# APPRAISAL AND PERFORMANCE PREDICTION OF SENAI DESARU EXPRESSWAY CABLE-STAYED BRIDGE SUBJECT TO EARTHQUAKES

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### **DEDICATION**

I dedicate with love and gratitude To my beloved God, Allah S. W. T., Akhawatifillah fid Dakwah, My husband Mohd Ammar Abu Kassim, My parents Aizon bin Rahman and Che Wah binti Shäfiei, My siblings, and My in-law Family For being with me till the very end of my thesis completion

Hopefully this research will be beneficial for the Ummah, Insya-Allah!

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#### ABSTRACT

Application of cable-stayed bridge types in Malaysia is getting higher demands by the developer due to its cost-effectiveness. However, its high flexibility and low damping behaviour may exhibit a critical response due to earthquake loads. In addition, there are challenges for the bridge authorities to decide the severity of bridge condition in post-earthquake events. Therefore, the objectives of this research are, (i) to assess the seismic performance of a cable-staved bridge under the earthquake time history loading in terms of dynamic behaviour and acceleration response. (ii) to establish fragility curves as guidelines of potential damage level of the cable-staved bridge components under various earthquake loadings, and (iii) to investigate the applicability of Artificial Neural Network (ANN) as a prediction tool of damage level for cable-stayed bridge's intelligent decision-making tool in Bridge Health Monitoring System (BHMS). The seismic performances of cable-stayed bridge were obtained by developing the 3D Finite Element Model (FEM). Two types of seismic analyses were implemented in this research, Free Vibration Analysis (FVA) and Non-Linear Time History Analysis (NTHA). Earthquake loads scaled to Peak Ground Acceleration (PGA) with low, moderate, and strong earthquake loads were utilised. The obtained results from the NTHA was then fed to the Feedforward Artificial Neural Network model to obtain a damage level prediction model for the cable-stayed bridge. The acceleration data of structure response were used as input in the ANN model. Meanwhile, the output of training network used the damage levels from the analysis which were Immediate Occupancy (IO), Life Safety (LS), and Collapse Prevention (CP). Data used for the ANN training was 70% of total data, while data used for testing and validation were 15% of the whole data, respectively. The results showed that the proposed artificial intelligent prediction model could provide prediction of up to 83.54% rate of accuracy and the least mean squared error of 0.1549. Next, the fragility curves of each component subjected to earthquake time history parameter were determined. Scaling factors for 14 time histories were calculated to generate IDA curves, which were needed to develop fragility curves. The pushover analysis and NTHA also needed to build the IDA curve. From the fragility curves, it was concluded that different bridge components have different probabilities of damage level occurrence due to specified earthquake time history parameters. This research was verified using on-site modal testing to compare the modal parameter with the FEM. The studied dynamic behaviour of cable-stayed bridges, as well as damage assessment through fragility curves and ANN approach, will greatly assist authorities in maintaining the structural integrity of their bridges by detecting and predicting the likelihood of damage under earthquake loads.

#### ABSTRAK

Aplikasi jenis jambatan kabel-penahan di Malaysia mendapat permintaan yang lebih tinggi oleh pemaju kerana keberkesanan kosnya. Walau bagaimanapun, kelenturannya yang tinggi dan tingkah laku redaman yang rendah mungkin menunjukkan tindak balas kritikal kerana beban gempa. Di samping itu, terdapat cabaran bagi pihak berkuasa jambatan untuk menentukan tahap kerosakan jambatan dalam kejadian pasca gempa. Oleh itu, objektif kajian ini adalah (i) untuk mengkaji prestasi seismik jambatan kabel-penahan akibat dari beban sejarah masa gempa, dari segi tingkah laku dinamik dan tindak balas pecutan, (ii) untuk membina lengkung kerapuhan sebagai garis panduan untuk jangkaan tahap kerosakan komponen jambatan kabel terhadap pelbagai muatan gempa demi, dan (iii) untuk menvelidik kebolehgunaan Rangkaian Saraf Buatan (ANN) untuk meramalkan tahap kerosakan jambatan kabel-penahan sebagai alat membuat keputusan pintar dalam BHMS. Prestasi seismik jambatan kabel penahan diperoleh dengan membina Model Elemen Terhingga 3D (FEM). Dua jenis analisis seismik yang dilaksanakan dalam kajian ini adalah Analisis Getaran Bebas (FVA) dan Analisis Sejarah Masa Bukan Linear (NTHA). Beban gempa yang diskalakan dengan Pecutan Tanah Maksima (PGA) rendah, sederhana, dan kuat digunakan. Hasil yang diperoleh dari NTHA kemudian dimasukkan ke Model Rangkaian Saraf Suap-Hadapan untuk mendapatkan model ramalan tahap kerosakan untuk jambatan kabel-penahan. Data tindak balas pecutan struktur digunakan sebagai input dalam model ANN. Sementara itu, output untuk latihan ANN adalah tahap kerosakan dari analisis iaitu ringan (IO), sedang (LS), dan teruk (CP). Data yang digunakan untuk latihan ANN adalah 70% dari jumlah data, sementara data yang digunakan untuk pengujian dan pengesahan masing-masing adalah 15% dari keseluruhan data. Hasil kajian menunjukkan bahawa model ramalan cerdas buatan yang dicadangkan dapat memberikan ramalan kadar ketepatan sehingga 83.54% dan ralat kuasa dua min terendah adalah 0.1549. Seterusnya, lengkung kerapuhan setiap komponen yang dikenakan parameter sejarah masa gempa ditentukan. Faktor penskalaan untuk 14 sejarah masa dikira untuk menghasilkan lengkung IDA, yang diperlukan untuk membina lengkung kerapuhan. Analisis pushover dan NTHA juga diperlukan untuk menghasilkan lengkung IDA. Menuju lengkung kerapuhan, dapat disimpulkan bahawa komponen jambatan yang berlainan mempunyai kebarangkalian tahap kerosakan berlainan kerana parameter sejarah masa gempa yang ditentukan. Kajian ini disahkan menggunakan pengujian modal di lokasi untuk membandingkan parameter modal dengan FEM. Tingkah laku dinamik kajian jambatan kabel-penahan, serta penilaian kerosakan melalui lengkung kerapuhan dan pendekatan ANN, akan lebih membantu pihak berkuasa dalam mengekalkan integriti struktur jambatan mereka dengan mengesan dan meramalkan kerosakan terhadap beban gempa.

