DUAL-FUNCTIONAL SURFACES OF EVEROLIMUS IMMOBILISED ON BIODEGRADABLE POLY(L-LACTIC ACID)/POLY(D-LACTIC ACID) SCAFFOLD MEDIATED BY POLYDOPAMINE COATING FOR STENT DEVELOPMENT

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A thesis submitted in fulfilment of the requirements for the award of the degree of Doctor of Philosophy

School of Biomedical Engineering and Health Sciences Faculty of Engineering Universiti Teknologi Malaysia

FEBRUARY 2022

ACKNOWLEDGEMENT

The deepest thanks to ALLAH (Almighty), Al-Rahman, the most merciful, and the compassionate for uncountable gifts given to me.

First and foremost, I want to thank my main supervisor Dr. Syafiqah Saidin for her guidance and support. It has been an honour to be one of her doctorate students. She has taught me, how good research in biomaterials can be conducted. I appreciate all her contributions, time, ideas and experience. I want to thank her for the pleasant and trusting co-operation until I am able to complete this thesis.

Also, an appreciation to my co-supervisor, Dr. Rania Al-Ashwal for her advice and motivation. I would like to acknowledge the financial support from Zamalah UTM scholarship, which covered me for the whole years of study for the completion of this research project. Special thanks of gratitude to Canada-ASEAN Scholarships and Educational Exchanges for Development (SEED) scholarship from Canadian government who gave me an opportunity and sponsored me to do a short training in Canada.

I would also like to thank all members of Biomedical Science Laboratory who helped and guided me in performing experiments and analyses. A pleasurable thank to my friend, Herman bin Tuminoh for always there, giving me moral support when I felt down. Lastly but not least, I would like to thank my family for all their love and encouragement, especially my parents (Jumat bin Angas and Sajerah binti Bair) who raised me with love and supported me in all my pursuits.

ABSTRACT

Drug-eluting stent (DES) is a promising treatment for atherosclerosis and instent restenosis. However, the long-term implantation of DES contributes to late-stent thrombosis due to the rapid release of anti-proliferative drug and delayed endotheliasation. Besides, the presence of anti-proliferative drugs which is typically effective in preventing in-stent restenosis, has also could suspend the healing process by inhibiting the growth of endothelial cells. Everolimus is one of the anti-proliferative drugs used in developing commercial DES. Therefore, this study, aimed at developing a dual-functional surfaces of everolimus immobilised polydopamine (PDA) on poly(llactic acid)/poly(d-lactic acid) (PLLA/PDLA) scaffolds. The study, also printed scaffolds with different PLLA and PDLA compositions (100% PLLA, 0% PDLA; 90% PLLA, 10% PDLA; 80% PLLA, 20% PDLA and 70% PLLA, 30% PDLA) using threedimensional (3D) printer. The study further subjected PLLA/PDLA scaffolds to wettability, mechanical and degradation analyses. The incorporation of PDLA into the blend of PLLA has increased the scaffold hydrophobicity and mechanical properties. Observation on the degraded PLLA/PDLA scaffolds show the capability in retaining its chemical functionalities. Less crack formation, less acidity of the degraded solution, higher percentages of remaining weight, greater average molecular weight and higher crystallinity percentages were recorded on the higher PDLA composition after the degradation analysis. The 80% PLLA and 20% PDLA scaffold blend was selected for further grafting and immobilisation processes due to its wettability, mechanical and degradation properties. The grafted scaffolds with the PDA intermediate layer were partly immobilised with different everolimus concentrations (0.01, 0.05 and 0.10 mM) to form dual-functional surfaces. The study further analyses the dual-functional surfaces of everolimus immobilised PDA using ATR-FTIR, XPS, SEM, AFM, wettability, everolimus quantification, drug release, coating stability, blood compatibility and *in-vitro* endothelial cell analyses. Immobilisation of the everolimus on the PDA layer through O–N and C–O covalent linkages was also determined. The flowery-structured everolimus demonstrated a lower wettability (103.33 \pm 7.13°) and higher surface roughness (784.92 \pm 21.33 nm) on the greater concentration of everolimus (0.10 mM). A sustainable drug release profile based on the zero-order release profile was acquired for 0.05 mM and 0.10 mM everolimus concentrations. The higher everolimus concentration produced greater contribution on the coating stability. All scaffolds were classified as non-haemolytic with haemolytic index less than 2%. The endothelial cells extensively proliferated on the PDA surface which support scaffold implantation in the abluminal area. Meanwhile, cell growth inhibition was observed on the everolimus surface. Thus, this dual-functional scaffolds are beneficial for DES application in preventing possible complications such as burst drug release, late-stent thrombosis and delayed endotheliasation.

