EFFECT OF POTASSIUM AND GERMANIUM DOPANTS ON OPTICAL, STRUCTURAL AND ELECTRICAL PROPERTIES OF COPPER ZINC TIN SULPHOSELENIDE SOLAR ABSORBER LAYER

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DEDICATION

This thesis is dedicated to my beloved parents, Hj. Salleh bin Ahmad and Zaila binti Sulaiman, also my wife, Dr. Nurul Hana binti Adi Maimun, who taught me that impossible things may turn to possible, and it come with strong passion and hard work. It is also dedicated to my siblings and family, who taught me that human is not perfect, and effort to improve is beauty.

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ABSTRACT

In present research, the role of Potassium (K) and Germanium (Ge) dopants in Copper Zinc Tin Sulphoselenide (CZTSSe) absorber thin solar film prepared using non-vacuum spray pyrolysis deposition technique has been investigated. K-doped CZTS precursor solution was prepared using one-pot approach with different concentrations of K (0.0, 0.5, 1.0, 1.5, 2.0 and 2.5) mol %, dissolved using dimethyl sulfoxide solvent. The solution was then sprayed on soda lime glass (SLG) substrate using ultrasonic spray coater at 300 °C. The deposited thin films were selenized in tube furnace using three-step temperature approach (300 °C, 500 °C and 550 °C) with 30 minutes ramping time in nitrogen environment. Deposited K-doped CZTSSe thin films were characterized by ultraviolet-visible-near infrared (UV-Vis-NIR) spectroscopy and 3D microscope to determine the optical properties and thickness of K-doped CZTSSe structure. X-ray diffractometer was employed for the structural and crystallinity analyses, whereas field emission scanning electron microscope was used to study surface morphologies. Energy dispersive X-ray spectrometer was used to study the elemental composition of the film while Hall effect measurement system was used for measuring the charge carrier density. Based on results, 1.5 mol % of Kdoped was selected for fabrication process of (K,Ge)-doped CZTSSe solar absorber layer. Same processes were performed to synthesize thin films except with different molar concentrations of Ge (10, 15, 20, 25 and 30)% in dimethylformamide as dissolving agent. The effects of different Ge concentrations were studied. UV-Vis-NIR spectra have shown high absorption coefficient which was more than 10000 cm⁻¹ for each sample. The bandgap increased as the concentration of Ge was increased, which inferred the capability of Ge to tune the CZTSSe bandgap to increase the open circuit voltage. X-ray spectra showed better crystallinity at 25% and 30% of Ge dopant, while micrographs from field emission scanning electron microscope revealed that 25% Ge has better crystal growth. The charge carrier density in the absorber layer also increased with increase in dopant concentration. Based on the findings, (K,Ge)-doped CZTSSe thin film with 1.5 mol % K and 25% Ge has the best properties.