# TABLE OF CONTENTS

## TITLE

D	ECLARA	TION	iii
D	EDICATI	ON	iv
Α	CKNOWI	LEDGEMENT	v
A	BSTRAC	ſ	vi
A	BSTRAK		vii
T.	ABLE OF	CONTENTS	viii
LIST OF TABLES			
	IST OF FI	GURES	xiv
L	IST OF A	BBREVIATIONS	xxii
L	IST OF A	PPENDICES	xxiii
CHAPTER 1	INTI	RODUCTION	1
1.	1 Resea	arch Background	1
1.	2 Probl	em Statements	7
1.	3 Resea	arch Objectives	8
1.	4 Scop	es and Limitations	8
1.	5 Organ	nization of Thesis	9
CHAPTER 2	LITE	CRATURE REVIEW	11
2.	1 Intro	duction	11
2.	2 The S	Seismic Behaviour of Cable-Stayed Bridge	13
	2.2.1	Overview of Post-Earthquake Failures of Bridge Structures in History	15
	2.2.2	Finite Element Modelling Technique and Assumptions in Dynamic Analysis	18
	2.2.3	Analysis to Understand Dynamic Behaviour of Cable-Stayed Structure from Previous Study	30
	2.2.4	Nonlinear Time History Analysis for cable stayed dynamic response	35

	2.3	Seismi	ic Vulnera	bility of Cable-Stayed Bridge	36
		2.3.1	Vulnerat	oility of Cable-stayed Bridge Study	38
		2.3.2	Method	of Developing Fragility Curves	43
		2.3.3	Effects of Cable	of Ground Motion to the Vulnerability -stayed Bridge Structure	50
	2.4	Structu	ural health	n monitoring (SHM) for cable-stayed	51
		2.4.1	Structura Works	al Damage Prediction in Previous	53
		2.4.2	Fundame (Feedfor Predictio	ental of Artificial Neural Network ward-Backpropagation) as Damage on Model	57
	2.5	Conch	uding Ren	narks of Literature Review	61
CHAPTE	R 3	RESE	ARCH N	IFTHODOLOGY	65
	3 1	Introd	uction		65
	3.2	Resear	rch Obiec	tive 1- Performance of Cable-Staved	05
	5.2	Bridge	e under Ea	rthquake Loading	66
		3.2.1	Finite E Expressv	lement Modelling of Senai Desaru vay (SDE) Cable-Stayed Bridge	67
			3.2.1.1	Elements of cable-stayed bridge	71
			3.2.1.2	Properties of finite element model	74
			3.2.1.3	Assumptions made for the SDE bridge finite element model	76
		3.2.2	Numeric	al Analysis	77
		3.2.3	Free vib	ration Analysis	78
		3.2.4	Bridge P	erformance According to FEMA 356	80
		3.2.5	NTHA D	Direct Integration	84
			3.2.5.1	Hinges Assignments Consideration	85
			3.2.5.2	Earthquake Excitation Data for Time History Analysis	87
			3.2.5.3	Damping ratio	93
			3.2.5.4	Accelerations response	93
	3.3	Resear Fragili	rch Objec ty Assess	tive 2 – Cable-Stayed Bridge Seismic ment	96
		3.3.1	Non-Lin	ear Static Analysis (NSA) - Pushover	101

	3.3.2	Nonlinear Dynamic Analysis (NDA) -Time History Modal Ritz	103
	3.3.3	Performance Based Seismic Design (PBSD) and Establishment of Probabilistic seismic Demand Model	105
	334	Procedure of Fragility curve development	105
3.4	Resea	rch Objective 3 – Application of Neural Network	107
5.1	Mode	l of Cable-Stayed Bridge Damage Assessment	112
	3.4.1	Data Preparation and ANN Prediction Modelling	112
	3.4.2	Training Phase and Parameter	114
CHAPTER 4	CABI	LE-STAYED BRIDGE SEISMIC	
	PERF	FORMANCE AND VULNERABILITY	127
4.1	Introd	uction	127
4.2	The S	enai-Desaru Bridge as a Case Study	127
	4.2.1	Finite Element Modelling of the SDE Bridge	129
4.3	Seism	ic Performance of Cable-Stayed Bridge	130
	4.3.1	Dynamic Characteristic of The Cable-Stayed Bridge Model	131
	4.3.2	Earthquake Excitation Data	138
	4.3.3	Bridge Performance due to Earthquake Loads	150
	4.3.4	Concluding Remarks for Seismic Performance of Cable-Stayed Bridge	182
4.4	Seism	ic Fragility Assessment of Cable-Stayed Bridge	184
	4.4.1	Nonlinear Static Analysis-Pushover Analysis	185
	4.4.2	Incremental dynamic analysis	186
	4.4.3	Cable-Stayed Bridge Fragility Assessment	191
		4.4.3.1 Fragility at Top Pylon	191
		4.4.3.2 Fragility at Middle Deck	194
		4.4.3.3 Fragility at Pier	197
		4.4.3.4 Fragility at Middle Pylon	200
	4.4.4	Comparison of each Cable-Stayed Bridge Component	203
	4.4.5	Concluding Remarks of Seismic Fragility Assessment	213