ABSTRAK

Sten pembebasan ubat (DES) adalah satu kaedah rawatan yang memberi harapan untuk aterosklerosis dan pembentukan restenosis dalam sten. Walaubagaimanapun, implantasi DES pada jangka masa panjang telah menyumbang kepada kelewatan trombosis dalam sten disebabkan oleh pembebasan ubat antipercambahan yang cepat dan pertumbuhan sel endotelial yang lambat. Selain itu, kehadiran ubat anti-percambahan yang berkesan menghalang restenosis dalam sten, juga telah menangguhkan proses penyembuhan dengan merencat pertumbuhan sel endotelial. Everolimus adalah salah satu ubat anti-percambahan yang digunakan dalam penghasilan DES yang dikomersialkan. Oleh itu, kajian ini, bertujuan untuk membangunkan permukaan dwi-fungsi everolimus dinyahgerakkan polidopamin (PDA) dibina di atas perancah poli(asid l-laktik)/poli(asid d-laktik) (PLLA/PDLA). Kajian ini, telah mencetak perancah dengan berbeza komposisi PLLA dan PDLA (100% PLLA, 0% PDLA; 90% PLLA, 10% PDLA; 80% PLLA, 20% PDLA dan 70% PLLA, 30% PDLA) menggunakan pencetak (3D) tiga dimensi. Kajian ini kemudiannya menjadikan perancah PLLA/PDLA kepada analisis kebasahan, mekanikal dan penguraian. Penggabungan PDLA ke dalam campuran PLLA meningkatkan sifat hidrofobik dan sifat mekanikal perancah. Pemerhatian pada perancah PLLA/PDLA yang terurai menunjukkan keupayaan dalam mengekalkan fungsi kimianya. Pembentukan retak yang sedikit, keasidan yang kurang dalam larutan terurai, purata berat molekul yang tinggi dan peratusan habluran yang tinggi dicatatkan pada komposisi PDLA yang lebih tinggi selepas analisis penguraian. Campuran perancah 80% PLLA dan 20% PDLA dipilih untuk proses cantuman dan penyahgerakkan selanjutnya kerana sifat kebasahan, mekanikal dan penguraiannya. Sebahagian dari perencah yang telah dicantumkn dengan lapisan perantara PDA dinyahgerakkan dengan everolimus pada kepekatan yang berbeza (0.01, 0.05 dan 0.10 mM) untuk menghasilkan permukaan dual-fungsi. Kajian selanjutnya menganalisis permukaan dual-fungsi everolimus dinyahgerak PDA menggunakan ATR-FTIR, XPS, SEM, AFM, kebasahan, pengkuantitian everolimus, pembebasan ubat, kestabilan salutan, keserasian darah dan analisis sel endotelial secara *in-vitro*. Penyahgerakkan everolimus berjaya pada lapisan PDA melalui ikatan kovalen O–N dan C–O juga ditentukan. Everolimus berstruktur bunga menunjukkan kebasahan yang lebih rendah (103.33 ± 7.13°) dan kekasaran permukaan (784.92 ± 21.33 nm) yang lebih tinggi pada kepekatan everolimus yang lebih tinggi (0.10 mM). Profil pembebasan ubat yang mampan berdasarkan profil pembebasan tertib sifar telah diperolehi untuk kepekatan everolimus 0.05 mM dan 0.10 mM. Kepekatan everolimus yang lebih tinggi meningkatkan kestabilan salutan. Kesemua perancah dikelaskan sebagai tidak hemolisis berdasarkan hemolitik indek yang kurang 2%. Sel-sel endotelial bercambah secara meluas di permukaan PDA yang menyokong penempelan perancah di kawasan abluminal. Sementara itu, perencatan pertumbuhan sel berlaku pada permukaan everolimus. Oleh itu, keupayaan perancah dual-fungsi ini bermanfaat untuk aplikasi DES dalam mencegah komplikasi yang mugkin akan berlaku seperti pembebasan ubat yang cepat, kelewatan trombosis di dalam sten dan endoteliasasi yang lambat.