ABSTRAK

Dalam kajian ini, peranan dopan Potassium (K) dan Germanium (Ge) dalam penyerap filem tipis solar Kuprum Zink Tin Sulfoselenida (CZTSSe) yang disediakan menggunakan teknik pemendapan semburan pirolisis telah dikaji. Larutan pelopor K-dop CZTS disediakan dengan menggunakan pendekatan satu-periuk dengan kepekatan K yang berbeza (0.0, 0.5, 1.0, 1.5, 2.0 dan 2.5) mol %, dilarutkan menggunakan pelarut dimethil sulfoksida. Larutan tersebut kemudian disembur di atas substrat kaca soda kapur (SLG) menggunakan penyalut semburan ultrasonik pada 300 °C. Filem tipis yang termendap diseleniumkan di dalam tiub relau menggunakan pendekatan suhu tiga-langkah (300 °C, 500 °C dan 550 °C) dengan masa peningkatan 30 minit di dalam persekitaran nitrogen. Filem tipis termendap K-dop CZTSSe telah dicirikan dengan spektroskopi ultra ungu-cahaya tampak-infra merah dekat dan mikroskop 3D untuk menentukan ciri-ciri optik dan ketebalan struktur K-dop CZTSSe. Difraktometer sinar-X telah digunakan untuk analisis struktur dan hablur, manakala mikroskop elektron pengimbas pancaran medan digunakan untuk mengkaji morfologi permukaan. Spektrometer sinar-X penyebaran tenaga digunakan untuk mengkaji komposisi unsur filem tersebut manakala sistem pengukuran kesan Hall digunakan untuk mengukur ketumpatan pembawa cas. Daripada keputusan tersebut, 1.5 mol % K-dop telah dipilih untuk lapisan penyerap solar (K,Ge)-dop CZTSSe. Proses-proses yang sama dijalankan untuk menghasilkan filem tipis kecuali kepekatan molar Ge yang berbeza (10, 15, 20, 25 dan 30)% dalam pelarut dimethilformamida sebagai bahan pelarut. Kesan kepekatan Ge yang berbeza telah dikaji. Spektra ultra ungu-cahaya tampak-infra merah dekat menunjukkan pekali penyerapan yang tinggi melebihi 10000 cm⁻¹ untuk setiap sampel. Lebar jalur juga bertambah apabila kepekatan Ge ditambah, yang menyimpulkan kebolehan Ge menala lebar jalur CZTSSe untuk meningkatkan voltan litar terbuka. Spektra sinar-X menunjukkan penghabluran yang lebih baik pada 25% dan 30% dopan Ge, manakala mikrograf-mikrograf dari mikroskop elektron pengimbas pancaran medan pelepasan mendedahkan bahawa 25% Ge mempunyai pertumbuhan hablur yang lebih baik. Ketumpatan pembawa cas dalam lapisan penyerap juga telah bertambah dengan pertambahan dopan. Berdasarkan dapatan, filem tipis (K,Ge)-dop CZTSSe dengan 1.5 mol % K dan 25% Ge mempunyai ciri-ciri yang terbaik.

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LIST OF ABBREVIATIONS

AZO	-	Aluminium Zinc Oxide
CB	-	Conduction Band
CIGS	-	Cooper Indium Gallium Sulphide
CIGSe	-	Copper Indium Gallium Selenide
CIGSSe	-	Copper Indium Gallium Sulphoselenide
CIS	-	Copper Indium Sulphide
CISe	-	Copper Indium Selenide
CISSe	-	Copper Indium Sulphoselenide
СТО	-	Cadmium Tin Oxide
CZTGeS	-	Copper Zinc Tin Germanium Sulphide
CZTGeSSe	-	Copper Zinc Tin Germanium Sulphoselenide
CZTS	-	Copper Zinc Tin Sulphide
CZTSe	-	Copper Zinc Tin Selenide
CZTSSe	-	Copper Zinc Tin Sulphoselenide
DMF	-	Dimethylformamide
DMSO	-	Dimethyl Sulfoxide
EB	-	Electron Beam
EDX	-	Energy Disperse X-Ray
FESEM	-	Field Emission Scanning Electron Microscopy
FTIR	-	Fourier Transform Infra-Red
GB	-	Grain Boundaries
IBM	-	International Business Machines Corporation
PV	-	Photovoltaic
SLG	-	Soda Lime Glass
UNSW	-	University of New South Wales
XRD	-	X-Ray Diffraction

LIST OF SYMBOLS

A	-	Absorbance
α	-	Absorption Coefficient
E_{g}	-	Energy bandgap
v	-	Energy frequency
Ι	-	Intensity of energy
h	-	Planck constant
d	-	Thin film thickness
С	-	Velocity of light
λ	-	Wavelength

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Appendix A Mathematical Calculations

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CHAPTER 1

INTRODUCTION

1.1 Background of Research

Solar energy, these two words have become a compulsory topic to most of the scientists all over the world in the field of renewable energy sources. Sun is an unlimited source of energy for earth, which can solve limited energy sources issues and reduce unnecessary fabrication and transmission losses (Mahjoubi, Bitri et al, 2017; Wallace, Mitzi and Walsh, 2017; Zakutayev, 2017). Since last three decades, different photovoltaic devices have been developed to convert solar energy into electrical energy. Starting from silicon based technology to thin film solar cells, scientists always struggled to find the best combination of materials including base materials, dopants and solvents, along with fabricating procedures which includes solution preparation and thin films deposition techniques to produce efficient final product (Abermann, 2013; Wallace et al, 2017; Yang, Mazalan et al, 2017). Since the silicon based solar panels are heavy, requires high material consumption and less economical, researchers turned their focus to thin film solar cells technology and known as the second generation solar cell (Suryawanshi, Agawane et al, 2013). The thin film technology is relatively simple, easy and capable to eliminate the unnecessary cost by reducing materials consumption with an advantage to deposit on different types of substrates such as glass, stainless steel and plastic (Zhou, Hsu et al, 2013; Song, Ji et al, 2014; Hsieh, Han et al, 2016; López-Marino, Sánchez et al, 2016; Diwate, Mohite et al, 2017; Liu, Huang et al, 2017; Rana, Kim et al, 2017). Currently, the main stream thin film solar cells are the amorphous silicon thin film, cadmium telluride (CdTe), copper indium selenide (CIS), copper indium gallium selenide (CIGS), the gallium arsenide and copper zinc tin sulphide (CZTS). Nevertheless, the cadmium and arsenic in cadmium telluride and gallium arsenide are toxic, while copper indium gallium selenide system contains rare indium element