<b>CHAPTER 5</b>	DECISION SUPPORT TOOL DEVELOPMENT	221
5.1	Application ANN in Bridge Damage Assessment	221
	5.1.1 Architecture Model of Neural Networks	222
	5.1.2 Input and Output Data	223
	5.1.3 Training Result	229
	5.1.3.1 MSE Result	229
	5.1.3.2 Regression	230
	5.1.4 Equation of Damage Level Prediction	233
	5.1.4.1 Equation for ANN with 11 input	233
	5.1.5 Concluding Remarks	252
CHAPTER 6	FIELD MODAL TESTING AS VALIDATION	255
6.1	Field modal testing	255
	6.1.1 Equipment for field modal test	256
	6.1.2 Sensor configurations	258
	6.1.3 Technical site visit for sensor configurations setting	260
	6.1.4 Method to analyse the collected data	261
6.2	Field Modal testing result	261
CHAPTER 7	CONCLUSION AND RECOMMENDATIONS	269
7.1	Introduction	269
7.2	Dynamic Behaviour and Seismic Performance of Cable-Stayed Bridge	269
7.3	Vulnerability and Fragility of Cable-Stayed Bridge Study	272
7.4	ANN Cable-Stayed Damage Level Prediction Model	276
7.5	Modal Parameter of Field Modal Testing	277
7.6	Recommendations for Future Work	278
REFERENCES		279

# APPENDIX

# LIST OF TABLES

TABLE NO.	TITLE	PAGE
Table 2.1	The summary on previous cable-stayed bridge Finite Element Modelling technique and Assumptions	28
Table 2.2	Review on simulation method selection	48
Table 2.3	Effect of Extreme Values of Design Parameters on Training Convergence and Network Generalisation. (Basheer and Hajmeer, 2000)	60
Table 3.1	Raw Data Collection for Objective 1	66
Table 3.2	Concrete Properties for CSI Bridge Software	74
Table 3.3	Steel Properties for CSI Bridge Software.	75
Table 3.4	Methods of Seismic Analyses	78
Table 3.5	Damage Control and Building Performance Levels (FEMA356, 2000)	82
Table 3.6	shows the damage level and performance ranges based on the real damage structure condition.	83
Table 3.7	Scaling factor of the time history (acceleration in $m/s^2$ )	104
Table 3.8	Analysis on Learning rate and Momentum of coefficient parameter	118
Table 3.9	Parameter of ANN Training	124
Table 4.1	Modal Load Participation Ratios for 200 modes	132
Table 4.2	Modal Participating Mass Ratios	133
Table 4.3	First eight mode shapes with component directional and period	135
Table 4.4	Earthquake Profile	139
Table 4.5	Earthquake Profile Details	149
Table 4.6	Damage level of the Senai Desaru Expressway (SDE) Cable stayed bridge model	175
Table 4.7	The Maximum Acceleration Response	178
Table 4.8	Pushover result (Performance level)	186
Table 4.9	Vulnerability of the cable-stayed bridge: Case 1	214

Table 4.10	Vulnerability of the cable-stayed bridge: Case 2	215
Table 4.11	Vulnerability of the cable-stayed bridge: Case 3	216
Table 4.12	Vulnerability of the cable-stayed bridge: Case 4	217
Table 4.13	Summary of cable-stayed bridge vulnerability due structure components	220
Table 5.1	Damage Level of Bridge for ANN Training Data	224
Table 5.2	Acceleration Chi-chi earthquake 1.5 G	226
Table 5.3	Weightages and biases according to the ANN layer model	235
Table 5.4	Computation output using equation and machine learning program	245
Table 5.5	Prediction accuracy from previous study	253
Table 6.1	Mode shape in vertical direction	259
Table 6.2	Frequency and damping from modal testing Test 1	265
Table 6.3	Frequency and damping from modal testing Test 2	265
Table 6.4	Frequency and damping from modal testing Test 3	265
Table 6.5	Frequency and damping from modal testing Test 4	265
Table 6.6	Comparison between analytical and experimental natural frequency	266
Table 6.7	Comparison of Mode Shapes between Analytical and On-Site Experiment	266

# LIST OF FIGURES

FIGURE NO.	TITLE	PAGE
Figure 1.1	Cable Stayed bridge elements, (Sham and Wyatt, 2016)	1
Figure 1.2	Types of loads on a bridge (Ryall, 2009).	2
Figure 1.3	(a – d) Bridge failures due to earthquake loads	3
Figure 2.1	The overview of the literature review	13
Figure 2.2	Behaviour of Cable Stayed bridge, (Carlos Miguel, 2011)	14
Figure 2.3	Comparison between the elastic response of classical cable-stayed bridges and structures without cable-system. (Casado, 2011)	14
Figure 2.4	Finite element model of Pietratagliata cable-stayed bridge (Bedon, Dil, 2016)	20
Figure 2.5	Finite element of Kap Shui Mun bridge and some critical points for seismic response investigation (Naderian et al., 2015)	21
Figure 2.6	3D finite element model of a cable-stayed bridge (Benedettini and Gentile, 2008)	22
Figure 2.7	Layout of the bridge under multi-component of support excitations (Allam and Datta, 2000)	23
Figure 2.8	(a) Complete finite element model of the bridge, (b) Distribution of lumped masses used in developing the model of the cross-section of the deck (c) Modelling of bearings at deck-to-tower connection (d)Finite element model of towers (Wilson et.al., 1991)	25
Figure 2.9	Finite element model (i) deck girder with diaphragms (bold), (ii) ground beam, pylon, back stays, bridge stays and foundation piles, (iii) pseudo-beams and shell elements; 1 set of these is shown bold. (Brownjohn et al., 1999)	27
Figure 2.10	Various applications of seismic fragility curves (Billah et al., 2014)	37
Figure 2.11	Schematic diagram for constructing the fragility curves for RC bridge piers. (Karim et al., 2001)	44