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- *WHO* World Health Organisation
- *XPS* X-Ray Photoelectron Spectroscopy

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CHAPTER 1

INTRODUCTION

1.1 Background of the Study

Coronary heart disease, stroke, peripheral arterial disease and aortic disease are several abnormalities in the heart and blood vessels which will lead to cardiovascular diseases (CVD) [1]. Deficit in blood flow to the heart cause rapid shortfall of oxygen and nutrients in the cardiac cells, thus promoting ischemia [2]. The prolong deficit blood flow leads to cardiac tissue necrosis and relative circulatory complication [2]. In 2019, approximately 18.6 million of global deaths were caused by CVD that can be associated to a significant narrowing of the artery due to atherosclerosis [3]. Diabetes, smoking habit, obesity and mental stress are among the factors that could trigger atherosclerosis [4]. It is also recognised that endothelial layer damage and inflammatory responses are two cascaded events related to the formation of atherosclerosis [5].

Stent implantation is adopted in the percutaneous coronary intervention treatment (PCI) to treat atherosclerosis [6]. Stent in combination with balloon angioplasty is a prominent treatment for atherosclerosis. It is fabricated in a shape of small tubular wire mesh, intended to open narrowed blood vessel until the vessel remodels into its normal state [6]. During stent implantation, arterial injury followed by a cascade of biological events that resulted in-stent restenosis and neointimal hyperplasia have been reported [7–9]. In-stent restenosis and neointimal hyperplasia are the results of delayed wound healing, smooth muscle cells (SMC) growth and extracellular matrix deposition within the arterial lumen [7,10]. Hence, the incorporation of stent surfaces with specific drugs and biomolecules is crucial to improve the biological and bioactive properties of the stent in preventing in-stent restenosis and neointimal hyperplasia. Drug-eluting stent (DES) is a drug-coated stent which functioned to accommodate drugs and to regulate drug release into surrounding tissues [11]. Even though DES has the capabilities to prevent in-stent restenosis and neointimal hyperplasia [12], the burst release of drugs has triggered late-stent thrombosis and has suppressed endotheliasation as well as tissue healing following an implantation [13]. Tissues injuries have been reported in the early phase of implantation due to the implementation of several anti-proliferative drugs such as sirolimus, paclitaxel and everolimus [14].

Everolimus is a type of commercial drug that has been widely used in developing DES [15,16]. It is derived synthetically from sirolimus which is classified as an immunosuppressive agent [17]. This drug inhibits kinase, the mammalian target of rapamycin (mTOR) which is identified to have a beneficial effect in preventing atherosclerosis formation [15]. An exposure to everolimus will activate mTOR proliferation signal and induce the generation of cell cycle block in the G1 phase, thus inhibiting cell proliferation [18]. Besides, it could suppress the growth of SMC in preventing in-stent restenosis and neointimal hyperplasia [18]. This drug is beneficial in the atherosclerosis treatment but requires modification in the coating design and technique to provide dual functions of cell suppression in the luminal stent and cell integration in the abluminal stent. A successful implantation should enhance the proliferation of endothelial cells (EC) to accelerate vessel integration and should inhibit the growth of SMC to prevent clot formation [19].