which make them an expensive approach to fabricate solar cells (Song et al, 2014; Pandiyan, Oulad Elhmaidi et al, 2017). Furthermore, CIGS technology was in questioned as they needed too long period to commercialize and failed to reduce the cost, while a supplier of CIGS PV module from United States faced bankruptcy (Song et al, 2014). These events open the door for CZTS thin film solar cell. Apart from insolvent of rare element, CdTe, CIS and CIGS are facing big challenges in the future development of solar cells such as green environment issues and economical fabrication processes, which give advantage to copper zinc tin sulphide (CZTS) thin film over other thin film solar cells (Song et al, 2014; Mahajan, Stathatos et al, 2018).

The CZTS is recognised as quaternary compounds in kesterite structure with direct band gap of 1.50 eV and high absorption coefficient (over 10⁴ cm⁻¹ in visible region). Thus, the CZTS materials can be utilized as absorption layer for thin film solar cells. Compared with the currently commercialized crystalline Si, CdTe and CIGS solar cells, CZTS has advantage of abundance in the earth crust and nontoxic characteristics. Hence, CZTS thin film is recognised as one of the potential candidate materials for thin film solar absorbing layer as it has the advantages that needed for an absorber layer (Riha, Parkinson and Prieto, 2009; Steinhagen, Panthani et al, 2009; Song et al, 2014; Gupta, Gupta and Mohanty, 2017).

In recent years, CZTS thin film solar cells have been successfully fabricated using vacuum-based approaches. However, the vacuum-based approaches are expensive and require a special and sophisticated equipment. As the fabrication cost is one of key challenge in solar industry, the non-vacuum deposition methods such as spray coating, spin coating, dip coating and doctor blade have been developed and are the current focus of scientist in solar cell field of research (Song et al, 2014). The achieved power conversion efficiency for CZTS solar cell at small scale is above 10% for non-vacuum deposition technique which is a quite encouraging achievement (Song et al, 2014). Here after, CZTS has attracted attention from industries for the commercial purposes. IBM collaborates with the subsidiary of Showa Shell-Solar Frontier (specialised in CIS thin film PV technology) to exploit non vacuum deposition technology for CZTS technology (Song et al, 2014). In 2012, Korea Daegu Gyeongbuk Institute of Science and Technology (DGIST) has refined a

vacuum deposition coating suitable for the large-scale production. They had successfully fabricated the CZTS device with power conversion efficiency of 8% higher than the world's highest efficiency at that time, which gave this quaternary CZTS thin film solar cells a huge boost for the expansion of solar cell market (Song et al, 2014).

1.2 Problem Statement

Currently, IBM holds the world record for CZTSSe with 12.6% power conversion efficiency using hydrazine based solvent (Green et al, 2018). Unfortunately, hydrazine is known as explosive, hepatotoxic and carcinogenic chemical (Choudhary and Hansen, 1998; Gupta et al, 2017). To increase the power conversion efficiency of the devices, CZTS thin films are treated with selenium by changing CZTS structure to CZTSSe. Apart from the selenization, the efficiency of CZTS thin layer is also improved by introducing different dopants in CZTS host structure (Hsieh et al, 2016; Phuong, Katahara et al, 2016). Alkali-metals, such as sodium, lithium and potassium, have shown encouraging results towards the high performance (Hsieh et al, 2016; López-Marino et al, 2016).