Figure 2.12	Methodology in developing a seismic fragility curve (Billah et al., 2014)	46
Figure 2.13	Schematic representation of the NTHA procedure used to develop fragility curves (Billah et al., 2014).	49
Figure 2.14	The relative parameters of cable-stayed bridge monitoring content (Lin, Xiang and Jia, 2018)	52
Figure 2.15	Scheme of the proposed damage detection strategy (Arangio et al., 2015)	53
Figure 2.16	Biological neuron network (Neves et al., 2018)	58
Figure 2.17	Taxonomy of feed-forward and feedback network architecture (Jain and Mao, 1996)	59
Figure 2.18	Effect of hidden layer size on network generalization. (Jain and Mao, 1996)	60
Figure 3.1	Research Framework	65
Figure 3.2	Senai–Desaru Expressway (SDE) Bridge, Johor, Malaysia (Senai-Desaru Expressway Berhad, 2020)	68
Figure 3.3	Sg. Johor cable stayed Bridge (Elevetion view), (Senai- Desaru Expressway Berhad, 2019)	69
Figure 3.4	Geometry of the SDE Bridge: (a) Schematic of the bridge elevation, (b) Cross-section of the deck, (c) Cross-section of pier	70
Figure 3.5	Pier section properties of bridge model in CSI bridge	72
Figure 3.6	Deck section properties of bridge model in CSI bridge	72
Figure 3.7	The pylon section properties of bridge model in CSI bridge	73
Figure 3.8	Cable section properties of bridge model in CSI bridge	74
Figure 3.9	Concrete Properties for CSI Bridge Software	75
Figure 3.10	Steel Properties for CSI Bridge Software	76
Figure 3.11	Free Vibration Analysis (FVA) Flowchart	79
Figure 3.12	Interface for free vibration analysis set up	80
Figure 3.13	Building Performance Levels and Ranges (FEMA, 2000)	83
Figure 3.14	Interface to perform Nonlinear Time History Direct Integration	84

Figure 3.15	Representation symbol of damage level in studied bridge	85
Figure 3.16	(a) Moment rotation data for interacting P-M2-M3 and (b) displacement control parameters.	86
Figure 3.17	Interface to insert time history data to the analysis	92
Figure 3.18	Interface of Seismo Signal application	93
Figure 3.19	Diagram of application equation of motion theory	95
Figure 3.20	Time History Analysis	96
Figure 3.21	Methods and steps to develop the fragility curve (F. M. Nazri, 2018)	97
Figure 3.22	Response spectrum analysis	100
Figure 3.23	Non-linear analysis	100
Figure 3.24	Pushover curve (Arjuna et al., 2020)	102
Figure 3.25	Typical load – deformation relationship and target performance levels (FEMA356, 2000)	103
Figure 3.26	Calculation of PGA scale factor	105
Figure 3.27	Flowchart of cable-stayed bridge fragility curve development	108
Figure 3.28	Summary steps of fragility curve development	111
Figure 3.29	basic flow process to design a Neural Network algorithm (Venkateswarlu and Raju, 2016)	112
Figure 3.30	Mse result for different train function (a)trainlm, (b)trainbfg, (c)trainscg, (d)trainrp.	116
Figure 3.31	Regression result for different train function (a)trainlm, (b)trainbfg, (c)trainscg, (d)trainrp.	117
Figure 3.32	The architecture of Model testing 1; 2 input with 1 hidden layer	123
Figure 3.33	The architecture of Model testing 2; 11 input with 1 hidden layer	123
Figure 3.34	ANN prediction modelling flowchart	125
Figure 4.1	Senai-Desaru Bridge	127
Figure 4.2	Senai-Desaru Bridge in Construction Phase	128
Figure 4.3	3D view of SDE Bridge Model	129

Figure 4.4	Others view SDE Bridge Modelling (a) Plan View, (b) Perspective elevation front view, and (c) Perspective elevation side view	129
Figure 4.5	Modal mass participation distribution of 200 modes	134
Figure 4.6	Period distributions of modes	138
Figure 4.7	Location observed on cable-stayed bridge	150
Figure 4.8	(a) Bridge Performance under Northridge Time History Analysis, (b) Acceleration at the Pylon, Deck, and Piers due to Northridge Earthquake 0.75 g.	151
Figure 4.9	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at Pylon, Deck, and Piers due to Northridge Earthquake 1.0 g.</li></ul>	152
Figure 4.10	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(B) Acceleration at Pylon, Deck and Piers Due to Northridge 1.5g.</li></ul>	153
Figure 4.11	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(B) Acceleration At Pylon, Deck and Piers Due to Kobe,</li><li>Japan 0.75g.</li></ul>	154
Figure 4.12	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(B) Acceleration At Pylon, Spans and Piers Due to Kobe,</li><li>Japan 1.0g.</li></ul>	155
Figure 4.13	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at Pylon, Spans, and Piers due to Kobe,</li><li>Japan Earthquake 1.5 g.</li></ul>	156
Figure 4.14	(a) Bridge Performance under Time History Analysis, (B) Acceleration At Pylon, Spans and Piers Due to Loma Prieta, 0.75g.	157
Figure 4.15	(a)Bridge Performance under Time History Analysis, (B) Acceleration Pylon, Spans and Piers Due to Loma Prieta, 1.0g	158
Figure 4.16	(a)Bridge Performance under Time History Analysis, (B) Acceleration Pylon, Spans and Piers Due to Loma Prieta, 1.5g	159
Figure 4.17	<ul><li>(A) Bridge Performance under Time History Analysis,</li><li>(B) Acceleration at Pylon, Spans and Piers Due to Chi</li><li>Chi, 0.75g</li></ul>	160
Figure 4.18	<ul><li>(A) Bridge Performance under Time History Analysis,</li><li>(B) Acceleration at Pylon, Spans and Piers due to Chi Chi, 1.0g</li></ul>	161