In this study, an immobilisation technique was chosen to immobilise everolimus on scaffold surfaces to prevent burst drug release using the mediation of polydopamine (PDA) layer. This layer can be developed through the surface modification of based-materials with a cross-linker agent [10]. Surface modification with PDA layer has gained interest among researchers due to its ability to form strong chemical linkages on a various types of structures and materials [20,21]. This method is facile, versatile and low cost [20,21]. It has been used to modify various implant medical surfaces to immobilise antibacterial agents, proteins, nanoparticles and biomolecules [22]. There are two active functional groups presented in PDA, catechol and amine groups, which contribute to its ability to bind covalently with a broad range of inorganic, organic and metallic substrates [23,24]. This covalent linkages have motivated the exploration on element release where PDA emerges as a highly

promising candidate to stabilise and sustain the release of immobilised molecules due to its strong chemical linkages [24]. Besides, PDA layer also has assisted cell attachment and adhesion for advance tissues integration [24]. Therefore, in developing dual-functional surfaces coating on stent, PDA is the best candidate to mediate everolimus immobilisation for sustainable cell suppression purpose (luminal stent) and to enhance cell integration (abluminal stent) for vessel remodelling purpose.

In this study, everolimus was immobilised on biodegradable poly(l-lactic acid)/poly(d-lactic acid) (PLLA/PDLA) scaffolds to construct biodegradable polymeric DES. Biodegradable stent is currently attained researcher's attention due to its ability to degrade while allowing tissues regeneration [25]. Biodegradable stent made of metal is mainly being explored due to its mechanical strength to support vessel wall [26]. However, the degradation of metal product may lead to inflammation and late-stent thrombosis [26]. Therefore, much attention has been diverted to biodegradable polymeric stent which does not produce degraded and accumulated metal products in the physiological circulation system [25]. The 3D printing technique is viewed as a promising approach for the fabrication of biodegradable polymeric stents or scaffolds due to its ability to produce complex shape design [27]. It adopts an additive manufacturing (AM) technology which constructs 3D printed objects based on 3D computer-aided design (CAD) models [28]. In the biomedical field, fused deposition modelling (FDM) is frequently utilised for printing 3D structures, attributable to its high adaptability in creating distinctive forms of implant shape [29] and the ability to choose biocompatible filament materials in preventing cytotoxicity response [30]. Therefore, in this study, FDM was used to extrude filaments with different compositions of polylactic acid (PLA) derivatives.

Polylactic acid is an aliphatic polyester that has been classified as one of the most popular biodegradable polymers [31]. The use of PLA in the human body is clinically approved by the Food and Drug Administration (FDA) due to the biocompatibility factor [29]. There are two enantiomers of sc-PLA formation which are poly(l-lactide) (PLLA) and poly(d-lactide) (PDLA) [32,33]. Several research have been conducted to improve the formation, structure, mechanical and degradation properties of PLLA by combining both PLLA and PDLA, to develop sc-PLA [34–37].

The enhanced properties of sc-PLA led to the selection of these polymers as a basedmaterial for the fabrication of biodegradable polymeric DES. In the beginning, PLLA and PDLA were blended at different compositions and 3D printed to form biodegradable scaffolds. The scaffolds were surface grafted with a PDA layer. One side of the scaffolds was immobilised with different concentrations of everolimus to develop a dual-functional surfaces coating for DES application. This dual-functional surfaces coating is proposed to prevent the proliferation of cell growth (luminal surfaces) and to support EC proliferation (abluminal surfaces) while controlling the release of anti-proliferative drugs. Thus, the novelty of the present study lies on the immobilisation of everolimus on PLLA/PDLA 3D printed scaffolds using PDA layer and the dual-functional surfaces coating of PDA and everolimus, to be potentially applied as biodegradable polymeric DES.