It is highly preferred that the fabrication processes involve less toxic materials yet give high efficiency, which is the key challenge and requires attention in non-vacuum based solar cell thin layer deposition approaches (Ki and Hillhouse, 2011). The dimethyl sulfoxide (DMSO) is one of the effective solvent capable to replace hydrazine, as it is safer and low toxic (Ki et al, 2011; Haass, Diethelm et al, 2015; Xin, Vorpahl et al, 2015). This approach only requires earth abundant metal salts and has produced solar cells with over 10 % efficiency (Haass et al, 2015; Schnabel, Abzieher et al, 2015; Xin et al, 2015). DMSO also has high-boiling temperature (189 °C), which increases the drying time. This may improve the structure quality of absorber layer and enhances electrical properties of the thin film (Teichler, Perelaer and Schubert, 2013). Apart from DMSO, dymethylformamide (DMF) also has been used as a replacement to DMSO for certain materials, which

are not dissolved in DMSO. DMF has boiling point 153°C, a bit lower than DMSO, and still has similar characteristics with the later (Collord and Hillhouse, 2016). There are few other solvents such as monoethanolamine, ethylene glycol, oleylamine, trioctylphosphine oxide, oleic acid and octadecene have been used to prepare precursor solution (Zhou et al, 2013; Gupta et al, 2017). Although some of these solvents could dissolve much more materials, however, most of them are harmful, highly toxic, and environmentally hazardous as reported by Laboratory Chemical Safety Summary (LCSS) of National Institutes of Health (NIH), USA (Wang, Shen et al, 2017).

The doping of alkali metals group I, significantly improved the efficiency of copper-based solar cells (Ård, Granath and Stolt, 2000; Granath, Bodegård and Stolt, 2000; Igalson, Kubiaczyk et al, 2001; Rudmann, Bilger et al, 2003; Cho, Lee et al, 2012; Chirilă, Rienhard et al, 2013; Guo, Ford et al, 2013; Laemmle, Wuerz and Powalla, 2013; Pianezzi, Reinhard et al, 2013; Reinhard, Bissig et al, 2015; Jackson, Hariskos et al, 2015; Lepetit, Harel et al, 2016; Yang, Huang and Pan, 2017). The higher carrier concentrations and large grain size are two key parameters to enhance the efficiency of CZTSSe device (Hsieh et al, 2016). The alkali metals with small atomic size have higher ability to replace constituents of CZTSSe, and can increase the carrier concentrations. However, the alkali metals with large atomic size have large grains and less non-radiative combination due to the relative low melting point of binary selenides (Hsieh et al, 2016). Between the entire materials of +1 oxidation group, potassium (K) doped CZTS thin films have shown both high carrier concentration and large grain size (Hsieh et. al., 2016). However, to the best of the author's knowledge, no research has reported the synthesis of CZTSSe with power conversion efficiency higher than 12.6 % world record thin film solar cells (Green et al, 2018). This research gap highlights an opportunity to improve the efficiency of the CZTS solar thin layer.

Hence, in this research, K-doped CZTSSe thin film is prepared and optimized. The optimized K-doped CZTSSe is further doped with different concentrations of germanium (Ge) to study the role of Ge in thin film solar cells. It has been reported that Ge doping in CZTS thin films could improve the power conversion efficiency of solar cell devices, by replacing tin (Sn) atoms. Ge can prevent Sn from forming a +II oxidation state that has high possibility to form deep recombination centers in CZTS (Bag, Gunawan et al, 2012; Li, Shen et al, 2016). Ge doping also can tune the band gap in the crystal lattice of the thin film solar cells, which might help to optimize the band alignment of CZTS/CdS, without controlling the S/Se ratio for CZTSSe absorber layer (Chen, Walsh et al, 2013; Polizzotti, Repins et al, 2013; Kim, Kim et al, 2014; Kim, Hiroi et al, 2014; Hages, Levcenco et al, 2015; Khadka and Kim, 2015; Maeda, Kawabata and Wada, 2015; Xin et al, 2015; Khadka, Kim and Kim, 2016b). In some experiments, Ge has found to increase the grain sizes, at the same time reduced the grain boundaries and enhanced the crystal growth of CZTS thin films (Li et al, 2016; Sun, Shen et al, 2019). In addition, Ge also has the ability to increases the carrier lifetime and improves the carrier concentration (Hages et al, 2015).

1.3 Objectives of the Research

The general objective of this research is to study the role of Ge in optimized K-doped Cu₂ZnSn(S,Se)₄ thin absorber layer of solar cell device.