Figure 4.19	<ul><li>(A) Bridge Performance Under Time History Analysis,</li><li>(B) Acceleration at Pylon, Spans and Piers due to Chi</li><li>Chi, 1.5g</li></ul>	162
Figure 4.20	<ul><li>(A) Bridge Performance Under Time History Analysis,</li><li>(B) Acceleration at Pylon, Spans and Piers Due to Aceh,</li><li>0.75g</li></ul>	163
Figure 4.21	<ul><li>(A) Bridge Performance Under Time History Analysis,</li><li>(B) Acceleration at Pylon, Spans and Piers Due to Aceh,</li><li>1.0g</li></ul>	164
Figure 4.22	<ul><li>(A) Bridge Performance Under Time History Analysis,</li><li>(B) Acceleration at Pylon, Spans and Piers Due to Aceh,</li><li>1.5g</li></ul>	165
Figure 4.23	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to Padang, 0.75g</li></ul>	166
Figure 4.24	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to Padang, 1.0g</li></ul>	167
Figure 4.25	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to Padang, 1.5g</li></ul>	168
Figure 4.26	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to New Zealand, 0.75g</li></ul>	169
Figure 4.27	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to New Zealand, 1.0g</li></ul>	170
Figure 4.28	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to New Zealand, 1.5g</li></ul>	171
Figure 4.29	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to Ranau, 0.75g</li></ul>	172
Figure 4.30	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to Ranau, 1.0g</li></ul>	173
Figure 4.31	<ul><li>(a) Bridge Performance under Time History Analysis,</li><li>(b) Acceleration at the Pylon, Spans and Piers due to Ranau, 1.5g</li></ul>	174
Figure 4.32	Comparison of Joint Acceleration Response under Earthquake Loading 0.75g	176

Figure 4.33	Comparison of Joint Acceleration Response under Earthquake Loading 1.0g	177
Figure 4.34	Comparison of Joint Acceleration Response under Earthquake Loading 1.5g	177
Figure 4.35	Displacement point on cable-stayed bridge components	185
Figure 4.36	Top Pylon Incremental Dynamic Analysis (IDA) Curve (PGA vs Drift %)	187
Figure 4.37	Middle Pylon Incremental Dynamic Analysis (IDA) Curve (PGA vs Drift %)	188
Figure 4.38	Middle Deck Incremental Dynamic Analysis (IDA) Curve (PGA vs Drift %)	189
Figure 4.39	Pier Incremental Dynamic Analysis (IDA) Curve (PGA vs Drift %)	190
Figure 4.40	Fragility curves at top pylon case 1 (Distance from epicentre) (a) Short Range Distance, (b) medium range distance, (c) long range distance.	192
Figure 4.41	Fragility curves at top pylon case 2 (Magnitude), (a) 5.0- 5.9 M, (b) 6.0-6.9 M, (c) 7.0-higher	193
Figure 4.42	Fragility curves at top pylon case 3 (Frequency content), (a) Low frequency (T= $0.2-5s$ ), (b) High frequency content (T < $0.2s$ )	194
Figure 4.43	Fragility curves at top pylon for case 4 (PGA), (a) Not Felt - Moderate (0 - 8.8% g) (b) Strong-extreme (>8.8%g)	194
Figure 4.44	Fragility curves at middle deck for case 1 (Distance from epicentre) (a) Short Range Distance, (b) medium range distance, (c)long range distance.	195
Figure 4.45	Fragility curves at middle deck for case 2 (Magnitude), (a) 5.0-5.9 M, (b) 6.0-6.9 M, (c) 7.0-higher	196
Figure 4.46	Fragility curves at middle deck for case 3 (Frequency content), (a) Low frequency (T=0.2-5s), (b) High frequency content (T < $0.2s$ )	197
Figure 4.47	Fragility curves at middle deck for case 4 (PGA), (a) Not Felt-Moderate (0 - 8.8% g) (b) Strong-extreme (>8.8%g)	197
Figure 4.48	Fragility curves at pier case 1(Distance from epicentre) (a) Short Range Distance, (b) medium range distance, (c)long range distance.	198

Figure 4.49	Fragility curves at pier case 2(Magnitude), (a) 5.0-5.9 M, (b) 6.0-6.9 M, (c) 7.0-higher	199
Figure 4.50	Fragility curves at pier case 3 (Frequency content) (a) Low frequency (T=0.2-5s), (b) High frequency content (T < 0.2s)	200
Figure 4.51	Fragility curves at pier for case 4 (PGA), (a) Not Felt – Moderate (0 - 8.8% g) (b) Strong-extreme (>8.8%g)	200
Figure 4.52	Fragility curves at middle pylon for case 1(Distance from epicentre) (a) Short Range Distance, (b) medium range distance, (c)long range distance.	201
Figure 4.53	Fragility curves at middle pylon for case 2, (Magnitude), (a) 5.0-5.9 M, (b) 6.0-6.9 M, (c) 7.0-higher	202
Figure 4.54	Fragility curves at middle pylon for case 3 (Frequency content), (a) Low frequency (T= $0.2-5$ s), (b) High frequency content (T < $0.2$ s)	203
Figure 4.55	Fragility curves at middle pylon for case for case 4(PGA), (a) Not Felt-Moderate (0 - 8.8% g) (b) Strong-extreme (>8.8%g)	203
Figure 4.56	Fragility curves assessment of various components of cable-stayed bridge under short distance time history	204
Figure 4.57	Fragility curves assessment of various components of cable-stayed bridge under medium range time history	205
Figure 4.58	Fragility curves assessment of various components of cable-stayed bridge under long-range distance time history	206
Figure 4.59	Fragility curves assessment of various components of cable-stayed bridge under range of 5-5.9 Magnitude time history	207
Figure 4.60	Fragility curves assessment of various components of cable-stayed bridge under range of 6.0-6.9 Magnitude time history	208
Figure 4.61	Fragility curves assessment of various components of cable-stayed bridge under range of 7.0 and higher Magnitude time history	209
Figure 4.62	Fragility curves assessment of various components of cable-stayed bridge under low frequency content time history, (T=0.2-5s)	210
Figure 4.63	Fragility curves assessment of various components of cable-stayed bridge under high frequency content time history, $(T < 0.2s)$	211