1.2 Problem Statement

World Health Organisation (WHO) reported that cardiovascular related diseases are the number one cause of death globally [38]. A total of 75% of the heart attack occurred from plaque rupture of atherosclerosis [39]. Atherosclerosis is being treated with PCI procedures [6]. Previous research focused on bare metal stents for PCI treatment. An early generation of this stent has several drawbacks including instent restenosis, stent thrombosis, poor endotheliasation and delayed wound healing that impact the effectiveness of stent implantation [40].

Drug-eluting stent is used to overcome the issues of bare metal stent. However, other complications of delayed endotheliasation and late-stent thrombosis have been reported from DES implantation [41]. The utilisation of polymer coating layer on DESs could trigger an inflammatory and hypersensitivity response which result in cardiac infraction and sudden death [42,43]. Besides, burst or rapid drug release is another DES related drawback which cause deficiencies on the delivery of therapeutic effects at the implanted lesion and impacts on late-stent thrombosis [13]. Thus, DES development with sustain drug release capability to ensure enough drug supplementation along the implantation to prevent late-stent thrombosis is immensely

needed. Sufficient amount of drugs is also needed to promote EC growth and to prevent SMC proliferation where both functions are the main roles of tissues/vascular remodelling [44].

Rapamycin drug such as everolimus has become the subject of interest in developing DES. However, the rapid release of everolimus in some cases due to nonstable coating, cause deficiencies in its therapeutic effectiveness [45]. Moreover, everolimus does not have the ability to selectively inhibit SMC and EC where a successful implantation should inhibit SMC to prevent clot formation and should enhance EC proliferation that can accelerate vessel integration [19]. Therefore, an ideal everolimus DES should has dual functions, to prevent the proliferation of SMC and to support EC proliferation.

Furthermore, a second surgery is mostly needed to remove the metal stent and to treat inflammation [46]. The revolution of biodegradable metal stent gives a promising value to overcome in-stent restenosis and stent thrombosis [47]. However, degradation of the metal products has caused inflammation and accumulation on specific organs that lead to forthcoming diseases [26]. Therefore, the attention on biodegradable metal stent has been diverged, covering the development of biodegradable polymeric stent. However, low mechanical strength and high degradation rate of biodegradable polymeric stent become major limitations for the implementation of polymeric stent to support weaken blood vessel wall [48]. It is crucial to maintain the structural of biodegradable stent at least within 6 months and to ensure element degradation instead of large compounds dissociation [49].

Modifying the polymer stent materials to increase its mechanical strength has drawn a significant challenge [19,48]. In addition, the complex structure of stent calls for a huge exploration on stenting technology to fabricate wire-mesh tubes [50,51].

1.3 Objective of the Study

The aim of this study is to develop dual-functional surfaces coating of PDA and everolimus on biodegradable polymeric materials for DES application. Therefore, the objectives of the study are stated as below:

- (a) To fabricate and characterise the effects of different compositions of PDLA and PLLA on the wettability, mechanical and degradation properties of the 3D printed PLLA/PDLA biodegradable polymeric scaffolds.
- (b) To assess the capability of PDA to mediate the immobilisation of everolimus on the 3D printed PLLA/PDLA scaffolds at different everolimus concentrations in developing dual-functional surfaces coating through chemical composition, morphology, roughness and wettability analyses.
- (c) To determine the everolimus release mechanism and the stability of the dualfunctional surfaces coating at different everolimus concentrations through an immersion approach.
- (d) To investigate the blood compatibility and the responses of human umbilical vein endothelial cells (HUVEC) on the dual-functional surfaces coating through haemolysis, cytotoxicity, cell proliferation and cell attachment analyses.