The specific objectives for this research are as follows:

- To optimize the concentration of K in Cu₂ZnSnS₄ precursor solutions using dimethyl sulfoxide (DMSO) as the solvent and deposit as thin film on glass substrate using spray pyrolysis technique.
- To investigate and optimize Ge as dopant in optimized K-doped Cu₂ZnSn(S,Se)₄ precursor using dimethylformamide (DMF) as the solvent and deposit the thin film using spray pyrolysis technique.
- To measure the optical, structural, morphological and electrical properties of deposited K-doped Cu₂ZnSn(S,Se)₄ and (K,Ge)-doped Cu₂ZnSn(S,Se)₄ thin absorber layer.

1.4 Scope of the Research

K-doped Cu₂ZnSnS₄ precursor solution with different molar concentration of K = 0.0, 0.5, 1.0, 1.5, 2.0 and 2.5 mol % are prepared with DMSO solvent using one pot approach. K is chosen as doping material to the CZTSSe thin film solar cells as K may improve the crystal growth and minimize defects. For dissolving agent, DMSO is chosen because it is organic nature and low toxicity. These solutions then are deposited on the soda lime glass substrates using spray pyrolysis deposition technique to form thin films. Three-step temperature approach is performed during selenization process in the tube furnace. After selenization process, these thin films are characterized to optimize the concentration of K in Cu₂ZnSn(S,Se)₄ using UV-Vis-NIR Spectrophotometer, 3D Microscope Surface Profiler, X-Ray Diffractometer (XRD), Field Emission Scanning Electron Microscope (FESEM), Energy Disperse X-ray Spectrometer (EDX) and Hall Effect Measurement System (HEMS).

The optimized K-doped Cu₂ZnSnS₄ is added together with different mol concentration of [Ge/(Ge + Sn)] % = 0, 10, 15, 20, 25, and 30 %, in DMF solvent using one pot approach. The solvent is changed from DMSO to DMF as there is precipitation formed in Ge-doped CZTS solution with DMSO solvent (Collord et al, 2016). Same processes of deposition and selenization of thin film are repeated for (K,Ge)-doped Cu₂ZnSn(S,Se)₄ thin films. The solar thin films with different concentrations of Ge are characterized by UV-Vis-NIR Spectrophotometer, 3D Microscope Surface Profiler, (XRD), (FESEM), (EDX) and (HEMS).

1.5 Significance of the Research

As the world now is critically looking for devices/systems for renewable energy with low cost fabrication process, earth abundant materials and environmental friendly, the present research findings will contribute towards the improvement of thin film solar cell devices and understanding of the role of K and Ge doping materials in CZTSSe solar cell absorber layer.

1.6 Thesis Structure

Chapter 1 includes the research background of CZTS thin film solar cells and its alloyed family, along with the advantages and problems related to CZTS solar cells. This chapter also includes the research objectives, scope of study, and the significance of the research.

Chapter 2 provides the literature review, which is directly and indirectly related to CZTS thin film solar cell technology. The details of CZTS and CZTSSe solar cell, its advantages and weaknesses, doping materials that may enhance the quality of the absorber layer, solvents that are suitable for dissolving related elements in precursor solution, also deposition methods are discussed briefly.

Chapter 3 includes the details of the research methodology that has been used to execute this research. The raw materials preparation of the precursor solution, the deposition technique to deposit CZTS thin film, selenization process and parameters involved in this research, for both K-doped CZTSSe and (K,Ge)-doped CZTSSe thin films are listed briefly. The chapter is ended with the flow chart as a summary for the research methodology.

Chapter 4 explains about characterization techniques used in studying (K,Ge)-doped CZTSSe absorber layer. Physical, chemical and optical properties of the thin films were measured by these techniques, while the data were analysed and discussed in this chapter.

Chapter 5 contains the conclusion drawn from results and future study and the recommendations in enhancing the CZTSSe thin film solar cells.

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LIST OF PUBLICATIONS

Indexed Conference Proceedings

 Mohd Shahril Salleh, Kashif Chaudhary, Elham Mazalan, Jalil Ali. (2019). Potassium Doping Effect on Cu₂ZnSn(S,Se)₄ Thin Film Absorber Layer Deposited via Spray Pyrolysis. In 30th Regional Conference of Solid State Science and Technology 2018 (RCSSST18). (Indexed by SCOPUS)