Figure 4.64	Fragility curves assessment of various components of cable-stayed bridge under not felt to moderate PGA (0-8.8%g)	212
Figure 4.65	Fragility curves assessment of various components of cable-stayed bridge under strong PGA (>8.8%g)	213
Figure 5.1	The MSE of model testing 1; 2 input	230
Figure 5.2	The MSE of Model testing 2:11 input	230
Figure 5.3	The regression of model testing 1; 2 input	231
Figure 5.4	The regression of model testing 2; 11 input	231
Figure 5.5	The regression-mean of model testing 1 vs epoch trial; 2 input	232
Figure 5.6	The regression-mean of model testing 2 vs epoch trial; 11 input	232
Figure 5.7	Summary of ANN prediction model performance	252
Figure 6.1	Dynamic tests of bridges (Zwolski and Bień, 2011)	255
Figure 6.2	Measuring Field Testing devices	258
Figure 6.3	Overview of the site testing location	259
Figure 6.4	Configuration of the modal testing on SDE bridge	260
Figure 6.5	Surveying and measuring activities during site visit	260
Figure 6.6	Overlay log magnitude of FRF (Umar, 2015)	261
Figure 6.7	Median of SDE bridge for modal testing area	262
Figure 6.8	Sensors intallations	262
Figure 6.9	A sensor mounted on the concrete surface	263
Figure 6.10	DEWEsoft display screen during the testing	263
Figure 6.11	Overlay log magnitude of FRF of natural frequency of SDE bridge (example for Test 1 data)	264

### LIST OF ABBREVIATIONS

ANN	-	Artificial Neural Network
СР	-	Collapse Prevention
FEM	-	Finite Element Method
FFA	-	Feed Forward Algorithm
FVA	-	Free Vibration Analysis
GA	-	Genetic Algorithm
IDA	-	Incremental Dynamic Analysis
IO	-	Intermediate Occupancy
LM	-	Levenberg Marquardt
LS	-	Life Safety
MMD	-	Malaysian Meteorology Department
MSE	-	Mean Squared Error
NDA	-	Nonlinear Dynamic Analysis
NGA	-	Next Generations Attenuation
NSA	-	Nonlinear Static Analysis
NTHA	-	Nonlinear Time History Analysis
PBSD	-	Performance Based Seismic Design
PEER	-	Pacific Earthquake Engineering Research
PGA	-	Peak Ground Acceleration
PGV	-	Peak Ground Velocity
POA	-	Pushover analysis
SDE	-	Senai Desaru Expressway
SHM	-	Structure Health Monitoring
UTM	-	Universiti Teknologi Malaysia

# LIST OF APPENDICES

APPENDIX	TITLE	PAGE
Appendix A	Modal Participating Mass Ratio	297
Appendix B	Acceleration Data for Neural Networks	302
Appendix C	ANN Coding MATLAB	327

### **CHAPTER 1**

### **INTRODUCTION**

#### 1.1 Research Background

Bridges are essential parts of urban infrastructure that play a vital role in the development of an effective transport network around the globe. They exist in different types and designs where each serves a specific purpose. For instance, the arch, simply supported, simple beam, and cantilever bridges typically connect shorter distances using short to medium bridge spans. Meanwhile, cable-stayed and suspension bridges use longer spans to connect longer barriers. Certain circumstances, such as site geological and environmental conditions, aesthetic value, and economic aspect, also determine the type of bridges that are built. Since the late 20th century, cable-stayed bridges have risen to prominence in major cities around the world. They are the most cost-effective options for engineers when a longer span is required, but the span is short enough that the suspension bridge becomes economically impractical. Figure 1.1 shows the illustrations of basic cable-stayed elements, which consists of span, main span, pylon or tower, stayed cables, and pier. With a longer main span, the bridges can carry a large amount of traffic, consisting of automobiles, trucks, bicycles, and pedestrians, daily.



Figure 1.1 Cable Stayed bridge elements, (Sham and Wyatt, 2016)

Usually, continuous usage from the bridge users causes the bridge structure to consequently experience a degradation process during its service life. Besides that, there are several types of loading acting on bridge structures that can induce deterioration phase, as shown in Figure 1.2.



Figure 1.2 Types of loads on a bridge (Ryall, 2009).

It can be seen from the figure that when bridges are often subjected to severe conditions such as earthquakes, some of their critical structural elements, particularly cables, could collapse. In general, earthquake load is known as one of the transient loadings, and its effects are the most disastrous. Figure 1.3 depicts some of the bridge failures due to earthquake loads: (a) Hanshin Expressway failure due to Kobe, Japan earthquake in January 1995 (a) Hanshin Expressway failure due to Kobe, Japan Earthquake in January 1995. (Venton and Writer, 2016), (b) Tubul Bridge failure due to Chile Earthquake on 27th February 2010. (Yen et al., 2011), (c) Chi Lu Cable-Stayed Bridge failure due to Chi-Chi, Taiwan earthquake on 19<sup>th</sup> September 1999 (Wenzel et al., 2011), and (d) Showa Bridge collapse during the 1964 Niigata Earthquake (Bhattacharya et al., 2014). Overall, these series of bridge failures

emphasised the importance of seismic criteria (as a baseline design) and performance updates (throughout the service life) of bridges.



