.

### 1.7 Thesis Organization

The overall structure of the study takes the form of seven chapters, including this introductory chapter.

The first chapter introduces the thesis which includes a problem statement, thesis objectives, the contribution of research and research scopes.

Chapter 2 gives the introduction to understand the TTC modelling, UAV system and CAS with focus on modelling of time-to-collision optimization and validation. This chapter also discusses the various exiting works related to UAV CAS control system.

Chapter 3 provides detailed descriptions of the research methodology.

Chapter 4 is concerned with results and discussion on each phase of the research mathodolgy.

The final chapter summarises the main findings of this project and recommendations.

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### LIST OF PUBLICATIONS

#### Journal with Impact Factor

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