1.4 Scope of the Study

In the beginning of the study, different compositions of PLLA and PDLA were blended (PLLA100, PLLA90, PLLA80 and PLLA70) to produce PLLA/PDLA filaments. The extruded filaments were installed into a 3D printer to print PLLA/PDLA scaffolds. The wettability of the printed scaffolds was identified through a contact angle measurement and the mechanical properties were determined through a compression test.

Then, the printed scaffolds were subjected to a degradation test through a static immersion in phosphate buffer saline (PBS) for 30 and 60 days at 37°C to study the degradation behaviour of PLLA/PDLA scaffolds. The pH of the degradation solution and the remaining weight of the degraded scaffolds were recorded. The degraded scaffolds were also subjected to attenuated total reflectance-Fourier transform infrared spectroscopy (ATR-FTIR), scanning electron microscopy (SEM), gel permeation chromatography (GPC) and differential scanning calorimeter (DSC) analyses, respectively, to determine the chemical functionality, morphology, molecular mass and thermal degradation of the scaffolds.

Based on the mechanical and degradation findings, the composition of PLLA80 was selected to further print the 3D PLLA/PDLA scaffolds. The PLLA/PDLA scaffolds were grafted with PDA by immersing the scaffolds in dopamine salt solution (PP-PDA). Different concentrations of everolimus (0.01 mM, 0.05 mM and 0.10 mM) were then immobilised on the PP-PDA scaffolds, partly, and known as PP-PDA-EVE*x* to develop dual-functional surfaces coating. The dualfunctional surfaces coating was characterised through ATR-FTIR, X-ray photoelectron spectroscopy (XPS), SEM, atomic force microscopy (AFM) and wettability analyses.

The quantification of immobilised everolimus was performed with ultravioletvisible (UV) spectrophotometry. The everolimus release and the stability of the dualfunctional surfaces coating were assessed through a month immersion approach in deionised water. The release of everolimus profile was determined with UV spectrophotometry and five mathematical models were used to further elaborated the everolimus release mechanism. The stability of the dual-functional surfaces coating was examined under ATR-FTIR and SEM.

The compatibility of the dual-functional surfaces coating towards red blood cells was then investigated through haemolysis assay. *In-vitro* assessments of cytotoxicity and cell proliferation were also performed with HUVEC on the dualfunctional surfaces coating using MTT (3-(4,5-dimethylthiazol-2-yl) -2,5-diphenyl tetrazolium) (MTT) assay. The capability of the dual-functional surfaces coating to

inhibit cell attachment was verified on the everolimus surface while the ability of the dual-functional surfaces coating to support HUVEC integration was verified on the PDA surface under VPSEM visualisation.

1.5 Significance of the Study

This study produced dual-functional surfaces coated biodegradable scaffold which has an ability to inhibit cell growth (luminal) on one surface and has capability to support EC growth (abluminal) on another surface. These dual-functionalities are achieved by immobilising everolimus, partly, on biodegradable 3D printed PLLA/PDLA scaffolds mediated with PDA layer. While another part is accommodated with only PDA layer, without the immobilisation of everolimus.

The PDA grafted scaffold surfaces will accelerate endotheliasation process for greater vessel integration (abluminal surface) while overcome the everolimus limitation in selectively inhibiting EC. The release of everolimus will also prevent plaque formation and cell attachment in the luminal structure of stent, thus avoiding in-stent and late-stent thrombosis. Furthermore, the utilisation of PDA to mediate everolimus immobilisation is intended to control and sustain the release of everolimus.

This dual-functionalities biodegradable scaffolds have advance values in DES application as a replacement for bare metal stent, biodegradable metal stent and DES metal stent. It will prevent patients from undergoing a secondary surgery to remove metallic implant and to avoid inflammation caused by the degradation of metal products. The blending of PLLA and PDLA to form sc-PLA could provide an appropriate mechanical strength and degradation properties to biodegradable polymeric scaffolds that can be used in polymeric stent application to support weak blood vessels.

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