Figure 1.3 (a - d) Bridge failures due to earthquake loads

During the San Fernando earthquake in 1971, the most disastrous damage occurred to the overpass structures at three major interchanges in the region of strong shaking. A total of 42 bridges were tremendously affected by the tremors. As a result, the National Earthquake Hazard Reduction (NEHRP) was established in 1977 by the US Congress after the earthquake event (Sims, 2000). Meanwhile, the Kobe

earthquake in 1995 affected up to 325 bridges, out of which about 27 were severely damaged, as reported by the Japan Road Association (JRA). During this tremor, the famous Shinkansen railway and three expressways were completely interrupted by some collapsed viaducts (Wang, Zhu and Cui, 2017). During this tremor, the famous Shinkansen railway and three expressways were completely interrupted by some collapsed viaducts (Wang, Zhu and Cui, 2017). Known as the greatest earthquake of September 21, the Chi-Chi earthquake caused a number of bridges to collapse in the central region of Taiwan, while several others underwent structural damages. Finally, during the Loma Prieta earthquake on October 17, 1989, the two Caltrans-designed structures were severely damaged, a segment of the San Francisco-Oakland Bay Bridge was knocked down, and a long section of the double-deck Cypress viaduct in Oakland was devastatingly demolished (Sims, 2000). It was reported that 41 people were killed due to the collapse of Cypress viaduct structures (ims, 2000).

In Malaysia, the construction of cable-stayed bridges to connect two significant locations across the stream, rivers, and ocean has increased. For example, the Langkawi Sky Bridge, Muar Second Bridge, Penang Bridge, Prai River Bridge, Seri Saujana Putrajaya Bridge, Seri Wawasan Putrajaya Bridge, Sultan Abdul Halim Muadzam Shah Bridge, and Senai Desaru Bridge. The Senai Desaru Bridge, for instance, was built as an expressway bridge across a significant distance (500 m) of Johor River. It is also the longest single plane cable-stayed bridge. In general, the cable-stayed bridge structures in Malaysia are not designed to withstand seismic loading but are designed solely for gravity loading. As reported by Ramli and Adnan, (2016), in Malaysia, only two bridges have considered the long-distant earthquake effect in their baseline designs: the Penang Bridge, which officially started to operate for public on 14th September 1985, and the Second Penang Bridge, which has a total length of 13.5 km, making it the longest bridge in Malaysia to apply seismic design criteria.

Even though Malaysia is not in the Pacific Ring of Fire and the chances of earthquake occurrence are remote, there is still a possibility of moderate to strong earthquakes hitting Malaysia (Noorliza Lat and Ibrahim, 2009 and Abas, 2001). Malaysia is considered at risk because it is surrounded by active and moderately active tectonic plates, with the highest threat from far-field ground motion from Sumatera earthquakes (Adnan, Marto and Hendriyawan, 2004). Moreover, Peninsular Malaysia is situated about 400 km from Sumatera and is a neighbour to Borneo, which are regions of the Pacific Ring of Fire with high seismic activities. Thus, theoretically, the high magnitudes of seismic waves from the Sumatran earthquake would shake the structures that have high natural period including long bridges and high-rise buildings in Penang, Kuala Lumpur, Putrajaya, and Johor Bahru. In November 2007, Peninsular Malaysia felt a series of tremors in Bukit Tinggi, recorded by the Malaysian Meteorological Department's seismic stations, which ranged in local magnitude from 2.5 to 3.5 (Noorliza Lat and Ibrahim, 2009). Therefore, seismicity in Malaysia is categorised as low to moderate, with uncommon damaging earthquakes. However, in recent years, East Malaysia (Sabah) has witnessed a rise in low to moderate seismic events due to a few active local fault lines, including Belait, Crocker, Jerudong Fault, Mensaban, Mulu, and Pegasus Tectonic Line (Harith and Adnan, 2017).

The importance of monitoring the existing bridges in Malaysia should not be disregarded since the structures' vulnerability to earthquake tremors can cause catastrophic damages. Therefore, a substantial study on a long cable-stayed bridge's vulnerability is essential to understand the potential seismic responses under earthquake loading. At present, numerous finite element models have been developed to assess the seismic response of bridge structures. However, little work has been carried out to understand the seismic response of cable-stayed bridges. A common approach for evaluating bridge seismic vulnerability is the generation of vulnerability function in the form of fragility curves. Fragility curve is a conditional probability that gives a likelihood in which a structure will meet or exceed a specified damage level for a given ground motion intensity measure. It is a useful tool for estimating the extent of structural damage of a specified bridge and assessing the capacity of a bridge structure under the earthquake load. Furthermore, fragility study is crucial to identify the vulnerable parts of a bridge structure. Hence, this type of bridge assessment could provide beneficial information to bridge authorities when deciding on retrofitting and other rehabilitation works.

Meanwhile, a properly structured health monitoring of long cable-staved bridges is crucial since an earthquake event would not only threaten the users, but also cause economic losses. Regular monitoring, like site inspection, is thereby required, but it is highly challenging and costly for large-scale bridges because of the high complexity of their structure. Generally, inspection is essential for ensuring safety, maintaining inventory, and collecting statistics on planning in Malaysia. Robert and King (1984) highlighted the importance of inspection and strength assessment of existing bridges in Malaysia. The study noted that the scheduled inspection carried out by qualified personnel and assisted by design data, including drawings of structure, is necessary to get proper results for future road planning and upgrading. The results of inspection provide useful data for bridge authorities and designers to resolve the recurrent causes of problem. Later on, Public Works Department (PWD) performed a series of bridge inspection studies. King, Heng and Mahamud (2000) reported on the study of Annual Mandatory Bridge Inspection (AMBI) from bridge inspections done from 1995 to 1998. The study highlighted the Working Committee of Bridge Inspection formed under the Road Engineers Association of Malavsian (REAM) to develop National Guidelines for Bridge Inspection. The inspection guide was based on PWD's work of practice and has been adopted by all the bridge agencies in the country and has become a national guide for bridge inspection by default (Ng and King, 2010).

Apart from the lack of regular inspection implementation as the effort to monitor bridge conditions in Malaysia, poor decisions (resulting from the lack of proper information), the conventional inspection method is also suffered from inconsistence results due to subjective judgement by the inspectors. Therefore, the application of intelligent bridge assessment method is able to ensure the overcome the problem. As for bridge health monitoring system, some critical decisions are necessary to be precise (for example, the current extent of damage). In essence, the current condition of a bridge should be given the highest priority to ensure its safety and serviceability to the bridge's users. In recent years, the neural network has been widely applied as a decision support algorithm for various ranges of civil engineering problems. The decision-making tools are needed to improve the accuracy of decisions made by a system. The availability of such bridge assessments would help to ensure the safety of bridge users and would also be useful for bridge authorities.

### **1.2 Problem Statements**

Based on the overview and research background discussed in the previous section, there are several problem statements that can be stated as follows:

- (i) Application of cable-stayed bridge type in Malaysia is getting higher demands by the developers. Therefore, a few aspects need to be taken into consideration to ensure the safety of bridge users. The important aspect includes the durability, serviceability, structural health, bridge maintenance, and special monitoring of bridges. Limited work has been carried out to study the performance of local structures under various earthquake loads. Thus, the safety of cable-stayed bridge users upon the earthquake effects is neglected.
- (ii) Cable bridges are vulnerable to earthquakes due to their high flexibility and low inherent damping. If a cable-stayed bridge collapses in an earthquake or post-earthquake event, it may cause immense loss of life and property. Therefore, the seismic vulnerability of cable-stayed bridges at different levels of damage should be explicitly measured in order to ensure protection.
- (iii) Monitoring system equipped with a decision-making tool has not been investigated by researchers in detail. In addition, there are challenges forbridge authority to decide the severity of bridge condition in post-earthquake events. The long cable-stayed bridge usually carries a high traffic load with more users, and the prediction of damage under earthquake loads is thereby important. Thus, this research would help eliminate the difficulty of predicting the damage level of a cable-stayed bridge due to the earthquake load if the conventional method, such as routine inspection, continues to be used.
- (iv) The use of a decision tool in machine learning (using MATLAB software) is rather complicated to incorporate in bridge health monitoring systems and

requires some modifications before using it in real-time systems. The idea of simplifying intricacies of the tool into equations is thereby crucial.

### **1.3** Research Objectives

- (i) To assess the seismic performance of a cable-stayed bridge under the earthquake time history loading in terms of dynamic behaviour and acceleration response.
- (ii) To establish fragility curves as guidelines of potential damage level of the cable-stayed bridge components under various earthquake loadings.
- (iii) To investigate the applicability of Artificial Neural Network (ANN) as a prediction tool of damage level for cable-stayed bridge's intelligent decisionmaking tool in Bridge Health Monitoring System.

### **1.4** Scopes and Limitations

The outcomes of the study can be affected by several variables and factors involved. Therefore, the scope and limitations should be defined clearly to conduct good experiments as mentioned in the objectives of study. The scope and limitations of this study are:

- The case study was the Senai Desaru Expressway (SDE) Bridge, a cable-stayed bridge that is 1708 m long.
- (ii) Analysis used was the dynamic non-linear method on CSI Bridge software (version 20). The bridge was analysed based on five earthquake data from Pacific Earthquake Engineering Research (PEER) database and the two time histories were gathered from the Meteorological Department of Malaysia. The local earthquake time histories of Ranau earthquake events, which occurred in June 2015, were obtained from seismic stations in Kota Kinabalu.

- (iii) Fragility curves were developed using 14 earthquakes data.
- (iv) Damage level occurred was based on Federal Emergency Management Agency
   (FEMA) 356. The damage levels were Immediate Occupancy(IO), Life Safety
   (LS), and Collapse Prevention (CP) and all used Non-Linear Time History
   Analysis.
- (v) Development of damage level prediction on bridge structure used feedforward
   ANN to train the cable-stayed bridge's data.
- (vi) Input data for training the feedforward neural networks algorithm were time series, accelerations, and damage level output from the finite-element modelling results. A total of 16620 data samples were used, in which 70% of the total data was used for the training data, while another 15% was for testing and the rest was for validation. Data was trained using MATLAB Programming software (2013 version) under University Technology Malaysia (UTM) license.

#### 1.5 Organization of Thesis

The study is divided into seven chapters. The content of each chapter is summarised as follows:

Chapter 1: Introduction describes the research background, problem statements, and objectives of this study. The scope and limitations of study, organisation of thesis, and outcome of research to be conducted are explained at the end of this chapter.

Chapter 2: Literature Review discusses the seismic behaviour, and seismic vulnerability of a cable-stayed bridge, and applications of damage prediction model for civil structures. In addition, the chapter provides a review of the available information, research gaps, and answers to the issued problems. The end of this chapter is a summary of the literature works in similar research area.

Chapter 3: Research Methodology explains the step-by-step solution to the problems which is required to achieve the objectives of the study.

Chapter 4: Cable-Stayed Bridge's Seismic Performance and Vulnerability describes the bridge model's response from earthquake excitation data obtained from Pacific Earthquake Engineering Research Centre (PEER) and Malaysian Meteorology Department (MMD). This chapter includes behaviour of the bridge model that was analysed based on non-linear time history analysis to find the bridge acceleration response and the result of vulnerability study of cable-stayed bridge.

Chapter 5: Decision Support Tool Development explains the development of prediction model using ANN. This chapter includes description of the trial-and-error experiments and investigation to get the best model prediction for cable-stayed bridge, and the final damage-level prediction equation based on the weightages and biases obtained by the ANN model.

Chapter 6: Field Modal Testing as Validation explains the field modal testing carried out to validate the finite element method and numerical analysis accuracy. This chapter includes the methods used to execute the testing and results of the field modal parameters.

Chapter 7: Conclusions and Recommendations concludes and summarises the results of previous chapters and explains the advantages of feedforward-backpropagation in bridge monitoring software. The end of the chapter consists of recommendation for further study.

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